# Colorado School of Mines

# 2002-2003 Graduate Bulletin

To CSM Graduate Students This Bulletin is for your use as a source of continuing reference. Please save it.

Published by Colorado School of Mines, Golden, CO 80401-1887 Colorado School of Mines Bulletin (USPS 391-690)

Correspondence

Address correspondence to: Office of Graduate Studies Colorado School of Mines 1500 Illinois Street Golden, CO 80401-1887

Main Telephone: (303) 273-3000 Toll Free: 1-800-446-9488

## **Table of Contents**

Academic Calendar	.4
<b>University Administration / Useful Contacts</b>	5
Office of Graduate Studies	.5
Student Housing	.5
Financial Aid	.5
International Student Services	.5
INTERLINK Language Center (ESL)	.5
Registrar's Office	.5
Graduate Student Association	.5
Academic Departments & Divisions	.5
General Information	.6
Mission and Goals	.6
History of CSM	.6
Location	.6
Administration	.6

The Graduate School	7	
Unique Programs		
Graduate Degrees Offered		
Accreditation		
Admission to the Graduate School	8	
Admission Requirements	8	
Categories of Admission	8	
Admission Procedure		
Financial Assistance	9	
Application Review Process	9	
Health Record and Additional Steps	9	
International Students	9	
Student Life at CSM	10	
Housing	10	
Student Services	10	
Student Activities	11	
Facilities and Academic Support	14	
Arthur Lakes Library		
Computing and Networking		
Copy Center		
CSM Alumni Association		
Environmental Health and Safety	15	
Green Center		
INTERLINK Language Center (ESL)	15	
LAIS Writing Center	15	
Office of International Programs		
Office of Technology Transfer		
Office of Women in Science, Engineering and	Mathemat	ics (WISEM)
Public Affairs	16	
Research Development	16	
Research Services	16	
Special Programs and Continuing Education (		17
Telecommunications Center	17	
<b>Registration and Tuition Classification</b>	18	
General Registration Requirements		
Research Registration		
Eligibility for Thesis Registration	18	
Graduation Requirements		
Full-time Status - Required Course Load	18 18	
•	18 18	
Full-time Status - Required Course Load Late Registration Fee Leave of Absence	18 18 18 18	
Full-time Status - Required Course Load Late Registration Fee Leave of Absence Reciprocal Registration	18 18 18 18 19	
Full-time Status - Required Course Load Late Registration Fee Leave of Absence Reciprocal Registration In-State Tuition Classification Status	18 18 18 18 19 19	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence         Reciprocal Registration         In-State Tuition Classification Status         Dropping and Adding Courses	18 18 18 18 19 19 19 20	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence         Reciprocal Registration         In-State Tuition Classification Status         Dropping and Adding Courses         Auditing Courses	18 18 18 19 19 19 20 20	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence         Reciprocal Registration         In-State Tuition Classification Status         Dropping and Adding Courses         Auditing Courses         General Regulations	18 18 18 19 19 19 20 20 <b>21</b>	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence         Reciprocal Registration         In-State Tuition Classification Status         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin	18 18 18 19 19 20 20 21	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence.         Reciprocal Registration         In-State Tuition Classification Status.         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin         Curriculum Changes	18 18 18 19 19 20 20 21 21	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence.         Reciprocal Registration         In-State Tuition Classification Status.         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin         Curriculum Changes         General Policies of Student Conduct	18 18 18 19 19 20 20 21 21 21	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence         Reciprocal Registration         In-State Tuition Classification Status         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin         Curriculum Changes         General Policies of Student Conduct         Unsatisfactory Academic Performance	18 18 18 19 19 20 21 21 21 21 21	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence         Reciprocal Registration         In-State Tuition Classification Status         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin         Curriculum Changes         General Policies of Student Conduct         Unsatisfactory Academic Performance         Academic Dishonesty Policy	18 18 18 19 19 20 20 21 21 21 21 21 21	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence         Reciprocal Registration         In-State Tuition Classification Status         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin         Curriculum Changes         General Policies of Student Conduct         Unsatisfactory Academic Performance         Academic Dishonesty Policy         Exceptions and Appeals	18 18 18 19 19 20 21 21 21 21 21 21 21 21 21 21 21	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence         Reciprocal Registration         In-State Tuition Classification Status         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin         Curriculum Changes         General Policies of Student Conduct         Unsatisfactory Academic Performance         Academic Dishonesty Policy         Exceptions and Appeals         Public Access to the Graduate Thesis	18 18 18 19 19 20 21 21 21 21 21 21 21 21 21 21 22 23 23	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence.         Reciprocal Registration         In-State Tuition Classification Status.         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin         Curriculum Changes         General Policies of Student Conduct         Unsatisfactory Academic Performance         Academic Dishonesty Policy         Exceptions and Appeals         Public Access to the Graduate Thesis         Making up Undergraduate Deficiencies	18 18 18 19 19 20 21 21 21 21 21 21 21 21 22 23 23 23	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence.         Reciprocal Registration         In-State Tuition Classification Status.         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin         Curriculum Changes         General Policies of Student Conduct         Unsatisfactory Academic Performance         Academic Dishonesty Policy         Exceptions and Appeals         Public Access to the Graduate Thesis         Making up Undergraduate Deficiencies         Graduate Students in Undergraduate Courses	18 18 18 19 19 20 20 21 21 21 21 21 21 21 22 23 23 24 s24	
Full-time Status - Required Course Load         Late Registration Fee         Leave of Absence.         Reciprocal Registration         In-State Tuition Classification Status.         Dropping and Adding Courses         Auditing Courses         General Regulations         Graduate School Bulletin         Curriculum Changes         General Policies of Student Conduct         Unsatisfactory Academic Performance         Academic Dishonesty Policy         Exceptions and Appeals         Public Access to the Graduate Thesis         Making up Undergraduate Deficiencies	18 18 18 19 19 20 20 21 21 21 21 21 21 21 22 23 23 23 24 s24	

Graduation24	
Withdrawing from School25	
Nondegree Students25	
Veterans' Benefits25	
Grading System25	
Access to Student Records	
Tuition, Fees, Financial Assistance27	
Tuition	
Fees	
Student Fees and Descriptions	
Payments and Refunds	
Graduate Degrees and Requirements	
I. Professional Programs	
II. Combined Undergraduate/Graduate Programs31	
III. Thesis-Based Master's Degree Programs32	
IV. Doctor of Philosophy	00
V. Individualized, Interdisciplinary Graduate Degrees	36
Graduate Degree Programs and Description of Course	ses
Chemical Engineering	
Chemistry and Geochemistry42	
Economics and Business48	
Engineering56	
Environmental Science and Engineering66	
Geochemistry73	
Geology and Geological Engineering78	
Geophysics92	
Liberal Arts and International Studies	
Materials Science107	
Mathematical and Computer Sciences115	
Metallurgical and Materials Engineering121	
Mining Engineering131	
Petroleum Engineering138	
Physics	
Centers and Institutes149	
Directory of the School155	
Policies and Procedures168	
Affirmative Action	
Unlawful Discrimination Policy and Complaint Procedure	168
Sexual Harassment Policy and Complaint Procedure	172
Personal Relationships Policy	172
· · ·	
Index176	

## Academic Calendar

Fall Semester	2002
Confirmation deadline	Aug. 19, Monday
Faculty Conference	Aug. 19, Monday
Classes start (1)	Aug. 20, Tuesday
Graduate Students-last day to register without late fee	Aug. 23, Friday
Labor Day (Classes held)	Sept. 2, Monday
Last day to register, add or drop courses without a "W" (Census Day)	Sept. 4, Wednesday
Fall Break Day	Oct. 14, Monday
Midterm grades due	Oct. 14, Monday
Last day to withdraw from a course-Continuing students/All graduate s	tudents Oct. 29, Tuesday
Priority Registration Spring Semester	Nov. 11-15, Monday-Friday
Thanksgiving Break No	ov. 28–Dec. 1, Thursday–Sunday
Last day to withdraw from a course-New undergraduate students	Nov. 27, Wednesday
Classes end	Dec. 5, Thursday

37

Dead Day	Dec. 6. Friday
Graduating students' lowest possible grades due	
Final exams	
Semester ends	
Midyear Degree Convocation	
Final grades due	
Winter Recess	
Spring Semester	2003
Confirmation deadline	
Classes start (1)	
Grad Students—last day to register without late fee	
Last day to register, add or drop courses without a "W" (Census Day)	
Midterms grades due	
Spring Break	
Last day to withdraw from a course–	April 8, Tuesday
All students except new undergraduates & 2nd semester freshmen	
E-Days	
Priority Registration Field, Summer, Fall Terms	
Last day to withdraw from a course-new undergraduates & 2nd semeste	r freshmenApril 25, Friday
Classes end	
Dead Day	
Graduating students' lower possible grades due	
Final examsMay 3, May 5-	
Semester ends	
Commencement	
Final grades due	
Field/Summer Sessions	2003
First Field Term First Day of Class, Registration (1)	May 12, Monday
Last day to register, add or drop courses without a "W"-Field Term (Ce	
Memorial Day (Holiday-No classes held)	
Last day to withdraw from First Field Term	
First Field Term ends	
Field Term grades due	
Summer School First Day of Class, Registration (1)	June 16, Monday
Last day to register, add or drop courses without a "W"—Summer School (Census Day)	lJune 23, Monday
Independence Day (Holiday—No classes held)	July 4, Friday
Second Field Term begins	
Last day to register, add or drop courses without a "W"-Second Field To	
Last day to withdraw from Summer School	
Last day to withdraw from Second Field Term	
Summer School ends	Aug. 8, Friday
Summer School grades due	
Second Field Term ends	
Second Field Term grades due	•
	• •

(1) Petition for changes in tuition classification due in the Registrar's office for this term.

## **University Administration / Useful Contacts**

## **Office of Graduate Studies**

Mailing address 1500 Illinois Street Golden, CO 80401-1887

 Telephone
 FAX

 303 273-3247
 303 273-3244

 Phillip R. Romig, Jr.
 303-273-3247

Dean of Graduate Studies and Research M. Jane Kelley 303-273-3248 Director of Graduate Affairs jkelley@mines.edu Jeanine Toussaint 303-273-2221 Graduate Recruiting Coordinator jtoussai@mines.edu Linda L. Powell 303-273-3348 Graduate Admissions Officer lpowell@mines.edu Brenda Neely 303-273-3412 Student Services bneely@mines.edu Student Housing 303-273-3353 Bob Francisco Director of Student Life Off-Campus Housing Information (OCHI) 303 273-3827 Financial Aid Roger Koester 303-273-3207 Director of Financial Aid Christina Jensen 303-273-3229 Graduate Student Financial Aid Advisor International Student Services 303-273-3210 Leslie Olsen International Student Advisor INTERLINK Language Center (ESL) 303-273-3558 Daniel Niles Interlink@mines.edu **Registrar's Office** Susan Smith 303-273-3200 Registrar **Graduate Student Association** 303 273-2101 Josh Pearson President Academic Departments & Divisions The address for all CSM academic departments and divisions is 1500 Illinois Street Golden, Colorado 80401-1887 World Wide Web address: http://www.mines.edu/ Academic department and division telephone numbers are Chemical Engineering Chemistry and Geochemistry Economics and Business Engineering

Environmental Science and Engineering
Geology and Geological Engineering
Geophysics
Liberal Arts and International Studies
Materials Science
Mathematical and Computer Sciences
Metallurgical and Materials Engineering
Mining Engineering
Petroleum Engineering
Physics

## **General Information**

## **Mission and Goals**

Colorado School of Mines is a public research university devoted to engineering and applied science related to resources. It is one of the leading institutions in the nation and the world in these areas. It has the highest admission standards of any university in Colorado and among the highest of any public university in the U.S. CSM has dedicated itself to responsible stewardship of the earth and its resources. It is one of a very few institutions in the world having broad expertise in resource exploration, extraction, production and utilization which can be brought to bear on the world's pressing resource-related environmental problems. As such, it occupies a unique position among the world's institutions of higher education.

The school's role and mission has remained constant and is written in the Colorado statutes as: *The Colorado* School of Mines shall be a specialized baccalaureate and graduate research institution with high admission standards. The Colorado School of Mines shall have a unique mission in energy, mineral, and materials science and engineering and associated engineering and science fields. The school shall be the primary institution of higher education offering energy, mineral and materials science and mineral engineering degrees at both the graduate and undergraduate levels. (Colorado revised Statutes, Section 23-41-105)

Throughout the school's 126 year history, the translation of its mission into educational programs has been influenced by the needs of society. Those needs are now focused more clearly than ever before. We believe that the world faces a crisis in balancing resource availability with environmental protection and that CSM and its programs are central to the solution to that crisis. Therefore the school's mission is elaborated upon as follows:

Colorado School of Mines is dedicated to educating students and professionals in the applied sciences, engineering, and associated fields related to

- ◆ the discovery and recovery of the Earth's resources,
- ◆ their conversion to materials and energy,
- ◆ their utilization in advanced processes and products, and
- the economic and social systems necessary to ensure their prudent and provident use in a sustainable global society.

This mission will be achieved by the creation, integration, and exchange of knowledge in engineering, the natural sciences, the social sciences, the humanities, business and their union to create processes and products to enhance the quality of life of the world's inhabitants.

The Colorado School of Mines is consequently committed to serving the people of Colorado, the nation, and the global community by promoting stewardship of the Earth upon which all life and development depend. (Colorado School of Mines Board of Trustees, 2000)

## **History of CSM**

In 1865, only six years after gold and silver were discovered in the Colorado Territory, the fledgling mining industry was in trouble. The nuggets had been picked out of streams and the rich veins had been worked, and new methods of exploration, mining, and recovery were needed.

Early pioneers like W.A.H. Loveland, E.L. Berthoud, Arthur Lakes, George West and Episcopal Bishop George M. Randall proposed a school of mines. In 1874 the Territorial Legislature appropriated \$5,000 and commissioned Loveland and a Board of Trustees to found the Territorial School of Mines in or near Golden. Governor Routt signed the Bill on February 9, 1874, and when Colorado became a state in 1876, the Colorado School of Mines was constitutionally established. The first diploma was awarded in 1882.

As CSM grew, its mission expanded from the rather narrow initial focus on nonfuel minerals to programs in petroleum production and refining as well. Recently it has added programs in materials science and engineering, energy and environmental engineering, and a broad range of other engineering and applied science disciplines. CSM sees its mission as education and research in engineering and applied science with a special focus on the earth science disciplines in the context of responsible stewardship of the earth and its resources.

CSM long has had an international reputation. Students have come from nearly every nation, and alumni can be found in every corner of the globe.

For many years the student body was predominantly white male, reflecting the industries CSM served. It gave one of the early engineering degrees for women to Florence Caldwell in 1897, but in many subsequent years there were no female students. Strong recruiting efforts and the opening up of traditionally white male industries have changed the demographics, so that today approximately 23% of the overall student body are women and 13% of the undergraduates are underrepresented minorities.

## Location

Golden, Colorado, has always been the home of CSM. Located in the foothills of the Rocky Mountains 20 minutes west of Denver, this community of 15,000 also serves as home to the Coors Brewing Company, the National Renewable Energy Laboratory, and a major U.S. Geological Survey facility that also contains the National Earthquake Center. The seat of government for Jefferson County, Golden once served as the territorial capital of Colorado. Skiing is an hour away to the west.

## Administration

By state statute, the school is managed by a seven-member board of trustees appointed by the governor, and the student body elects a nonvoting student board member each year. The school is supported financially by student tuition and fees and by the state through annual appropriations. These funds are augmented by government and privately sponsored research, and private gift support from alumni, corporations, foundations and other friends.

## Unique Programs

Because of its special focus, Colorado School of Mines has unique programs in many fields. For example, CSM is the only institution in the world that offers doctoral programs in all five of the major earth science disciplines: Geology and Geological Engineering, Geophysics, Geochemistry, Mining Engineering, and Petroleum Engineering. It also has one of the few Metallurgical and Materials Engineering programs in the country that still focuses on the complete materials cycle from mineral processing to finished advanced materials.

In addition to the traditional programs defining the institutional focus, CSM is pioneering both undergraduate and graduate interdisciplinary programs. The School understands that solutions to the complex problems involving global processes and quality of life issues require cooperation among scientists, engineers, economists, and the humanities.

CSM offers interdisciplinary programs in areas such as materials science, environmental science and engineering, management and public policy, engineering systems, and geochemistry. These programs make interdisciplinary connections between traditional fields of engineering, physical science and social science, emphasizing a broad exposure to fundamental principles while cross-linking information from traditional disciplines to create the insight needed for breakthroughs in the solution of modern problems.

To provide flexibility in meeting new challenges, CSM also provides students the opportunity to develop individualized, interdisciplinary graduate research programs at both the Master and PhD level. This program allows students to earn degrees which have two of the titles shown in the adjacent box. When the need arrises, CSM also offers interdisciplinary, non-thesis Professional Master degrees to meet the career needs of working professionals in CSM's focus areas.

Coordinated by the several departments involved, these interdisciplinary programs contribute to CSM's leadership

role in addressing the problems and developing solutions that will enhance the quality of life for all of earth's inhabitants in the next century.

Discipline	M.S.	M.E.	Ph.D.
Chemical & Petroleum Refining Engineering	1	1	1
Chemistry	1		
Applied Chemistry			✓
Engineering Systems	1	1	1
Engineering & Technology Management	1		
Environmental Science & Engineering	1		1
Geochemistry	1		1
Geological Engineer		1	
Geology	1		✓
Geological Engineering	1		1
Geophysical Engineer		1	
Geophysical Engineering	1		✓
Geophysics	1		1
Materials Science	1		✓
Mathematical & Computer Science	1		✓
Metallurgical & Materials Engineering	1	1	✓
Mineral Economics	1		✓
Engineer of Mines		1	
Mining & Earth Systems Engineering	1		✓
Petroleum Engineer			✓
Petroleum Engineering	1		✓
Physics	1		
Applied Physics			✓

## The Graduate School

## **Graduate Degrees Offered**

CSM offers the master of science (M.S.), master of engineering (M.E.) and doctor of philosophy (Ph.D.) degrees in the disciplines listed in the chart at right.

Professional Degrees offered are in Geological Engineering, Engineering Geology, Hydrogeology, Exploration Geosciences, Geophysics, and Geophysical Engineer.

The Division of Liberal Arts and International Studies offers two graduate certificate programs with specialization in International Political Economy (IPE) and International Political Economy of Resources (IPER).

## Accreditation

Colorado School of Mines is accredited through the level of the doctoral degree by the Higher Learning Commission and is a member of the North Central Association of Colleges and Schools. The Engineering Accreditation Commission of the Accreditation Board for Engineering and Technology, 111 Market Place, Suite 1050, Baltimore, MD 21202-4012 – telephone (410) 347-7700, accredits undergraduate degree programs in chemical engineering, engineering physics, geological engineering, geophysical engineering, metallurgical and materials engineering, mining engineering and petroleum engineering. The American Chemical Society has approved the degree program in the Department of Chemistry and Geochemistry.

## Admission to the Graduate School

## Admission Requirements

The Graduate School of Colorado School of Mines is open to graduates from four-year programs at recognized colleges or universities. Admission to all M.E./M.S., and Ph.D. programs is competitive, based on an evaluation of undergraduate performance, test scores and references. The undergraduate background of each applicant is evaluated according to the requirements of each department outlined later in this section of the Bulletin. Except in the case of approved combined B.S./M.S. programs, a student may not be a candidate for a graduate and an undergraduate degree at the same time.

## Categories of Admission

There are three categories of admission to graduate studies at Colorado School of Mines: regular, provisional, and special graduate nondegree.

## Regular Degree Students:

Applicants who meet all the necessary qualifications as determined by the program to which they have applied are admitted as regular graduate students.

### Provisional Degree Students:

Applicants who are not qualified to enter the regular degree program directly may be admitted as provisional degree students for a trial period not longer than 12 months. During this period students must demonstrate their ability to work for an advanced degree. After the first semester, the student may request that the department review his or her progress and make a decision concerning full degree status. With department approval, the credits earned under the provisional status can be applied towards the advanced degree.

#### International Special Graduate Students:

Applicants who wish to study as non-degree students for one or two semesters may apply for Special Graduate status. Special Graduate student status is available to a limited number of applicants from abroad. All such students who attend class or audit courses at Colorado School of Mines must register and pay the appropriate nonresident tuition and fees for the credits taken.

#### Nondegree Students:

Practicing professionals may wish to update their professional knowledge or broaden their areas of competence without committing themselves to a degree program. They may enroll for regular courses as nondegree students. Inquiries and applications should be made to the Registrar's Office, CSM, Golden, CO 80401-0028. Phone: 303-273-3200; FAX 303-384-2253. A person admitted as a nondegree student who subsequently decides to pursue a regular degree program must apply and gain admission to the Graduate School. Credits earned as a nondegree student may be transferred into the regular degree program if the student's graduate committee and department head approve.

## Combined Undergraduate/Graduate Programs

Several degree programs offer CSM undergraduate students the opportunity to begin work on a Graduate Certificate, Professional Degree, or Master's Degree while completing the requirements for their Bachelor's Degree. These programs can give students a head start on graduate education. An overview of these combined programs and description of the admission process and requirements are found in the Graduate Degrees and Requirements section of this *Bulletin*.

## Admission Procedure

#### Applying for Admission

Apply electronically for admission on the World Wide Web. Our Web address is

http://www.mines.edu/Admiss/grad

Follow the procedure outlined below.

1. Application: Locate an online application form at www.mines.edu/Admiss/grad. You may obtain a paper copy of the application by contacting 303-273-3247 or grad-app@Mines.edu. Suggested admission deadlines are fall semester, December 1; spring semester, August 1.

2. Transcripts: Send to the Graduate School two official transcripts from each school previously attended. The transcripts may accompany the application or may be sent directly by the institution attended. International students' transcripts must be in English or have an official English translation attached.

3. Letters of Recommendation: For the M.S. and Ph.D. programs, ask three people who know your personal qualities and scholastic or professional abilities to mail a letter of recommendation directly to the Graduate School. At least two of the letters should be from people acquainted with the scholastic abilities of the applicant. The number of letters of recommendation varies by program; applicants should see the application packet for specific instructions.

4. Graduate Record Examination: Most departments require the General test of the Graduate Record Examination for applicants seeking admission to their programs. Refer to the section Graduate Degree Programs and Courses by Department or the Graduate School application packet to find out if you must take the GRE examination. For

information about the test, write to Graduate Record Examinations, Educational Testing Service, PO Box 6000, Princeton, NJ 08541-6000 (Telephone 609-771-7670), or visit online at www.gre.org.

5. English Language Requirement: Students whose native language is not English must score at least 550 on the TOEFL examination (Test of English as a Foreign Language) or 213 on the computer-based examination and have the results sent to the Graduate School. Contact local American embassies or write to TOEFL Services, Educational Testing Service, P.O. Box 6151, Princeton, NJ 08541-6151, USA, (Telephone 609-771-7100) for information about the TOEFL examination. You may also visit online at www.toefl.org. If a TOEFL exam score indicates that the applicant will be handicapped academically, as a condition for admission the applicant may be required to enroll in the INTERLINK Language program at CSM until the required proficiency is achieved.

The INTERLINK Language program offers intensive English language instruction and skills development for academic success. See the detailed description of INTERLINK on page 15 of this catalog.

6. Additional instructions for admission to graduate school specific to individual departments are contained in the application for admission.

## **Financial Assistance**

To apply for CSM financial assistance, check the box in the Financial Information section of the online graduate application or complete the Financial Assistance section on the paper application.

## Application Review Process

When the application materials are received by Graduate Admissions, they are processed and sent to the desired program for review. The program transmits its recommendations for admission back to the Graduate Dean, who notifies the applicant.

## Health Record and Additional Steps

When students first enroll at CSM, they must complete the student health record form which is sent to them when they are accepted for enrollment. Students must submit the student health record, including health history, medical examination, and record of immunization, in order to complete registration.

Questions can be addressed to the Coulter Student Health Center, 1225 17th Street, Golden, CO 80401-1869. The Health Center telephone numbers are 303-273-3381 and 303-279-3155.

## International Students

Qualifying international students (see Admission Requirements above) apply for graduate study by following steps one through six listed in this section.

## Student Life at CSM

## Housing

CSM currently has two student housing complexes: Prospector Village and Mines Park.

### Prospector Village

The Prospector Village complex on the west edge of the campus has 69 two-bedroom apartment units. All units have two levels, with the bedrooms and bathroom on the upper level and a kitchen and living area on the lower level. Residents of the complex must be enrolled full time both fall and spring, either be married, or have custody of their children at least 50% of the time.

Monthly rents for Prospector Village units are

\$600.00 for a 2-bedroom unit.

## Mines Park

The Mines Park apartment complex is located west of the 6th Avenue and 19th Street intersection on 55 acres owned by CSM. Construction completed in 1998 offers 1 & 2 bedroom units in family housing and 1, 2, & 3 bedroom units in other areas. Principle residents must be full time students.

Units are complete with refrigerators, stoves, dishwashers, cable television and campus phone hook-ups, and T-1 connections to the campus network system. There is a community center which contains the laundry facility and recreational/study space.

Rates are as follows:

Family Housing

1 bedroom \$589/mo

2 bedroom	\$673/mo
Apartment Housing	

1 bedroom	\$589/mo
2 bedroom	\$792/mo
3 bedroom	\$1053/mo

For an application to any of the campus housing options, please contact the housing office at (303) 273-3350 or visit them in the Ben Parker Student Center.

### Campus Residence Halls

Four residence halls located on campus have the traditional double rooms and common bathrooms, and our fifth Residence Hall, Weaver Towers, has suites for seven to eight people with two private bathrooms and a common living room.

Residence hall rooms are rented by academic year; costs range from \$3,020 for a traditional double room to \$4,055 for a single in Weaver Towers. All students in residence halls must also choose a dining hall meal plan. Meal plans range from \$2,583 to \$2,815 per year.

#### **Off-Campus Rooms and Apartments**

Golden has a number of apartment and condominium complexes, and some students live in rooms in private homes. CSM has no part in contractual obligations between students and private landlords.

A publication called The Off-Campus Housing Information (OCHI), produced by the CSM housing office, has lists, numbers, and general information about off-campus housing. Students can call 303-273-3827 or write to OCHI to get a copy of the list.

OCHI CSM Student Life Office Golden, Colorado 80401

## Student Services

## Ben H. Parker Student Center

The Ben H. Parker Student Center has a dining hall, meeting rooms, offices for student activities, a bookstore, a game room, and the Integral Club lounge and snack bar. Several dining hall meal plans for the cafeteria are available for all students.

Student Center remodeling and additions were completed in 1996 and 2001. The new additions house more meeting rooms, a food court, and the Admissions, Financial Aid and Registrar's Offices, Career Services, International Student Services, the Cashier's Office, and Student Development and Academic Support Services.

#### Office for Student Development and Academic Services

Counseling: The SDAS Office, located in the Student Center, offers personal and career counseling, a 300-volume resource library, skills development, and wellness-related materials. Students can find individual help and group presentations, presented by professional counselors on topics such as stress management, relaxation, assertiveness, time management, and alcohol/drug education.

Academic Services: Individual sessions for graduate students are available through SDAS. Topics include effective studying and preparation for qualifying exams, memory skills, rapid reading of technical material, and learning styles. Graduate students are welcome to avail themselves of other services offered by SDAS, such as free tutoring or weekly workshops in introductory calculus, chemistry, or physics.

### International Student Services

The International Student Office advises international students, coordinates the Host Family Program, and holds orientation programs for new foreign students at the beginning of each semester. The international student advisor processes student visas and work permits.

For more information, call the International Student Services office at 303-273-3210 or FAX 303-273-3099.

### Identification Cards

Identification cards are made in the Student Activities Office in the Parker Student Center, and all new students must have an identification card made as soon as possible after they enroll. Students must have a valid ID to check material out of the CSM Library and may need it to attend various CSM activities.

Each semester the Student Activities Office issues validation stickers for student ID's, and students can replace lost, stolen, or damaged identification cards for a small fee.

#### Student Health Center

The Student Health Center, located at 17th and Elm, provides primary health care to CSM students and their spouses. Students pay a \$45 fee each semester which entitles them to unlimited visits with a physician or nurse as well

as limited prescription and over-the-counter medications. Spouses of enrolled students may also pay the fee and receive the same services. The health center also provides dental services, wellness education, immunizations, allergy shots, flu shots, nutrition counseling and information regarding a wide range of health concerns. Staff members are also available to provide health-promotion events for students groups and residence hall program.

The Student Health Center is open Monday through Friday 8-12 and 1-4:45 P.M. It is staffed by RN's throughout the day. Physician's coverage is provided by family practice physicians who are on site for two hours daily and on-call at all times. Dental services are also provided on a scheduled basis. To be eligible for care, students must be enrolled currently; have paid the Health Center fee if they are part time and have a completed Health History Form on file at the Health Center.

Supervised by Vice President and Dean of Student Life. Phone: (303) 273-3381; FAX: (303) 279-3155.

#### Mandatory Health Insurance

Colorado School of Mines requires health insurance as a condition of enrollment for all CSM students, regardless of full-time or part-time status. For students without health insurance coverage, the School offers an insurance plan. Additional coverage for spouses and children is also available.

All international students are, however, required to enroll in the CSM Plan, regardless of the existence of their own personal health coverage. There are two exceptions to this requirement: (1) the international student has an insurance policy approved by the CSM International Student Office; or (2) the international student is receiving benefits for a health insurance claim that would otherwise be pre-existing under the CSM Plan. Additional coverage for spouses and children is also available.

NOTE: The Coulter Student Health Center fee and required health insurance are two separate programs.

#### Motor Vehicles, Parking

All motor vehicles on campus must be registered with the campus Department of Public Safety, 1812 Illinois Street, and must display the CSM permit. Vehicles must be registered at the beginning of each semester or within 10 days of bringing the vehicle onto campus, and updated whenever you change your address.

#### Career Center

The Career Center helps graduate students look for employment. Each year industry and government representatives visit the campus to interview students and explain employment opportunities. Fall is the major recruiting season for both summer and permanent positions, but interviews take place in the spring as well. In order to interview, students must register with the Career Center by submitting copies of a résumé and completing a registration and permission form.

A 'Career Manual' is available to help in résumé writing, interviewing, and off-campus job searches, and students can get individual critiques of résumés and letters and job search advice. Directories and other search materials from the Career Center library can be checked out, many workshops are offered throughout the year on job search topics, and video-taped practice interviews are available.

Each fall the Career Center sponsors a Career Day to let students explore career options with exhibiting employers.

Information on full-time, part-time, summer and CO-OP jobs is posted in the Career Center as well as on bulletin boards around campus. Registered students are often referred directly to employers. For information phone: 303-273-3235.

## Oredigger Student Newspaper

The Oredigger student newspaper, published on a regular basis during the school year, contains news, features, sports, letters, and editorials of interest to students, faculty, and the Golden community.

### Veterans' Benefits

The Registrar's Office offers veterans counseling services for students attending the School and using educational benefits from the Veterans Administration.

## **Student Activities**

Student government committees, professional societies, living group organizations, special events, honor societies, and interest group organizations add a balance to the CSM community and offer participants the chance to develop leadership and management skills. The Student Activities office can give you an up-to-date list of recognized campus organizations and more information about them.

#### Student Government

The Graduate Student Association was formed in 1991 and is recognized by CSM and the National Association of Graduate-Professional Students (NSGPS). GSA's primary goal is to improve the quality of a graduate education, offer academic support for graduate students, and provide social interaction.

GSA takes an active role in university affairs and promotes the rights and responsibilities of graduate students. GSA also serves to develop university responsibility to non-academic concerns of graduate students. GSA is funded through

and works with Associated Students of the Colorado School of Mines and is presently represented on the Faculty Senate Graduate Council and Associated Students of CSM. Phone: 303-273-3094.

The Associated Students of the Colorado School of Mines works to advance the interest and promote the welfare of CSM and of all students, and to foster and maintain harmony among those connected with or interested in the school, including students, alumni, faculty, trustees, and friends.

Through funds collected as student fees, ASCSM strives to ensure a full social and academic life for all students with its organizations, publications, and social events.

The Mines Activity Council (MAC) serves the ASCSM as the campus special events board. Most student events on campus are planned by the MAC committees. Committees are the Friday Afternoon Club (FAC) committee, which brings comedians and other performers to campus on most Fridays in the academic year; the Special Events committee, which coordinates events like the annual Back-to-School Bash, Discount Sport Nights at professional sporting events, and one-time specialty entertainment; the E-Days committee; and the Homecoming committee.

## Special Events

Research Fair: GSA presently co-sponsors a graduate paper competition with Sigma XI during CSM's spring semester Engineering Days (E-Days). The fair is designed to give graduate students the opportunity to make a presentation in a professional conference setting about research they have been working on. At the conclusion of the event, cash prizes are awarded to graduate students whose papers exhibit outstanding contributions to their areas of study.

International Day is planned and conducted by the International Student Organization. It includes exhibits and programs designed to further the cause of understanding among the countries of the world. The international dinner, including entertainment and samples of foods from countries all over the world, is one of the top campus social events of the year.

Winter Carnival, sponsored by Blue Key, is an all-school ski day held each year at one of the nearby ski slopes.

Homecoming weekend is one of the high points of the entire year's activities. Events include a football rally and game, campus decorations, election of Homecoming queen and beast, parade, burro race, and other contests.

Engineer Days are held each spring. The three-day affair is organized entirely by students. Contests are held in drilling, hand-spiking, mucking, oil-field olympics, and softball, to name a few. Additional events include a fireworks display, an E-Day concert, and the traditional orecart push.

GSA Fall and Spring Blowout: GSA sponsors parties twice a year for graduate students. Held in the late spring and early fall at local parks, they let graduate students take a break from studying.

#### Honor Societies

Honor societies recognize the outstanding achievements of their members in scholarship, leadership, and service. Each of the CSM honor societies recognizes different achievements by our students. The Colorado School of Mines honor societies, and their representative areas, are as follows:

Alpha Phi Omega	Service
Alpha Sigma Mu	Metals
Blue Key	Service, Scholarship, Activities
Kappa Kappa Psi	Band
Kappa Mu Epsilon	Mathematics
National Society of I	Pershing Rifles Military Science
Order of Omega	Greek Scholarship
Pi Epsilon Tau	Petroleum Engineering
Sigma Pi Sigma	Physics
Tau Beta Pi	Engineering

#### Interest Organizations

Interest organizations meet the special and unique needs of the CSM student body by providing specific cocurricular activities. These organizations are:

Association of Geoscience Students (AGS) Band Campus Crusade for Christ College Republicans Chorus CSM Ambassadors Earthworks Fellowship of Christian Athletes Hawaii Club Math Club Mines Little Theatre Non-Traditional Students Students for Creative Anachronism Young Democrats

#### International & Minority Organizations

International and minority organizations provide the opportunity to experience different cultures while at Mines and help the students from those cultures adjust to Mines campus life. These organizations include

Afro-Caribbean Students Union Chinese Student Association International Student Organization (ISO) Japanese Student Association (JSA) Muslim Student Association (MSA) Taiwanese Student Association American Indians in Science & Engineering (AISES) Asian Student Association (ASA) National Society of Black Engineers (NSBE) Hispanic Professional Engineers & Scientists (SHPES)

#### **Professional Societies**

Professional societies are generally student chapters of the national professional societies. As student chapters, the professional societies offer a chance for additional professional development outside the classroom through guest speakers, trips, and interactive discussions about the current activities in the profession. Many of the organizations also offer internships, fellowships, and scholarships. The Colorado School of Mines chapters are as follows:

American Association of Drilling Engineers (AADE) American Association of Petroleum Geologists (AAPG) American Institute of Chemical Engineers (AIChE) American Institute of Mining, Metallurgical & Petroleum Engineers (AIME) American Ceramic Society (Am. Cer. Soc.) American Chemical Society (ACS) American Society of Civil Engineers (ASCE) American Society of Metals (ASM International) American Society of Mechanical Engineers (ASME) American Welding Society Association of Engineering Geologists (AEG) Association of General Contractors (AGC) Institute of Electrical & Electronic Engineers (IEEE) International Society for Measurement and Control (ISA) Society of American Military Engineers (SAME) Society of Automotive Engineers (SAE) Society of Economic Geologists (SEG) Society of Mining Engineers (SME) Society of Petroleum Engineers (SPE) Society of Physics Students (SPS) Society of Graduate Geophysics Students (SGGS) Society of Women Engineers (SWE) The Minerals, Metals & Materials Society of AIME Recreational Organizations

Recreational organizations give students with similar recreational interests the chance to participate as a group in the activities. Most of the recreational organizations compete on both the local and regional levels at tournaments during the school year. These clubs are:

Billiards Club Caving Club Cheerleading Kayak Club Racquetball Club Rugby Club Shooting Club Ski Club/Team Men's Volleyball Women's Soccer BMOC (Big Men on Campus)

## **Facilities and Academic Support**

## Arthur Lakes Library

Arthur Lakes Library is a regional information center for engineering, energy, minerals and materials science, and associated engineering and science fields. The library provides educational and research resources to support and enhance the academic mission of CSM. The library staff is committed to excellence in supporting the information needs of the CSM community and providing access to information for library users.

The library collections include more than 500,000 volumes; approximately 1800 serial titles; over 188,000 maps; archival materials on western mining history and mineral fields; and several special collections. The library is a selective U.S. and Colorado state depository with over 600,000 government publications, including selected NTIS publications.

Access to CSM collections is provided by Catalyst, the on-line public access catalog and a computerized circulation system. Students and faculty also have access to nearly all of the library's electronic resources from any computer on the campus network, including those in networked CSM residential facilities. Dial-up and Internet access is also available from on and off-campus. The library's web page at http://www.mines.edu/library/ has more information and links to the electronic resources.

Reference resources include specialized printed indexes and several hundred electronic databases. Reference librarians provide instruction and personal help as needed, conduct library research sessions for classes, and provide e-mail and telephone reference service and computer-aided research services.

In addition to material that can be checked out from the CSM library and other associated Colorado libraries, interlibrary loan service provides for efficient use of materials from regional and world-wide libraries.

## Computing and Networking

The Computing Center, which is housed on the second floor of the Green Center, provides computing and networking services to meet instructional and research needs and to support the academic mission of the Colorado School of Mines. Computer accounts and services are available to registered students and current faculty members and staff.

Information about services including activation of new accounts and the hours during which the Computing Center is open is available in a brochure which may be picked up at the Front Desk in Room 231 (303-273-3431) and on the Computing Center's web page at http://www.mines.edu-Academic/computer/. Problem reports can be made at the Front Desk or emailed to support@mines.edu.

The campus network provides access to campus computing resources and to the Internet, including email and the World Wide Web. Centrally managed resources include Unix systems which are available 24 hours per day except for occasional maintenance.

Workrooms in the Computing Center contain networked PCs and workstations. Also available are printers, scanners, and digitizers. Academic departments which support specialized applications manage access to computer labs in their buildings. The Arthur Lakes Library has a computer cluster on the main floor of the building. Network access is also provided in residence halls and Mines Park for students who bring their own computers to campus and modem pools provide access to the network for off-campus residents.

It is important for all users of the Colorado School of Mines computing resources to observe the CSM Policies for Resource Usage (available on the web page or at the Front Desk) and all legal and ethical guidelines for use of those services.

## **Copy Center**

Located on the first floor of Guggenheim Hall, the Copy Center offers on-line binding, printed tabs, and halftones. Printing can be done on all paper sizes from odd-sized originals. Some of the other services offered are GBC and Velo Binding, folding, sorting and collating, reduction and enlargement, two sided copying, and color copying. We have a variety of paper colors, special resume paper and CSM watermark for thesis copying. These services are available to students, faculty, and staff. The Copy Center campus extension is 3202.

## **CSM** Alumni Association

(CSMAA) The Mines Alumni Association has served the Colorado School of Mines and its alumni since 1895. Services and benefits of membership include:

*Mines*, a quarterly publication covering campus and alumni news; an annual directory of all Mines alumni; career counseling and on-line job listings; section activities providing a connection to the campus and other Mines alumni around the world for both social and networking purposes; connections to Mines through invitations to local and annual alumni meetings, reunions, golf tournaments and other special events; awards, including the opportunity to nominate fellow alumni and be nominated yourself; CSM library privileges to Colorado residents; and e-mail forwarding services.

Benefits for the Colorado School of Mines and current students are student grants; the Student Financial Assistance Program; recognition banquets for graduating seniors/graduate students; assistance and support of School events such as Homecoming; alumni volunteer assistance in student recruiting; and programs enabling alumni input in school programming.

For further information, call 303 273-3295, FAX 303 273-3583, e-mail csmaa@mines.edu, or write Mines Alumni Association, 1600 Arapahoe Street, P.O. Box 1410, Golden, CO 80402-1410.

## Environmental Health and Safety

The Environmental Health and Safety (EHS) Department is located in Chauvenet Hall. Five full-time employees in the EHS Department provide a wide variety of services to students, staff and faculty members. Functions of the EHS Department include: hazardous waste collection and disposal; chemical procurement and distribution; assessment of air and water quality; fire safety; general industrial safety; industrial hygiene; health physics; and recycling. The staff of the EHS Department is ready to respond to requests for information and services from parents and students. Please call 303 273-3316.

## **Green Center**

Completed in 1971, the Cecil H. and Ida Green Graduate and Professional Center is named in honor of Dr. and Mrs. Green, major contributors to the funding of the building.

Bunker Memorial Auditorium, which seats 1,386, has a large stage that may be used for lectures, concerts, drama productions, or for any occasion when a large attendance is expected.

Friedhoff Hall contains a dance floor and an informal stage. Approximately 700 persons can be accommodated at tables for banquets or dinners. Auditorium seating can be arranged for up to 550 people.

Petroleum Hall and Metals Hall are lecture rooms seating 125 and 330, respectively. Each room has audio visual equipment. In addition, the Green Center houses the modern Computing Center and the Department of Geophysics.

## INTERLINK Language Center (ESL)

The INTERLINK Language program at CSM combines intensive English language instruction (ESL) with academic training and cultural orientation. Designed for international students planning to attend CSM or other American universities, the program prepares students for a successful transition to academic work. The curriculum focuses on individual student needs and utilizes hands-on, experiential learning. A special emphasis on English for Engineering and Technology is especially beneficial to prospective CSM students.

Instruction is offered in nine-week sessions at live levels of proficiency. Upon completion of the program, students should be ready for the rigorous demands of undergraduate or graduate study. Successful completion of the program may entitle qualified students to begin their academic studies without a TOEFL score.

The program is open to adults who have completed secondary school in good standing (grade point average of C+ or above) and are able to meet their educational and living expenses. Spouses of CSM students are welcome to apply for admission. For further information contact INTERLINK Language Center (ESL) at

INTERLINK Language Center (ESL) Colorado School of Mines, Golden, CO 30401 http://www.eslus.com http://www.mines.edu/Outreach/interlink Tele: 303-273-3516 Fax; 303-273-3529 Email: interlinkcsm@mines.edu

## LAIS Writing Center

The LAIS Writing Center, located in room 311 Stratton Hall (phone: 303 273-3085), is a teaching facility providing all CSM students, faculty, and staff with an opportunity to enhance their writing abilities. The LAIS Writing Center faculty are experienced technical writers and professional writing instructors. The Center assists students with

everything from course assignments to scholarship and job applications. This service is free to CSM students, faculty, and staff and entails one-to-one tutoring and online resources.

## Office of International Programs

The Office of International Programs (OIP) fosters and facilitates international education, research and outreach at CSM. OIP is administered by the Office of Academic Affairs.

The office works with the departments and divisions of the School to: (1) help develop and facilitate study abroad opportunities for CSM undergraduates and serve as an informational and advising resource for them; (2) assist in attracting new international students to CSM; (3) serve as an information resource for faculty and scholars of the CSM community, promoting faculty exchanges and the pursuit of collaborative international research activities; (4) foster international outreach and technology transfer programs; (5) facilitate arrangements for official international visitors to CSM; and (6) in general, help promote the internationalization of CSM's curricular programs and activities.

OIP is located in 109 Stratton Hall. For more specific information about study abroad and other international programs, contact OIP at 384-2121 or visit the OIP web page.

## Office of Technology Transfer

The purpose of the Office of Technology Transfer (OTT) is to reward innovation and entrepreneurial activity by students, faculty and staff, recognize the value and preserve ownership of CSM's intellectual property, and contribute to Colorado's and the nation's economic growth. OTT reports directly to the CSM president, and the office works closely with the Dean of Graduate Studies and Research and the School's Office of Legal Services to coordinate activities. Through its internal technical review team and external business communications board, OTT strives to:

- Initiate and stimulate entrepreneurship and development of mechanisms for effective investment of CSM's intellectual capital;
- (2) Secure CSM's intellectual properties generated by faculty, students, and staff;
- (3) Contribute to the economic growth of the community, state, and nation through facilitating technology transfer to the commercial sector;
- (4) Retain and motivate faculty by rewarding entrepreneurship;
- (5) Utilize OTT opportunities to advance high-quality faculty and students;
- (6) Generate a new source of revenue for CSM to expand the school's quality research and education.

## Office of Women in Science, Engineering and Mathematics (WISEM)

The mission of WISEM is to enhance opportunities for women in science and engineering careers, to increase retention of women at CSM, and to promote equity and diversity in higher education. The office sponsors programs and services for the CSM community regarding gender and equity issues. For further information, contact: Debra K. Lasich, Executive Director of Women in Science, Engineering and Mathematics, Colorado School of Mines, 1500 Illinois, Golden, CO 80401-1869, or call (303) 273-3097; dlasich@mines.edu or www.mines.edu/Academic/affairs/wisem

## Public Affairs

The Office of Public Affairs is a strategic resource for the School, advising the administration and the academic departments on marketing and communications issues.

The department also has responsibility for media relations and numerous official campus publications, including:

- Mines, a quarterly magazine featuring campus and alumni news published jointly by CSM and the CSM Alumni Association
- Update, a research newsletter published each semester and once during the summer
- Undergraduate and graduate bulletins, published each summer
- Midyear and spring commencement programs

Academic Calendar, published on the Web

- In the Mines Tradition, a pocket guide to the campus, published each fall
- Campus in Brief, a weekly email newsletter for faculty and staff.

To ensure quality and consistency, all publications produced on campus are required to adhere to official campus publications guidelines, which can be found on the Public Affairs Web pages at www.mines.edu/All\_about/public. The guidelines contain a list of vendors that departments may use for publications services, such as writing, editing, design, photography, production, printing and distribution.

Also included on the Public Affairs Web pages are the Experts Database and official CSM press releases.

In other areas, the Office of Public Affairs plans special events for the campus and maintains media and community relations. The CSM president has delegated to Public Affairs the responsibility of speaking for the institution in the

day-to-day conduct of business.

Through committee participation, Public Affairs staff members provide expertise to the campus in the areas of the World Wide Web site, student publications, and emergency response and crisis communications.

In addition, the Director of Public Affairs co-chairs the campus World Wide Committee and is a member of the Emergency Planning core group. The Director and Public Affairs staff members serve as official campus spokespersons in the day-to-day conduct of business, as well as in the event of a crisis.

For more information, call 303-273-3326.

## **Research Development**

Under the direction of the Dean of Graduate Studies and Research, the Office of Research Development (ORD) is responsible for nurturing and expanding CSM's research experience and expertise to reflect the continually changing internal and external environment in which we live and work.

The office teams with the Office of Research Services (ORS) and the Office of Technology Transfer (OTT) in developing and implementing training programs for faculty, student, and staff development, as well as providing preand post-award support for individual researchers, at all levels, junior through senior, group and interdisciplinary research entities. The ORD also helps identify, provides information to, and encourages collaboration with external sponsors, including industry, state and federal governments, other academic institutions, and nonprofit entities.

As part of this role, ORD also will help obtain start-up support and equipment matching funds for new initiatives.

## **Research Services**

The Office of Research Services (ORS), under the Vice President for Finance and Operations, provides administrative support in proposal preparation, contract and grant administration, both negotiation and set-up, and close out of expired agreements. Information on any of these areas of research and specific forms can be accessed on our web site at www.csmis5.mines.edu/ors.

## Special Programs and Continuing Education (SPACE)

The SPACE Office offers short courses, special programs, and professional outreach programs to practicing engineers and other working professionals. Short courses, offered both on the CSM campus and throughout the US, provide concentrated instruction in specialized areas and are taught by faculty members, adjuncts, and other experienced professionals. The Office offers a broad array of programming for K-12 teachers and students through its Teacher Enhancement Program, *the Denver Earth Science Project*, the *National Science Academy*, and *Summer Investigations for Middle/High Schoolers*. The Office also coordinates educational programs for international corporations and governments through the *International Institute for Professional Advancement* and hosts the Mine Safety and Health Training Program. The SPACE Office also offers a variety of web-based distance delivery courses for off-campus audiences through *Mines On-line*. A separate bulletin lists the educational programs offered by the SPACE Office, CSM, 1600 Arapahoe St., Golden, CO 80401. Phone: 303 273-3321; FAX 303 273-3314; email space@mines.edu; website www.mines.edu/Outreach/Cont\_Ed.

## Telecommunications

The Telecommunications Office is located at the west end of the Plant Facilities building, and provides telephone and voicemail services to the Campus, Residence Halls, Sigma Nu house, Fiji house, and the Mines Park housing areas. The Telecommunications Office also maintains a CSM Campus Directory in conjunction with the Information Services department available anytime to faculty, staff, and students on the Web at www.mines.edu/directory.

Local telephone service is provided, as part of the housing rates (optional for Mines Park residence). The Telecommunications Office provides maintenance for telephone lines and services.

A voicemail/calling ID (CLID) package is available as an optional service by subscription. The fee is \$22.50 per semester, and subscription cards are available in the Housing Office, Telecommunications Office, or the Web: http://csmis5.mines.edu/telecomm/Students/Voicemailsignup.html. The voicemail/CLID fee is nonrefundable, except in the case of departure from the campus (refunded at a decreased, monthly prorated rate).

The Telecommunications Office provides long distance services for the Residence Halls, Sigma Nu house, Fiji house, and Mines Park housing areas through individual account codes. Long distance rates for domestic calling are 0.08 cents per minute, 24 hours a day, seven days a week. International rates are available at the Telecommunications Office or through the Web at http://csmis5.mines.edu/telecomm/Students/LongDistanceRates.html. Accounts are issued at the beginning of the fall semester, or by request at any time. Monthly long distance charges are assessed to the student accounts by the 5th of each month for calls made the prior month, and invoices are mailed directly to students at their campus address. Questions and requests for information for the above services should be directed to the Telecommunications Office by calling (303) 273-3000 or 1-800-446-9488 and saying Telecommunications, or via the Web at http://csmis5.mines.edu/telecomm/Students.html.

## **Registration and Tuition Classification**

## General Registration Requirements

To remain in good standing, non-thesis students must register continuously for a minimum of 3 hours of course credit each fall and spring semester. Students enrolled in non-thesis programs may not register for more than 15 credit hours during the fall and spring semesters. Summer registration is not required for non-thesis students to remain in good standing.

During the fall and spring semesters, thesis-based students must register continuously for a minimum of 4 credit hours each semester. Students may not register for more than 12 credit hours during these semesters, unless they are registered for course credit only. In that case (course work only) students may register for up to 15 credit hours. Students who continue to work on degree programs and utilize CSM facilities during the summer must register for a minimum of 3 credit hours. Students may not register for more than 12 credit hours during the summer. Students registered during the summer must pay full summer fees.

Students who qualify for thesis registration as described below must register continuously for 4 hours of thesis credit during each of the fall and spring semesters and 3 hours of thesis credit during the summer.

Students supported by CSM funds (Graduate Assistantships, fellowships or other) must be registered as full-time students as defined below.

## **Research Registration**

In addition to completing prescribed course work and defending a thesis, students in thesis-based degree programs must complete a research or engineering design experience under the direct supervision of their faculty advisor. Master's students must complete a minimum of 12 hours of research credit, and doctoral students must complete a minimum of 24 hours of research credit at CSM. While completing this experience, students will register for research credit under course numbers 704 (M.E.), 705 (M.S.) or 706 (Ph.D.) as appropriate. Faculty will assign grades indicating satisfactory or unsatisfactory progress based on their evaluation of the students' work.

## **Eligibility for Thesis Registration**

Students enrolled in thesis-based degree programs who have completed the minimum course and research requirements for their degree will be eligible to register for thesis credit and will be considered to be pursuing their graduate program full time at a reduced registration level. In order to be considered to have completed the minimum course and research requirements, students must satisfy the following requirements:

- 1. For M.S./M.E. students, completion of 36 hours of course and research credits combined
- 2. For Ph.D. students, completion of 72 hours of course and research credits combined

3. For all students, having approved Admission to Candidacy forms on file in the Graduate Office.

Students who are eligible for thesis registration will be considered full time if they are registered for four hours of thesis credit under course numbers 700 (M.E.), 701 (M.S.) or 703 (Ph.D.) as appropriate. Faculty will assign thesis grades indicating satisfactory or unsatisfactory progress based on their evaluation of the students' work.

## **Graduation Requirements**

Graduate students must be validly registered during the term in which they complete their program. Students must complete all graduate degree requirements before the last day of registration for the semester to avoid having to register for that particular semester. Students registered for the spring semester must complete all requirements before the last day of registration for the summer or the following fall semester.

## Full-time Status - Required Course Load

To be deemed full-time during the fall and spring semesters, students must register for 10 or more hours of course and research combined. However, international students need only register for 6 credit hours per semester during their first year, if they are required to take special language instruction or are accepted in Provisional Status. In the event a thesis-based student has completed his or her required course work and research credits (36 hours for master's students and 72 hours for doctoral students) and has an approved Admission to Candidacy form on file in the Graduate Office, the student will be deemed full-time if he or she is registered for at least 4 credit hours of thesis credit.

To be deemed full-time during the summer semester, students must register for a minimum of 3 credit hours.

## Late Registration Fee

Students must complete their registration by the date specified in the Academic Calendar. Students who fail to complete their registration during this time will be assessed a \$100 late registration fee and will not receive any tuition fellowships for which they might otherwise be eligible.

## Leave of Absence

Leaves of absence will be granted only when unanticipated circumstances make it temporarily impossible for students to continue to work toward a degree. Any request for a leave of absence must have the prior approval of the student's faculty advisor, the department head or division or program director and the Dean of Graduate Studies. The request for a leave of absence must be in writing and must include (1) the reasons why the student must interrupt his or her studies and (2) a plan (including a timeline and deadlines) for resuming and completing the work toward the degree in a timely fashion.

Students on leaves of absence will remain in good standing even though they are not registered for any course, research or thesis credits. However, time spent on a leave of absence will count toward any time limitations for completing degrees.

Thesis-based students may not do any work related to their thesis and may not discuss their thesis with their faculty advisor while on a leave of absence.

Students who wish to return to graduate school after an unauthorized leave of absence must apply for readmission and pay a \$200 readmission fee.

#### **Reciprocal Registration**

Under the Exchange Agreement Between the State Supported Institutions in Northern Colorado, CSM graduate students who are paying full-time tuition may take courses at Colorado State University, University of Northern Colorado, and University of Colorado (Boulder, Denver, Colorado Springs, and the Health Sciences Center) at no charge by completing the request form and meeting the required conditions on registration and tuition, course load, and course and space availability. Request forms are available from the Registrar's office.

## In-State Tuition Classification Status

## **General Information**

The State of Colorado partially subsidizes the cost of tuition for all students whose domicile, or permanent legal residence, is in Colorado. Each CSM student is classified as either an "in-state resident" or a "non-resident" at the time of matriculation. These classifications, which are governed by Colorado law, are based upon information furnished by each student on his or her application for admission to CSM. A student who willfully furnishes incorrect information to CSM to evade payment of non-resident tuition shall be subject to serious disciplinary action.

It is in the interest of each graduate student who is a U.S. citizen and who is supported on a Research Assistant or Teaching Assistant contract to become a legal resident of Colorado at the earliest opportunity. Typically, tuition at the non-resident rate will be paid by CSM for these students during their first year of study only. After the first year of study, these students may be responsible for paying the difference between resident and non-resident tuition.

#### Requirements for Establishing In-State Residency

The specific requirements for establishing residency for tuition classification purposes are prescribed by state law (Colorado Revised Statutes, Title 23, Article 7). Because Colorado residency status is governed solely by Colorado law, that fact that a student might not qualify for in-state status in any other state does not guarantee in-state status in Colorado. The tuition classification statute places the burden of proof on the student to provide clear and convincing evidence of eligibility.

In-state or resident status generally requires domicile in Colorado for the year immediately preceding the beginning of the semester in which in-state status is sought. "Domicile" is "a person's true, fixed and permanent home and place of habitation." An unemancipated minor is eligible for in-state status if at least one parent (or his or her court-appointed guardian) has been domiciled in Colorado for at least one year. If neither of the student's parents are domiciliaries of Colorado, the student must be a qualified person to begin the one-year domiciliary period. A "qualified person" is someone who is at least twenty-two years old, married, or emancipated. A student may prove emancipation if: (1) the student's parents have entirely surrendered the right to the student's custody and earnings; (2) the student's parents are no longer under any duty to financially support the student; and (3) the student's parents have made no provision for the continuing support of the student.

To begin the one-year domiciliary period, a qualified person must be living in Colorado with the present intention to reside permanently in Colorado. Although none of the following indicia are determinative, voter registration, driver's license, vehicle registration, state income tax filings, real property interests, and permanent employment (or acceptance of future employment) in Colorado will be considered in determining whether a student has the requisite intention to permanently reside in Colorado. Once a student's legal residence has been permanently established in Colorado, he or she may continue to be classified as a resident student so long as such residence is maintained, even though circumstances may require extended temporary absences from Colorado.

For more information about the requirements for establishing in-state residency, please contact the Registrar's Office.

#### Petitioning for In-State Tuition Classification

A continuing, non-resident student who believes that he or she has become eligible for in-state resident tuition due to events that have occurred subsequent to his or her initial enrollment may file a *Petition for In-State Tuition Classification* with the Registrar's Office. This petition is due in the Registrar's Office no later than the first day of the semester for which the student is requesting in-state resident status. Upon receipt of the petition, the Registrar will initially decide whether the student should be granted in-state residency status. The Registrar's decision may be appealed by petition to the Tuition Classification Review Committee.For more information about this process, please contact the Registrar's Office.

## In-State Tuition Classification for WICHE Program Participants

WICHE, the Western Interstate Commission for Higher Education, promotes the sharing of higher education resources among the participating western states. Under this program, residents of Alaska, Arizona, Hawaii, Idaho, Montana, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Utah, Washington, and Wyoming who are enrolled in qualifying graduate programs may be eligible for in-state tuition classification. Current qualifying programs include:

Applied Chemistry (Ph.D.) Chemistry (M.S.) Engineering Systems (M.S., M.E., and Ph.D.) Environmental Science & Engineering (M.S. and Ph.D.) Geochemistry (M.S. and Ph.D.) Mineral Economics (M.S. and Ph.D.) Mining and Earth Systems Engineering (M.S. and Ph.D.) Petroleum Engineering (M.S. and Ph.D.) Contact the Office of Graduate Studies for more information about WICHE.

## **Dropping and Adding Courses**

Students may drop or add courses through web registration without paying a fee during the first 11 school days of a regular semester, the first four school days of a six-week field course, or the first six school days of an eight-week summer term.

After the 11th day of classes through the 10th week, continuing students may drop any course for any reason with a grade of W. Graduate students in their first semester at CSM have through the 14th week of that semester to drop a course. A student must process a form and pay a \$4.00 fee for any change in class schedule after the first 11 days of class, except in cases beyond the student's control or withdrawal from school. Forms are available in the Registrar's Office.

After the 10th (or 14th) week, no drops are permitted except in cases of withdrawal from school or for extenuating circumstances upon approval by the Registrar. Unsatisfactory academic performance does not constitute an extenuating circumstance. Students receive a grade of F in courses which are dropped after the deadline without approval.

## Auditing Courses

As part of the maximum of 12 semester hours of graduate work, students may enroll for no credit (NC) in a course with the permission of the instructor. Tuition charges are the same for no credit as for credit enrollment.

Students must enroll for no credit before the last day of registration. The form to enroll for a course for no credit is available in the Registrar's Office. Grades of NC are awarded only if all conditions stipulated by course instructors are met.

## **General Regulations**

## **Graduate School Bulletin**

It is the responsibility of the graduate student to become informed and to observe all regulations and procedures required by the program the student is pursuing. Ignorance of a rule does not constitute a basis for waiving that rule. The Graduate Bulletin current when a graduate student first enrolls gives the academic requirements the student must meet to graduate. However, a student can change to the requirements in a later catalog published while the student is enrolled in the graduate school. Changes to administrative policies and procedures become effective for all students as soon as the campus community is notified of the changes.

## Curriculum Changes

The CSM Board of Trustees reserves the right to change any course of study or any part of the curriculum to respond to educational and scientific developments. No statement in this Bulletin or in the registration of any student shall be considered as a contract between Colorado School of Mines and the student.

## **General Policies of Student Conduct**

In addition to the Dismissal Policy and the Academic Dishonesty Policy described in detail in this section of the Graduate Bulletin, the Colorado School of Mines has a number of policies which govern student behavior on campus. Following is a list of those important policies with a brief definition or description of each. Copies of the complete text describing each policy are available from the Office of the Vice President for Student Affairs.

## Code of Conduct

This policy proscribes student personal behavior, the reasons for dismissal or suspension from school, and student disciplinary action.

## Academic Integrity

This policy defines academic integrity and academic dishonesty, and explains student responsibilities and what is expected of them.

#### Campus Security

This policy is intended to improve security and reduce crime on campus. It includes the publishing of campus crime statistics and procedures for reporting crimes.

### Alcohol Use

This policy conforms to state and local laws on alcohol use, distribution, and consumption. The text restates the legal drinking age, designates campus locations for consuming alcoholic beverages, explains procedures for planning student events at which alcohol is served, and gives the penalties for violating the policy.

## Drug Use

Recognizing the threat to health and welfare from the use of illegal drugs. this policy requires CSM students to obey all Colorado and Federal laws concerning the manufacture, possession, sale, and use of drugs.

## Drug Free Schools & Communities Act

This policy informs CSM students of community standards and potential consequences (the legal sanctions) for using alcohol or drugs illegally.

## Firearms, Explosives, and Other Weapons

Covered in this policy are the general ban on campus of firearms, explosives, and other weapons, exceptions to the ban, and the firearm storage procedures.

#### Distribution of Literature

Given in this policy are the restrictions on distributing (including the selling of) literature, newspapers, and magazines on school property; the limit on distributing advertising or commercial material (for example, handbills); the requirements for soliciting and vending on school property; and the right to picket or demonstrate on campus.

## Unsatisfactory Academic Performance

## Unsatisfactory Academic Progress Resulting in Probation or Discretionary Dismissal

A student's progress toward successful completion of a graduate degree shall be deemed unsatisfactory if any of the following conditions occur:

- ◆ Failure to maintain a cumulative grade point average of 3.0 or greater in graduate coursework;
- ♦ Receipt of an "In-Progress-Unsatisfactory" grade for research or thesis credits; or
- Receipt of an "Unsatisfactory Progress" recommendation from: (1) the head or director of the student's home department or division, (2) the student's thesis committee, or (3) a departmental committee charged with the responsibility of monitoring the student's progress.

Unsatisfactory academic progress on the part of a graduate student shall be reported to the Dean of Graduate Studies in a timely manner. Students making unsatisfactory progress by any of the measures listed above shall be placed on academic probation upon the first occurrence of such indication. Upon the second occurrence of an unsatisfactory progress indication, the Dean shall notify the student that he or she is subject to discretionary dismissal according to the procedure outlined below.

## Probation and Discretionary Dismissal Procedures

If a student is subject to academic probation as a result of an initial indication of unsatisfactory academic progress, the Dean of Graduate Studies shall notify the student of his or her probationary status in a timely manner.

If a student is subject to discretionary dismissal as a result of a second indication of unsatisfactory academic progress, the Dean shall notify the student and invite him or her to submit a remedial plan, including performance milestones and deadlines, to correct the deficiencies that caused or contributed to the student's unsatisfactory academic progress. The remedial plan, which must be approved by the student's faculty advisor and endorsed by the department head, division or program director, shall be submitted to the Dean no later than 21 days from the date upon which the student received official notification from the Dean regarding his or her discretionary dismissal status. If the Dean

concludes that the remedial plan is likely to lead to successful completion of all degree requirements within an acceptable time frame, the Dean may halt the discretionary dismissal process and allow the student to continue working toward his or her degree. If the Dean concludes that the remedial plan is inadequate, or that it is unlikely to lead to successful completion of all degree requirements within an acceptable time frame, the Dean shall notify the student of his or her discretionary dismissal and inform the student of his or her right to appeal the dismissal as outlined below.

Students in thesis-based degree programs who are not admitted to candidacy within the time limits specified in this Bulletin will be subject to immediate discretionary dismissal according to the procedure outlined above. Failure to fulfill this requirement will be reported to the Dean of Graduate Studies in a timely manner by the department head or division/program director.

## Unsatisfactory Academic Performance Resulting in Mandatory Dismissal

Unsatisfactory performance as gauged by any of the following measures shall result in immediate, mandatory dismissal of a graduate student: (1) failure to successfully defend the thesis after two attempts; or (2) failure by a student subject to discretionary dismissal to achieve a performance milestone or meet a deadline contained in his or her remedial plan. The Dean of Graduate Studies shall be notified promptly of any situation that may subject a student to mandatory dismissal. In this event, the Dean shall notify the student of his or her dismissal and inform the student of his or her right to appeal the dismissal as outlined below.

Students who have been notified of discretionary dismissal will be placed in non-degree status. They may request reinstatement to their former degree status by submitting a letter to their department head or division director summarizing the reasons that they were dismissed and describing the steps (including a timeline and milestones) they propose to take in order to complete their degree program in a timely fashion. The department head or division director will forward the request to the Graduate Dean accompanied by his or her decision and the reasons for the decision. The decision of the department head or division director is final and may not be appealed.

Students who have been notified of mandatory dismissal will be placed in non-degree status. They may request readmission to either the same or a different degree program by submitting a full application for admission to the Graduate Office. The application will be reviewed through the normal admission process.

If a student who has been reinstated or readmitted to their former degree program subsequently is found to be making unsatisfactory progress, they immediately will be subject to mandatory dismissal.

### Appeal Procedures

Both mandatory and discretionary dismissals may be appealed by a graduate student pursuant to this procedure. To trigger review hereunder, an appeal must: (1) be in writing; (2) contain a succinct description of the matter being appealed; and (3) be filed with the Office of the Dean of Graduate Studies no later than 30 days from the date upon which the student received official notification from the Dean regarding his or her dismissal.

Upon receipt of a timely appeal of a discretionary or mandatory dismissal, the Dean shall appoint a review committee composed of three tenured faculty members who are not members of the student's home or minor department or division. The review committee shall review the student's appeal and issue a written recommendation thereon to the Dean within 30 days. During the course of performing this function, the committee may: (1) interview the student, the student's advisor, and, if appropriate, the student's thesis committee; (2) review all documentation related to the appeal under consideration; (3) secure the assistance of outside expertise, if needed; and (4) obtain any other information necessary to properly consider the appeal.

The authority to render a final decision regarding all graduate student appeals filed hereunder shall rest with the Dean of Graduate Studies.

#### Resolution of Conflicting Bulletin Provisions

The provisions of this section of the CSM Graduate Bulletin shall govern the resolution of any conflict or inconsistency that may be found to exist between this section and any other provision of the Bulletin.

## Academic Dishonesty Policy

### Academic Dishonesty:

Academic dishonesty means to engage in cheating or fraudulent behavior during an academic endeavor at the Colorado School of Mines. Academic dishonesty includes, but is not limited to, the following conduct: (1) submission of research or writing done by another as one's own, i.e., plagiarism; (2) falsification of research results; and (3) giving, requesting, or utilizing improper assistance on an examination.

#### Initial Determination:

Issues regarding plagiarism or falsification of research results shall be determined within a reasonable time by a majority vote of the graduate student's committee. Issues regarding cheating on examinations shall be determined within a reasonable time by the department head, division director, or program director of the affected department, division, or program. Individuals charged with decision making authority hereunder shall discuss the charges with all relevant witnesses and review all relevant documents, as appropriate, prior to rendering any decision.

#### Appeal Procedure:

All appeals hereunder shall be filed with the Dean of Graduate Studies and Research. In order to be considered, an appeal hereunder must be: (1) in writing; (2) contain a specific description of the matter being appealed; and (3) be received by the Dean no later than 30 days from the date upon which the graduate student received official notification from CSM regarding the action or matter being appealed.

Upon receipt of a timely appeal, the Dean shall appoint a committee of five tenured faculty members to review the matter and, within a reasonable time, issue a written recommendation thereon to the Dean. During the course of performing this function, the committee shall: (1) interview the student and the initial decision maker(s); (2) review all documentation related to the matter under consideration; and (3) secure any outside expertise necessary to properly consider the appeal.

The Dean has authority to issue a final decision regarding all graduate student appeals.

Any CSM student who has committed an act of academic dishonesty shall be subject to the imposition of appropriate sanctions up to, and including, dismissal from CSM.

## Resolution of Conflicting Bulletin Provisions:

If a conflict or inconsistency is found to exist between this policy and any other provision of the CSM Graduate Bulletin, the provisions of this policy shall govern the resolution of such conflict or inconsistency.

## **Exceptions and Appeals**

#### Academic Policies and Requirements

Academic policies and requirements are included in the Bulletin on the authority of the CSM Board of Trustees as delegated to the Faculty Senate. These include matters such as degree requirements, grading systems, thesis and dissertation standards, admission standards and new and modified degree programs, certificates, minors and courses. No CSM administrator, faculty or staff member may change, waive or grant exceptions to such academic policies and requirements without approval of the Graduate Council, the Senate and/or the Board of Trustees as appropriate.

#### Administrative Policies and Procedures

Administrative Policies and Procedures are included in this Bulletin on the authority of the CSM Board of Trustees as delegated to the appropriate administrative office. These include (but are not limited to) matters such as student record keeping, thesis and dissertation formats and deadlines, registration requirements and procedures, assessment of tuition and fees, and allocation of financial aid. The Dean of Graduate Studies may waive or grant exceptions to such administrative policies and procedures as warranted by the circumstances of individual cases.

Any graduate student may request a waiver or exception by the following process:

- 1. Contact the Graduate Office to determine whether a standard form exists. If so, complete the form. If a standard form does not exist, prepare a memo with a statement of the request and a discussion of the reasons why a waiver or exception would be justified.
- 2. Have the memo or the form approved by the student's advisor and department head or division director, then submit it to the Dean of Graduate Studies.
- 3. If the request involves academic policies or requirements, the Dean of Graduate Studies will request Graduate Council approval at their next regularly scheduled meeting.
- 4. The Dean of Graduate Studies will notify the student of the decision. The student may file a written appeal with the Vice-President for Academic Affairs within two weeks of being notified of the decision. The VPAA will investigate as appropriate to the issue under consideration and render a decision. The decision of the VPAA is final.
- 5. At the next graduate Council meeting, the Dean will notify the Graduate Council of the request, the decision and the reasons for the decision. If the Graduate Council endorses the decision, then any other student in the same situation having the same justification can expect the same decision.

## Public Access to the Graduate Thesis

The award of a thesis-based graduate degree is conditioned on the student's deposit of his or her completed thesis in the CSM library to ensure its availability to the public. Although the student retains the copyright in the thesis, by depositing the thesis with the library, the student assigns a perpetual, non-exclusive, royalty-free license to CSM to permit CSM to copy the thesis and allow the public reasonable access to it.

Under special circumstances, CSM may agree to include proprietary research in a graduate student's thesis. The nature and extent of the proprietary research reported in the thesis must be agreed upon in writing by the principal investigator, student and Dean of Graduate Studies. In some cases, the proprietary nature of the underlying research may require the school to delay public access to the completed thesis for a limited period of time. In no case will public access to the thesis be denied for more than 12 months from the date the Statement of Work Completion form is submitted to the Graduate School.

## Making up Undergraduate Deficiencies

If the department or Graduate School decides that new students do not have the necessary background to complete an advanced degree, they will be required to enroll in courses for which they will receive no credit towards their graduate degree, or complete supervised readings, or both. Students are notified of their apparent deficiency areas in their acceptance letter from the Graduate School or in their first interview with their department advisor.

Graduate students must attain a B average in deficiency courses, and any student receiving a grade of D in a deficiency course will be required to repeat the course. Grades for these deficiency courses are recorded on the student's transcript, become part of the student's permanent record, and are calculated into the overall GPA. Students whose undergraduate records are deficient should remove all deficiencies as soon as possible after they enroll for graduate studies.

## Graduate Students in Undergraduate Courses

Students may receive graduate credit for a maximum of nine semester hours of department-approved 400-level course work not taken to remove deficiencies upon the recommendation of the graduate committee and the approval of the Graduate Dean.

Students may receive graduate credit for 300-level courses only in those interdisciplinary programs which have been recommended by both departments and have been approved by the Graduate Council before the students enroll in the course. In that case a maximum of nine total hours of 300- and 400-level courses will be accepted for graduate credit.

## Independent Study

For each semester credit hour awarded for independent study a student is expected to invest approximately 25 hours of effort in educational activity involved. To register for independent study or for a "special topics" course, a student should get from the Registrar's Office the form provided for that purpose, have it completed by the instructor involved and appropriate department/division head, and return it to the Registrar's Office.

## **Course and Thesis Grades**

## Requirements

All candidates for graduate degrees must maintain a cumulative grade point average of at least 3.0 in all courses taken after acceptance into a degree program, including both graduate and undergraduate courses. A grade of D is unsatisfactory and is not acceptable for graduate credit.

For research and thesis credits, students receive either an "In Progress-Satisfactory" or an "In Progress-Unsatisfactory" grade based on their faculty advisor's evaluation of their work. When the thesis is satisfactorily completed, the student receives a grade of M-Completed on his or her final semester transcript. Research and thesis grades do not enter into the calculation of the student's grade point average.

Students who fail to maintain a grade point average of at least 3.0, or who receive an In Progress-Unsatisfactory research or thesis grade are placed on academic probation by the Graduate Dean. If a student becomes eligible for probation a second time, he or she must submit a plan for completing the degree program successfully in order to avoid dismissal. (See the Unsatisfactory Academic Performance policy elsewhere in this section.)

#### Grade Appeal Process

Student appeals on grades are to be heard by the Faculty Affairs Committee of the CSM Faculty Senate if they cannot be resolved at a lower level. The appeal process is as follows:

1. The student should attempt to work out the dispute with the faculty member responsible for the course.

- 2. The student must appeal within two weeks of issuance of the grade; the Department Head/Division Director must appoint a faculty mediator within one week of receiving the appeal, and the faculty mediator must submit a finding within one week of being appointed.
- 3. The student must notify the Department Head/Division Director within one week of receiving the faculty mediator's finding; the Department Head/Division Director must appoint an ad hoc committee within one week of receiving the notification, and the ad hoc committee must submit a finding within two weeks of being appointed.
- 4. The student must submit the case statement to the VPAA within one week of receiving the ad hoc committee's finding; the VPAA must obtain the written statements and submit the case to the Faculty Affairs Committee within one week of receiving the case statement, and the Faculty Affairs Committee must render a decision within two weeks of receiving the case.

This schedule can be modified upon the mutual agreement of the student, the Department Head/Division Director, and the Dean of the Graduate School.

## Graduation

All students expecting to graduate must submit a graduation application to the Office of Graduate

## Studies.

All students expecting to graduate must submit a graduation application to the Office of Graduate Studies.

Graduation application deadlines are scheduled well in advance of the date of Commencement to allow time for engraving diplomas and for printing graduation invitations and programs. Students who submit applications after the stated deadline cannot be guaranteed a diploma dated for that graduation, and cannot be assured inclusion in the graduation program.

All graduating students must officially check out of School, including paying the mandatory graduation fee. Checkout cards may be obtained from the Graduate Office and must be completed and returned by the established deadline.

M.S. and Ph.D. students must complete the checkout process within 45 calendar days after a successful defense of thesis. Failure to comply with this policy may require a redefense of thesis. Exceptions to this rule are granted only upon request to the Dean of Graduate Studies. Students must register for the next term unless the graduation checkout process is completed by the last day of registration for the following semester.

The awarding of a degree is contingent upon the student's successful completion of all program requirements with at least a 3.0 GPA before the date of graduation. Students who fail to graduate at the time originally anticipated must reapply for the next graduation before the appropriate deadline date stated in the Graduate Handbook.

Students who have completed all of their degree requirements before the specific graduation date, but who have not applied for graduation can, if necessary, request a letter from the Graduate Office certifying the completion of their programs. The student should apply for the next graduation, and the diploma will show the date of that graduation.

Graduation exercises are held in December and May. Students eligible to graduate at these times are expected to attend their respective graduation exercises. Students may not, under any circumstances, attend graduation exercises before completing all degree requirements.

Diplomas, transcripts, and letters of completion will not be released by the School for any student or graduate who has an unsettled obligation of any kind to the School.

## Withdrawing from School

To officially withdraw from CSM, a graduate student must process a withdrawal form through the Graduate Office. When the form is completed, the student will receive grades of W in courses in progress. If the student does not officially withdraw the course grades are recorded as F's. Leaving school without having paid tuition and fees will result in the encumbrance of the transcript.

## Nondegree Students

A nondegree student is one who has not applied to pursue a degree program at CSM but wishes to take courses regularly offered on campus. Nondegree students register for courses after degree students have registered. Such students may take any course for which they have the prerequisites as listed in the CSM Bulletin or have the permission of the instructor. Transcripts or evidence of the prerequisites are required.

## Veterans' Benefits

Colorado School of Mines is approved by the Colorado State Approving Agency for Veteran Benefits under chapters 30, 31, 32, 35, and 1606. Graduate students must register for and maintain ten hours of graduate work in any semester to be certified as a full-time student for full-time benefits. Any hours taken under the full-time category will decrease the benefits to 3/4 time, 1/2 time, or tuition payment only.

Students receiving benefits must report all changes in hours, addresses, marital status, or dependents to the Veterans' Counseling Office located in the Registrar's Office as soon as possible to avoid overpayment or underpayment. Veterans must see the Veterans' Counselor each semester to be certified for any benefits for which they may be eligible. In order for veterans to continue to receive benefits, they must make satisfactory progress as defined by CSM.

## Grading System

#### Grades.

When a student registers in a course, one of the following grades will appear on the academic record. Grades are based on the level of performance and represent the extent of the student's demonstrated mastery of the material listed in the course outline and achievement of the stated course objectives. These are CSM's grade symbols and their values:

- A Excellent
- B Good
- C Satisfactory
- D Unsatisfactory (not acceptable for graduate credit)

## F Failed

- S Satisfactory, C or better, used at mid-term
- U Unsatisfactory, below C, used at mid-term
- WI Involuntarily Withdrawn
- W Withdrew, No Penalty
- T Transfer Credit
- PRG In Progress
- PRU In Progress Unsatisfactory
- INC Incomplete
- NC Not for Credit
- Z Grade not yet Submitted
- M Thesis Completed

#### Incomplete Grade.

If a graduate student fails to complete a course because of illness or other reasonable excuse, the student receives a grade of Incomplete, a temporary grade which indicates a deficiency in the quantity of work done.

A graduate student must remove all Incomplete grades within the first four weeks of the first semester of attendance following that in which the grade was received. If not removed within the four weeks, the Incomplete will become an F unless the Registrar extends the time upon the written recommendation of the instructor granting the Incomplete.

## Progress Grade.

A student may receive a grade of In Progress for independent study courses extending for more than one semester. The progress grade has no point value and is used only for multi-semester courses, such as thesis or certain special project courses, or for special sections of one-semester courses which are spread over two terms. In such cases, the student receives a grade of PRG, which indicates that the work is not completed. The independent study grade is replaced by a letter grade when the course work is completed.

The student must register again in the same course in the next semester of attendance. If a progress grade is received for a course taken in the second semester of the school year, the student may, with the permission of the department head, reregister in that course in the summer session, in which case the letter grade must be given at the end of the summer session.

#### NC Grade.

For special reasons and with the instructor's permission, a student may register in a course for no credit (NC). To have the grade NC appear on the transcript, the student must enroll at registration time as a NC student in the course and comply with all conditions stipulated by the course instructor. If a student registered as NC fails to satisfy all conditions, no record of this registration in the course will be made.

## Quality Hours and Quality Points.

For graduation a student must successfully complete a certain number of required semester hours and must maintain grades at a satisfactory level. The system for expressing the quality of a student's work is based on quality points and quality hours. The grade A represents four quality points, B three, C two, D one, F none. The number of quality points earned in any course is the number of semester hours assigned to that course multiplied by the numerical value of the grade received. The quality hours earned are the number of semester hours in which grades of A, B, C, D, or F are awarded. To compute a grade-point average, the number of cumulative quality hours is divided into the cumulative quality points earned. Grades of W, WI, INC, PRG, PRU, M, or NC are not counted in quality hours.

#### Semester Hours.

The number of times a class meets during a week (for lecture, recitation, or laboratory) determines the number of semester hours assigned to that course. Class sessions are normally 50 minutes long and represent one hour of credit for each hour meeting. Two to four hours of laboratory work per week are equivalent to 1-semester hour of credit. For the average student, each hour of lecture and recitation requires at least two hours of preparation.

#### Grade-Point Averages.

Grade-point averages are calculated, recorded, and reported to three decimal places for whatever purposes those averages are used.

## Access to Student Records

In compliance with Article 99.6 of the U.S. Department of Education regulations under the Family Education Rights and Privacy Act, Colorado School of Mines notifies its students each year in the Fall Schedule of Courses of their rights to inspect and review their education records, to correct inaccurate or misleading information through informal and formal hearings, and to prevent disclosure of individual student records.

CSM policy, which is available from the Registrar's Office, explains in detail the procedures to be used by the

school to comply with the provisions of the Privacy Act. Students should be aware that such personal information as names, addresses, telephone numbers, date of birth, major field of study, degrees awarded, last school attended, dates of attendance, class, honors, and athletic participation is considered directory information which may be released by the school unless the student notifies CSM in writing before the end of the first two weeks of the first semester the student is registered that he or she does not want that information disclosed.

Students can file complaints with the Family Educational Rights and Privacy Act Office about alleged failures by the school to comply with the Act.

## **Tuition, Fees, Financial Assistance**

Tuition and fees at CSM are kept at a minimum, consistent with the cost of instruction and the amount of state funds appropriated to the School.

The following rates are in effect for 2002–2003. Increases can be expected in subsequent years.

## Tuition

Full-time Students	
Resident	Non-resident
\$2,623/sem	\$8,758/sem

For more information see the CSM web site at http://csmis5.mines.edu/tuition/.

## Fees

#### Regular Semester (Fall/Spring)

During a regular semester, students taking less than 4 credit hours are not required to pay student fees, except for the Technology Fee. Any such student wishing to take part in student activities and receive student privileges may do so by paying full semester fees. All students carrying 4 or more credit hours must pay full student fees as follows:

\$45.00
58.00
46.00
130.00
14.00
60.00
353.00

\*A health insurance program is also available. Health insurance is a mandatory fee unless the student can prove coverage through another plan.

### Summer Session

### Academic Courses & Thesis Research

Health Center	\$22.50
Athletics	
Student Services	
Technology Fee	30.00
Total	\$140.50

#### **Field Term Courses**

On-campus: Health Center \$17.00

Student Services \$47.00

Off-campus: Arrangements and payment for transportation, food, lodging, and other expenses must be made with the department concerned. (Geology Department camping fee is \$135.)

#### Graduation Fee

(includes thesis binding and other expenses)Professional\$135.00Masters (Thesis)\$255.00Masters (Non-Thesis)\$157.00Doctors\$288.00

#### Student Health Plan\*

At publication 2002–2003 rates had not been determined.

#### Other Courses and Programs

Executive Program, Master of Science in Environmental

Science and Engineering: \$200/credit hr Economics and Business IFP Exchange Program: \$1,000/semester

## **Student Fees and Descriptions**

All students enrolled for four semester hours or more are charged the following mandatory, non-waivable fees by CSM. Some of the fees listed are not relevant for graduate students.

Health Center Fee: Revenues support physician/medical services to students. \$45.00/term

- Associated Students Fee: Revenues support student organizations/events/activities, i.e., newspaper, homecoming, E-Days. \$58.00/term
- Athletic Fee: Revenues support intercollegiate athletics and entitles student entrance to all scheduled athletic events and use of the facilities. \$46.00/term
- Student Assistance Fee: Funds safety awareness programs, training seminars for abuse issues, campus lighting, and parking facility maintenance. \$14.00/term
- Student Services Fee: Revenues support bonded indebtedness; other student services, i.e., Placement/Co-Op, Student Activities, Student Life, Student Development Center, and services provided in the student center. \$130.00/term
- **Technology Fee:** Funds technology infrastructure and equipment for maximum student use. The School matches the student fee revenues dollar for dollar. \$60.00/term

All degree students enrolled for 7.0 semester hours or more are charged the following mandatory, waivable fees by CSM:

Student Health Insurance: Revenues contribute to a self insurance pool. At publication 2002–2003 rates had not been determined.

Students pay the following fees based on enrollment in specific courses or other circumstances:

Late Insurance Waiver Fee: Revenues provide funds for the administration of the health insurance program. \$60.00

Transcript Fee: Revenues support the cost of providing transcripts. \$2.00/term

Yearbook Fee: Revenues support the publication of the CSM yearbook, the Prospector. \$30.00/yr.

Add/Drop Charge: Revenues offset the cost of processing Add/Drop registration. \$4.00 each

Late Registration Fee: Revenues offset the cost of processing late registration. Assessed after 5 days. \$100.00 (graduate students)

Late Payment Penalty: Revenues offset billing costs for late tuition payments. 1.5% of outstanding balance

- Damage Charges (Housing): Revenues are used to repair or replace damaged items/rooms in CSM rental units. Residence halls - \$50.00; Mines Park & Prospector Village - \$400.00
- **Refrigerator/Microwave Permits:** Revenues are used to offset extra electrical usage consumed by residence hall occupants who choose to bring these personal items. \$15.00 per permit

Bike Locker Rental: Revenues provide and maintain locker facilities for resident students. \$45.00/term

- **Residence Hall Room Charge:** Revenues support maintenance, improvements, and residence hall administration. See page 10
- Meal Plan Charges: Revenues provide meals and maintain cafeteria equipment for the students on meal plans. See page 10
- Residence Hall Association Fee: Revenues support social activities for the residence halls. \$35.00/year
- Housing and Rental Fees: Rental fees for housing rentals maintain the rental properties, pay utility charges, maintain and improve properties. See Housing page 8
- Tuition Paid-Out: CSM has advanced tuition to another school. Charges are reimbursement request for those advances. Only for sponsored students paid by sponsor
- **Books/Supplies Fees:** Advances made to or on behalf of the students. Charges are reimbursement only. Only for sponsored students paid by sponsor
- Computer Usage Fees: Revenues assist in providing institutional/research computing services. \$500.00/term paid by sponsor
- **Refunds or Advances:** These charges are simply reimbursement requests for funds advanced to or on behalf of the student. Funds received merely replace those advances. N/A
- Payments: CSM must repay to the bank any student funds for which a student becomes ineligible. Funds collected

from the student replace those advances. N/A

Grants and Scholarships (Recalled): When students become ineligible for grant, loan, or scholarship money which they have received, the recall of those funds are reflected. N/A

Return Check: The amount of a student's check which has been returned for insufficient funds.

Return Check Charge: Revenues offset bank fees for returned checks. \$20.00

Phonemail Fee: Assessed to students living in the residence halls who request voice mail services. (Optional.)

The Colorado School of Mines does not automatically assess any optional fees or charges.

Note: Graduate students who register for undergraduate courses to satisfy deficiencies may be assessed the same fee that an undergraduate student would pay.

## Payments and Refunds

## Payment Information.

A student is expected to complete the registration process, including the payment of tuition and fees, before attending class. Students should mail their payments to: Cashier Colorado School of Mines 1500 Illinois St. Golden, CO 80401-1869 or pay at the Cashier's Office in Guggenheim Hall. Please write your social security number on payment.

## Late Payment Penalties.

A penalty will be assessed against a student if payment is not received in full by the official day of registration. The penalty is described in the schedule of courses for each semester. If payment is not completed by the sixth week of class, the student may be officially withdrawn from classes.

#### Financial Responsibility.

Registration for classes at CSM implies an obligation by the student to meet all related financial responsibilities in a timely manner. Students who do not fulfill their financial obligations according to published deadlines are subject to the following: late payment penalties accrued on any outstanding balance, and the withholding of transcripts. Past due accounts will be turned over to Colorado Central Collection Services in accordance with Colorado law. Collection costs will be added to the student's account, and delinquencies may be reported to national credit bureaus.

#### Encumbrances.

A student will not be permitted to register for future classes, to graduate, or to get an official transcript of his academic record while indebted in any way to CSM.

#### Refunds.

Refunds for tuition and fees are made according to the following policy:

The amount of tuition and fee assessments is based primarily on each student's enrolled courses. In the event a student withdraws from a course or courses, assessments will be adjusted as follows:

- ✓ If the withdrawal is made prior to the end of the add/drop period for the term of enrollment, as determined by the Registrar, tuition and fees will be adjusted to the new course level without penalty.
- ✓ If the withdrawal from a course or courses is made after the add/drop period, and the student does not officially withdraw from school, no adjustment in charges will be made.
- ✓ If the withdrawal from courses is made after the add/drop period, and the student withdraws from school, tuition and fee assessments will be reduced according to the following schedule:
  - ✓ Within the 7 calendar days following the end of the add/drop period, 60 percent reduction in charges.
  - ✔ Within the next following 7 calendar days, a 40 percent reduction in charges.
  - ✔ Within the next following 7 calendar days, a 20 percent reduction in charges.
  - ✓ After that period, no reduction of charges will be made.

To comply with federal regulations surrounding student financial aid programs, the Director of Financial Aid may modify this schedule in individual circumstances.

The schedule above applies to the Fall and Spring semesters. The time periods for the Summer sessions - Field and Summer - will be adjusted in proportion to the reduced number of days in these semesters.

Room and board refunds are pro-rated to the date of checkout from the Residence Hall. Arrangements must be made with the Housing Office. Student health insurance charges are not refundable. The insurance remains in effect for the entire semester.

PLEASE NOTE: Students receiving federal financial aid under the Title IV programs may have a different refund

determined as required by federal law or regulations.

#### Financial Assistance for Graduate Studies

Graduate study is a considerable investment of time, energy, and money by serious students who expect a substantial return not only in satisfaction but also in future earnings. Applicants are expected to weigh carefully the investment they are willing to make against expected benefits before applying for admission.

Students are also expected to make full use of any resources available, including personal and loan funds, to cover expenses, and the School can offer some students financial aid through graduate research and teaching assistantships and through industry, state, and federal fellowships.

#### Purpose of Financial Aid.

The Graduate School's limited financial aid is used

1. To give equal access to graduate study by assisting students with limited personal resources;

2. To compensate graduate students who teach and do research;

3. To give an incentive to exceptional students who can provide academic leadership for continually improving graduate programs.

## Employment Restrictions and Agreements.

Students who are employed full time or who are enrolled part time are not eligible for financial aid through the Graduate School.

Students who are awarded assistantships must sign an appointment contract, which gives the terms of appointment and specifies the amount of work required. Graduate assistants who hold regular appointments are expected to devote all of their efforts to their educational program and may not be otherwise employed without the written permission of their supervisor and the Graduate Dean. Students with assistantships during the academic year must be registered as full time; during the summer session they must be registered for a minimum of three credit hours.

#### Aid Application Forms.

New students interested in applying for financial aid are encouraged to apply early. Financial aid forms are included in Graduate School application packets and may be filled out and returned with the other application papers.

#### Colorado Graduate Fellowships.

The Dean of Graduate Studies awards Colorado Fellowships based on the student's academic performance. Students need to be recommended by their departments.

#### Graduate Student Loans.

Need-based federal and CSM student loans are available for graduate students who need additional funding beyond their own resources and any assistantships or fellowships they may receive. The CSM Graduate Financial Aid Application and the Free Application for Federal Student Aid must be completed to apply for these loan funds.

Forms are available from the Financial Aid Office, which handles student loans. The Financial Aid Office telephone number is 303-273-3301.

## Graduate Degrees and Requirements

Colorado School of Mines offers post-baccalaureate programs leading to Professional degrees, thesis or non-thesis Master of Science degrees, Master of Engineering degrees, and Doctor of Philosophy degrees. This section describes these degree programs and explains the requirements for each.

## I. Professional Programs

#### A. Graduate Certificate Program

The Division of Liberal Arts and International Studies offers two graduate certificate programs with specialization in International Political Economy (IPE) and International Political Economy of Resources (IPER). For more information about these programs, please refer to the "Graduate Degree Programs and Description of Courses" section of this Bulletin.

Other graduate certificate programs may be introduced from time to time in response to demand from students. Please contact the appropriate department or division to learn about any offerings that might not have been announced at the time this Bulletin was published.

#### B. Professional Degree

CSM offers a post-baccalaureate professional degree program emphasizing graduate level course work.

Intended to be an intermediate program between the bachelor's and master's degree levels, this program is ideal for professionals who desire to return to school to enhance their education, or who wish to change their career emphasis in

the resource industries. It is also available to recent college graduates who wish to further their education in these fields without enrolling in a regular graduate program.

#### 1. Departments Offering the Degree

Professional degrees are offered by the Departments of Geology and Geological Engineering and Geophysics. Each department has its own specific course requirements for the Professional degree, and students are encouraged to check with the appropriate department or the "Graduate Degree Programs" section of this Bulletin for these requirements.

#### 2. Program Requirements and Structure

The professional degree program requires a minimum of 30 credit hours of additional course work beyond the Bachelor of Science degree. Fifteen of these credit hours must be taken as a registered Professional degree student at CSM.

The course of study can be structured to meet the needs of each student, but the department and the Graduate Dean must approve the student's program during the first semester of enrollment.

#### 3. Admission to Candidacy

The professional degree candidate must submit to the Office of Graduate Studies an application for Admission to Candidacy with the student's Request for Graduation form. Please refer to the current Graduate Student Handbook to determine the deadline for submission of these documents. The application for Admission to Candidacy must be approved by the student's faculty advisor, department head and the Graduate Dean, and must contain a complete list of courses being applied toward the degree.

#### 4. Transfer to Master's or Doctoral Program

Even though the professional degree is intended to be a final degree, students may transfer from the professional degree program to a master's or doctoral degree program. To make this transfer, students must apply for the master's or doctoral program using the normal application procedures and be admitted to the applicable department.

Course credits taken under the Professional degree program may be applied to the master's or doctoral degree programs with the approval of the student's Thesis Committee and department head.

#### 5. Grades and Time Limitation for Completion of Degree Requirements

Professional degree students must maintain a cumulative grade point average of 3.0 or better in CSM course work. All degree requirements must be completed within five years of initial registration at CSM, unless an extension is granted by the Graduate Dean.

#### C. Master's Degrees - Non-Thesis

In lieu of preparing a thesis, the non-thesis master's program students are required to complete more credit hours of course work than that required of the master's-thesis candidates. Although non-thesis master's students are not assigned a Thesis Committee, students in this program do select a faculty advisor, subject to the approval of the student's home department. Students must complete all candidacy requirements except those pertaining to thesis preparation and defense. Non-thesis master's degrees are offered in Chemical Engineering and Petroleum Refining, Engineering Systems, Engineering and Technology Management, Environmental Science and Engineering, Materials Science, Mathematical and Computer Sciences, Metallurgical and Materials Engineering, Mineral Economics, Mining, and Petroleum Engineering. Please refer to the "Graduate Degree Programs and Description of Courses" section of this Bulletin for more information about these programs.

#### **1. Academic Requirements**

CSM non-thesis master's programs typically require a minimum of 36 credit hours of course work, although the student's home department may require additional semester hours in particular subject areas. Twenty-one of these credit hours must be taken as a registered master's degree student at CSM.

Master's students must maintain a cumulative grade point average of 3.0 or better in CSM course work. All course work must be completed within five years after entering the Graduate School. Time spent on approved leaves of absence is included in the five-year time limit.

#### 2. Transfer of Credits

Up to 15 semester hours of graduate-level course work may be transferred from other institutions toward the nonthesis Master degree subject to the restriction that those courses must not have been used as credit toward a Bachelor degree. Requests for transfer credit must be approved by the faculty according to a process defined by the student's home department or division. Transfer credits are not included in calculating the student's grade point average at CSM.

### 3. Minor Programs

Students may choose to have a minor program at the master's level, but the minor program may not be taken in the student's major area of study. A designated minor requires a minimum of nine semester hours of course work and must be approved by the student's advisor, home department head, and a faculty representative of the minor area of study.

### 4. Admission to Candidacy

Each candidate for a Master's degree must be admitted to full candidacy for the degree within the first two calendar years after enrolling as a regular degree student. The application must be approved by the student's faculty advisor and Thesis Committee and must contain a complete list of courses being applied toward the degree.

#### D. Professional Master's Degrees

CSM also awards specialized, career-oriented non-thesis Master degrees with the title of "Professional Master of (descriptive title)." These are custom-designed, interdisciplinary degrees, each with a curriculum that is designed to meet the career advancement needs of a particular group of professionals in a field that is part of CSM's role and mission. Admission and graduation requirements and procedures are the same as for non-thesis Master of Science and Master of Engineering degrees.

Professional Master degree programs are created as the need arises, and information about degree programs available at any particular time can be obtained from the Graduate Office at grad-school@mines.edu or 303-273-3248.

## II. Combined Undergraduate/Graduate Programs

## A. Overview

Several degree programs offer CSM undergraduate students the opportunity to begin work on a Graduate Certificate, Professional Degree, or Master's Degree while completing the requirements for their Bachelor's Degree. These are accelerated programs that can be valuable in fields of engineering and applied science where advanced education in technology and/or management provides the opportunity to be on a fast track for advancement to leadership positions. These programs also can be valuable for students who want to get a head start on graduate education. The combined programs at CSM offer several advantages to students who choose to enroll in them:

- 1. Students can earn a graduate degree in a field that complements their undergraduate major or, in special cases, in the same field.
- 2. Students who plan to go directly into industry leave CSM with additional specialized knowledge and skills which may allow them to enter their career path at a higher level and advance more rapidly. Alternatively, students planning on attending graduate school can get a head start on their graduate education.
- 3. Students can plan their undergraduate electives to satisfy prerequisites, thus ensuring adequate preparation for their graduate program.
- 4. Early assignment of graduate advisors permits students to plan optimum course selection and scheduling in order to complete their graduate program quickly.
- 5. Early acceptance into a Combined program leading to a Graduate Certificate or Non-Thesis Master's Degree assures students of automatic acceptance into full graduate status if they maintain good standing while in early-acceptance status.
- 6. Students may receive both degrees at the same time, providing them access to both undergraduate and graduate benefits (such as financial aid) while completing their programs.
- 7. In many cases, students will be able to complete both Bachelor's and Master's Degrees in five years of total enrollment at CSM.

Certain graduate programs may allow Combined Program students to fulfill part of the requirements of their graduate degree by including up to six hours of specified course credits which also were used in fulfilling the requirements of their undergraduate degree. Those courses must meet all requirements for graduate credit, and their grades are included in calculating the graduate GPA. Check the departmental section of the Bulletin to determine which programs provide this opportunity.

At the time of publication of this Bulletin, Combined Programs were available leading to graduate certificates in International Political Economy and leading to Master of Science or Master of Engineering degrees in Engineering and Technology Management, Engineering Systems, Materials Science, and Metallurgical and Materials Engineering. Additional programs may be added in the future, and students interested in Combined Graduate Programs not listed here are encouraged to contact the Graduate School or their department of choice for current information.

#### **B.** Admission Process

Students may apply for Early Admission to the Combined Graduate Program any time after completing the first semester of their sophomore year at CSM. Applicants should submit a letter to the department or division indicating that they intend to apply for the Combined Graduate Program.

Following Early Admission from the department, students will be assigned graduate advisors in the programs in which they plan to receive their graduate certificates or degrees. Prior to registration for the next semester, students and their graduate advisors will plan a strategy for completing both the undergraduate and graduate programs as efficiently as possible. The students also will continue to have undergraduate advisors in the home department or division for their Bachelor's Degrees.

Upon achieving Senior standing, students must submit the standard graduate application package for the graduate

portion of their combined program.

## C. Requirements

In order to maintain good standing in the Combined Program:

- 1. Students who have been granted Early Admission to the Combined Program must register full time and maintain a minimum semester GPA of 3.0 during each semester subsequent to admission, including the semester in which they were accepted.
- 2. Students who have been granted full graduate status must satisfy all requirements (course, research and thesis credits, minimum GPA, etc.) of the graduate program in which they are enrolled. Note that all courses, undergraduate and graduate, taken after full admission count toward the minimum GPA required to be making satisfactory progress.

After students have been accepted into full graduate status, they will have dual status and will have all of the privileges and be subject to all expectations of both undergraduate and graduate programs. Students having dual status may take both undergraduate and graduate courses, may register for internship, research, or thesis credits as required for their graduate program and may have access to financial aid available through both programs.

## III. Thesis-Based Master's Degree Programs

## A. General

Graduate study at CSM can lead to one of a number of Master's degrees, depending on the interests of the student. All thesis-based Master's degree programs share the same requirements for grades, full-time and part-time status, transfer credits, advising committees, minor programs, and admission to candidacy.

### B. Credits and Academic Requirements

Master of Science and Master of Engineering degrees require completion of a minimum of 36 semester hours beyond the Bachelor degree. At least 12 semester hours must be research credits earned under the supervision of a CSM faculty advisor. General course requirements for each department or division are contained in the "Graduate Degree Programs and Description of Courses" section of this Bulletin. That section also contains department or division guidelines for determining individual course requirements for each student based on the student's home department or division, background and research interest.

The Master of Science and Master of Engineering degrees also require completion of a satisfactory thesis and successful oral defense of that thesis.

The Master of Science thesis is expected to report on original research that results in new knowledge and/or techniques. The Master of Engineering thesis is expected to report on creative engineering design that applies state-of-the-art knowledge and techniques to solve an important problem. In both cases, the thesis should be an exemplary product that meets the rigorous scholarship standards of the Colorado School of Mines.

The student's faculty advisor and the Master's Thesis Committee must approve the program of study and the topic for the thesis. The format of the thesis must comply with the appropriate guidelines promulgated by the Graduate School.

Master's degree students must maintain a cumulative grade point average of 3.0 or better in CSM course work. Other details regarding grades and academic probation are provided in the "General Regulations" section above.

#### C. Transfer of Credits

Up to 9 semester hours of graduate-level course work may be transferred from other institutions toward thesis-based MS or ME degrees subject to the restriction that those courses must not have been used as credit toward a Bachelor degree. Requests for transfer credit must be approved by the faculty according to a process defined by the student's home department or division. Transfer credits are not included in calculating the student's grade point average at CSM.

## D. Minor Programs

Students may choose to have a minor program at the master's level. The minor program may not be taken in the student's major area of study. A designated minor requires a minimum of nine credit hours of course work and must be approved by the Thesis Committee. If a minor program is declared, a member of the minor area of study will serve on the student's Thesis Committee.

## E. Faculty Advisor Appointment

Each Master's student must select a faculty advisor to provide advice regarding the student's thesis direction, research and selection of courses by the middle of their second semester at CSM. The faculty advisor will serve as a voting member of the student's Thesis Committee. The student's department head or division director and the Graduate Dean must approve all faculty advisor appointments.

Advisors must be full-time members of the CSM faculty and must hold the rank of professor, associate professor, assistant professor, research professor, associate research professor or assistant research professor. Upon approval by the Graduate Dean, adjunct professors and off-campus representatives may be designated co-advisors. When

appropriate and upon approval by the Graduate Dean, faculty members outside the student's home department may serve as the student's faculty co-advisor. In either of these cases, a co-advisor must be selected from the student's home department.

#### F. Admission to Candidacy

Each candidate for a Master's degree must be admitted to full candidacy for the degree within the first two calendar years after enrolling as a regular degree student. The application must be approved by the student's faculty advisor and Thesis Committee and must contain a complete list of courses being applied toward the degree. Admission to Candidacy must be granted before a student can register for thesis credits or defend the thesis.

### G. Thesis Committee

The Graduate Dean appoints a Thesis Committee whose members have been recommended by the student, the student's faculty advisor, and the student's department head. Students should have a thesis committee appointed by the end of their second semester. This Committee will have a minimum of three voting members, including the student's advisor, who are familiar with the student's area of study.

Of these Committee members, two must be from the home department or, in the case of interdisciplinary degree programs, an allied department. Off-campus members can be assigned to the Committee to serve either with full voting status or in a non-voting capacity. Off-campus members with voting status assume all of the responsibilities of on-campus Committee members with respect to attendance of Committee meetings, review of thesis drafts and participation in oral examinations and thesis defense sessions.

If a thesis co-advisor is assigned, an additional faculty member from the home or allied department must be added to the committee.

Students who choose to have a minor program at the master's. level must select a representative from their minor area of study to serve on the Thesis Committee. Minor representatives must be full-time members of the CSM faculty.

Shortly after its appointment, the Committee will meet with the student to hear a presentation of the proposed course of study and thesis topic. The Committee and the student must agree on a satisfactory program and the student must obtain the Committee's approval of the written thesis proposal at least one semester prior to the thesis defense. The student's faculty advisor assumes the primary responsibility for monitoring the program and directing the thesis work. The award of the thesis-based Master's degree is contingent upon the student's researching and writing a thesis acceptable to the student's faculty advisor and Thesis Committee.

## H. Thesis Defense

The student submits an initial draft of his or her thesis to the faculty advisor, who will work with the student on necessary revisions. Upon approval of the student's advisor, the revised thesis is circulated to the Thesis Committee members at least one week prior to the oral defense of the thesis.

The oral defense of the thesis is scheduled during the student's final semester of studies. This defense session, which may include an examination of material covered in the student's course work, will be open to the public.

Following the defense, the Thesis Committee will meet privately to vote on whether the student has successfully defended the thesis. Three outcomes are possible: the student may pass the oral defense; the student may fail the defense; or the Committee may vote to adjourn the defense to allow the student more time to address and remove weaknesses or inadequacies in the thesis or underlying research. Two negative votes will constitute a failure regardless of the number of Committee members present at the thesis defense. In the event of either failure or adjournment, the Chair of the Thesis Committee will prepare a written statement indicating the reasons for this action and will distribute copies to the student, the Thesis Committee members, the student's department head and the Graduate Dean. In the case of failure or adjournment, the student may request a re-examination, which must be scheduled no less than one week after the original defense. A second failure to defend the thesis satisfactorily will result in the termination of the student's graduate program.

Upon passing the oral defense of thesis or report, the student must make any corrections in the thesis required by the Thesis Committee. The final, corrected copy and an executed signature page indicating approval by the student's advisor and department head must be submitted to the Office of Graduate Studies for format approval. (Format instructions are available in the Office of Graduate Studies and should be obtained before beginning work on the thesis.) Master's students must also complete the graduate checkout process within 45 calendar days following the successful defense of thesis. A more detailed explanation of this policy can be found in the General Regulations section of this Bulletin under "Graduation." Should the student fail to complete the checkout within the prescribed period, the Thesis Committee may require the student to orally defend, again, his or her thesis.

## **IV. Doctor of Philosophy**

## A. Credits, Academic and Campus Residence Requirements

The Doctor of Philosophy degree requires completion of a minimum of 72 semester hours beyond the Bachelor degree. At least 24 semester hours must be research credits earned under the supervision of a CSM faculty advisor.

General course requirements for each department or division are contained in the "Graduate Degree Programs and Description of Courses" section of this Bulletin. That section also contains department or division guidelines for determining individual course requirements for each student based on the student's home department or division, background and research interest.

The degree also requires completion of a satisfactory doctoral thesis and successful oral defense of this thesis. The Doctoral Thesis is expected to report on original research that results in a significant contribution of new knowledge and/or techniques. The student's faculty advisor and the Doctoral Thesis Committee must approve the program of study and the topic for the thesis.

Doctoral students must complete at least two semesters of full-time residence at CSM (as defined in the Registration and Residency section above) during the course of their graduate studies.

#### B. Transfer of Credits

Up to 24 semester hours of graduate-level course work may be transferred from other institutions toward the PhD degree subject to the restriction that those courses must not have been used as credit toward a Bachelor degree. Requests for transfer credit must be approved by the faculty according to a process defined by the student's home department or division. Transfer credits are not included in calculating the student's grade point average at CSM.

In lieu of transfer credit for individual courses, students who enter the PhD program with a thesis-based master degree from another institution may transfer up to 36 semester hours in recognition of the course work and research completed for that degree. The student's advisor must recommend the appropriate number of semester hours to be requested, and the request must be approved by the faculty according to a process defined by the student's home department or division.

### C. Faculty Advisor Appointments

Each doctoral student must select a faculty advisor to advise with respect to the student's thesis direction and research and selection of courses by the middle of their second semester at CSM. The faculty advisor will serve as a voting member of the student's Doctoral Thesis Committee. The student's department head and the Graduate Dean must approve all faculty advisor appointments.

Advisors must be full-time members of the CSM faculty and must hold the rank of professor, associate professor, associate professor, associate research professor or assistant research professor. Upon approval by the Graduate Dean, adjunct professors and off-campus representatives may be designated co-advisors. When appropriate and upon approval by the Graduate Dean, faculty members outside the student's home department may serve as the student's faculty co-advisor. In either of these cases, a co-advisor must be selected from the student's home department.

### D. Minor Programs

All doctoral candidates except those in the Materials Science and Geochemistry programs or candidates for individualized interdisciplinary degrees must complete 12 credit hours in a minor program of study. This program is intended to provide a breadth of knowledge in support of the student's principal research interests. The student's faculty advisor and Doctoral Thesis Committee must approve the course selection and sequence in the minor program.

#### E. Doctoral Thesis Committees

The Graduate Dean appoints a Doctoral Thesis Committee whose members have been recommended by the student's home department or division. Students should have a thesis committee appointed by the end of their second semester. This Committee must have a minimum of five voting members that fulfill the following criteria:

- 1. The Committee must include an advisor who is assigned responsibility for directing the research. If two advisors are appointed, they both shall be considered co-advisors and shall be voting members of the Committee.
- Either the advisor or at least one co-advisor must be a full-time permanent faculty member in the home department, division or interdisciplinary program in order to ensure compliance with program requirements.
- 3. The Committee must have at least four other voting members in addition to the advisor or co-advisors, and a majority of the voting members (including the advisor or co-advisors) must be full-time permanent CSM faculty members.
- 4. At least two of the "additional" committee members must be knowledgeable in the technical areas of the thesis, and at least one of them must be a member of the student's home or allied department, division or interdisciplinary program.
- 5. If a minor field is designated, the third "additional" committee member must be an expert in that field. In the case of an interdisciplinary degree, the third committee member must be an expert in one of the fields represented in the research.
- 6. The fourth "additional" committee member must be from outside the home and allied departments or divisions and the minor field if applicable.

7 If off-campus members are nominated for voting status, the committee request form must include a brief resume of their education and/or experience that demonstrates their competence to judge the quality and validity of the thesis. Such members also must agree to assume the same responsibilities expected of on-campus Committee members including, but not limited to, attendance at Committee meetings, review of thesis proposals and drafts, and participation in oral examinations and defenses.

Shortly after its appointment, the Doctoral Thesis Committee meets with the student to hear a presentation of the proposed course of study and thesis topic. The Committee and student must agree on a satisfactory program. The student's faculty advisor then assumes the primary responsibility for monitoring the program, directing the thesis work, arranging qualifying examinations, and scheduling the thesis defense.

# F. Admission to Candidacy

Full-time students must complete the following requirements within the first two calendar years after enrolling as a regular degree student.

- ♦ have a thesis committee appointment form on file in the Graduate Office;
- complete all prerequisite and core curriculum requirements of their department, division or program;
- ♦ demonstrate adequate preparation for, and satisfactory ability to conduct, doctoral research; and
- be admitted into full candidacy for the degree.

Each degree program publishes a list of prerequisite and core curriculum requirements for that degree. If students are admitted with deficiencies, the appropriate department heads, division directors or program directors will provide the students written lists of courses required to remove the deficiencies. These lists will be given to the students no later than one week after the start of classes of their first semester in order to allow them to add/drop courses as necessary. Each program also defines the process for determining whether its students have demonstrated adequate preparation for, and have satisfactory ability to do, high-quality, independent doctoral research in their specialties. These requirements and processes are described under the appropriate program headings in the section of this Bulletin on Graduate Degree Programs and Description of Courses.

Upon completion of these requirements, students must submit an Admission to Candidacy form documenting satisfactory completion of the prerequisite and core curriculum requirements and granting permission to begin doctoral research. The form must have the written approval of all members of the Ph.D. Committee.

# G. Thesis Defense

The doctoral thesis must be based on original research of excellent quality in a suitable technical field, and it must exhibit satisfactory literary merit. In addition, the format of the thesis must comply with guidelines promulgated by the Office of Graduate Studies. (Students should obtain a copy of these guidelines from the Office of Graduate Studies before beginning work on the thesis.)

The thesis topic must be submitted in the form of a written proposal to the student's faculty advisor and the formed. The Committee must approve the proposal at least one year before the thesis defense.

The student's faculty advisor is responsible for supervising the student's research work and consulting with other Doctoral Thesis Committee members on the progress of the work. The advisor must consult with the Committee on any significant change in the nature of the work. The student submits an initial draft of his or her thesis to the advisor, who will work with the student on necessary revisions. Upon approval of the student's advisor, the revised-thesis is distributed to the other members of the Committee at least one week prior to the oral defense of the thesis.

The student must pass an oral defense of his or her thesis during the final semester of studies. This oral defense may include an examination of material covered in the student's course work. The defense will be open to the public.

Following the defense, the Doctoral Thesis Committee will meet privately to vote on whether the student has successfully defended the thesis. Three outcomes are possible: the student may pass the oral defense; the student may fail the defense; or the Committee may vote to adjourn the defense to allow the student more time to address and remove weaknesses or inadequacies in the thesis or underlying research. Two negative votes will constitute a failure regardless of the number of Committee members present at the thesis defense. In the event of either failure or adjournment, the Chair of the Doctoral Thesis Committee will prepare a written statement indicating the reasons for this action and will distribute copies to the student, the Thesis Committee members, the student's department head and the Graduate Dean. In the case of failure, the student may request a re-examination, which must be scheduled no less than one week after the original defense. A second failure to defend the thesis satisfactorily will result in the termination of the student's graduate program.

Upon passing the oral defense of thesis, the student must make any corrections in the thesis required by the Doctoral Thesis Committee. The final, corrected copy and an executed signature page indicating approval by the student's advisor and department head must be submitted to the Office of Graduate Studies for format approval.

Doctoral students must also complete the graduate checkout process within 45 calendar days following the

successful defense of thesis. A more detailed explanation of this policy can be found in the General Regulations section of this Bulletin under "Graduation." Should the student fail to complete the checkout within the prescribed period, the Doctoral Thesis Committee may require the student to orally defend, again, his or her thesis.

# V. Individualized, Interdisciplinary Graduate Degrees

# A. General

In addition to its traditional graduate degree programs, CSM offers students the opportunity to earn research degrees by solving problems which fit Mines' institutional role and mission but which cannot be addressed solely within a single discipline or existing degree program. Each student in the Individualized, Interdisciplinary Graduate (IIG) program will work with faculty advisors from two departments or divisions at Mines, and the composition of the thesis committee will reflect the fields involved in the research. Upon satisfactory completion of the program, they will be awarded the appropriate degree (MS, ME, or PhD) bearing the names of both of the departments or divisions involved in the interdisciplinary program.

# B. Admission Process

Before submitting an application, prospective candidates for IIG degrees must meet with the IIG program Coordinator to explore the match between their interdisciplinary interests and existing programs available on campus. Following each meeting, the IIG program Director will provide written feedback which includes his or her recommendations about the feasibility of the proposed interdisciplinary research and the ways in which it fits CSM's role and mission.

The home department or division for each currently-enrolled student must be one of the two involved in the proposed interdisciplinary program. Any prospective candidate who is not currently enrolled at CSM must apply for and receive full admission to graduate study in a home department or division (see the *Admission to Graduate School* section of this Bulletin) before submitting an application.

When the above requirements have been met, each applicant must prepare a letter requesting admission to the IIG program and a proposal outlining an interdisciplinary research plan. The letter, the proposal and the IIG Director's written feedback will be submitted to the heads or directors of the two departments or divisions involved for review and recommendation. The compete package (including their recommendations) then will be delivered to Dean of Graduate Studies for a decision to approve or not approve, taking into consideration:

- 1. the interdisciplinary scope of the proposal,
- 2. the relation of the program to the Mines mission,
- 3. educational and research resources at Mines,
- 4. the quality of the proposed course of study and research,
- 5. the qualifications of the student, and
- 6. the recommendations of the department heads or division directors.

# C. Graduation Requirements

Candidates for IIG degrees must meet all graduation requirements in the general section of the CSM Graduate Bulletin. During their first year, they also must attend a required interdisciplinary seminar. In addition, as a condition of their endorsement of admission to the IIG program, the heads or directors of both departments or divisions may recommend that the candidates be required to meet some or all of their department or division requirements. The IIG Thesis Committee will make the final decision on the course of study for each student, taking into consideration the department or division recommendations and the technical content of the proposed research program.

#### D. Transfer Credits

Transfer of credits from other institutions will be allowed as indicated in the section of this Bulletin for the equivalent disciplinary degree (MS, ME or PhD), except that approval authority shall rest with the IIG Thesis Committee.

# E. Minor Programs

A minor program is not required for an IIG degree.

#### F. Thesis Advisors

Each IIG program student must have two co-advisors, one for each discipline that will be included in the degree title. At least one co-advisor must be a full-time member of the CSM faculty holding the rank of professor, associate professor, assistant professor, research professor, associate research professor, or assistant research professor. With the approval of the Dean of Graduate Studies, the other co-advisor may be from another CSM department or from outside CSM.

# G. Thesis Committees

The Dean of Graduate Studies will appoint a Thesis Committee based on recommendations from the student and the director of the IIG program. The composition, authority and operation of the Committee will be as indicated in the

Board-approved policy available from the Graduate Office.

#### H. Admission to Candidacy

Requirements and procedures for admission to candidacy will be as indicated in the section of this Bulletin for the equivalent disciplinary degree.

# I. Thesis Defense

Requirements and procedures for defense of thesis will be as indicated in the section of this Bulletin for the equivalent disciplinary degree.

#### J. For More Information

For more information about admission or requirements, or for the name of the IIG program Coordinator, contact the Graduate Office at grad-school@mines.edu or 303-273-3248.

# Graduate Degree Programs and Description of Courses

In addition to the general degree requirements described in the previous pages, the following specific department, division, or program requirements must also be met:

# Chemical Engineering

JAMES F. ELY, Professor and Head of Department ROBERT M. BALDWIN, Professor ANNETTE L. BUNGE, Professor ANTHONY M. DEAN, W.K. Coors Distinguished Professor RONALD L. MILLER, Professor E. DENDY SLOAN, Weaver Distinguished Professor JOHN R. DORGAN, Associate Professor J. THOMAS MCKINNON, Associate Professor J. DOUGLAS WAY, Associate Professor DAVID W.M. MARR, Associate Professor COLIN A. WOLDEN, Associate Professor DAVID T. WU, Associate Professor CLARE McCABE, Assistant Professor JAMES H. GARY, Professor Emeritus JOHN O. GOLDEN, Professor Emeritus ARTHUR J. KIDNAY, Professor Emeritus VICTOR F. YESAVAGE, Professor Emeritus MICHAEL S. GRABOSKI, Research Professor ROBERT D. KNECHT, Research Professor SERGEI KISELEV, Research Associate Professor HANS-HEINRICH CARSTENSEN, Research Associate Professor

#### Degrees Offered:

Master of Science (Chemical Engineering)

Doctor of Philosophy (Chemical Engineering)

#### Program Description:

The program of study for an advanced degree in chemical engineering is selected by the student in consultation with his/her advisor and with the approval of the thesis committee. Upon approval of the thesis committee, graduate credit may be earned for selected 400-level courses. All full-time graduate students are required to enroll for colloquium (ChEN605) for each semester that they are in residence at CSM.

# Program Requirements:

See Required Curriculum below.

#### Prerequisites:

The program outlined here assumes that the candidate for an advanced degree has a background in chemistry, mathematics, and physics equivalent to that required for the B.S. degree at Colorado School of Mines in Chemical Engineering. Undergraduate course deficiencies must be removed prior to enrollment in graduate coursework.

The essential undergraduate courses include ChEN 201, ChEN 307, ChEN 308, ChEN 357, and ChEN 375.

# Required Curriculum:

# Master of Science Program:

Students entering the Master of Science (with thesis) program with an acceptable undergraduate degree in chemical engineering are required to take a minimum of 18 semester hours of course work. All students must complete the four chemical engineering core graduate courses (ChEN507, ChEN509, ChEN516, and ChEN518) and an additional six hours of approved electives. In addition, students must complete and defend an acceptable Masters dissertation. Full-time Masters students must enroll in graduate colloquium (ChEN605) each semester they are in residence.

Students entering the Master of Science (non-thesis) program with an acceptable undergraduate degree in chemical engineering are required to take a minimum of 36 semester hours of course work. All students must complete the four chemical engineering core graduate courses (ChEN507, ChEN509, ChEN516, and ChEN518) and an additional 18 hours of approved electives. Students may complete an acceptable engineering report for up to six hours of academic credit. Full-time Masters students must enroll in graduate colloquium (ChEN605) each semester they are in residence.

# **Doctor of Philosophy Program:**

The course of study for the Ph.D. degree consists of a minimum of 30 semester hours of course work. All Ph.D. students must complete the four core courses (ChEN507, ChEN509, ChEN518, and ChEN516) and an additional six hours of approved electives. Students are required to complete a minor in a discipline outside of the department (minimum of 12 semester hours of graduate coursework). In addition, students must complete and defend an acceptable Doctoral dissertation. Full-time Ph.D. students must enroll in graduate colloquium (ChEN605) each semester they are in residence.

Students in the Ph.D. program are required to pass both a Qualifying Exam and the Ph.D. Proposal Defense. These requirements are described below:

#### Ph.D. Qualifying Examination

The Ph.D. qualifying examination will be offered twice each year, at the start and end of the Spring semester. All students who have entered the Ph.D. program must take the qualifying examination at the first possible opportunity. Students in the M.S. program may take the qualifying examination at any time. A student may retake the examination once if he/she fails the first time; however, the examination must be retaken at the next regularly scheduled examination time. Failure of the Ph.D. qualifying examination does not disqualify a student for the M.S. degree, although failure may affect the student's financial aid status.

The qualifying examination will cover the traditional areas of Chemical Engineering, and will consist of two sections: a written section and an oral section. The written section will contain six questions, three at the undergraduate level (covering fluid mechanics, heat transfer, and mass transfer/material and energy balances) and three at the graduate level (covering applied engineering mathematics, reaction kinetics, and thermodynamics). The qualifying examination is open-book and students are free to use any reference books or course notes during the written examination. The oral examination will consist of a presentation by the student on a technical paper from the chemical engineering literature. Students will choose a paper in one of four areas (thermodynamics, kinetics, transport, and materials) from a list determined by the faculty. The student is required to present an oral critique of the paper of approximately 20 minutes followed by questions from the faculty. Papers for the oral examination will be distributed well in advance of the oral portion of the exam so students have sufficient time to prepare their presentations.

#### Ph.D. Proposal Defense

After passing the Qualifying Exam, all Ph.D. candidates are required to prepare a detailed written proposal on the subject of their Ph.D. research topic. An oral examination consisting of a defense of the thesis proposal must be completed within approximately one year of passing the Qualifying Examination. Written proposals must be submitted to the student's thesis committee no later than one week prior to the scheduled oral examination.

Two negative votes from the doctoral committee members are required for failure of the Ph.D. Proposal Defense. In the case of failure, one re-examination will be allowed upon petition to the Department Head. Failure to complete the Ph.D. Proposal Defense within the allotted time without an approved postponement will result in failure. Under extenuating circumstances a student may postpone the exam with approval of the Graduate Affairs committee, based on the recommendation of the student's thesis committee. In such cases, a student must submit a written request for postponement that describes the circumstances and proposes a new date. Requests for postponement must be presented to the thesis committee no later than two weeks before the end of the semester in which the exam would normally have been taken.

#### Description of Courses

ChEN402. CHEMICAL ENGINEERING DESIGN Process simulation and process optimization. Prerequisite: ChEN201, ChEN307, ChEN308, ChEN357, ChEN375, ChEN418, or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN403. PROCESS DYNAMICS AND CONTROL Mathematical modeling and analysis of transient systems. Applications of control theory to response of dynamic chemical engineering systems and processes. Prerequisite: ChEN307, ChEN308, ChEN375, MACS315, or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN408. NATURAL GAS PROCESSING Application of chemical engineering principles to the processing of natural gas. Emphasis on using thermodynamics and mass transfer operations to analyze existing plants. Relevant aspects of computer-aided process simulation. Prerequisites: ChEN201, ChEN307, ChEN308, ChEN357, ChEN375, or consent of instructor. 3 hours lecture, 3 semester hours.

ChEN409. PETROLEUM PROCESSES Application of chemical engineering principles to petroleum refining. Thermodynamics and reaction engineering of complex hydrocarbon systems. Relevant aspects of computer-aided process simulation for complex mixtures. Prerequisite: CHGN221, CHGN351 and 353, ChEN201, ChEN357, or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN415. POLYMER SCIENCE AND TECHNOLOGY Chemistry and thermodynamics of polymers and polymer solutions. Reaction engineering of polymerization. Characterization techniques based on solution properties. Materials science of polymers in varying physical states. Processing operations for polymeric materials and use in separations. Prerequisite: CHGN221, MACS315, ChEN357, or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN416. POLYMER ENGINEERING AND TECHNOLOGY Polymer fluid mechanics, polymer rheological response, and polymer shape forming. Definition and measurement of material properties. Interrelationships between response functions and correlation of data and material response. Theoretical approaches for prediction of polymer properties. Processing operations for polymeric materials; melt and flow instabilities. Prerequisite: ChEN307, MACS315, or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN418. REACTION ENGINEERING Applications of the fundamentals of thermodynamics, physical chemistry, and organic chemistry to the engineering of reactive processes. Reactor design; acquisition and analysis of rate data; heterogeneous catalysis. Relevant aspects of computer-aided process simulation. Prerequisite: ChEN307, ChEN308, ChEN357, MACS315, CHGN221, CHGN353, or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN420. MATHEMATICAL METHODS IN CHEMICAL ENGINEERING Formulation and solution of chemical engineering problems using exact analytical solution methods. Set-up and solution of ordinary and partial differential equations for typical chemical engineering systems and transport processes. Prerequisite: MACS315, ChEN307, ChEN308, ChEN375, or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN421. ENGINEERING ECONOMICS Economic analysis of engineering processes and systems. Interest, annuity, present value, depreciation, cost accounting, investment accounting and financing of engineering enterprises along with taxation, market evaluation and break-even analysis. Prerequisite: consent of instructor. 3 hours lecture; 3 semester hours.

ChEN430. TRANSPORT PHENOMENA Theory and chemical engineering applications of momentum, heat, and mass transport. Set up and solution of problems involving equations of motion and energy. Prerequisite: ChEN307, ChEN308, ChEN357, ChEN375, MACS315, or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN440. MOLECULAR PERSPECTIVES IN CHEMICAL ENGINEERING Applications of statistical and quantum mechanics to understanding and prediction of equilibrium and transport properties and processes. Relations between microscopic properties of materials and systems to macroscopic behavior. Prerequisite: ChEN307, ChEN308, ChEN357, ChEN375, CHGN351 and 353, CHGN221 and 222, MACS315, or consent of instructor. 3 hours lecture; 3 semester hours.

#### **Graduate Courses**

500-level courses are open to qualified seniors with permission of the department and the Dean of the Graduate School.

The 600-level courses are open only to students enrolled in the Graduate School.

ChEN501. ADVANCED HEAT TRANSFER Formulation of the laws governing the transport of energy. Transient and steady-state analysis for heat conduction. The transport of thermal energy in fluids in motion; free and forced convection in laminar and turbulent flow over surfaces and within conduits. Prerequisite: ChEN516 or consent of instructor. 3 hours lecture-discussion; 3 semester hours.

ChEN504. ADVANCED PROCESS ENGINEERING ECONOMICS Advanced engineering economic principles applied to original and alternate investments. Analysis of chemical and petroleum processes relative to marketing and return on investments. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

ChEN505. NUMERICAL METHODS IN CHEMICAL ENGINEERING Engineering applications of numerical methods. Numerical integration, solution of algebraic equations, matrix algebra, ordinary differential equations, and special emphasis on partial differential equations. Emphasis on application of numerical methods to chemical engineering problems which cannot be solved by analytical methods. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

ChEN507. APPLIED MATHEMATICS IN CHEMICAL ENGINEERING This course stresses the application of mathematics to problems drawn from chemical engineering fundamentals such as material and energy balances, transport phenomena and kinetics. Formulation and solution of ordinary and partial differential equations arising in chemical engineering or related processes or operations are discussed. Mathematical approaches are restricted to analytical solutions or techniques for producing problems amenable to analytical solutions. Prerequisite: Undergraduate differential equations course; undergraduate chemical engineering courses covering reaction kinetics, and heat, mass and momentum transfer. 3 hours lecture-discussion; 3 semester hours.

ChEN508. ADVANCED FLUID MECHANICS Development of basic conservation equations for momentum transfer. Constitutive equations for Newtonian and elementary non-Newtonian fluids. Exact solutions of the Navier-Stokes equations. Ordering and approximations. Applications to low and high Reynolds number flows. Prerequisite: ChEN516 or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN509. ADVANCED CHEMICAL ENGINEERING THERMODYNAMICS Extension and amplification of undergraduate chemical engineering thermodynamics. Topics will include the laws of thermodynamics, thermodynamic properties of pure fluids and fluid mixtures, phase equilibria, and chemical reaction equilibria. Prerequisite: ChEN357 or equivalent or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN510. CHEMICAL REACTOR ANALYSIS AND DESIGN Non-ideal flow effects on reactor design. Stability of stirred tank and tubular flow reactors. Mass and heat transfer effects. Modeling of heterogeneous chemical reactors. Fluidized bed reactors. Prerequisite: ChEN418 or equivalent. 3 hours lecture; 3 semester hours.

ChEN511. INDIVIDUAL STUDIES Individual theoretical or experimental studies under the direction of a department faculty member, but not leading to a thesis. Course may be repeated for credit. Prerequisite: Consent of instructor. 1 to 3 semester hours; 6 semester hours maximum credit.

ChEN513. SELECTED TOPICS IN CHEMICAL ENGINEERING Selected topics chosen from special interests of instructor and students. Course may be repeated for credit on different topics. Prerequisite: Consent of instructor. 1 to 3 semester hours lecture/discussion; 1 to 3 semester hours.

ChEN514. ADVANCED STAGED SEPARATIONS Principles of stagewise separations with major emphasis on multicomponent processes for distillation, absorption, and extraction. Topics include brief review of ideal phase separations, classical stage-by-stage multicomponent methods, modern successive approximation methods for multicomponents, general short-cut methods, tray hydraulics and efficiency. Prerequisite: ChEN375 or equivalent. 3 hours lecture; 3 semester hours.

ChEN515. ADVANCED MASS TRANSFER Fundamental principles of mass transfer with application to design of mass transfer processes. Theory of diffusion in gases and liquids for single and multicomponent species. Mass transfer in laminar and turbulent flows. Transport analogies, simultaneous heat and mass transfer, with examples of drying and humidification processes. Mass transfer with chemical reaction; examples of slow intermediate, and fast reactions with application to design of mass contactors. Interfacial mass transfer and mass transfer in two-phase flows. Design of packed beds and columns, gas-sparged reactors. Prerequisite: Graduate course in transport phenomena (ChEN516). 3 hours lecture-discussion; 3 semester hours.

ChEN516. TRANSPORT PHENOMENA Principles of momentum, heat, and mass transfer with application to chemical processes. Flow in ducts and around submerged objects. Heat conduction and molecular diffusion. Convective heat and mass transfer. Heat- and mass-transfer coefficients. Transport analogies and correlations. Prerequisite: ChEN507. 3 hours lecture-discussion; 3 semester hours.

ChEN517. PETROLEUM REFINERY PROCESSING Composition and evaluation of petroleum crude oils and other hydrocarbons. Basic refinery processes, including operating conditions, chemical reactions, catalysts, economics, and pollution control. Emphasis on needs for refinery processes, such as: distillation, desulfurization, coking, solvent extraction, hydrofining, hydrocracking, catalytic cracking, reforming, isomerization, polymerization. New process requirements for meeting fuel specifications. Prerequisite: ChEN409 or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN518. REACTION KINETICS AND CATALYSIS Homogeneous and heterogeneous rate expressions. Fundamental theories of reaction rates. Analysis of rate data and complex reaction networks. Properties of solid catalysts. Mass and heat transfer with chemical reaction. Heterogeneous non-catalytic reactions. Prerequisite: ChEN418 or equivalent. 3 hours lecture; 3 semester hours.

ChEN519. SYNTHETIC FUEL PROCESSES Processes that generate hydrocarbons from coal, tar sands, and oil shale. Other energy sources as well as direct conversion processes will also be considered in view of supply and economics. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

ChEN520. THERMODYNAMICS OF PHASE EQUILIBRIA Application of current theories in multicomponent phase equilibria to the solution of engineering problems. Topics include: introduction to the theory of intermolecular forces,

theory of corresponding states, fugacities in gas and liquid mixtures, introduction to the theory of liquids. Prerequisite: ChEN509 or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN521. CRYOGENIC ENGINEERING Thermodynamic analysis of cryogenic systems. Survey of the properties of cryogenic fluids. Analysis of heat transfer, fluid flow, and separation processes at low temperatures. Introduction to superconductivity and superfluidity. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

ChEN523. ENGINEERING AND THE ENVIRONMENT Discussion of the many engineering problems that arise when man interacts with his environment. Comprehensive treatment of topics such as pollution, thermal pollution, treatment of industrial and municipal wastes, solid waste treatment, and the disposal of radioactive wastes. Economic and legislative aspects of these problems will also be considered. Prerequisite: Consent of instructor. 3 semester hours.

ChEN524. COMPUTER-AIDED PROCESS SIMULATION Advanced concepts in computer-aided process simulation are covered. Topics include optimization, heat exchanger networks, data regression analysis, and separations systems. Use of industry-standard process simulation software (Aspen Plus) is stressed. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

ChEN525. SELECTED TOPICS IN EMERGING CHEMICAL ENGINEERING TECHNOLOGY An introduction to new chemical engineering technologies. Current examples include biotechnology, supercritical fluid extraction and biomedical engineering. Emphasis is on providing students with appropriate terminologies, identifying new applications of chemical engineering principles and potential areas of research. Prerequisite: Consent of instructor. Lecture and/or laboratory; 1 to 3 semester hours.

ChEN527. ATMOSPHERIC CHEMISTRY This course provides students the opportunity to explore technical aspects of many important recent topics in air pollution. The course includes the chemistry, monitoring, health and environmental effects of air pollution including ozone layer depletion, acid rain, and global climate change. Technical aspects of environmental regulations and policy are included along with interpretation of laboratory experiments, field measurements, and computer modeling. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

ChEN545. SIMULATION AND MODELING IN CHEMICAL PROCESS INDUSTRIES Application of basic principles of physics, chemistry, transport phenomena and reaction kinetics to real systems. The philosophy of process modeling at different levels of complexity is developed and numerous examples based on the chemical process industry and naturally occurring processes are used. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

ChGN550 MEMBRANE SEPARATION TECHNOLOGY This course is an introduction to the fabrication, characterization, and application of synthetic membranes for gas and liquid separations. Industrial membrane processes such as reverse osmosis, filtration, pervaporation, and gas separations will be covered as well as new applications from the research literature. The course will include lecture, experimental, and computational (molecular simulation) laboratory components. Prerequisites: CRGN375, CRGN430 or consent of instructor. 3 hours lecture; 3 semester hours.

ChEN584 (CHGN584). FUNDAMENTALS OF CATALYSIS The basic principles involved in the preparation, characterization, testing and theory of heterogeneous and homogeneous catalysts are discussed. Topics include chemisorption, adsorption isotherms, diffusion, surface kinetics, promoters, poisons, catalyst theory and design, acid base catalysis and soluble transition metal complexes. Examples of important industrial applications are given. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

ChEN598. SPECIAL TOPICS IN CHEMICAL ENGINEERING Pilot course of special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

ChEN599. INDEPENDENT STUDY Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

ChEN601. ADVANCED TOPICS IN HEAT TRANSFER In-depth analysis of selected topics in heat transfer with special emphasis on chemical engineering applications. Prerequisite: ChEN501 or consent of instructor. 1 to 3 hours lecture-discussion; 1 to 3 semester hours.

ChEN604. TOPICAL RESEARCH SEMINARS Lectures, reports, and discussions on current research in chemical engineering, usually related to the student's thesis topic. Sections are operated independently and are directed toward different research topics. Course may be repeated for credit. Prerequisite: Consent of instructor. 1 hour lecture-discussion; 1 semester hour.

ChEN605. COLLOQUIUM Students will attend a series of lectures by speakers from industry, academia, and government. Primary emphasis will be on current research in chemical engineering and related disciplines, with secondary emphasis on ethical, philosophical, and career-related issues of importance to the chemical engineering profession. Prerequisite: Graduate status. 1 hour lecture; 1 semester hour.

ChEN607. ADVANCED TOPICS IN CHEMICAL ENGINEERING MATHEMATICS In-depth analysis of selected topics in applied mathematics with special emphasis on chemical engineering applications. Prerequisite: ChEN507 or consent of instructor. 1 to 3 hours lecture-discussion; 1 to 3 semester hours.

ChEN608. ADVANCED TOPICS IN FLUID MECHANICS In-depth analysis of selected topics in fluid mechanics with special emphasis on chemical engineering applications. Prerequisite: ChEN508 or consent of instructor. 1 to 3 hours lecture-discussion; 1 to 3 semester hours.

ChEN609. ADVANCED TOPICS IN THERMODYNAMICS Advanced study of thermodynamic theory and application of thermodynamic principles. Possible topics include stability, critical phenomena, chemical thermodynamics, thermodynamics of polymer solutions and thermodynamics of aqueous and ionic solutions. Prerequisite: Consent of instructor. 1 to 3 semester hours.

ChEN610. APPLIED STATISTICAL THERMODYNAMICS Principles of relating behavior to microscopic properties. Topics include element of probability, ensemble theory, application to gases and solids, distribution theories of fluids, and transport properties. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

ChEN611. APPLIED STATISTICAL MECHANICS Continuation of ChEN610. Advanced applications of statistical thermodynamics and statistical mechanics including perturbation and integral equation theory, computer simulation and theory of electrolytes. Introduction to theory of nonequilibrium systems including Chapman-Enskog, Brownian motion and time correlation functions. Prerequisite: ChEN610 or equivalent; ChEN507 or equivalent; ChEN509. 3 hours lecture; 3 semester hours.

ChEN612. ADVANCED INDIVIDUAL STUDIES Advanced theoretical or experimental studies on chemical engineering subjects not currently covered in other department courses. Course may be repeated for credit. Prerequisite: Consent of instructor. 1 to 3 semester hours; 6 semester hours maximum credit.

ChEN615. ADVANCED TOPICS IN MASS TRANSFER In-depth analyses of selected topics in mass transfer with special emphasis on chemical engineering applications. Possible topics include ion-exchange or adsorption chromatography, theories of interfacial mass transfer, mass transfer with reaction, and simultaneous heat and mass transfer. Prerequisite: Graduate mass transfer course (ChEN515). 1 to 3 hours lecture-discussion; 1 to 3 semester hours.

ChEN618. ADVANCED TOPICS IN REACTION KINETICS Fundamental theories of reaction rates. Basic principles of chemical kinetics in homogeneous and heterogeneous systems. Reactions in solution, reactions on surfaces, and composite reactions. Homogeneous catalysis, and isotope effects in reaction dynamics. Photochemical reactions. Prerequisite: Graduate reaction engineering course (ChEN518). 1 to 3 hours lecture-discussion; 1 to 3 semester hours.

ChEN690. SUPERVISED TEACHING OF CHEMICAL ENGINEERING Individual participation in teaching activities. Discussion, problem review and development, guidance of laboratory experiments, course development, supervised practice teaching. Course may be repeated for credit. Prerequisite: Graduate standing, appointment as a graduate student instructor, or consent of instructor. 6 to 10 hours supervised teaching; 2 semester hours.

ChEN698. SPECIAL TOPICS IN CHEMICAL ENGINEERING Pilot course of special topics course. Topics chosen from special interests of instructor(s) and student(s). Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

ChEN699. INDEPENDENT STUDY Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

ChEN701. GRADUATE THESIS-MASTER OF SCIENCE Library search and laboratory work for the master's thesis in petroleum refining under the supervision of the graduate student's advisory committee. 6 semester hours upon completion of report.

ChEN703. GRADUATE THESIS-DOCTOR OF PHILOSOPHY Preparation of the doctoral thesis under supervision of the graduate student's advisory committee. 30 semester hours.

ChEN705. GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

ChEN706. GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

SYGN600. FUNDAMENTALS OF COLLEGE TEACHING Principles of learning and teaching in a college setting. Methods to foster and assess higher order thinking. Effective design, delivery, and assessment of college courses or presentations. Prerequisite: Graduate standing, or consent of instructor. 2 semester hours.

# Chemistry and Geochemistry

PAUL W. JAGODZINSKI, Professor and Department Head DEAN W. DICKERHOOF, Professor DONALD L. MACALADY, Professor PATRICK MACCARTHY, Professor MICHAEL J. PAVELICH, Professor KENT J. VOORHEES, Professor SCOTT W. COWLEY, Associate Professor MARK E. EBERHART, Associate Professor DANIEL M. KNAUSS, Associate Professor KEVIN W. MANDERNACK, Associate Professor E. CRAIG SIMMONS, Associate Professor KIM R. WILLIAMS, Associate Professor DAVID T. WU, Associate Professor C. JEFFREY HARLAN, Assistant Professor DAVID M. UPDEGRAFF, Research Professor STEVEN F. DEC, Lecturer JAMES F. RANVILLE, Research Assistant Professor RAMON E. BISOUE, Professor Emeritus STEPHEN R. DANIEL, Professor Emeritus KENNETH W. EDWARDS, Professor Emeritus GEORGE H. KENNEDY, Professor Emeritus RONALD W. KLUSMAN, Professor Emeritus DONALD LANGMUIR, Professor Emeritus GEORGE B. LUCAS, Professor Emeritus MAYNARD SLAUGHTER, Professor Emeritus THOMAS R. WILDEMAN. Professor Emeritus JOHN T. WILLIAMS, Professor Emeritus ROBERT D. WITTERS, Professor Emeritus CHARLES W. STARKS, Associate Professor Emeritus

#### Degrees Offered:

Master of Science (Chemistry)

Doctor of Philosophy (Applied Chemistry)

Master of Science (Geochemistry)

Doctor of Philosophy (Geochemistry)

All of the Department's degree programs have been admitted to the Western Regional Graduate Program. This allows residents of Alaska, Arizona, Hawaii, Idaho, Montana, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Utah, Washington, and Wyoming to register at Colorado resident tuition rates.

#### Program Description:

There are two basic graduate programs offered by the Department of Chemistry and Geochemistry. Undergraduate deficiencies of students entering one of these programs will be determined by the Department of Chemistry and Geochemistry for applied chemistry students and by the geochemistry faculty for geochemistry students through interviews and placement examinations at the beginning of the student's first semester of graduate work.

#### Prerequisites:

The candidate for an advanced degree in applied chemistry should have completed an undergraduate program which is essentially equivalent to that required at Colorado School of Mines in chemistry. The candidate for an advanced degree in geochemistry should have completed an undergraduate program in chemistry or geology which is equivalent to that required for a bachelor's degree from an accredited university. Deficiencies in one or both of these areas will be determined on an individual basis. For a more complete description, refer to the Geochemistry program description below.

#### Required Curriculum:

#### **Applied Chemistry:**

The program of study is selected by the student in consultation with the advisor and thesis committee. Thesis, seminar, and the core courses CHGN502 (inorganic), CHGN503 (physical), CHGN505 (organic), and CHGN507 (analytical) are required.

M.S. The program of study includes CHGN560, CHGN502, CHGN503, CHGN505, CHGN507, and the M.S. thesis research. At least 15 of the required 24 semester hours of course work must be taken in the Department of Chemistry

#### and Geochemistry at CSM.

Ph.D. The program of study includes CHGN560, CHGN660, CHGN502, CHGN503, CHGN505, CHGN507, a minor (at least 12 hours of graduate level course work) and the Ph.D. dissertation research. The student's thesis committee may set additional course requirements and will decide on transfer credit.

#### Geochemistry:

The program of study is selected by the student in consultation with his or her advisor and thesis committee. Students entering with backgrounds in chemistry will take more coursework in geology to strengthen their backgrounds in this discipline; the converse is true for students with a background in geology. Deficiencies are determined at an entrance interview by members of the Geochemistry faculty. A thesis is required for the MS degree and a dissertation for the PhD.

The Geochemistry program comprises a core group of courses, required of all students unless individually exempted by the "Committee of the Whole" based on previous background. The core courses are CHGC503 - Introduction to Geochemistry, CHGC504 - Methods in Geochemistry, and a one hour laboratory course selected from several available. In addition, MS degree students must take two courses selected from the following list; CHGC509/GEGN509 - Introduction to Aqueous Geochemistry, CHGC 610 - Nuclear and Isotopic Geochemistry, CHGN503 Advanced Physical Chemistry, GEOL512 - Mineralogy and Crystal Chemistry. PhD degree students must take the three core courses CHGC503, CHGC504, CHGN503, the one hour laboratory course, and two courses selected from the previous list.

The doctoral student's dissertation committee approves the number of course and research credits required for graduation, as well as the specific courses beyond the above requirements. The PhD in Geochemistry requires a minimum of 72 credit hours, of which at least 24 hours must be research credit. Normally at least 48 hours of course credits are required, of which 24 hours of course credit may be transferred from a previous graduate degree upon approval of the dissertation committee. Research credits may not be transferred from a previous degree program.

# Fields of Research:

Heterogeneous catalysis, surface chemistry.

- Organic and analytical chemistry of hydrocarbon fuels; environmental analytical chemistry of organic compounds; coordination chemistry with organic ligands.
- Theoretical and descriptive inorganic chemistry; bonding and symmetry; chemistry of materials; use of computers in chemistry.

Applied aspects of trace element, environmental, and aqueous geochemistry.

- Applications of soil gas to petroleum and mineral exploration and environmental problems; water quality and modeling of biogeochemical processes in constructed wetlands used for treatment of acid drainage; sampling design in large-scale environmental studies.
- Environmental microbiology, biogeochemistry of aquatic and terrestrial environment, stable isotope geochemistry.
- Peat and humic substances; analytical chemistry. Geochemistry of igneous rocks; associated ore deposits.
- Polymer synthesis and characterization, thermal stability, thermal degradation mechanisms of polymers; mass spectroscopy; chemometrics and chromatography.

Development and evaluation of teaching methods that foster higher-level thinking abilities.

- Chemistry and geochemistry of pollutant organics in aqueous systems; chemical and physical transformations of such pollutants; surface interactions in aqueous systems.
- Theory and simulation of complex materials including polymers and powders, complex fluids, phase equilibria, controlled self-assembly.

Separations; field flow fractionation; polymer, colloid, and particulate characterization; new separation surfaces.

Computational methods for design of materials.

Synthesis, characterization, and reactivity of inorganic and organometallic complexes with regard to bonding, structure, and catalysis.

#### Description of Courses

CHGN401. THEORETICAL INORGANIC CHEMISTRY (II) Periodic properties of the elements. Bonding in ionic and metallic crystals. Acid-base theories. Inorganic stereochemistry. Nonaqueous solvents. Coordination chemistry and ligand field theory. Prerequisite: CHGN341 or consent of instructor. 3 hours lecture; 3 semester hours.

CHGN402. BONDING THEORY AND SYMMETRY (II) Introduction to valence bond and molecular orbital theories, symmetry; introduction to group theory; applications of group theory and symmetry concepts to molecular orbital and ligand field theories. Prerequisite: CHGN401 or consent of instructor. 3 hours lecture; 3 semester hours.

CHGN410/MLGN510. SURFACE CHEMISTRY (II) Introduction to colloid systems, capillarity, surface tension and contact angle, adsorption from solution, micelles and microemulsions, the solid/gas interface, surface analytical techniques, van der Waal forces, electrical properties and colloid stability, some specific colloid systems (clays, foams and emulsions). Students enrolled for graduate credit in MLGN510 must complete a special project. Prerequisite: DCGN209 or consent of instructor. 3 hours lecture; 3 semester hours.

CHGN422. POLYMER CHEMISTRY LABORATORY (I) Prerequisites: CHGN221. 3 hours lab; 1 hour credit.

CHGN428. INTRODUCTORY BIOCHEMISTRY (I) Introductory study of the major molecules of biochemistryamino acids, proteins, enzymes, nucleic acids, lipids, and saccharides- their structure, chemistry, biological function, and biosynthesis. Stresses bioenergetics and the cell as a biological unit of organization. Discussion of classical genetics, molecular genetics, and protein synthesis. Prerequisite: CHGN221 or permission of instructor. 3 hours lecture; 3 semester hours.

CHGN430/MLGN530. INTRODUCTION TO POLYMER SCIENCE (I) An introduction to the chemistry and physics of macromolecules. Topics include the properties and statistics of polymer solutions, measurements of molecular weights, molecular weight distributions, properties of bulk polymers, mechanisms of polymer formation, and properties of thermosets and thermoplasts including elastomers. Prerequisite: CHGN221 or permission of instructor. 3 hour lecture, 3 semester hours.

CHGN475. COMPUTATIONAL CHEMISTRY (II) Prerequisites: CHGN351, CHGN402. 3 hours lecture; 3 credit hours.

CHGN490. SYNTHESIS AND CHARACTERIZATION (S) Advanced methods of organic and inorganic synthesis; high-temperature, high-pressure, inert-atmosphere, vacuum-line, and electrolytic methods. Prerequisites: CHGN323, CHGN341. 6-week summer field session; 6 credit hours.

CHGN495. UNDERGRADUATE RESEARCH (I, II, S) Individual research project under direction of a member of the Departmental faculty. Prerequisites: Completion of chemistry curriculum through the junior year or permission of the department head. 1-6 credit hours.

CHGN497. INTERNSHIP (I, II, S) Individual internship experience with an industrial, academic, or governmental host supervised by a Departmental faculty member. Prerequisites: Completion of chemistry curriculum through the junior year or permission of the department head. 1-6 credit hours.

CHGN498. SPECIAL TOPICS IN CHEMISTRY (I, II) Topics chosen from special interests of instructor and students. Prerequisite: Consent of head of department. 1 to 3 semester hours.

CHGN499. UNDERGRADUATE RESEARCH(I, II) Individual investigational problems under the direction of members of the chemistry staff. Written report on research required for credit. Prerequisite: Consent of head of department. 1 to 3 semester hours.

## **Graduate Courses**

The following courses are offered at the graduate level. They will be given if sufficient qualified students register. Some 500-level courses are open to qualified seniors with the permission of the department and Dean of the Graduate School. 600-level courses are open only to students enrolled in the Graduate School. Geochemistry courses are listed after Chemistry courses.

#### **Chemistry Courses**

CHGN502. INORGANIC CHEMISTRY OF METALS (II) Detailed examination of topics such as ligand field theory, reaction mechanisms, chemical bonding, and structure of inorganic compounds. Emphasis is placed on the correlations of the chemical reactions of the elements with periodic trends and reactivities. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

CHGN503. ADVANCED PHYSICAL CHEMISTRY I (I) Quantum chemistry of classical systems. Principles of chemical thermodynamics. Statistical mechanics with statistical calculation of thermodynamic properties. Theories of chemical kinetics. Prerequisite: Consent of instructor. 4 hours lecture; 4 semester hours.

CHGN504. ADVANCED PHYSICAL CHEMISTRY II (II) Application of quantum chemistry, thermodynamics, statistical mechanics and kinetics to the solid, liquid and gas states. Prerequisite: Consent of instructor. 2 hours lecture; 2 semester hours. Offered alternate years.

CHGN505. ORGANIC REACTION MECHANISMS (I) Detailed discussion of the more important mechanisms of organic reaction. Structural effects and reactivity. The application of reaction mechanisms to synthesis and structure proof. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

CHGN506. CHEMICAL BONDING THEORY (I) Theoretical basis of bonding with emphasis on molecular orbital approach. Pi electron energy calculations. Spectra of conjugated systems. Acid-base equilibria. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

CHGN507. ADVANCED ANALYTICAL CHEMISTRY (I) Review of fundamentals of analytical chemistry. Literature of analytical chemistry and statistical treatment of data. Manipulation of real substances; sampling, storage, decomposition or dissolution, and analysis. Detailed treatment of chemical equilibrium as related to precipitation, acidbase, complexation and redox titrations. Potentiometry and UV-visible absorption spectrophotometry. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

CHGN508. ANALYTICAL SPECTROSCOPY (II) Detailed study of classical and modern spectroscopic methods; emphasis on instrumentation and application to analytical chemistry problems. Topics include: UV-visible spectroscopy, infrared spectroscopy, fluorescence and phosphorescence, Raman spectroscopy, arc and spark emission spectroscopy, flame methods, nephelometry and turbidimetry, reflectance methods, Fourier transform methods in spectroscopy, photoacoustic spectroscopy, rapid-scanning spectroscopy. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

CHGN510. CHEMICAL SEPARATIONS (II) Survey of separation methods, thermodynamics of phase equilibria, thermodynamics of liquid-liquid partitioning, various types of chromatography, ion exchange, electrophoresis, zone refining, use of inclusion compounds for separation, application of separation technology for determining physical constants, e.g., stability constants of complexes. Prerequisite: CHGN507 or consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

CHGN515/MLGN503. CHEMICAL BONDING IN MATERIALS (I) Introduction to chemical bonding theories and calculations and their applications to solids of interest to materials science. The relationship between a material's properties and the bonding of its atoms will be examined for a variety of materials. Includes an introduction to organic polymers. Computer programs will be used for calculating bonding parameters. Prerequisite: Consent of department. 3 hours lecture; 3 semester hours.

CHGN523/MLGN509. SOLID STATE CHEMISTRY (I) Dependence of properties of solids on chemical bonding and structure; principles of crystal growth, crystal imperfections, reactions and diffusion in solids, and the theory of conductors and semiconductors. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

CHGN536/MLGN536. ADVANCED POLYMER SYNTHESIS (II) An advanced course in the synthesis of macromolecules. Various methods of polymerization will be discussed with an emphasis on the specifics concerning the syntheses of different classes of organic and inorganic polymers. Prerequisite: CHGN430, ChEN415, MLGN530 or consent of instructor. 3 hours lecture, 3 semester hours

CHGN560. GRADUATE SEMINAR, M.S. (I, II) Required for all candidates for the M.S. and Ph.D. degrees in chemistry and geochemistry. M.S. students must register for the course during each semester of residency. Ph.D. students must register each semester until a grade is received satisfying the prerequisites for CHGN660. Presentation of a graded nonthesis seminar and attendance at all departmental seminars are required. Prerequisite: Graduate student status. 1 semester hour.

CHGN580/MLGN501. STRUCTURE OF MATERIALS (II) Application of X-ray diffraction techniques for crystal and molecular structure determination of minerals, inorganic and organometallic compounds. Topics include the heavy atom method, data collection by moving film techniques and by diffractometers, Fourier methods, interpretation of Patterson maps, refinement methods, direct methods. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

CHGN581. ELECTROCHEMISTRY (I) Introduction to theory and practice of electrochemistry. Electrode potentials, reversible and irreversible cells, activity concept. Interionic attraction theory, proton transfer theory of acids and bases, mechanisms and fates of electrode reactions. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

CHGN583/MLGN583. PRINCIPLES AND APPLICATIONS OF SURFACE ANALYSIS TECHNIQUES (II) Instrumental techniques for the characterization of surfaces of solid materials; Applications of such techniques to polymers, corrosion, metallurgy, adhesion science, microelectronics. Methods of analysis discussed: x-ray photoelectron spectroscopy (XPS), auger electron spectroscopy (AES), ion scattering spectroscopy (ISS), secondary ion mass spectrometry (SIMS), Rutherford backscattering (RBS), scanning and transmission electron microscopy (SEM, TEM), energy and wavelength dispersive x-ray analysis; principles of these methods, quantification, instrumentation, sample preparation. Prerequisite: B.S. in Metallurgy, Chemistry, Chemical Engineering, Physics, or consent of instructor. 3 hours lecture; 3 semester hours.

CHGN584/ChEN584. FUNDAMENTALS OF CATALYSIS (II) The basic principles involved in the preparation, characterization, testing and theory of heterogeneous and homogeneous catalysts are discussed. Topics include chemisorption, adsorption isotherms, diffusion, surface kinetics, promoters, poisons, catalyst theory and design, acid base catalysis and soluble transition metal complexes. Examples of important industrial applications are given. Prerequisite: CHGN222 or consent of instructor. 3 hours lecture; 3 semester hours.

CHGN585. CHEMICAL KINETICS (II) Study of kinetic phenomena in chemical systems. Attention devoted to various theoretical approaches. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

CHGN598. SPECIAL TOPICS IN CHEMISTRY (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

CHGN599. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

CHGN660. GRADUATE SEMINAR, Ph.D. (I, II) Required of all candidates for the doctoral degree in chemistry or geochemistry. Students must register for this course each semester after completing CHGN560. Presentation of a graded nonthesis seminar and attendance at all department seminars are required. Prerequisite: CHGN560 or equivalent. 1 semester hour.

CHGN698. SPECIAL TOPICS IN CHEMISTRY (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

CHGN699. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

CHGN701. GRADUATE THESIS-MASTER OF SCIENCE (I, II) Preparation of the master's thesis under the supervision of the graduate student's thesis committee. Required of all candidates for the degree of Master of Science. 6 semester hours upon completion of thesis.

CHGN703. GRADUATE THESIS-DOCTOR OF PHILOSOPHY (I, II) Preparation of the doctoral thesis under the supervision of the graduate student's thesis committee. Required of all candidates for the degree of Doctor of Philosophy. 30 semester hours.

CHGN705. GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

CHGN706. GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

SYGN600. FUNDAMENTALS OF COLLEGE TEACHING Principles of learning and teaching in a college setting. Methods to foster and assess higher order thinking. Effective design, delivery, and assessment of college courses or presentations. Prerequisite: Graduate standing, or consent of instructor. 2 semester hours.

# **Geochemistry Courses**

CHGC503. INTRODUCTION TO GEOCHEMISTRY (I) A comprehensive introduction to the basic concepts and principles of geochemistry, coupled with a thorough overview of the related principles of thermodynamics. Topics covered include: nucleosynthesis, origin of earth and solar system, chemical bonding, mineral chemistry, elemental distributions and geochemical cycles, chemical equilibrium and kinetics, isotope systematics, and organic and biogeochemistry. Prerequisite: Introductory chemistry, mineralogy and petrology, or consent of instructor. 4 hours lecture, 4 semester hours.

CHGC504. METHODS IN GEOCHEMISTRY (II) Sampling of natural earth materials including rocks, soils, sediments, and waters. Preparation of naturally heterogeneous materials, digestions, and partial chemical extractions. Principles of instrumental analysis including atomic spectroscopy, mass separations, and chromatography. Quality assurance and quality control. Interpretation and assessment of geochemical data using statistical methods. Prerequisite: Graduate standing in geochemistry or environmental science and engineering. 2 hours lecture; 2 semester hours.

CHGC509/GEGN509. INTRODUCTION TO AQUEOUS GEOCHEMISTRY (I) Analytical, graphical and interpretive methods applied to aqueous systems. Thermodynamic properties of water and aqueous solutions. Calculations and graphical expression of acid-base, redox and solution-mineral equilibria. Effect of temperature and kinetics on natural aqueous systems. Adsorption and ion exchange equilibria between clays and oxide phases. Behavior of trace elements and complexation in aqueous systems. Application of organic geochemistry to natural aqueous systems. Light stable and unstable isotopic studies applied to aqueous systems. Prerequisite: DCGN209 or equivalent, or consent of instructor. 3 hours lecture; 3 semester hours.

CHGC511. GEOCHEMISTRY OF IGNEOUS ROCKS (II) A survey of the geochemical characteristics of the various types of igneous rock suites. Application of major element, trace element, and isotope geochemistry to problems of their origin and modification. Prerequisite: Undergraduate mineralogy and petrology or consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

CHGC527/GEGN527. ORGANIC GEOCHEMISTRY OF FOSSIL FUELS AND ORE DEPOSITS (II) A study of organic carbonaceous materials in relation to the genesis and modification of fossil fuel and ore deposits. The biological origin of the organic matter will be discussed with emphasis on contributions of microorganisms to the nature of these deposits. Biochemical and thermal changes which convert the organic compounds into petroleum, oil shale, tar sand, coal and other carbonaceous matter will be studied. Principal analytical techniques used for the characterization of organic matter in the geosphere and for evaluation of oil and gas source potential will be discussed. Laboratory exercises will emphasize source rock evaluation, and oil-source rock and oil-oil correlation methods. Prerequisite: CHGN221, GEGN438, or consent of instructor. 2 hours lecture; 3 hours lab; 3 semester hours. Offered alternate years.

CHGC530. ENVIRONMENTAL CHEMISTRY AND GEOCHEMISTRY (II) Mobility of the elements in air, water and the surficial environment. Geochemical cycles of elements and constituents of environmental interest. Plant composition, animal and human health in relation to the natural environment. Acid deposition and other processes affecting water quality. Environmental aspects of fossil fuel processing. Sampling design in large scale environmental studies. Prerequisite: CHGC503 or ESGN500 and ESGN501. 3 hours lecture; 3 semester hours.

CHGC555. ENVIRONMENTAL ORGANIC CHEMISTRY (II) A study of the chemical and physical interactions which determine the fate, transport and interactions of organic chemicals in aquatic systems, with emphasis on chemical transformations of anthropogenic organic contaminants. Prerequisites: A course in organic chemistry and CHGN503, Advanced Physical Chemistry or its equivalent, or consent of instructor. Offered in alternate years. 3 hours lecture; 3 semester hours.

CHGC562/CHGN462. MICROBIOLOGY AND THE ENVIRONMENT This course will cover the basic fundamentals of microbiology, such as structure and function of procaryotic versus eucaryotic cells; viruses; classification of microorganisms; microbial metabolism, energetics, genetics, growth and diversity; microbial interactions with plants, animals, and other microbes. Additional topics covered will include various aspects of environmental microbiology such as global biogeochemical cycles, bioleaching, bioremediation, and wastewater treatment. Prerequisite: ESGN301 or consent of Instructor. 3 hours lecture, 3 semester hours. Offered alternate years.

CHGC563. ENVIRONMENTAL MICROBIOLOGY (I) An introduction to the microorganisms of major geochemical importance, as well as those of primary importance in water pollution and waste treatment. Microbes and sedimentation, microbial leaching of metals from ores, acid mine water pollution, and the microbial ecology of marine and freshwater habitats are covered. Prerequisite: Consent of instructor. 1 hour lecture, 3 hours lab; 2 semester hours. Offered alternate years.

CHGC564. BIOGEOCHEMISTRY AND GEOMICROBIOLOGY (I) Designed to give the student an understanding of the role of living things, particularly microorganisms, in the shaping of the earth. Among the subjects will be the aspects of living processes, chemical composition and characteristics of biological material, origin of life, role of microorganisms in weathering of rocks and the early diagenesis of sediments, and the origin of petroleum, oil shale, and coal. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

CHGC610. NUCLEAR AND ISOTOPIC GEOCHEMISTRY (II) A study of the principles of geochronology and stable isotope distributions with an emphasis on the application of these principles to important case studies in igneous petrology and the formation of ore deposits. U, Th, and Pb isotopes, K-Ar, Rb-Sr, oxygen isotopes, sulfur isotopes, and carbon isotopes included. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours Offered alternate years.

CHGC640. SOIL GAS GEOCHEMISTRY AND APPLICATIONS IN THE EARTH AND ENVIRONMENTAL SCIENCES (II) Thermal, chemical and microbiological reactions in the production of gases. Quantitative review of transport of gaseous species in the saturated and unsaturated zones. Sampling and analysis of soil gases. Applications of soil gas in the earth and environmental sciences, including exploration, contaminant mapping and global climate change. Prerequisites: CHGC503, or ESGN500 and ESGN501, or consent of instructor. 3 hours lecture; 3 semester hours.

CHGC699A. SELECTED TOPICS IN GEOCHEMISTRY (I, II) Detailed study of a geochemical topic under direction of a member of the staff. Work on the same or a different topic may be continued through later semesters and additional credits earned. Prerequisite: Consent of instructor. 1 to 3 semester hours.

CHGC699B. SPECIAL TOPICS IN AQUEOUS AND SEDIMENTARY GEOCHEMISTRY (I, II) Detailed study of a specific topic in the area of aqueous or sedimentary geochemistry under the direction of a member of the staff. Work on the same or a different topic may be continued through later semesters and additional credits earned. Prerequisite: Consent of instructor. 1 to 3 semester hours.

CHGC699C. SPECIAL TOPICS IN ORGANIC AND BIOGEOCHEMISTRY (I, II) Detailed study of a specific topic in the areas of organic geochemistry or biogeochemistry under the direction of a member of the staff. Work on the same or a different topic may be continued through later semesters and additional credits earned. Prerequisite: Consent of instructor. 1 to 3 semester hours.

CHGC699D. SPECIAL TOPICS IN PETROLOGIC GEOCHEMISTRY (I, II) Detailed study of a specific topic in the area of petrologic geochemistry under the direction of a member of the staff. Work on the same or a different topic may be continued through later semesters and additional credits earned. Prerequisite: Consent of instructor. 1 to 3 semester hours.

# **Economics and Business**

RODERICK G. EGGERT, Professor and Division Director CAROL A. DAHL, Professor R.E.D. WOOLSEY, Professor GRAHAM A. DAVIS, Associate Professor MICHAEL R. WALLS, Associate Professor JANIS M. CAREY, Assistant Professor SHEKHAR JAYANTHI, Assistant Professor IRINA KHINDANOVA, Assistant Professor DAVID MOORE, Assistant Professor ALEXANDRA NEWMAN, Assistant Professor LUIS SOSA, Assistant Professor JAMES M. OTTO, Research Professor/Director Global Resources Policy & Management JOHN STERMOLE, Instructor ANN DOZORETZ, Instructor DAVID E. FLETCHER, Professor Emeritus ALFRED PETRICK, Jr., Professor Emeritus ODED RUDAWSKY, Professor Emeritus FRANKLIN J. STERMOLE, Professor Emeritus JOHN E. TILTON, William J. Coulter Professor Emeritus JOHN CORDES, Associate Professor Emeritus

# Degrees Offered:

Master of Science (Mineral Economics) Doctor of Philosophy (Mineral Economics) Masters of Science (Engineering and Technology Management)

# Mineral Economics Program Description:

In an increasingly global and technical world, government and industry leaders in the mineral and energy areas require a strong foundation in economic and business skills. The Division of Economics and Business offers such skills in unique graduate programs leading to M.S. and Ph.D. degrees in Mineral Economics. Course work and research in the Mineral Economics degrees emphasize the application of economic principles and business methods to mineral, energy, and related environmental and technological issues.

Students in the Mineral Economics Program select from one of two areas of specialization: Economics and Public Policy (E&PP) or Quantitative Business Methods/Operations Research (QBM/OR). The E&PP specialization focuses on the optimal use of scarce energy and mineral resources with a global perspective. It provides institutional knowledge coupled with economics, mathematical and statistical tools to analyze and understand how the world of energy and minerals works to guide and shape industry change. The QBM/OR specialization emphasizes the application of quantitative business methods as they apply to risk and financial management; project evaluation and decision making; and the use of operations research techniques for optimization and managerial decision-making in a variety of business environments.

#### Fields of Research

The faculty applies a wide variety of economic and analytical business tools including international trade, resource, economics, environmental economics, industrial organization, metal market analysis, energy economics, applied microeconomics, applied econometrics, management theory and practice, finance and investment analysis, exploration economics, decision analysis, utility theory, and corporate risk policy.

# Mineral Economics Program Requirements:

**M.S. Degree.** Students may choose from either the thesis or non-thesis option in the Master of Science (M.S.) Program and are required to complete a minimum total of 36 credits (*a typical course has 3 credits*).

# Non-thesis option

15 credits of core courses12 credits in area of specialization9 credits of electives

#### Thesis option

15 credits of core courses

12 thesis credits

9 credits in area of specialization

**Ph.D. Degree.** Doctoral students develop a customized curriculum to fit their needs. The degree requires a minimum of 72 graduate credit hours that includes course work and a thesis.

# **Course work**

24 credits of core courses

12 credits in area of specialization

12 credits in a minor

# Thesis credits

24 thesis credits, the student's faculty advisor and the Doctoral Thesis Committee must approve the student's program of study and the topic for the thesis.

# **Qualifying Examination Process**

Upon completion of the core course work, students must pass a qualifying written examination to become a candidate for the Ph.D. degree. The qualifying exam is offered once a year and is administered by the Division qualifier committee. This exam is designed to test the student's competence in core courses and a reading list of additional topics. Once qualified, the Ph.D. student is then required to complete an additional written and an oral examination. This exam is prepared and administered by the student's thesis committee and is generally related to the student's thesis topic and the student's minor field.

### **Transfer Credits**

Students who enter the M.S. program may transfer up to 15 credits from another master's program. The student must have achieved a grade of a B or better in these courses and the transfer credit must be approved by the student's advisor and the Division Director. Students who enter the Ph.D. program may transfer up to 36 graduate credit hours from another master program toward the CSM doctorate or 24 graduate credits from another degree from which a master degree was not earned. The student must have achieved a grade of B or better in these courses and the transfer must be approved by the student's Doctoral Thesis Committee and the Division Director.

#### Joint Degrees

The M.S. and Ph.D. degrees may be combined in two possible joint degree programs with:

- 1. Institut Francais du Petrole (IFP) in Petroleum Economics and Management (see http://www.ifp.fr)
- 2. College of Law at the University of Denver in Natural Resource Law (see http://law.du.edu)

#### Prerequisites for the Mineral Economics Programs:

Entering students must have demonstrated completion of undergraduate courses with a grade of C or better in

- 1. Principles of Microeconomics (EBGN311),
- 2. Probability and Statistics (MACS323 or MACS530), and
- 3. One semester of college-level Calculus (MACS111).

A student not demonstrating satisfactory standing in these areas may be accepted; however, s/he will need to complete the deficiency prior to enrolling in courses that require these subjects as prerequisites. It is strongly advised that students complete any deficiencies prior to enrolling in graduate degree course work.

# Required Course Curriculum in Mineral Economics:

All M.S. and Ph.D. students in Mineral Economics are required to take a set of core courses that provide basic tools for the more advanced and specialized courses in the program.

## 1. M.S. Curriculum

# a. Core Courses (15 credits)

EBGN509 Mathematical Economics EBGN510 Natural Resource Economics EBGN511 Microeconomics EBGN512 Macroeconomics EBGN590 Econometrics & Forecasting

# b. Area of Specialization Courses (12 credits or 9 credits for M.S. thesis option)

#### **Economics & Public Policy**

Required: EBGN611 Advanced Microeconomics

And 9 credits from: EBGN530 Economics of International Energy Markets EBGN535 Economics of Metal Industries and Markets EBGN536 Mineral Policies & International Investment EBGN541 International Trade EBGN542 Economic Development EBGN570 Environmental Economics EBGN610 Advanced Natural Resources EBGN690 Advanced Econometrics

# **Quantitative Business Methods/Operations Research**

Required:

EBGN505 Financial/Managerial Accounting

And 9 credits from:

EBGN504 Economic Evaluation and Investment Decision Methods

EBGN513 Industrial Psychology

EBGN525 Introduction to Operations Research

EBGN526 Manufacturing Management

EBGN528 Simulation

EBGN545 Corporate Finance

EBGN546 Investments & Portfolio Management

EBGN547 Financial Risk Management

EBGN552 Computational Nonlinear Programming

EBGN554 Integer Programming

EBGN555 Linear Programming

EBGN556 Network Models

EBGN558 Geometric Programming

EBGN559 Supply Chain Management

EBGN560 Decision Analysis

EBGN575 Advanced Mineral Asset Valuation

EBGN580 Exploration Economics

EBGN690 Advanced Econometrics

# 2. Ph.D. Curriculum.

a. Core Courses (24 credits) EBGN509 Mathematical Economics EBGN510 Natural Resource Economics EBGN511 Microeconomics EBGN512 Macroeconomics EBGN590 Econometrics & Forecasting EBGN611 Advanced Microeconomics EBGN690 Advanced Econometrics EBGN695 Research Philosophy

# **b.** Area of Specialization Courses (12 credits) Economics & Public Policy

12 credits from: EBGN530 Economics of International Energy Markets EBGN535 Economics of Metal Industries and Markets EBGN536 Mineral Policies & International Investment EBGN541 International Trade EBGN542 Economic Development EBGN570 Environmental Economics EBGN610 Advanced Natural Resources

# **Quantitative Business Methods/Operations Research**

Required: EBGN505 Financial/Managerial Accounting And 9 credits from: EBGN504 Economic Evaluation and Investment Decision Methods EBGN513 Industrial Psychology EBGN525 Introduction to Operations Research EBGN526 Manufacturing Management **EBGN528** Simulation EBGN545 Corporate Finance EBGN546 Investments & Portfolio Management EBGN547 Financial Risk Management EBGN552 Computational Nonlinear Programming EBGN554 Integer Programming EBGN555 Linear Programming EBGN556 Network Models EBGN558 Geometric Programming EBGN559 Supply Chain Management EBGN560 Decision Analysis EBGN575 Advanced Mineral Asset Valuation

EBGN580 Exploration Economics

# Engineering and Technology Management Program Description:

The Division also offers an M.S. degree in Engineering and Technology Management (ETM). The ETM degree program is designed to integrate the technical elements of engineering practice with the managerial perspective of modern engineering and technology management. A major focus is on the business and management principles related to this integration. The ETM Program provides the analytical tools and managerial perspective needed to effectively function in a highly competitive and technologically complex business economy.

Students in the ETM Program select from one of the two areas of degree specialization: Quantitative Decision Methods/Operations Research (QDM/OR) or Strategy and Organization (S&O). The QDM/OR specialization emphasizes valuable techniques for managing large engineering and technical projects effectively and efficiently. In addition, special emphasis is given to advanced operations research and optimization techniques applicable to a wide array of business and engineering problems. The S&O specialization is designed to teach the correct match between organizational strategies and structures to maximize the competitive power of technology.

# Engineering and Technology Management Program Requirements:

Students may choose from either the thesis or non-thesis optio minimum total of 36 credits.

# Non-thesis option

18 credits of core courses9 credits in area of specialization9 credits of electives

# Thesis option

18 credits of core courses12 thesis credits6 credits in area of specialization

# Prerequisites for ETM Program:

Entering students must have demonstrated completion of undergraduate courses with a grade of C or better in

- 1. Probability and Statistics (MACS323 or MACS530), and
- 2. Engineering Economics (EBGN321).

A student not demonstrating satisfactory standing in these areas may be accepted; however, s/he will need to complete the deficiency prior to enrolling in courses that require these subjects as prerequisites. It is strongly suggested that students complete any deficiencies prior to enrolling in graduate degree course work.

# Required Curriculum M.S. Degree Engineering and Technology Management

Thesis and non-thesis students take the following 18 hours of core courses:

a. Core Courses (18 credits)

EBGN505 Industrial Accounting

EBGN515 Economics and Decision Making

EBGN520 Managing in Technical Companies

EBGN525 Operations Research Methods

EBGN545 Corporate Finance

EBGN585 Engineering and Technology Management Capstone (to be taken during the final semester of coursework)

# b. Areas of Specialization (9 credits required for non-thesis option or 6 credits required for thesis option)

**Quantitative Decision Methods/Operations Research:** 

EBGN526 Manufacturing Management EBGN528 Simulation

EBGN552 Computational Nonlinear Programming EBGN553 Project Management EBGN553 Integer Programming EBGN555 Linear Programming EBGN556 Network Models EBGN557 Advanced Computational Optimization EBGN559 Supply Chain Management EBGN560 Decision Analysis EBGN568 Advanced Project Analysis EBGN569 Models for Managing Production

# **Strategy and Organization:**

EBGN553 Project Management EBGN559 Supply Chain Management EBGN563 Management of Technology EBGN564 Managing New Product Development EBGN565 Marketing for Technology-Based Companies EBGN566 Technology Entrepreneurship EBGN567 Business Law and Technology EBGN568 Advanced Project Analysis EGGN498 Inventing, Patenting & Licensing

Non-thesis M.S. students complete their 36 semester hour curriculum plan by choosing at least an additional nine hours of elective courses from the Division, other departments on the CSM campus, or courses at surrounding universities. Note: recent and current CSM undergraduates may double count 6 hours from their undergraduate engineering courses. Thesis students are required to complete 12 credit hours of thesis credit and complete a Master's level under the direct supervision of the student's faculty advisor.

# Course Descriptions in the Mineral Economics Program and Engineering and Technology Management Program

Graduate students may also take up to 9 credit hours of 400 level economics courses. Descriptions of these courses can be found in the Undergraduate Bulletin or at <u>www.econbus.mines.edu</u>.

EBGN504 ECONOMIC EVALUATION & INVESTMENT DECISION METHODS Time value of money concepts of present worth, future worth, annual worth, rate of return and break-even analysis are applied to after-tax economic analysis of mineral, petroleum and general investments. Related topics emphasize proper handling of (1) inflation and escalation, (2) leverage (borrowed money), (3) risk adjustment of analyses using expected value concepts, and (4) mutually exclusive alternative analyses and service producing alternatives. Case study analysis of a mineral or petroleum investment situation required is in a formal report.

EBGN505 INDUSTRIAL ACCOUNTING Concepts from both financial and managerial accounting. Preparation and interpretation of financial statements and the use of this financial information in evaluation and control of the organization. Managerial concepts include the use of accounting information in the development and implementation of a successful global corporate strategy, and how control systems enhance the planning process.

EBGN509 MATHEMATICAL ECONOMICS This course reviews and re-enforces the mathematical and computer tools that are necessary to earn a graduate degree in Mineral Economics. It includes topics from differential and integral calculus; probability and statistics; algebra and matrix algebra; difference equations; and linear, mathematical and

dynamic programming. It shows how these tools are applied in an economic and business context with applications taken from the mineral and energy industries. It requires both analytical as well as computer solutions using Excel, Mathematica, Eviews, Gams, and SAS. At the end of the course you will be able to appreciate and apply mathematics for better personal, economic and business decision making. Prerequisites: MACS111, EBGN311; or permission of instructor.

EBGN 510 NATURAL RESOURCE ECONOMICS The threat and theory of resource exhaustion; commodity analysis and the problem of mineral market instability; cartels and the nature of mineral pricing; the environment; government involvement; mineral policy issues; and international mineral trade. This course is designed for entering students in mineral economics. Prerequisites: EBGN311 or permission of instructor.

EBGN511 MANAGERIAL MICROECONOMICS The first of two courses dealing with applied economic theory. This part concentrates on the behavior of individual segments of the economy, the theory of consumer behavior and demand, the theory of production and costs, duality, welfare measures, price and output level determination by business firms, and the structure of product and input markets. Prerequisites: MACS111, EBGN311 and pre/co-requisite EBGN509; or permission of instructor.

EBGN512 MACROECONOMICS This course will provide an introduction to contemporary macroeconomic concepts and analysis. Macroeconomics is the study of the behavior of the economy as an aggregate. Topics include the equilibrium level of inflation, interest rates, unemployment and the growth in national income. The impact of government fiscal and monetary policy on these variables and the business cycle, with particular attention to the effects on the mineral industry. Prerequisites: MACS111, EBGN311 and pre/co-requisite EBGN509; or permission of instructor.

EBGN513 SEMINAR IN INDUSTRIAL PSYCHOLOGY Early experimentation with small group dynamics relative to economic incentive will be first presented. Hawthorne experiments, Asch experiments on perception, analysis of case studies of work productivity in minerals, process, and manufacturing industries. Review of work of F. W. Taylor, McGregor, and others in terms of optimum working conditions relative to wage and fringe benefits. This course has, as its primary aim, the equipping of a future consultant to deal with socio-economic, behavioral, psychological, and political problems in the workplace. This course teaches the survival, report writing, and presentation skills along with cultural awareness needed for success in the real international business world. Format is case studies, reported and presented.

EBGN 515 ECONOMICS AND DECISION MAKING Designed to provide an understanding of the macro- and micro-economic forces, both domestic and international, that influence management decisions and ultimately corporate performance. Macro issues include interest rates, economic policy, business cycles, and the financial system. Micro issues include input demand and supply, industry factors, market structure, and externalities. Prerequisites: MACS530<sup>1</sup> or permission of instructor.

EBGN520 MANAGING IN TECHNICAL COMPANIES An organizational behavior (OB) course with a special emphasis on OB issues within the technical organization. It provides an overview of the various perspectives from which individual, group, and organization behavior can be studied. An emphasis on the concepts, insights, and skills needed to effectively manage diverse individuals through a variety of situations in technical organizations.

EBGN525 OPERATIONS RESEARCH METHODS An overview of methods in operations research, including optimization modeling (e.g., linear programming, integer programming, and network flows), and simulation. These techniques will be applied to operational and managerial decision making in industries such as manufacturing, telecommunications, and transportation and in decision making related to capital budgeting, production scheduling, inventory control, and network design.

EBGN526 MANUFACTURING MANAGEMENT Topics to be covered include forecasting, inventory management, material requirements planning, aggregate planning, capacity planning, and facility layout. Special emphasis will be placed on the role of uncertainty and methods for dealing with it. Prerequisites: MACS530,<sup>1</sup> EBGN525; or permission of instructor.

EBGN528 SIMULATION Advanced study of simulation techniques for modeling complex queuing systems such as production lines, computer systems, harbors and airports. Topics include random number and variate generation, Monte Carlo techniques, use of a computer simulation language, experimental design, and variance reduction. Prerequisites: MACS530<sup>1</sup> or permission of instructor.

EBGN530 ECONOMICS OF INTERNATIONAL ENERGY MARKETS Application of models to understand markets for oil, gas, coal, electricity, and renewable energy resources. Models, modeling techniques, and issues included are supply and demand, market structure, transportation models, game theory, futures markets, environmental issues, energy policy, energy regulation, input/output models, energy conservation, and dynamic optimization. The emphasis in the course is on the development of appropriate models and their application to current issues in energy markets. Prerequisites: MACS111, EBGN311; or permission of instructor. Recommended: EBGN509, EBGN511.

EBGN535 ECONOMICS OF METAL INDUSTRIES AND MARKETS Metal supply from main product, byproduct, and secondary production. Metal demand and intensity of use analysis. Market organization and price formation. Public policy, comparative advantage, and international metal trade. Metals and economic development in the developing countries and former centrally planned economies. Environmental policy and mining and mineral processing. Students prepare and present a major research paper. Prerequisites: MACS111, EBGN311, EBGN510, EBGN511; or permission of instructor.

EBGN536 MINERAL POLICIES & INTERNATIONAL INVESTMENT Identification and evaluation of international mineral investment policies and company responses using economic, business and legal concepts. Assessment of policy issues in light of stakeholder interests and needs. Theoretical issues are introduced and then applied to case studies, policy drafting, and negotiation exercises to assure both conceptual and practical understanding of the issues. Special attention is given to the formation of national policies and corporate decision making concerning fiscal regimes, project financing, environmental protection, land use and local community concerns and the content of exploration and extraction agreements. Prerequisite: permission of instructor.

EBGN541 INTERNATIONAL TRADE Theories and evidence on international trade and development. Determinants of static and dynamic comparative advantage. The arguments for and against free trade. Economic development in non-industrialized countries. Sectoral development policies and industrialization. The special problems and opportunities created by extensive mineral resource endowments. The impact of value-added processing and export diversification on development. Prerequisites: MACS111, EBGN311, EBGN509, EBGN511; or permission of instructor.

EBGN542 ECONOMIC DEVELOPMENT Role of energy and minerals in the development process. Sectoral policies and their links with macroeconomic policies. Special attention to issues of revenue stabilization, resource largesse effects, downstream processing, and diversification. Prerequisites: MACS111, EBGN311, EBGN509, EBGN511, EBGN512; or permission of instructor.

EBGN545 CORPORATE FINANCE The fundamentals of corporate finance as they pertain to the valuation of investments, firms, and the securities they issue. Included are the relevant theories associated with capital budgeting, financing decisions, and dividend policy. This course provides an in-depth study of the theory and practice of corporate financial management including a study of the firm's objectives, investment decisions, long-term financing decisions, and working capital management. Prerequisites: EBGN505<sup>3</sup> or permission of instructor.

EBGN546 INVESTMENT & PORTFOLIO MANAGEMENT The theory and practice of investment, providing a comprehensive understanding of the dynamics of securities markets, valuation techniques and trading strategies for stocks, bonds, and derivative securities. It includes the mean-variance efficient portfolio theory, the arbitrage pricing theory, bond portfolio management, investment management functions and policies, and portfolio performance evaluation. Prerequisites: MACS111, EBGN311, EBGN545, EBGN505,<sup>2</sup> or permission of instructor. Recommended: EBGN509, EBGN511.

EBGN547 FINANCIAL RISK MANAGEMENT Analysis of the sources, causes and effects of risks associated with holding, operating and managing assets by individuals and organizations; evaluation of the need and importance of managing these risks; and discussion of the methods employed and the instruments utilized to achieve risk shifting objectives. The course concentrates on the use of derivative assets in the risk management process. These derivatives include futures, options, swaps, swaptions, caps, collars and floors. Exposure to market and credit risks will be explored and ways of handling them will be reviewed and critiqued through analysis of case studies from the mineral and energy industries. Prerequisites: MACS111, EBGN311, EBGN505, EBGN545 or EBGN546; or permission of instructor. Recommended: EBGN509, EBGN511.

EBGN552 COMPUTATIONAL NONLINEAR PROGRAMMING As an advanced course in optimization, this course will address both unconstrained and constrained nonlinear model formulation and corresponding algorithms (e.g., Gradient Search and Newton's method, and Lagrange Multiplier Methods and Reduced Gradient Algorithms, respectively). Applications of state-of-the-art hardware and software will emphasize solving real-world problems in areas such as mining, energy, transportation, and the military. Prerequisites: EBGN555 or permission of instructor.

EBGN553 PROJECT MANAGEMENT An integrated course focusing on techniques for managing projects and on developing, through analysis of research articles and case studies, skills for effective project leadership and management. Topics include the project lifecycle, establishing project scope and success criteria, project screening, estimating requirements and developing detailed plans, project scheduling (critical path method), resource leveling, multi-project resource allocation, project monitoring and control, and project close-out. Prerequisites: EBGN321 (Engineering Economic Anaylsis)

EBGN554 ECONOMIC MODELING WITH INTEGER PROGRAMMING Survey of economic modeling formulation using methods of integer and mixed-integer programming. Survey of application-oriented integer programming methods. Course emphasis will be on the formulation and solution of capital budgeting, capital allocation, distribution, personnel, and production planning problems. Application examples provided for mineral resource, manufacturing, production, processing, and marketing. Course will concentrate on formulation methods using case studies and examples from the mineral and other industries. Prerequisite: permission of instructor.

EBGN555 LINEAR PROGRAMMING Geometric interpretation of linear programming problems, the simplex method, the revised simplex method, the product form of the inverse, duality theory, duel simplex, sensitivity analysis, and complementary slackness and applications. The emphasis is on formulation modeling and computer solutions of business and economic problems as linear programs, including production planning, staffing, scheduling, blending, and product mix applications. Efficiency and implementation issues are discussed, and advanced topics include decomposition methods for large-scale problems. The aim of the course is to equip students to formulate and solve real world problems as linear programs. Prerequisites: MACS111; EBGN509 or MACS332; or permission of instructor.

EBGN556 NETWORK MODELS Deals with the modeling of optimization problems that contain a very particular type of structure including minimal spanning trees, shortest path algorithms, maximum flow, minimum cut methods, out-of-kilter and node-chain algorithms. Prerequisite: EBGN555 or permission of instructor.

EBGN557 ADVANCED COMPUTATIONAL OPTIMIZATION As an advanced course in optimization, this course will address computational performance of linear and linear-integer optimization problems, and, using state-of-the-art hardware and software, will introduce solution techniques for "difficult" optimization problems. We will discuss such methodologies applied to the monolith (e.g., branch-and-bound and its variations, cutting planes, strong formulations), as well as decomposition and reformulation techniques (e.g., Lagrangian relaxation, Benders decomposition, column generation). Additional "special topics" may be introduced, as time permits. Prerequisite: EBGN555 or permission of instructor

EBGN558 ECONOMIC & ENGINEERING APPLICATIONS OF GEOMETRIC PROGRAMMING Kuhn-Tucker-Karush conditions for optimality. Formulation of mathematical models and solution methods using methods of nonlinear and geometric programming. Examples presented define the relationship of geometric programming to general nonlinear economic models and engineering design. Course is strictly applications-oriented with main emphasis on engineering design and engineering economic models. Prerequisite: MACS111 or permission of instructor.

EBGN559 SUPPLY CHAIN MANAGEMENT Supply chain management is a relatively new area that integrates many aspects of manufacturing and service operations: forecasting, inventory management, materials planning and control, information systems, supplier management, transportation/logistics, and customer service. Supply chain management provides a systems approach to the management of flow of information, materials, and services through the various elements of the supply chain consisting of suppliers, manufacturers, distributors, and customers. Therefore, the management of supply chain involves taking a process-based approach that facilitates the integration and co-ordination of the various links in the value chain. Prerequisite: Permission of instructor.

EBGN560 DECISION ANALYSIS Introduction to the science of decision making and risk theory. Application of decision analysis and utility theory to the analysis of strategic decision problems. Focuses on the application of quantitative methods to business problems characterized by risk and uncertainty. Choice problems such as decisions concerning major capital investments, corporate acquisitions, new product introductions, and choices among alternative technologies are conceptualized and structured using the concepts introduced in this course. Prerequisites: EBGN504,<sup>3</sup> or permission of instructor.

EBGN563 MANAGEMENT OF TECHNOLOGY Case studies and research articles explore strategies for managing all business functions of a firm in order to maximize profits from technology assets. Corporate strategy and core competencies, product development, marketing, mergers and acquisitions, partnerships and alliances, process development, manufacturing, intellectual property, standard wars, dominant designs and more are explored in the context of the technology lifecycle. Successful management of politics provides a unifying theme throughout. Prerequisites: NONE (EBGN321 Engineering Economics recommended)

EBGN564 MANAGING NEW PRODUCT DEVELOPMENT Develops interdisciplianry skills required for successful product development in today's competitive marketplace. Small product development teams step through the new product development process in detail, learning about available tools and techniques to execute each process step along the way. Each student brings his or her individual disciplinary perspective to the team effort, and must learn to synthesize that perspective with those of the other students in the group to develop a sound, marketable product. Prerequisites: NONE (EBGN563 recommended)

EBGN565 MARKETING FOR TECHNOLOGY-BASED COMPANIES This class explores concepts and practices related to marketing in this unique, fast-paced environment, including the defining characteristics of high-technology industries; different types and patterns of innovations and their marketing implications; the need for (and difficulties in) adopting a customer-orientation; tools used to gather marketing research/intelligence in technology-driven industries; use of strategic alliances and partnerships in marketing technology; adaptations to the "4 P's"; regulatory and ethical considerations in technological arenas. Prerequisite: Permission of instructor.

EBGN566 TECHNOLOGY ENTREPRENEURSHIP Introduces concepts related to starting and expanding a

technological-based corporation. Presents ideas such as developing a business and financing plan, role of intellectual property, and the importance of a good R&D program. Prerequisite: Permission of instructor.

EBGN567 BUSINESS LAW AND TECHNOLOGY Computer software and hardware are the most complex and rapidly developing intellectual creations of modern man. Computers provide unprecedented power in accessing and manipulating data. Computers work in complex systems that require standardization and compatibility to function. Each of these special features has engendered one or more bodies of law. Complex intellectual creation demands comprehensive intellectually property protection. Computer technology, however, differs fundamentally from previous objects of intellectual property protection, and thus does not fit easily into traditional copyright and patent law. This course covers topics that relate to these complex special features of computer and technology. Prerequisite: Permission of instructor.

EBGN568 ADVANCED PROJECT ANALYSIS An advanced course in economic analysis that will look at more complex issues associated with valuing investments and projects. Discussion will focus on development and application of concepts in after-tax environments and look at other criteria and their impact in the decision-making and valuation process. Applications to engineering and technology aspects will be discussed. Effective presentation of results will be an important component of the course. Prerequisite: Permission of instructor.

EBGN569 MODELS FOR MANAGING PRODUCTION An advanced course in modeling production and effectively applying optimization techniques to managing production. The course develops scientific and mathematical skills necessary for designing useful models for managing production. Topics include data envelopment analysis, capacity analysis, equipment efficiency analysis, linear programming models of dynamic production systems, production planning and scheduling. Students implement models using AMPL and analyze data. Prerequisites: EBGN555.

EBGN570 ENVIRONMENTAL ECONOMICS The role of markets and other economic considerations in controlling pollution; the effect of environmental policy on resource allocation incentives; the use of benefit/cost analysis in environmental policy decisions and the associated problems with measuring benefits and costs. Prerequisites: EBGN509 or permission of instructor.

EBGN575 ADVANCED MINERAL ASSET VALUATION The use of stochastic and option pricing techniques in mineral and energy asset valuation. The Hotelling Valuation Principle. The measurement of political risk and its impact on project value. Extensive use of real cases. Prerequisites: MACS111, EBGN311, EBGN504,<sup>3</sup>EBGN505,<sup>2</sup>EBGN509, EBGN510, EBGN511; or permission of instructor.

EBGN580 EXPLORATION ECONOMICS Exploration planning and decision making for oil and gas, and metallic minerals. Risk analysis. Historical trends in exploration activity and productivity. Prerequisites: EBGN311, EBGN510; or permission of instructor. Offered when student demand is sufficient.

EBGN 585 ENGINEERING AND TECHNOLOGY MANAGEMENT CAPSTONE The application of integrated organizational planning within the technical function of the industrial enterprise focusing on achieving the correct match between organizational strategies and structures to maximize the competitive power of technology. This is a projects-related course designed to bring together knowledge from the core curriculum as well as the student's selected area of specialization. This course is to be taken only in the student's final semester of coursework. Prerequisite: Permission of instructor.

EBGN590 ECONOMETRICS AND FORECASTING Using statistical techniques to fit economic models to data. Topics include ordinary least squares and single equation regression models; two stage least squares and multiple equation econometric models; specification error, serial correlation, heteroskedasticity; distributive lag; applications to mineral commodity markets; hypothesis testing; forecasting with econometric models, time series analysis, and simulation. Prerequisites: MACS111, MACS530,<sup>1</sup> EBGN311.

EBGN598 SPECIAL TOPICS IN ECONOMICS AND BUSINESS Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once.

EBGN599 INDEPENDENT STUDY Individual research or special problem projects supervised by a faculty member when a student and instructor agree on a subject matter, content, and credit hours.

EBGN610 ADVANCED NATURAL RESOURCE ECONOMICS Optimal resource use in a dynamic context using mathematical programming, optimal control theory and game theory. Constrained optimization techniques are used to evaluate the impact of capital constraints, exploration activity and environmental regulations. Offered when student demand is sufficient. Prerequisites: MACS111, MACS530,<sup>1</sup> EBGN311, EBGN509, EBGN510, EBGN511; or permission of instructor.

EBGN611 ADVANCED MICROECONOMICS A second graduate course in microeconomics, emphasizing state-ofthe-art theoretical and mathematical developments. Topics include consumer theory, production theory and the use of game theoretic and dynamic optimization tools. Prerequisites: MACS111, MACS530,<sup>1</sup> EBGN311, EBGN509, EBGN511; or permission of instructor. EBGN690 ADVANCED ECONOMETRICS A second course in econometrics. Compared to EBGN590, this course provides a more theoretical and mathematical understand of econometrics; matrix algebra is used; model construction and hypothesis testing are emphasized rather than forecasting. Prerequisites: MACS111, MACS530,<sup>1</sup> EBGN311, EBGN509, EBGN590; or permission of instructor. Recommended: EBGN511.

EBGN695 RESEARCH METHODOLOGY Lectures provide an overview of methods used in economic research relating to EPP and QBA/OR dissertations in Mineral Economics and information on how to carry out research and present research results. Students will be required to write and present a research paper that will be submitted for publication. It is expected that this paper will lead to a Ph.D. dissertation proposal. This course should be taken by all Ph.D. students during spring semester of their second year. It is recommended that a student take their qualifier exam before taking this course and to start thinking about potential dissertation topic areas as they study for their qualifier. Ph.D. students must receive a grade of an "A" in this course. This course is also recommended for students writing Master's thesis or who want guidance in doing independent research relating to the economics and business aspects of energy, minerals and related environmental and technological topics. Prerequisites: MACS530,<sup>1</sup> EBGN509, EBGN510, EBGN511, EBGN512, EBGN509, EBGN611; or permission of instructor.

EBGN698 SPECIAL TOPICS IN ECONOMICS AND BUSINESS Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once.

EBGN699 INDEPENDENT STUDY Individual research or special problem projects supervised by a faculty member when a student and instructor agree on a subject matter, content, and credit hours.

EBGN701 GRADUATE THESIS: MASTER OF SCIENCE Preparation of the Master's thesis under the supervision of the graduate student's advisory committee.

EBGN703 GRADUATE THESIS: DOCTOR OF PHILOSOPHY Preparation of the doctoral thesis under the supervision of the graduate student's advisory committee.

Notes

<sup>1</sup>MACS323 may be substituted for MACS530. <sup>2</sup>EBGN305 and EBGN306 together may be substituted for EBGN505. <sup>3</sup>EBGN321 (formerly EBGN421) may be substituted for EBGN504

# Engineering

JOAN P. GOSINK, Professor and Division Director D. VAUGHAN GRIFFITHS, Professor ROBERT J. KEE, George R. Brown Distinguished Professor of Engineering ROBERT H. KING, Professor and Assistant Division Director MARK A. LINNE, Professor NIGEL T. MIDDLETON, Professor and Vice-President for Academic Affairs GRAHAM G. W. MUSTOE, Professor PANKAJ SEN, Professor RAHMAT A. SHOURESHI, Gerard August Dobelman Distinguished Professor of Engineering JOHN R. BERGER, Associate Professor JEAN-PIERRE DELPLANQUE, Associate Professor WILLIAM A. HOFF, Associate Professor PANOS D. KIOUSIS. Associate Professor NING LU. Associate Professor MARK T. LUSK, Associate Professor DAVID R. MUÑOZ, Associate Professor KARL R. NELSON. Associate Professor TERENCE E. PARKER. Associate Professor MARCELO GODOY SIMOES, Associate Professor CATHERINE K. SKOKAN, Associate Professor RAY RUICHONG ZHANG, Associate Professor RICHARD CHRISTENSON, Assistant Professor CHRISTIAN DEBRUNNER, Assistant Professor JOHN P. H. STEELE, Assistant Professor MONEESH UPMANYU, Assistant Professor TYRONE VINCENT, Assistant Professor SANAA ABDEL-AZIM, Lecturer CANDACE S. AMMERMAN, Lecturer RON KNOSHAUG, Lecturer

# HAROLD W. OLSEN, Research Professor MICHAEL B. McGRATH, Emeritus Professor GABRIEL M. NEUNZERT, Emeritus Professor Degrees Offered: Master of Engineering (Engineering Systems)

Master of Science (Engineering Systems)

Doctor of Philosophy (Engineering Systems)

# Program Description:

The Engineering Systems Program offers a graduate multidisciplinary education that is at the intersections of the traditional engineering disciplines. The Engineering Division's faculty represents Civil, Electrical, and Mechanical Engineering, as well as Engineering Science, with much of the research occurring at these intersections. It is also common to pursue education and research that is at intersections between Engineering and other disciplines. The program demands academic rigor and depth, yet also addresses the real-world problems of advanced engineering and technology. The choice of research topics and course offerings prepares graduates for a range of industrial or academic careers.

#### Program Requirements:

M.E. (Engineering Systems)	36 credit hours
M.S. (Engineering Systems)	36 credit hours
Ph.D. (Engineering Systems)	72 credit hours

Students must have a faculty supervisor in the Engineering Division to direct and monitor their research, and a degree committee to oversee their progress. A Masters student's committee must have at least three members, two of whom must be faculty in the Engineering Division. A Doctoral student's committee must have at least five members; at least three members must be faculty in the Engineering Division, and at least one member must be from the department in which the student is pursuing a minor program. Minor programs of at least 12 semester hours, which further the interdisciplinary concept of engineering systems, are required for doctoral students.

Doctoral students must pass a Preliminary Examination, which is intended to gauge the student's capability to pursue research in Engineering Systems. The Preliminary Examination is based principally on the material in the Engineering core courses Advanced Engineering Measurements and Interdisciplinary Modeling and Simulation, as well as relevant undergraduate material. The Preliminary Examination is given once per year at the beginning of the Spring semester. Normally, Ph.D. students will take the preliminary Examination in their first year, but it must be taken within three semesters of entering the program.

Within 18 months after passing the Preliminary Examination, the Ph.D. student must prepare a written thesis proposal and present it formally to the thesis committee and other interested faculty. The Ph.D. Qualifying Examination coincides with the thesis proposal presentation. The student will be questioned about the proposal, as well as other topics within the field of major and minor studies. After passing the Qualifying Examination, the student will be admitted to candidacy for the Ph.D..

At the conclusion of the MS and Ph.D. programs, the student will be required to make a formal presentation and defense of his/her thesis research.

Applicants for the Master of Science degree must complete 24 semester hours of approved course work and at least 12 hours of thesis research. The credit-hour requirement is the same for the Master of Engineering degree, but the thesis is exchanged for a design of development report on a comprehensive engineering project.

#### Prereauisites:

The requirements for admission for the M.E., M.S., and Ph.D. degrees in Engineering Systems are a baccalaureate degree in engineering, a physical science, or math from an ABET-accredited program or equivalent four-year engineering program, with a grade-point average over 3.0/4.0; Graduate Record Examination scores of 600 (analytical) and 700 (quantitative); and a TOEFL score of 550 or higher for applicants whose native language is not English. Applicants from an engineering program at CSM are not required to submit GRE scores.

The Engineering Graduate committee evaluating an applicant may require that the student take undergraduate remedial coursework to overcome technical deficiencies, which does not count toward the graduate program. The committee will decide whether to recommend to the Dean of Graduate Studies and Research regular or provisional admission, and may ask the applicant to come for an interview.

#### Required Curriculum:

# For both Masters and Ph.D. degrees

- ◆ EGES 501 Advanced Engineering Measurements
- ♦ EGES 502 Interdisciplinary Modeling and Simulation

- ♦ EGES 503 Modern Engineering Design and Management
- ♦ EGES 504/604 Engineering Systems Graduate Colloquium

Doctoral students must take a minor program of at least 12 semester hours.

#### Fields of Research:

# Advanced Sensing and Automation

Projects in this area develop and apply advanced sensing and automation research to a variety of engineering systems. Current multidisciplinary projects span traditional electrical, mechanical, and civil engineering, as well as computer science and other disciplines. A common thread is the use of signal processing and intelligent control techniques. Current projects encompass development of machine vision techniques for applications in robotics, radar, and medical imaging; diagnostics and health monitoring for structures and systems, fuzzy logic and neural network techniques in decision processing, intelligent biomedical devices; augmented reality; and intelligent electric-power-system control.

# **Geomechanics and Environmental Geotechnics**

The geomechanics and environmental geotechnics area of study actively explores research subjects in the following fundamental and practical fronts: computational numerical and analytical methods in geomechanics, stochastic finite element modeling of heterogeneous soils, experimental and theoretical investigation on coupled phenomenon in expansive geomaterials, coupled fluid and chemical transport in partially saturated soils, and discrete element modeling of particulate systems.

# **Mechanics and Materials**

Research projects in mechanics and materials focus on the static and dynamic behavior of solids and emphasize the coupling among the thermal, mechanical, kinematic and kinetic character of materials. Investigations draw form the basic physical sciences, applied mathematics, computational mechanics, and materials engineering. Current projects consider the flow and compaction of granular materials, fracture phenomena, phase transitions and recrystallization, bridging of length scales, the properties of material interfaces, and the effect of mechanical loading on the transport properties of multi-phase materials. Researchers in this group typically investigate basic physical issues through the development and use of sophisticated numerical simulations and experimental studies.

# Power System

Curriculum and research projects in the power-engineering program are directly linked to the activities of the CSM National Science Foundation research center for Advanced Control of Energy and Power Systems (ACEPS). Arizona State University, Purdue University, and Wichita State University are member institutions in ACEPS. Research projects of this center directly impacting the utility industry include intelligent substation diagnostics and predictive maintenance; advanced automatic generation control; new sensors for real-time NOx control; optical fiber-based in-situ sensor for health assessment of high voltage transformer; electro-magneto-acoustic transducers for monitoring of transmission and distribution equipment. Several laboratories as well as direct access to the ACEPS member utilities' facilities provide a unique hands-on experience for the graduate students in our power system program.

# Structural Dynamics

Emphasis is placed upon analytical description of overall structural behavior under external loads (e.g., earthquake and wind). Study is made of the nature of these loads, static or dynamic, and random and deterministic, with implications being drawn for design. Students in this area can also have opportunities to participate in the USGS and international collaboration. Current work supported by various federal and local agencies and private sectors includes innovative design of a new generation of high-rise buildings; active, passive and hybrid vibration control of such engineering systems as offshore structures and civil infrastructures subjected to earthquake motion, turbulent wind and currents; reliability analysis of large-scale engineering systems; simulation of stochastic processes and fields relevant to civil/mechanical engineering issues; wave phenomena modeling (e.g., earthquake and wind loads) and its engineering applications.

#### **Thermal Systems**

A number of projects span from traditional mechanical-engineering areas of fluid mechanics, heat transfer, and physical gas dynamics, to chemical engineering, electrical engineering, mathematics, and material science. For example, research includes understanding combustion-generated pollutant formation and abatement, combustion synthesis of materials, and advanced material processing using chemically reacting flow. An important research emphasis is in optical diagnostics to measure composition and flow fields, including real-time process sensors. Another important research area is modeling and simulation, especially for complex chemically reacting flows. An application here is the design and control of processes for the manufacture of electronic thin films by chemical vapor deposition.

#### Description of Courses

EGGN400/MNGN400. INTRODUCTION TO ROBOTICS FOR THE MINERALS AND CONSTRUCTION INDUSTRIES (II) Focuses on construction and minerals industries applications. Overview and introduction to the

science and engineering of intelligent mobile robotics and robotic manipulators. Covers guidance and force sensing, perception of the environment around a mobile vehicle, reasoning about the environment to identify obstacles and guidance path features and adaptively controlling and monitoring the vehicle health. A lesser emphasis is placed on robot manipulator kinematics, dynamics, and force and tactile sensing. Surveys manipulator and intelligent mobile robotics research and development. Introduces principles and concepts of guidance, position, and force sensing; vision data processing; basic path and trajectory planning algorithms; and force and position control. Prerequisite: PHGN200/210. 3 hours lecture; 3 semester hours.

EGGN403. THERMODYNAMICS II (I, II) Thermodynamic relations, Maxwell's Relations, Clapeyron equation, fugacity, mixtures and solutions, thermodynamics of mixing, Gibbs function, activity coefficient, combustion processes, first and second law applied to reacting systems, third law of thermodynamics, real combustion processes, phase and chemical equilibrium, Gibbs rule, equilibrium of multicomponent systems, simultaneous chemical reaction of real combustion processes, ionization, application to real industrial problems. Prerequisite: EGGN351, EGGN371. 3 hours lecture; 3 semester hours.

EGGN407. INTRODUCTION TO FEEDBACK CONTROL SYSTEMS (I, II) System modeling through an energy flow approach is presented, and modeling of electromechanical and thermofluid systems are discussed. Feedback control design techniques using pole-placement, root locus, and lead-log compensators are presented. Case studies using real-life problems are presented and analyzed. Prerequisite: MACS315 and DCGN381 3 hours lecture; 3 semester hours.

EGGN411. MACHINE DESIGN (I, II) Introduction to the principles of mechanical design. Consideration of the behavior of materials under static and cyclic loading; failure considerations. Application of the basic theories of mechanics, kinematics, and mechanics of materials to the design of basic machine elements, such as shafts, keys, and coupling; journal bearings, antifriction bearings, wire rope, gearing; brakes and clutches, welded connections and other fastenings. Prerequisite: EPIC251, EGGN315, and EGGN320. 3 hours lecture; 3 hours lab; 4 semester hours.

EGGN413. COMPUTER AIDED ENGINEERING This course introduces the student to the concept of computeraided engineering. The major objective is to provide the student with the necessary background to use the computer as a tool for engineering analysis and design. The Finite Element Analysis (FEA) method and associated computational engineering software have become significant tools in engineering analysis and design. This course is directed to learning the concepts of FEA and its application to civil and mechanical engineering analysis and design. Note that critical evaluation of the results of a FEA using classical methods (from statics and mechanics of materials) and engineering judgment is employed throughout the course. Prerequisite: EGGN320. 3 hours lecture; 3 semester hours.

EGGN422. ADVANCED MECHANICS OF MATERIALS (II) General theories of stress and strain; stress and strain transformations, principal stresses and strains, octahedral shear stresses, Hooke's law for isotropic material, and failure criteria. Introduction to elasticity and to energy methods. Torsion of noncircular and thin-walled members. Unsymmetrical bending and shear-center, curved beams, and beams on elastic foundations. Introduction to plate theory. Thick-walled cylinders and contact stresses. Prerequisite: EGGN320. 3 hours lecture; 3 semester hours.

EGGN430. GLOBAL POSITIONING (II) A follow-up course to basic surveying which answers the fundamental question "where are you?". Determination of latitude and longitude by astronomical and by GPS (Global Positioning System) from satellites. Reduction of this data through conformal and non-conformal projections to NAD'27 and NAD'83 State Plane Coordinates, UTM and computer based mapping bases, GIS (Geographic Information Systems). The major user of this concept is anybody who uses a map or who has to add information to a mapping base. Data gathering will be optional. Prerequisite: EGGN233. 3 hours lecture; 3 semester hours.

EGGN442. FINITE ELEMENT METHODS FOR ENGINEERS (II) A course combining finite element theory with practical programming experience in which the multi-disciplinary nature of the finite element method as a numerical technique for solving differential equations is emphasized. Topics covered include simple 'structural' element, solid elasticity, steady state analysis, transient analysis. Students get a copy of all the source code published in the course textbook. Prerequisite: EGGN320. 3 hours lecture; 3 semester hours.

EGGN444. DESIGN OF STEEL STRUCTURES (I) Steel properties; design of tension and compression members; beams; bolted and welded connections and plate girders; both elastic and platic methods will be applied to the design of a commercial building. Prerequisite: EGGN342. 2 hours lecture; 3 hours design lab; 3 semester hours.

EGGN445. DESIGN OF REINFORCED CONCRETE STRUCTURES (II) Loads on structures, design of columns, continuous beams, slabs, retaining walls, composite beams, introduction to prestressed and precast construction. Prerequisite: EGGN342. 2 hours lecture; 3 hours design lab; 3 semester hours.

EGGN450. MULTIDISCIPLINARY ENGINEERING LABORATORY III Laboratory experiments integrating electrical circuits, fluid mechanics, stress analysis, and other engineering fundamentals using computer data acquisition and transducers. Students will design experiments to gather data for solving engineering problems. Examples are recommending design improvements to a refrigerator, diagnosing and predicting failures in refrigerators, computer

control of a hydraulic fluid power circuit in a fatigue test, analysis of structural failures in an off-road vehicle and redesign, diagnosis and prediction of failures in a motor/generator system.. Prerequisites: DCGN381, EGGN250, EGGN352, EGGN350, EGGN350, EGGN320; concurrent enrollment in EGGN407. 3 hours lab; 1 semester hour.

EGGN451. HYDRAULIC PROBLEMS (I) Review of fundamentals, forces on submerged surfaces, buoyancy and flotation, gravity dams, weirs, steady flow in open channels, backwater curves, hydraulic machinery, elementary hydrodynamics, hydraulic structures. Prerequisite: EGGN351. 3 hours lecture; 3 semester hours.

EGGN461. SOIL MECHANICS (I, II) Fundamental relations, methods of soil classification, seepage and water flow in soils, consolidation and settlement, shear strength and deformation characteristics, slope stability analysis, lateral earth pressures and bearing capacity. Special emphasis will be placed on earth structures, porous flow, slope stability, retaining walls and foundation reactions. Prerequisite: EGGN320 or concurrent enrollment. 3 hours lecture; 3 semester hours.

EGGN463. SOIL MECHANICS LABORATORY (I, II) Methods of sampling and testing soils for engineering purposes. Prerequisite: EGGN461 or concurrent enrollment. 3 hours lab; 1 semester hour.

EGGN464. FOUNDATIONS (I, II) Techniques of subsoil investigation, types of foundations and foundation problems, selection of and basis for design of foundation types. Prerequisite: EGGN461. 3 hours lecture; 3 semester hours.

EGGN471. HEAT TRANSFER (I, II) Engineering approach to conduction, convection, and radiation, including steady-state conduction, nonsteady-state conduction, internal heat generation conduction in one, two, and three dimensions, and combined conduction and convection. Free and forced convection including laminar and turbulent flow, internal and external flow. Radiation of black and grey surfaces, shape factors and electrical equivalence. Prerequisite: MACS315, EGGN351, EGGN371. 3 hours lecture; 3 semester hours.

EGGN473. FLUID MECHANICS II (I) Review of elementary fluid mechanics and engineering. Two-dimensional internal and external flows. Steady and unsteady flows. Fluid engineering problems. Compressible flow. Computer solutions of various practical problems for mechanical and related engineering disciplines. Prerequisite: EGGN351 or consent of instructor. 3 hours lecture; 3 semester hours.

EGGN478. ENGINEERING DYNAMICS (I) Applications of dynamics to design, mechanisms and machine elements. Kinematics and kinetics of planar linkages. Analytical and graphical methods. Four-bar linkage, slider-crank, quick-return mechanisms, cams, and gears. Analysis of nonplanar mechanisms. Static and dynamic balancing of rotating machinery. Free and forced vibrations and vibration isolation. Prerequisite: EGGN315; concurrent enrollment in MACS315. 3 hours lecture; 3 semester hours.

EGGN481. ADVANCED ELECTRONICS AND DIGITAL SYSTEMS (I, II) Device models; transistors as amplifiers, switches, and gates; integrating differentiating wave shaping and signal processing circuits. Small scale (SSI), medium scale (MSI), large scale (LSI) integration; logic components, subsystems; analog-to- digital and digital-to-analog conversion techniques. Laboratory experience, evaluation, application and extension of lecture concepts. Prerequisite: DCGN381 and EGGN250 or PHGN317 or consent of instructor. 3 hours lecture; 3 hours lab; 4 semester hours.

EGGN482. MICROCOMPUTER ARCHITECTURE AND INTERFACING (II) Microprocessor and microcontroller architecture focusing on hardware structures and elementary machine and assembly language programming skills essential for use of microprocessors in data acquisition, control and instrumentation systems. Analog and digital signal conditioning, communication, and processing. A/D and D/A converters for microprocessors. RS232 and other communication standards. Laboratory study and evaluation of microcomputer system; design and implementation of interfacing projects. Prerequisite: EGGN481 or consent of instructor. 3 hours lecture; 3 hours lab; 4 semester hours.

EGGN483. INTRODUCTION TO COMMUNICATION AND SIGNAL PROCESSING (I) Signal classification; Fourier transform; filtering; sampling; signal representation; modulation; demodulation; applications to broadcast, data transmission, and instrumentation. Prerequisite: EGGN382 or consent of department. 3 hours lecture; 3 hours lab; 4 semester hours.

EGGN484. POWER SYSTEMS ANALYSIS (I) Power systems, three-phase circuits, per unit calculations, system components, stability cirteria, network faults, system instrumentation, system grounding, load-flow, economic operation. Prerequisite: EGGN384 or EGGN389. 3 hours lecture; 3 semester hours.

EGGN485. INTRODUCTION TO HIGH POWER ELECTRONICS (II) Power electronics are used in a broad range of applications from control of power flow on major transmission lines to control of motor speeds in industrial facilities and electric vehicles, to computer power supplies. This course introduces the basic principples of analysis and design of circuits utilizing power electronics, including AC/DC, AC/AC, DC/DC, and DC/AC conversions in their many configurations. Prerequisite: EGGN407 or concurrent enrollment. 3 hours lecture; 3 semester hours.

EGGN488. RELIABILITY OF ENGINEERING SYSTEMS (I) This course addresses uncertainty modeling, reliability analysis, risk assessment, reliability-based design, predictive maintenance, optimization, and cost-effective retrofit of engineering systems such as structural, sensory, electric, pipeline, hydraulic, lifeline and environmental facilities.

Topics include introduction of reliability of engineering systems, stochastic engineering system simulation, frequency analysis of extreme events, reliability and risk evaluation of engineering systems, and optimization of engineering systems. Prerequisite: MACS323. 3 hours lecture; 3 semester hours.

EGGN491. SENIOR DESIGN I (I, II) The first of a two-semester course sequence giving the student experience in the engineering design process. Realistic, open-ended design problems are addressed at the conceptual, engineering analysis, and the synthesis stages, and include economic and ethical considerations necessary to arrive at a final design. Several design projects are completed during the two-semester sequence. The design projects are chosen to develop student creativity, use of design methodology and application of prior course work paralleled by individual study and research. Prerequisites: EGGN342 or EGGN382 and concurrent enrollment in EGGN407 and EGGN481, or concurrent enrollment in EGGN411, and permission of the Capstone Design Course Committee. 1 hour lecture; 6 hours lab; 3 semester hours.

EGGN492. SENIOR DESIGN II (I, II) This is the second of a two-semester course sequence to give the student experience in the engineering design process. This course will consist of a single comprehensive design project covering the entire semester. Design integrity and performance are to be demonstrated by building a prototype or model and performing pre-planned experimental tests, wherever feasible. Prerequisite: EGGN491 1 hour lecture; 6 hours lab; 3 semester hours.

EGGN498. SPECIAL TOPICS IN ENGINEERING (I, II) Pilot course or special topics course. Topics chosen from special interest of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

EGGN499. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

#### **Graduate Courses**

500-level courses are open to qualified seniors with the permission of the department and Dean of the Graduate School.

EGES501. ADVANCED ENGINEERING MEASUREMENTS (I) Introduction to the fundamentals of measurements within the context of engineering systems. Topics that are covered include: errors and error analysis, modeling of measurement systems, basic electronics, noise and noise reduction, and data acquisition systems. Prerequisite: EGGN 250, DCGN381 or equivalent, and MACS 323 or equivalent; graduate student status or consent of the instructor. 3 hours lecture, 1 hour lab; 4 semester hours.

EGES502. INTERDISCIPLINARY MODELING AND SIMULATION (I) Introduce modern simulation and modeling techniques, as used to solve traditional and multidisciplinary engineering problems. Static and dynamic phenomena are described in space and space-time domains as well as in transform space. Analytical as well as computational solution methods are developed and applied for linear and nonlinear systems. Simulation and modeling approaches are applied to solve multidisciplinary engineering problems. Prerequisite: This is an introductory graduate class. The student must have a solid understanding of linear algebra, calculus, ordinary differential equations, and Fourier theory. 3 hours lecture; 1 hour lab; 4 semester hours.

EGES503. MODERN ENGINEERING DESIGN AND PROJECT MANAGEMENT (II) Contemporary technical and behavioral issues in engineering design and project management. Implementation of project organization techniques to plan thesis research projects or projects selected at the beginning of the semester. Elements of quality control in manufacturing and numerous marketing tools. Prerequisite: EGGN 491 and EGGN 492, or equivalent senior design project experience, or equivalent industrial design experience, or consent of the Engineering Division. 3 hours lecture; 3 semester hours.

EGES504. ENGINEERING SYSTEMS SEMINAR (II) This is a seminar and discussion forum for graduate students to present their research projects, critique others' presentations, understand the breadth of engineering projects across the Division, hear from leaders of industry about the contemporary engineering as well as socio-economical, marketing and behavioral issues facing today's competitive business environment. In order to improve communication skills, each student is required to present a seminar in this course before his/her graduation from Engineering Systems graduate program. Also students are required to write weekly critiques about materials delivery techniques used in the previous week's seminar by the presenter. Prerequisite: Graduate standing. 1 hour seminar, 1 semester hour.

EGES510. IMAGE AND MULTIDIMENSIONAL SIGNAL PROCESSING (I) This course provides the student with the theoretical background to allow them to apply state of the art image and multi-dimensional signal processing techniques. The course teaches students to solve practical problems involving the processing of multidimensional data such as imagery, video sequences, and volumetric data. The types of problems students are expected to solve are automated mensuration from multidimensional data, and the restoration, reconstruction, or compression of multidimensional data. The tools used in solving these problems include a variety of feature extraction methods,

filtering techniques, segmentation techniques, and transform methods. Students will use the techniques covered in this course to solve practical problems in projects. Prerequisite: EGGN 388 or equivalent. 3 hours lecture; 3 semester hours.

EGES511. DIGITAL SIGNAL PROCESSING (I) This course introduces the engineering aspects of digital signal processing (DSP). It deals with the theoretical foundations of DSP combined with applications and implementation technologies. While the bulk of the course addresses one-dimensional signals and emphasizes digital filters, there are extensions to specialized and contemporary topics such as sigma-delta conversion techniques. The course will be useful to all students who are concerned with information bearing signals and signal-processing in a wide variety of applications settings, including sensing, instrumentation, control, communications, signal interpretation and diagnostics, and imaging. Prerequisite: EGGN 483 and EGGN 407, EGGN 388, approved undergraduate coursework in Linear Systems, or consent of instructor. 3 hours lecture; 3 semester hours.

EGES512. COMPUTER VISION (II) Computer vision is the process of using computers to acquire images, transform images, and extract symbolic descriptions from images. This course concentrates on how to recover the structure and properties of a possibly dynamic three-dimensional world from its two-dimensional images. We start with an overview of image formation and low level image processing, including feature extraction techniques. We then go into detail on the theory and techniques for estimating shape, location, motion, and recognizing objects. Applications and case studies will be discussed from areas such as scientific image analysis, robotics, machine vision inspection systems, photogrammetry, multimedia, and human interfaces (such as face and gesture recognition). Design ability and hands-on projects will be emphasized, using image processing software and hardware systems. Prerequisite: Linear algebra, Fourier transforms, knowledge of C programming language. 3 hours lecture; 3 semester hours.

EGES514/MNGN. MINING ROBOTICS (I) Fundamentals of robotics as applied to the mining industry. The focus is on mobile robotic vehicles. Topics covered are: mining applications, introduction and history of mobile robotics, sensors, including vision, problems of sensing variations in rock properties, problems of representing human knowledge in control systems, machine condition diagnostics, kinematics, and path finding. Prerequisite: EGGN 407, or consent of instructor. 3 hours lecture; 3 hours lab; 4 semester hours. Fall semesters, every two years.

EGES517. THEORY AND DESIGN OF ADVANCED CONTROL SYSTEMS (II) A unified energy-based approach to modeling of dynamic systems is presented to handle transient analysis of complex and integrated processes and systems. Linear, nonlinear, and time varying systems are analyzed using matrix notation and linear algebra. Concepts of controllability and observability are presented. Design techniques for optimal open loop and closed loop systems using Hamiltonian and Pontryagin principles are described. Analysis and design of optimal feedback control systems and design of observers are presented. Prerequisite: EGGN 407 or consent of instructor 3 hours lecture; 3 semester hours. Spring semester of odd years.

EGES518. ROBOT MECHANICS: KINEMATICS, DYNAMICS, AND CONTROL (I) Mathematical representation of robot structures. Mechanical analysis including kinematics, dynamics, and design of robot manipulators. Representations for trajectories and path planning for robots. Fundamentals of robot control including, linear, nonlinear and force control methods. Introduction to off-line programming techniques and simulation. Prerequisite: EGGN 407, EGGN 400, or consent of instructor. 3 hours lecture; 3 semester hours. Fall semesters, ever year, or every other year, depending on interest.

EGES521. MECHATRONICS (II) Fundamental design of electromechanical systems with embedded microcomputers and intelligence. Design of microprocessor based systems and their interfaces. Fundamental design of machines with active sensing and adaptive response. Microcontrollers and integration of micro-sensors and micro-actuators in the design of electromechanical systems. Introduction to algorithms for information processing appropriate for embedded systems. Smart materials and their use as actuators. Students will do projects involving the design and implementation of smart-systems. Prerequisite: DCGN 381. EGGN 481 and EGGN 482 recommended. 3 hours lecture; 3 semester hours. Spring semesters, every other year.

EGES523. DESIGN OF DIGITAL CONTROL SYSTEMS (II) Discrete system representation in time and z-domain is described. Difference equations describing dynamic systems are presented. Discrete equivalents of continuous systems are introduced. Stability analysis for digital systems is described. Control design focuses on state space representation. Pole-placement design and digital optimal control design are covered, including Kalman filtering. Limitations on control performance are discussed along with robust control design concepts. Prerequisite: EGGN 407 or consent of instructor. 3 hours lecture; 3 semester hours Spring, even numbered years

EGES532/MTGN 545. FATIGUE AND FRACTURE (I) Basic fracture mechanics as applied to engineering materials, S-N curves, the Goodman diagram, stress concentrations, residual stress effects, effect of material properties on mechanisms of crack propagation. Prerequisite: Consent of department. 3 hours lecture; 3 semester hours. Fall semesters, odd numbered years. EGES534. SOIL BEHAVIOR (II) The focus of this course is on interrelationships among the composition, fabric, and geotechnical and hydrologic properties of soils that consist partly or wholly of clay. The course will be divided into two parts. The first part provides an introduction to the composition and fabric of natural soils, their surface and pore-fluid chemistry, and the physico-chemical factors that govern soil behavior. The second part examines what is known about how these fundamental characteristics and factors affect geotechnical properties, including the hydrologic properties that govern the conduction of pore fluid and pore fluid constituents, and the geomechanical properties that govern volume change, shear deformation, and shear strength. The course is designed for graduate students in various branches of engineering and geology that are concerned with the engineering and hydrologic behavior of earth systems, including geotechnical engineering, geological engineering, environmental engineering, mining engineering, and petroleum engineering. Prerequisites: EGGN461 Soil Mechanics, or consent of instructor. 3 hours lecture; 3 semester hours

EGES533. UNSATURATED SOIL MECHANICS The focus of this course is on soil mechanics for unsaturated soils. It provides an introduction to thermodynamic potentials in partially saturated soils, chemical potentials of adsorbed water in partially saturated soils, phase properties and relations, stress state variables, measurements of soil water suction, unsaturated flow laws, measurement of unsaturated permeability, volume change theory, effective stress principle, and measurement of volume changes in partially saturated soils. The course is designed for seniors and graduate students in various branches of engineering and geology that are concerned with unsaturated soil's hydrologic and mechanics behavior. Prerequisites: EGGN461 or consent of instructor. 3 hours lecture; 3 semester hours.

EGES535. INTRODUCTION TO DISCRETE ELEMENT METHODS (DEMS) (II) Review of particle/rigid body dynamics, numerical DEM solution of equations of motion for a system of particles/rigid bodies, linear and nonlinear contact and impact laws dynamics, applications of DEM in mechanical engineering, materials processing and geomechanics. Prerequisites: EGGN320, EGGN315 and some scientific programming experience in C/C++ or Fortran, or the consent of the instructor. 3 hours lecture; 3 semester hours Spring semester of even numbered years.

EGES540. CONTINUUM MECHANICS (I) Introduction to Cartesian tensor analysis; consideration of stress, strain, and strain rates as tensor quantities including their transformation laws; decomposition theorems for stress and strain; constitutive theory of materials; use of conservation principles in continuum mechanics. Prerequisite: EGGN322 and MACS315 or consent of instructor. 3 hours lecture; 3 semester hours. Fall semesters, odd numbered years

EGES542. FINITE ELEMENT METHODS FOR ENGINEERS (II) A course combining finite element theory with practical programming experience in which the multi-disciplinary nature of the finite element method as a numerical technique for solving differential equations is emphasised. Topics covered include simple 'structural' elements, beams on elastic foundations, solid elasticity, steady state analysis and transient analysis. Some of the applications will lie in the general area of geomechanics, reflecting the research interests of the instructor. Students get a copy of all the source code published in the course textbook. Prerequisite: Consent of the instructor 3 hours lecture; 3 semester hours

EGES543. SOLID MECHANICS OF MATERIALS (II) Introduction to the algebra of vectors and tensors; coordinate transformations; general theories of stress and strain; principal stresses and strains; octahedral stresses; Hooke's Law introduction to the mathematical theory of elasticity and to energy methods; failure theories for yield and fracture. PrerequisiteEGGN320 or equivalent, MACS315 or equivalent. 3 hours lecture; 3 semester hours.

EGES544. SOLID MECHANICS OF NONLINEAR MATERIALS (II) Introduction to the internal state variable modeling of inelastic deformation. Topics covered include: review of continuum thermomechanics; physics of plastic deformation in crystalline solids and in geo-materials; viscoplasticity; rate-independent plasticity; yield criteria; isotropic and kinematic hardening rules; numerical solution of sets of internal state variable equations; numerical coupling of internal state variable equations with finite element models of elastic deformation. Prerequisite EGGN320 and EGES543 or consent of instructor. 3 hours lecture; 3 semester hours. Spring semester, even numbered years.

EGES545. BOUNDARY ELEMENT METHODS (II) Development of the fundamental theory of the boundary element method with applications in elasticity, heat transfer, diffusion, and wave propagation. Derivation of indirect and direct boundary integral equations. Introduction to other Green's function based methods of analysis. Computational experiments in primarily two dimensions. Prerequisite: EGES502, EGES540 or consent of instructor 3 hours lecture; 3 semester hours Spring Semester, odd numbered years.

EGES546. ADVANCED ENGINEERING DYNAMICS (I) Review of vibration theory as applied to single- and multidegree-of-freedom systems. Free and forced vibrations. Different types of loading-step, sinusoidal, random, earthquake, periodic. Transmissibility. Importance of resonance. Role of damping. Natural frequencies. Modal superposition method. Rayleigh damping. Numerical solution techniques. Introduction to dynamic analysis by finite element method. Newmark methods for time integration. Hysteretic materials and stiffness degradation. Equivalent viscous damping. Liquefaction in geomaterials. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours

EGES548. ADVANCED SOIL MECHANICS (I) Advanced soil mechanics theories and concepts as applied to analysis and design in geotechnical engineering. Topics covered will include seepage, consolidation, shear strength, failure criteria and constitutive models for soil. The course will have an emphasis on numerical solution techniques to geotechnical problems by finite elements and finite differences. Prerequisites: A first course in soil mechanics or consent of instructor. 3 Lecture Hours, 3 semester hours

EGES550. NUMERICAL METHODS FOR ENGINEERS (S) Introduction to the use of numerical methods in the solution of commonly encountered problems of engineering analysis. Structural/solid analysis of elastic materials (linear simultaneous equations); vibrations (roots of nonlinear equations, initial value problems); natural frequency and beam buckling (eigenvalue problems); interpretation of experimental data (curve fitting and differentiation); summation of pressure distributions (integration); beam deflections (boundary value problems). All course participants will receive source code of all the numerical methods programs published in the course textbook which is coauthored by the instructor. Prerequisite: MACS315 or consent of instructor. 3 hours lecture; 3 semester hours.

EGES551. MECHANICS OF INCOMPRESSIBLE FLUIDS (I) Newtonian and non-Newtonian fluids. Mechanics of two- and three-dimensional viscous incompressible flows, flows of homogeneous and nonhomogeneous fluids, and engineering applications. Multi-phase flows. Steady and unsteady Bernoulli equation. Similarity of flows. Potential flows and basic source-sink flows inside and around body. Random ocean waves. Inertia and damping forces on submerged bodies. Vortex shedding. Engineering applications and computer simulations. Prerequisites; EGGN 351 and MACS 315 or consent of instructor. 3 hours lecture; 3 semester hours

EGES552. VISCOUS FLOW AND BOUNDARY LAYERS (I) This course establishes the theoretical underpinnings of fluid mechanics, including fluid kinematics, stress-strain relationships, and derivation of the fluid-mechanical conservation equations. These include the mass-continuity and Navier-Stokes equations as well as the multicomponent energy and species-conservation equations. Fluid-mechanical boundary-layer theory is developed and applied to situations arising in chemically reacting flow applications including combustion, chemical processing, and thin-film materials processing. Prerequisite: EGGN473, or CHEN430, or consent of instructor. 3 hours lecture; 3 semester hours.

EGES553. ENGINEERING HYDROLOGY (I) The hydrologic cycle, precipitation and runoff relationships, and the Rational Method. Hydrograph analysis and synthesis and the unit hydrograph. Basin analysis, flood routing, urban hydrology and design. Prerequisite: EGGN 351, or consent of instructor. 3 hours lecture; 3 semester hours. Fall semesters, even years.

EGES554. OPEN CHANNEL FLOW (II) Fluid mechanics applied to flow in natural and manmade channels. The principles of momentum and energy, flow resistance in uniform and non-uniform channels. Backwater and drawdown curves, channel controls and transitions. Gradually, rapidly and spatially varied flow regimes. Unsteady flow and flood routing methods. Prerequisite: EGGN 351, or consent of instructor.. 3 hours lecture; 3 semester hours. Spring semesters, odd years.

EGES559. MECHANICS OF PARTICULATE MEDIA (I) This course allows students to establish fundamental knowledge of quasi-static and dynamic particle behavior that is beneficial to interdisciplinary material handling processes in the chemical, civil, materials, metallurgy, geophysics, physics, and mining engineering. Issues of interest are the definition of particle size and size distribution, particle shape, nature of packing, quasi-static behavior under different external loading, particle collisions, kinetic theoretical modeling of particulate flows, molecular dynamic simulations, and a brief introduction of solid-fluid two-phase flows. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Fall semesters, every other year

EGES564. PHYSICAL GASDYNAMICS (I) Selected topics in gas-phase thermodynamics for high speed and/or reacting flows: kinetic theory; transport properties; chemical equilibrium; vibrational, rotational and chemical rate processes; statistical mechanics; and the equations of radiative transfer from a microscopic viewpoint. Prerequisite: EGGN351, EGGN371, or consent of instructor. 3 hours lecture; 3 semester hours.

EGES566. COMBUSTION (II) An introduction to combustion. Course subjects include: the development of the Chapman-Jouget solutions for deflagration and detonation, a brief review of the fundamentals of kinetics and thermochemistry, development of solutions for diffusion flames and premixed flames, discussion of flame structure, pollutant formation, and combustion in practical systems. Prerequisite: EGGN473, or ChEN430, or consent of instructor. 3 hours lecture; 3 semester hours.

EGES567. RADIATION HEAT TRANSFER (I) Review of radiative properties, blackbody radiation, Planck's distribution, Wien's Displacement Law, Kirchhoff's Law, view factors. Radiation exchange within enclosures with black and diffuse-gray surfaces. Radiation in absorbing, emitting and scattering (semi-transparent, participating) media. An engineering treatment of gas radiation in enclosures. Prerequisite: EGGN 471, or equivalent or consent of instructor. 3 hours lecture; 3 semester hours.

EGES572. MULTIPHASE FLOWS AND TRANSPORT PHENOMENA WITH DROPLETS AND PARTICLES (II) Derivation of the basic heat, mass, and momentum transfer equations for the analysis of multiphase flows with droplets and particles. Flow patterns in two-phase pipe flows. Analysis of spray and particulate systems. Formation and breakup of droplets. Particle/fluid, particle/wall, particle/particle interactions. Prerequisite: EGGN 552 or consent of instructor. 3 hours lecture; 3 semester hours. Spring semesters, every other year.

EGES573. INTRODUCTION TO COMPUTATIONAL TECHNIQUES FOR FLUID DYNAMICS AND TRANSPORT PHENOMENA (II) Introduction to Computational Fluid Dynamics (CFD) for graduate students with no prior knowledge of this topic. Basic techniques for the numerical analysis of fluid flows. Acquisition of hands-on experience in the development of numerical algorithms and codes for the numerical modeling and simulation of flows and transport phenomena of practical and fundamental interest. Capabilities and limitations of CFD. Prerequisite: EGGN 473 or consent of instructor. 3 hours lecture; 3 semester hours.

EGES585. ADVANCED HIGH POWER ELECTRONICS (II) Basic principles of analysis and design of circuits utilizing high power electronics. AC/DC, DC/AC, AC/AC, and DC/DC conversion techniques. Laboratory project comprising simulation and construction of a power electronics circuit. Prerequisites: EGGN 385; EGGN 389 or equivalent 3 hours lecture; 3 semester hours.

EGES588. ADVANCED RELIABILITY OF ENGINEERING SYSTEMS (I) This course addresses uncertainty modeling, reliability analysis, risk assessment, reliability-based design, predictive maintenance, optimization, and costeffective retrofit of engineering systems such as structural, sensory, electric, pipeline, hydraulic, lifeline and environmental facilities. Topics include Introduction of Reliability of Engineering Systems, Network Modeling and Evaluation of Complex Engineering Systems, Stochastic Engineering System Simulation, Frequency Analysis of Extreme Events, Reliability and Risk Evaluation of Engineering Systems, and Optimization of Engineering Systems. Prerequisite: MACS 324 (Probability and Statistics for Engineers II) 3 hours lecture; 3 semester hours

EGES598. SPECIAL TOPICS IN ENGINEERING (I, II) Pilot course of special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually course is offered only once. Prerequisite: Consent of the Instructor. Variable credit; 1 to 6 hours

EGES599. INDEPENDENT STUDY (I,II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: "Independent Study" form must be completed and submitted to the Registrar. Variable credit; 1 to 6 hours

EGES604. ENGINEERING SYSTEMS SEMINAR (II) This is a seminar and discussion forum for graduate students to present their research projects, critique others' presentations, understand the breadth of engineering projects across the Division, hear from leaders of industry about the contemporary engineering as well as socio-economical, marketing and behavioral issues facing today's competitive business environment. In order to improve communication skills, each student is required to present a seminar in this course before his/her graduation from Engineering Systems graduate program. Also students are required to write weekly critiques about materials delivery techniques used in the previous week's seminar by the presenter. Prerequisite: Graduate standing. 1 hour seminar; 1 semester hour.

EGES617. INTELLIGENT CONTROL SYSTEMS (II) Fundamental issues related to the design on intelligent control systems are described. Neural networks analysis for engineering systems are presented. Neural-based learning, estimation, and identification of dynamical systems are described. Qualitative control system analysis using fuzzy logic is presented. Fuzzy mathematics design of rule-based control, and integrated human-machine intelligent control systems are covered. Real-life problems from different engineering systems are analyzed. Prerequisite: EGES517, or consent of instructor. 3 hours lecture; 3 semester hours. Spring semester of even years.

EGES618. SYSTEM IDENTIFICATION AND ADAPTIVE CONTROL (II) Modeling is the first step in control design, and for many processes a physical model is not appropriate for control design, either because it is too complex, or because of unknown parameters. System identification is an important tool, which with proper use can help a control designer develop empirical models from experimental input/output data. These models are suitable for control system design. Adaptive control systems can make use of on-line system identification to continually update the process model and/or control parameters. The course will begin with coverage of unconstrained optimization and maximum likelihood (ML) estimation. Discrete time dynamic system models are introduced, including transfer function and state space models, random sequences, and ARMAX and Box-Jenkins model structures. State estimation and Kalman filtering is developed. System identification is then an application of ML estimation to various model structures. The final portion of the course covers adaptive control as an application of on-line system identification. Prerequisite: EGGN 517 or EGGN 523 or consent of instructor. 3 hours lecture; 3 semester hours. Spring, odd numbered years.

EGES619. APPLIED INTELLIGENT CONTROL AND FAILURE DIAGNOSTICS (II) Application of intelligent control to system diagnostics and failure prediction. Fundamentals of machinery condition monitoring and health assessment. Survey of techniques used for signal analysis and interpretation of machine condition. Experiments involving servo hydraulic, electromechanical drives, refrigeration, and power electronics, and the detection of faults in these systems. Presentation of current techniques for pattern recognition, signature analysis, sensor fusion, and intelligent control, including FFT, wavelets, and time-frequency analysis. Failure modes, effects and criticality analysis. Case studies and review of active research in failure prevention and predictive maintenance. Use of expert systems, fuzzy logic, and neural networks for intelligent machine decision making. Prerequisite: EGGN 411, EGGN 478, or consent of instructor. EGES617 recommended. 3 hours lecture; 3 semester hours. Spring semesters, every other year.

EGES642. ADVANCED FINITE ELEMENT ANALYSIS FOR ENGINEERS (I) Solution of nonlinear equations, Transient finite element analysis, Finite elements for nonlinear material behavior, Finite elements for large deformations and contact problems Applications of finite elements in mechanical engineering, materials processing and geomechanics. Pre-requisites: EGGN320, EGGN315, EGES542 and some scientific programming experience in C/C++ or Fortran, or the consent of the instructor. 3 hours lecture; 3 semester hours. Fall Semester of even numbered years.

EGES649. HYDRODYNAMICS (II) Basic principles of hydrodynamics treat fundamentals, basic equations, and general theorems. Potential solutions include hydrodynamic singularities (sources, sinks, etc) and nonhomogeneous fluids flows. Nonhomogeneous fluids flows related to the resources recovery technologies. Waves of finite amplitude in stratified fluid. Surface waves and random waves. Motion by capilarity. Solution methods and engineering applications with computer-aided solutions. Prerequisites : EGES551, MACS514 or consent of the instructor. 3 hours lecture; 3 semester hours Spring semester, every third year.

EGES657/CHEN657. RADIATION HEAT TRANSFER (I) Review of radiative properties, blackbody radiation, Planck's distribution, Wien's Displacement Law, Kirchhoff's Law, view factors. Radiation exchange within enclosures and black and diffuse-gray surfaces. Radiation in absorbing, emitting and scattering (semi-transparent, participating) media. An engineering treatment of gas radiation in enclosures. Prerequisite: EGGN471, or equivalent or consent of instructor. 3 lecture hours, 3 semester hours.

EGES658. MOLECULAR SPECTROSCOPY FOR THE THERMOSCIENCES (II) A detailed review of spectroscopy for engineers who use it diagnostics for flowfield research. Introduction to quantum mechanics including the oneelectron atom problem, Zeeman effect and electron spin. Spectroscopy of multi-electron atoms, with a discussion of perturbation solutions to the Schrödinger equation. Development of a transition moment, and its relation to the Einstein A coefficient. Molecular spectroscopy is introduced via the harmonic oscillator and rigid rotator problems. Simple infrared spectroscopy, with the anharmonic oscillators and non-rigid rotators. Electronic transitions & the full diatomic molecular description. Topics such as the rate equations, the density matrix equations, or the spectroscopy of polyatomic species. Prerequisite: EGES564, or consent of instructor. 3 hours lecture; 3 semester hours. Spring semesters, every other year (opposite EGES659 Optical Measurements in Reacting and Nonreacting Flow Systems)

EGES659. OPTICAL MEASUREMENTS IN REACTING AND NONREACTING FLOW SYSTEMS (II) An introduction to passive and active optical diagnostic techniques for species concentrations, gas temperature and flowfield velocity. Radiation methods for particulate and molecular species. Particulate methods for velocity (e.g. Particle Image Velocimetry). Line-of-sight measurements for both particulate and molecules (e.g. Rayleigh and Mie scattering, absorption). Spatially resolved measurements including nonresonant scattering (e.g. Raman), linear resonant methods (Laser Induced Fluorescence) and nonlinear methods (e.g. Degenerate Four-Wave Mixing). Prerequisite: EGES501, EGES564, PH optics course (no number at present), or consent of instructor. 3 hours lecture; 1hour lab; 4 semester hours. Spring semesters, every other year (opposite Molecular Spectroscopy).

EGES698. SPECIAL TOPICS IN ENGINEERING (I,II) Pilot course of special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually course is offered only once. Prerequisite: Consent of the Instructor. Variable credit; 1 to 6 hours.

EGES699. INDEPENDENT STUDY (I,II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: "Independent Study" form must be completed and submitted to the Registrar. Variable credit; 1 to 6 hours.

EGES700. GRADUATE ENGINEERING REPORT - MASTER OF ENGINEERING (I,II,S) Laboratory, field, and library work for the Master of Engineering Report under the supervision of the student's advisory committee. Required of candidates for the degree of Master of Engineering. 6 semester hours upon completion of report.

EGES701. GRADUATE THESIS - MASTER OF SCIENCE (I,II,S) Laboratory, field, and library work for the Master of Science thesis under the supervision of the student's advisory committee. Required of candidates for the degree of Master of Science. 6 semester hours upon completion of report.

EGES703. GRADUATE THESIS - DOCTOR OF PHILOSOPHY (I,II,S) Laboratory, field, and library work for the Doctor of Philosophy thesis under the supervision of the student's advisory committee. Required of candidates for the degree of Doctor of Philosophy.

EGES704 GRADUATE RESEARCH CREDIT: MASTER OF ENGINEERING Engineering design credit hours required for completion of the degree Master of Engineering - thesis. Engineering design must be carried out under the direct supervision of the graduate student's faculty advisor.

EGES705 GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

EGES706 GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

SYGN600. FUNDAMENTALS OF COLLEGE TEACHING Principles of learning and teaching in a college setting.

Methods to foster and assess higher order thinking. Effective design, delivery, and assessment of college courses or presentations. Prerequisite: Graduate standing, or consent of instructor. 2 semester hours.

# Environmental Science and Engineering

ROBERT L. SIEGRIST, Professor and Interim Division Director BRUCE D. HONEYMAN, Professor TISSA ILLANGASEKARE, Professor and AMAX Distinguished Chair PHILIPPE ROSS, Professor RONALD R.H. COHEN, Associate Professor LINDA A. FIGUEROA, Associate Professor DIANNE AHMANN, Assistant Professor JÖRG DREWES, Assistant Professor JUNKO MUNAKATA MARR, Assistant Professor ROBERT F. HOLUB, Research Professor MICHAEL SEIBERT, Research Professor JOHN C. EMERICK, Research Associate Professor MARIA L. GHIRARDI, Research Associate Professor MATTHIAS KOHLER, Research Associate Professor Degrees Offered:

Master of Science (Environmental Science and Engineering)

Doctor of Philosophy (Environmental Science and Engineering)

# Program Description:

The Environmental Science and Engineering (ESE) Programs are designed to prepare students to investigate and analyze problems in order to understand, evaluate, and design a variety of environmental systems. Each Program is interdisciplinary in scope, and consequently the appropriate coursework may be obtained from multiple departments at CSM as well as other local universities.

To achieve the Master of Science (M.S.) degree, full-time students may elect the Non-Thesis option, based exclusively upon coursework, or the Thesis option, in which original laboratory and/or field research is incorporated into the curriculum under the guidance of a faculty advisor. For working professional students the Executive Program is offered, in which a part-time evening curriculum leads to a Non-Thesis M.S. degree. In collaboration with other CSM Departments and Divisions, ESE also offers combined baccalaureate/masters degree programs in which students obtain an undergraduate degree in another CSM Department or Division as well as a Thesis or Non-Thesis Masters Degree in Environmental Science and Engineering. Up to six credit hours may be counted toward the requirements of both the B.S. and M.S. degrees. Please see the Combined Undergraduate/Graduate Programs sections in the Graduate and Undergraduate Bulletins for additional information. The availability of daytime, evening, and summer courses allows all students a high degree of flexibility in planning the duration of their coursework.

To achieve the Doctor of Philosophy degree, students are expected to complete a combination of coursework and original research, under the guidance of a faculty advisor, that culminates in a significant scholarly contribution to a specialized field in environmental science or engineering. The Ph.D. Program may build upon the ESE M.S. Thesis Program or a comparable M.S. Program at another university. Full-time enrollment is expected and leads to the greatest success, although part-time enrollment may be allowed under special circumstances.

The ESE Programs offer five tracks of study that correspond to areas of active endeavor in environmental industries and non-profit organizations as well as active research by members of the ESE faculty: Water and Wastewater Treatment, Environmental Biotechnology, Environmental Chemistry and Radiochemistry, Site Characterization and Remediation, and Environmental Systems Modeling. Each track is designed to give students a rigorous, in-depth background in its topic while allowing opportunity, through electives, for exploration of related areas.

The ESE Programs have been admitted to the Western Regional Graduate Program, a recognition that designates this curriculum as unique within the Western United States, excluding California. An important benefit of this designation is that students from Alaska, Arizona, Hawaii, Idaho, Montana, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Utah, Washington, and Wyoming are given the tuition status of Colorado residents.

Please contact the Division Office or visit the Division website (http://www.mines.edu/Academic/envsci) for additional program information.

#### Program Requirements:

M.S. Non-Thesis Option: 36 total credit hours, consisting of coursework (34 h) and seminar (2 h).

M.S. Thesis Option: 36 total credit hours, consisting of coursework (22 h), seminar (2 h), and research (12 h). Students must also write and orally defend a research thesis.

Ph.D.: 72 total credit hours, consisting of track coursework (at least 15 h), minor coursework (12 h), seminar (2 h), and research (at least 24 h). Students must also successfully complete comprehensive written and oral examinations, write and defend a doctoral dissertation, and submit the dissertation work for publication in scholarly journals.

#### Prerequisites:

- baccalaureate degree: preferably in a science or engineering discipline
- calculus up to and including differential equations
- college physics: one semester required, one year highly recommended
- college chemistry: one year required
- ◆ college statistics: one semester highly recommended
- track-specific "recommended background" courses

# Required Curriculum:

Each track consists of recommended background courses, core courses, and electives, and students work with their academic advisors and track coordinators to establish plans of study that best fit their individual interests and goals. Each student must develop, submit, and obtain approval for a plan of study during the first semester of enrollment. Recommended background courses may be taken for credit while a student is enrolled in one of the ESE Programs, with the limitation that only 9 credits from undergraduate-level courses may be applied toward graduate credit requirements. Track core courses are required, and some elective courses are recommended as highly suitable for particular tracks. Other electives may be chosen freely from courses offered at CSM and other local universities. Please visit the Division Website for a complete listing of example elective courses offered at CSM (http://www.mines.edu/Academic/envsci/).

# I. Water and Wastewater Treatment

Strongly Recommended Background: Fluid Mechanics

#### **Track Core:**

ESGN 500 - Principles of Environmental Chemistry

ESGN 502 - Environmental Law

ESGN 504 - Water and Wastewater Treatment

ESGN 530 - Environ. Engr. Pilot Plant Laboratory

ESGN 586 - Microbiology of Engr. Environ. Systems or CHGC 562 - Microbiol. and the Environment

# II. Environmental Biotechnology

*Strongly Recommended Background:* College Biology, Organic Chemistry

# **Track Core:**

CHGN 428 - Introductory Biochemistry

ESGN 504 - Water and Wastewater Treatment

ESGN 541 - Biochemical Treatment Processes

CHGC 562 - Microbiology and the Environment

ESGN 586 - Microbiology of Engr. Environ. Systems

# **III. Environmental Chemistry and Radiochemistry**

*Strongly Recommended Background:* Chemical Thermodynamics

#### **Track Core:**

ESGN 500 - Principles of Environmental Chemistry

ESGN 503 - Environmental Pollution

ESGN 504 - Water and Wastewater Treatment

CHGC 504 - Methods of Geochemistry

or CHGC 509 - Intro. to Aqueous Geochemistry

ESGN 510 - Environmental Radiochemistry

or ESGN 525 - Chem. of the Soil/Water Interface

# IV. Site Characterization and Remediation

Strongly Recommended Background: Fluid Mechanics

## Track Core:

ESGN 500 - Principles of Environmental Chemistry ESGN 502 - Environmental Law ESGN 503 - Environmental Pollution ESGN 575 - Hazardous Waste Site Remediation ESGN 586 - Microbiology of Engr. Environ. Systems

## V. Environmental Systems Modeling

*Strongly Recommended Background:* Fluid Mechanics, Hydrology

## Track Core:

ESGN 503 - Environmental Pollution

ESGN 522 - Subsurface Transport

or ESGN 520 - Surface Water Quality Modeling

ESGN 527 - Environmental Systems Analysis

or GEGN 575 - Geographic Information Systems

ESGN 622 - Multiphase Flow and Transport

or ChEN 516 - Transport Phenomena

GEGN 467 - Hydrogeology and Groundwater Engr.

### Fields of Research:

As reflected by the five tracks, research is focused in five main areas: 1) development of innovative processes for water and waste treatment; 2) applications of biological processes in environmental remediation, water treatment, and renewable energy generation; 3) understanding fundamental chemical and radiochemical processes governing the fate and transport of contaminants, and engineering these processes to achieve remedial goals; 4) geologic, geographic, hydrological, and biological characterization of pristine and anthropogenically disturbed natural systems, both for elucidating natural system function and for informing remediation and restoration efforts; and 5) mathematical representation and modeling of hydrological and hydrogeological phenomena in surface and subsurface waters. Within these areas, established research programs have developed investigating the physical/chemical processes controlling non-aqueous phase liquid (NAPL) transport, environmental adsorption chemistry, the biological treatment of metal-and radionuclide-containing wastes, molecular analysis of microbial communities, *in situ* chemical and biological remediation of soil and groundwater systems, and evaluation of the roles of wetlands in regulating water quality.

#### Description of Courses

ESGN401. FUNDAMENTALS OF ECOLOGY Biological and ecological principles are discussed and industrial examples of their use are given. Analysis of ecosystem processes, such as erosion, succession, and how these processes relate to engineering activities, including engineering design and plant operation. Criteria and performance standards analyzed for facility siting, pollution control, and mitigation of impacts. North American ecosystems are analyzed. Concepts of forestry, range, and wildlife management integrated as they apply to all the above. Three to four weekend field trips will be arranged during the semester. Prerequisite: ESGN301 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN440. ENVIRONMENTAL POLLUTION: SOURCES, CHARACTERISTICS, TRANSPORT AND FATE This course describes the environmental behavior of inorganic and organic chemicals in multimedia environments, including water, air, sediment and biota. Sources and characteristics of contaminants in the environment are discussed as broad categories, with some specific examples from various industries. Attention is focused on the persistence, reactivity, and partitioning behavior of contaminants in environmental media. Both steady and unsteady state multimedia environmental models are developed and applied to contaminated sites. The principles of contaminant transport in surface water, groundwater and air are also introduced. The course provides students with the conceptual basis and mathematical tools for predicting the behavior of contaminants in the environment. Prerequisite: ESGN353 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN/EGGN453. WASTEWATER ENGINEERING The goal of this course is to familiarize students with the fundamental phenomena involved in wastewater treatment processes (theory) and the engineering approaches used in designing such processes (design). This course will focus on the physical, chemical and biological processes applied to liquid wastes of municipal origin. Treatment objectives will be discussed as the driving force for wastewater treatment. Prerequisite: ESGN353 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN/EGGN454. WATER SUPPLY ENGINEERING This course presents contemporary issues relating to the supply of safe drinking water to the public.. The theory and design of conventional potable water treatment unit processes and systems will be covered. Prerequisite: ESGN353 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN/EGGN456. SCIENTIFIC BASIS OF ENVIRONMENTAL REGULATIONS This course offers a critical

examination of the experiments, calculations, and assumptions underpinning numerical and narrative standards contained in federal and state environmental regulations. Top-down investigations of the historical development of selected regulatory guidelines and permitting procedures will be discussed, and students will design improved regulations. Prerequisite: ESGN353 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN/EGGN457. SITE REMEDIATION ENGINEERING This course describes the engineering principles and practices associated with the characterization and remediation of contaminated sites. Methods for site characterization and risk assessment will be highlighted with emphasis on remedial action screening processes, technology principles, and conceptual design. Common isolation and containment and *in situ* and *ex situ* treatment technology will be covered. Computerized decision-support tools will be used and case studies will be presented. Prerequisites: ESGN354 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN462/MTGN527. SOLID WASTE MINIMIZATION AND RECYCLING This course will examine, using case studies, how industry applies engineering principles to minimize waste formation and to meet solid waste recycling challenges. Both proven and emerging solutions to solid waste environmental problems, especially those associated with metals, will be discussed. Prerequisites: ESGN/EGGN 353, ESGN/EGGN354, and ESGN/CHGN302 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN463/MTGN462. INDUSTRIAL WASTE: RECYCLING AND MARKETING This offering will illustrate process technologies converting industrial waste to marketable byproducts, with particular emphasis on locating and evaluating suitable consumers. Components of a waste are matched with operations using similar components as raw materials. This course focuses on identifying customer needs for by-product materials generated by recycling processes, particularly product physical and chemical specifications. Understanding user process technologies facilitates negotiation of mutually satisfactory, environmentally sound sales contracts. Prerequisites: ESGN/EGGN353 and ESGN/EGGN354 or consent of the instructor.

### **Graduate Courses**

ESGN500. PRINCIPLES OF ENVIRONMENTAL CHEMISTRY This course provides an introduction to chemical equilibria in natural waters and engineered systems. Topics covered include chemical thermodynamics and kinetics, acid/base chemistry, open and closed carbonate systems, precipitation reactions, coordination chemistry, adsorption and redox reactions. Prerequisites: none. 3 hours lecture; 3 semester hours.

ESGN500L. ENVIRONMENTAL WATER CHEMISTRY LABORATORY This course provides students with laboratory exercises that complement lectures given in ESGN500. Topics covered include thermodynamics, weak acids and bases, buffers, metal-ion complexation and oxidation/reduction reactions. This course must be taken concurrently with ESGN500. Prerequisite: co-enrollment in ESGN500. 3 hours laboratory; 1 semester hour.

ESGN502. ENVIRONMENTAL LAW This is a comprehensive introduction to U.S. Environmental Law, Policy, and Practice, especially designed for the professional engineer, scientist, planner, manager, consultant, government regulator, and citizen. It will prepare the student to deal with the complex system of laws, regulations, court rulings, policies, and programs governing the environment in the USA. Course coverage includes how our legal system works, sources of environmental law, the major USEPA enforcement programs, state/local matching programs, the National Environmental Policy Act (NEPA), air and water pollution (CAA, CWA), EPA risk assessment training, toxic/hazardous substances laws (RCRA, CERCLA, EPCRA, TSCA, LUST, etc.), and a brief introduction to international environmental law. Prerequisites: none. 3 hours lecture; 3 semester hours.

ESGN503. ENVIRONMENTAL POLLUTION: SOURCES, CHARACTERISTICS, TRANSPORT AND FATE This course describes the environmental behavior of inorganic and organic chemicals in multimedia environments, including water, air, sediment and biota. Sources and characteristics of contaminants in the environment are discussed as broad categories, with some specific examples from various industries. Attention is focused on the persistence, reactivity, and partitioning behavior of contaminants in environmental media. Both steady and unsteady state multimedia environmental models are developed and applied to contaminated sites. The principles of contaminant transport in surface water, groundwater, and air are also introduced. The course provides students with the conceptual basis and mathematical tools for predicting the behavior of contaminants in the environment. Prerequisite: ESGN353 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN504. WATER AND WASTEWATER TREATMENT Unit operations and processes in environmental engineering. Physical, chemical, and biological treatment processes for water and wastewater. Treatment objectives, process theory and practice. Prerequisites: Consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN504L. WATER AND WASTEWATER TREATMENT LABORATORY Laboratory exercises that complement and augment lectures given in ESGN504. Topics include reactor behavior, sedimentation, coagulation, sorption, and biological waste treatment. Prerequisite or corequisite: ESGN504. 3 hours laboratory; 1 semester hour.

ESGN510. ENVIRONMENTAL RADIOCHEMISTRY This course covers the phenomena of radioactivity (e.g., modes of decay, methods of detection and biological effects) and the use of naturally-occurring and artificial

radionuclides as tracers for environmental processes. Discussions of tracer applications will range from oceanic trace element scavenging to contaminant transport through groundwater aquifers. Prerequisites: ESGN500 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN513. LIMNOLOGY This course covers the natural chemistry, physics, and biology of lakes and rivers as well as some basic principles concerning contamination of those water bodies. Topics include heat budgets, water circulation and dispersal, sedimentation processes, organic compounds and their transformations, radionuclide limnochronology, redox reactions, metals and other major ions, the carbon dioxide system, oxygen, nutrients; planktonic, benthic and other communities, light in water and lake modeling. Prerequisite: none. 3 hours lecture; 3 semester hours.

ESGN514. STREAM, RIVER, AND ESTUARINE SYSTEMS This course provides an overview of stream, river, and estuarine processes, as well as those of associated wetland and riparian systems. The ecology of these systems will be discussed along with interactions with the physical and chemical environment. Topics include key biological processes important to the normal functioning of stream, riparian, and wetland environments; influences of stream channel morphology, water quality, and water management on the health of stream systems; use of various species of stream insects and other organisms as indicators of stream water quality; mitigation or rehabilitation of various impacts on degraded streams, estuaries, and associated environments; and management strategies for streams and estuaries. Three optional weekend field trips will introduce students to sampling methods and site characteristics of local streams, rivers, and riparian areas. Prerequisites: ESGN500 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN520. SURFACE WATER QUALITY MODELING This course will cover modeling of water flow and quality in rivers, lakes, reservoirs, and estuaries. Topics will include introduction to common analytical and numerical methods used in modeling surface water flow, water quality, modeling of kinetics, discharge of waste water into surface systems, sedimentation, growth kinetics, dispersion, and biological changes in lakes and rivers. Prerequisites: ESGN440 or ESGN503 recommended, or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN522. SUBSURFACE CONTAMINANT TRANSPORT This course will investigate physical, chemical, and biological processes governing the transport and fate of contaminants in the saturated and unsaturated zones of the subsurface. Basic concepts in fluid flow, groundwater hydraulics, and transport will be introduced and studied. The theory and development of models to describe these phenomena, based on analytical and simple numerical methods, will also be discussed. Applications will include prediction of extents of contaminant migration and assessment and design of remediation schemes. Prerequisites: ESGN503 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN525. CHEMISTRY OF THE SOIL/WATER INTERFACE The fate of many elements in the soil/water environment is regulated by sorption reactions. The content of this course focuses on the physical chemistry of reactions occurring at the soil-particle/water interface. The emphasis is on the use of surface complexation models to interpret solute sorption at the particle/water interface. Prerequisites: ESGN500 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN527. ENVIRONMENTAL SYSTEMS ANALYSIS Basic principles of environmental systems analysis required in industrial and governmental projects pertaining to environmental site characterization for natural resource evaluation, human impact on natural systems, and for developing remediation strategies are studied, including terrain analysis and surface and subsurface characterization procedures and analysis. Basic principles are developed by investigating and applying systems analysis and site characterization techniques to environmental problems. Prerequisite: none. 3 hours laboratory per week; 3 semester hours.

ESGN528. MATHEMATICAL MODELING OF ENVIRONMENTAL SYSTEMS This is an advanced graduate-level course designed to provide students with hands-on experience in developing, implementing, testing, and using mathematical models of environmental systems. The course will examine why models are needed, how they are developed, tested, and used as decision-making or policy-making tools. Typical problems associated with environmental systems, such as spatial and temporal scale effects, dimensionality, variability, uncertainty, and data insufficiency, will be addressed. The development and application of mathematical models will be illustrated using a theme topic such as Global Climate Change, *In Situ* Bioremediation, or Hydrologic Systems Analysis Prerequisites: ESGN503 and knowledge of basic statistics and computer programming. 3 hours lecture; 3 semester hours.

ESGN530. ENVIRONMENTAL ENGINEERING PILOT PLANT LABORATORY Introduction to bench and pilotscale experimental methods used in environmental engineering. Emphasis is on unit operations associated with water and wastewater treatment. Investigations typically carried out during the semester include: BOD/COD tests, environmental solids analysis and jar testing; flow pattern analysis with tracers; batch aeration and countercurrent air stripping; activated carbon isotherm determination; absorption and exchange column breakthrough investigations; membrane technology evaluation; biotransformations using activated sludge in sequencing batch reactors and biokinetics using respirometry. Includes 6 hours per week in lab. Prerequisites: ESGN500 and ESGN504 or consent of the instructor. 6 hours laboratory; 3 semester hours.

ESGN541. BIOCHEMICAL TREATMENT PROCESSES Analysis and design of biochemical processes used to transform pollutants. Suspended growth, attached growth, and porous media systems will be analyzed. Common

biochemical operations used for water, wastewater, and sludge treatment will be discussed. Biochemical systems for organic oxidation and fermentation and inorganic oxidation and reduction will be presented. Prerequisites: ESGN504 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN542/CHGC562. MICROBIOLOGY AND THE ENVIRONMENT This course will cover the basic fundamentals of microbiology, including the following: structure and function of prokaryotic cells, eukaryotic cells, and viruses; phylogenetic classification of microorganisms; microbial metabolism, energetics, genetics, growth, and diversity; and microbial interactions with plants, animals, and other microbes. Additional topics covered will include global biogeochemical cycles, bioleaching, bioremediation, and wastewater treatment. Prerequisite: ESGN301 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN543/CHGC563. ENVIRONMENTAL MICROBIOLOGY This course provides an introduction to the microorganisms of major geochemical importance, as well as those of primary importance in water pollution and waste treatment. Microbial roles in sedimentation, microbial leaching of metals from ores, acid mine water pollution, and the microbial ecology of marine and freshwater habitats are covered. Prerequisite: Consent of the instructor. 1 hour lecture and 3 hours laboratory; 2 semester hours.

ESGN544. AQUATIC TOXICOLOGY An introduction to assessing the effects of toxic substances on aquatic organisms, communities, and ecosystems. Topics include general toxicological principles, water quality standards, quantitative structure-activity relationships, single species and community-level toxicity measures, regulatory issues, and career opportunities. The course includes hands-on experience with toxicity testing and subsequent data reduction. Prerequisite: none. 3 hours lecture; 3 semester hours.

ESGN545. ENVIRONMENTAL TOXICOLOGY Introduction to general concepts of ecology, biochemistry, and toxicology. The introductory material will provide a foundation for understanding why, and to what extent, a variety of products and by-products of advanced industrialized societies are toxic. Classes of substances to be examined include metals, coal, petroleum products, organic compounds, pesticides, radioactive materials, and others. Prerequisite: none. 3 hours lecture; 3 semester hours.

ESGN552. RECLAMATION OF DISTURBED LANDS Basic principles and practices in reclaiming disturbed lands. Includes overview of present legal requirements for reclamation and basic elements of the reclamation planning process. Examination of reclamation methods including recontouring, erosion control, soil preparation, plant establishment, seed mixtures, nursery stock, and wildlife habitat rehabilitation. Practitioners in the field will discuss their experiences. Prerequisite: consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN555/CHGC555. ENVIRONMENTAL ORGANIC CHEMISTRY. A study of the chemical and physical interactions that determine the fate, transport, and interactions of organic chemicals in aquatic systems, with emphasis on chemical transformations of anthropogenic organic contaminants. Prerequisites: organic chemistry and CHGN 503, advanced physical chemistry, or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN562/MTGN 527. SOLID WASTE MINIMIZATION AND RECYCLING This course will examine, using case studies, how industry applies engineering principles to minimize waste formation and to meet solid waste recycling challenges. Both proven and emerging solutions to solid waste environmental problems, especially those associated with metals, will be discussed. Prerequisites: ESGN/EGGN353, ESGN/EGGN354, and ESGN/CHGN302, or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN563/MTGN462. INDUSTRIAL WASTE: RECYCLING AND MARKETING This offering will illustrate process technologies converting industrial waste to marketable byproducts, with particular emphasis on locating and evaluating suitable consumers. Components of a waste are matched with operations using similar components as raw materials. This course focuses on identifying customer needs for by product materials generated by recycling processes, particularly product physical and chemical specifications. Understanding user process technologies facilitates negotiation of mutually satisfactory, environmentally sound sales contracts. Prerequisites: ESGN/EGGN353 and ESGN/EGGN354 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN571. ENVIRONMENTAL PROJECT MANAGEMENT Investigates environmental project management and decision making from government, industry and contractor perspectives. Emphasis is on the (1) economics of project evaluation; (2) cost estimation methods; (3) project planning and performance monitoring; (4) and creation of project teams and organizational/communications structures. Extensive use of case studies. Prerequisite: consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN575. HAZARDOUS WASTE SITE REMEDIATION This course covers remediation technologies for hazardous waste contaminated sites, including site characteristics and conceptual model development, remedial action screening processes, and technology principles and conceptual design. Institutional control, source isolation and containment, subsurface manipulation, and *in situ* and *ex situ* treatment processes will be covered, including unit operations, coupled processes, and complete systems. Case studies will be used and computerized tools for process selection and design will be employed. Field trips will be taken to hazardous waste sites and/or environmental firms and a class project will

be completed. Prerequisite: consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN575L. HAZARDOUS WASTE SITE REMEDIATION: TREATABILITY TESTING This laboratory module is designed to provide hands-on experience with treatability testing to aid selection and design of remediation technologies for a contaminated site. The course will be comprised of laboratory exercises in Coolbaugh Hall and possibly some field site work near CSM. The course is limited to 8 students and students will work in teams of up to 4 persons each. 2 hours laboratory; 1 semester hour.

ESGN586. MICROBIOLOGY OF ENGINEERED ENVIRONMENTAL SYSTEMS Applications of microbial physiological processes to engineered and human-impacted systems for the purpose of achieving environmentally desirable results. Topics include microbial identification and enumeration, biofilms in engineered systems, industrial fermentations and respirations, biodegradation and bioremediation of organic and inorganic contaminants, wastewater microbiology, renewable energy generation, and agricultural biotechnology. Prerequisite: CHGC562 or equivalent, or enrollment in an ESE program. 3 hours lecture, 3 semester hours.

ESGN591. ANALYSIS OF ENVIRONMENTAL IMPACT Techniques for assessing the impact of mining and other activities on various components of the ecosystem. Training in the procedures of preparing Environmental Impact Statements. Course will include a review of pertinent laws and acts (i.e. Endangered Species Act, Coordination Act, Clean Air Act, etc.) that deal with environmental impacts. Prerequisite: consent of the instructor. 3 hours lecture, some field trips; 3 semester hours.

ESGN593. ENVIRONMENTAL PERMITTING AND REGULATORY COMPLIANCE The purpose of this course is to acquaint students with the permit writing process, developing information requirements for permit applications, dealing with ambiguous regulations, negotiating with permit writers, and dealing with public comment. In addition, students will develop an understanding of the process of developing an economic and legally defensible regulatory compliance program. Prerequisite: ESGN502 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN596. MOLECULAR ENVIRONMENTAL BIOTECHNOLOGY Applications of recombinant DNA technology to the development of enzymes and organisms used for environmentally friendly industrial purposes. Topics include genetic engineering technology, biocatalysis of industrial processes by extremozymes, dye synthesis, biodegradation of aromatic compounds and chlorinated solvents, biosynthesis of polymers and fuels, and agricultural biotechnology. Prerequisite: introductory microbiology and organic chemistry or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN598. SPECIAL TOPICS IN ENVIRONMENTAL SCIENCE Topics chosen from special interests of instructor(s) and students; see website for current offerings. Each topic is usually offered only once. Prerequisite: consent of the instructor. Variable class and semester hours.

ESGN598S. ENVIRONMENTAL SCIENCE AND ENGINEERING SEMINAR Research presentations covering current research in a variety of environmental topics. 1.5 hours seminar, 1 semester hour.

ESGN599. INDEPENDENT STUDY Individual master's level research or special project supervised by a faculty member. Prerequisite: Independent Study form must be completed and submitted to the Registrar. Variable class and semester hours.

ESGN601. RISK ASSESSMENT Evaluates the basic principles, methods, uses and limitations of risk assessment in public and private sector decision making. Emphasis is on how risk assessments are made and how they are used in policy formation. Discussion of how risk assessments can be objectively and effectively communicated to decision makers and the public. Prerequisite: ESGN502 and one semester of statistics or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN602. INTERNATIONAL ENVIRONMENTAL LAW The course covers an introductory survey of International Environmental Law, including multi-nation treaties, regulations, policies, practices, and politics governing the global environment. It surveys the key issues of sustainable development, natural resources projects, transboundary pollution, international trade, hazardous waste, climate change, and protection of ecosystems, wildlife, and human life. New international laws are changing the rules for engineers, project managers, scientists, teachers, businesspersons, and others both in the US and abroad, and this course is especially designed to keep professionals fully, globally informed and add to their credentials for international work. Prerequisites: ESGN502 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN603. ADVANCED WATER TREATMENT ENGINEERING AND WATER REUSE This course presents issues relating to theory, design, and operation of advanced water and wastewater treatment unit processes and water reuse systems. Topics include granular activated carbon (GAC), advanced oxidation processes  $(O_3/H_2O_2)$ , UV disinfection, pressure-driven and current-driven membranes (MF, UF, NF, RO and electrodialysis), and natural systems such as riverbank filtration (RBF) and soil-aquifer treatment (SAT). The course includes hands-on experience using bench- and pilot-scale unit operations. Prerequisite: ESGN 504 or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN622. MULTIPHASE CONTAMINANT TRANSPORT Principles of multiphase and multicomponent flow and transport applied to contaminant transport in the unsaturated and saturated zones. Focus on immiscible phase, dissolved phase, and vapor phase transport of low solubility organic contaminants in soils and aquifer materials. Topics discussed include: capillarity, interphase mass transfer, modeling, and remediation technologies. Prerequisites: ESGN500 or equivalent, ESGN503 or ESGN522 or equivalent, or consent of the instructor. 3 hours lecture; 3 semester hours.

ESGN698. ADVANCED SPECIAL TOPICS IN ENVIRONMENTAL SCIENCE Topics chosen from special interests of instructor(s) and students; see website for current offerings. Each topic is usually offered only once. Prerequisite: consent of the instructor. Variable class and semester hours.

ESGN699. ADVANCED INDEPENDENT STUDY Individual doctoral level research or special project supervised by a faculty member. Prerequisite: Independent Study form must be completed and submitted to the Registrar. Variable class and semester hours.

ESGN701. GRADUATE THESIS: MASTER OF SCIENCE Preparation of the master's thesis under the supervision of the graduate student's advisory committee. Required to qualify for reduced tuition. Prerequisites: 3 full semesters of enrollment and Admission to Candidacy for the M.S. Thesis degree.

ESGN703. GRADUATE THESIS: DOCTOR OF PHILOSOPHY Preparation of the doctoral thesis under the supervision of the graduate student's advisory committee. Required to qualify for reduced tuition. Prerequisites: 6 full semesters of enrollment and Admission to Candidacy for the Ph.D. degree.

ESGN705. GRADUATE RESEARCH: MASTER OF SCIENCE Research credit hours required for completion of the Master of Science with Thesis degree. Research must be carried out under the direct supervision of the student's faculty advisor.

ESGN706. GRADUATE RESEARCH: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the Doctor of Philosophy degree. Research must be carried out under the direct supervision of the student's faculty advisor.

## Geochemistry

WENDY J. HARRISON, Professor Geology and Geological Engineering RONALD W. KLUSMAN, Professor Chemistry and Geochemistry DONALD L. MACALADY, Professor Chemistry and Geochemistry SAMUEL B. ROMBERGER, Professor Geology and Geological Engineering RICHARD F. WENDLANDT, Professor Geology and Geological Engineering THOMAS R. WILDEMAN, Professor Chemistry and Geochemistry JOHN B. CURTIS, Associate Professor Geology and Geological Engineering JOHN D. HUMPHREY, Associate Professor Geology and Geological Engineering E. CRAIG SIMMONS, Associate Professor Chemistry and Geochemistry KEVIN W. MANDERNACK, Associate Professor Chemistry and Geochemistry JOHN E. McCRAY, Assistant Professor, Geology and Geological Engineering

### Degrees Offered:

Master of Science (Geochemistry)

Doctor of Philosophy (Geochemistry)

#### Program Description:

The Geochemistry Program is an interdisciplinary graduate program administered by the departments of Geology and Geological Engineering and Chemistry and Geochemistry. The geochemistry faculty from each department are responsible for the operations of the program. Students reside in either the Department of Geology and Geological Engineering, or the Department of Chemistry and Geochemistry.

### Program Requirements:

The program of study is selected by the student in consultation with his or her advisor and thesis committee. Students entering with backgrounds in chemistry will take more coursework in geology to strengthen their backgrounds in this discipline; the converse is true for students with a background in geology. Due to the interdisciplinary nature of the Geochemistry Program, students are not required to take a minor.

### **Qualifying Examination**

A qualifying examination must be taken. It is expected that this exam will be completed within three years of matriculation or after the bulk of course work is finished, whichever occurs later. This examination will be administered by the student's Doctoral committee and will consist of an oral and a written examination, administered in a format to be determined by the Doctoral Committee. Two negative votes in the Doctoral Committee constitute failure

of the examination.

In case of failure of the qualifying examination, a re-examination may be given upon the recommendation of the Doctoral Committee and approval of the Graduate Dean. Only one re-examination may be given.

#### Prerequisites:

Each entering student will have an entrance interview with members of the Geochemistry faculty. Each department recognizes that entering students may not be proficient in both areas. A placement examination in geology and/or chemistry may be required upon the discretion of the interviewing faculty. If a placement examination is given, the results may be used to establish deficiency requirements. Credit toward a graduate degree will not be granted for courses taken to fulfill deficiencies.

#### Required Curriculum:

A thesis is required for the MS degree and a dissertation for the PhD. The Geochemistry program comprises a core group of courses, required of all students unless individually exempted by the "Committee of the Whole" based on previous background. The core courses are

CHGC503 -Introduction to Geochemistry,

CHGC504 -Methods in Geochemistry, and a one hour laboratory course selected from several available. In addition, MS degree students must take two courses selected from the following list

CHGC509/GEGN509 - Introduction to Aqueous Geochemistry,

CHGC610 - Nuclear and Isotopic Geochemistry,

CHGN503 - Advanced Physical Chemistry,

GEOL512 - Mineralogy and Crystal Chemistry.

PhD degree students must take the three core courses CHGC503, CHGC504, CHGN503, the one hour laboratory course, and two courses selected from the previous list.

The doctoral student's dissertation committee approves the number of course and research credits required for graduation, as well as the specific courses beyond the above requirements. The PhD in Geochemistry requires a minimum of 72 credit hours, of which at least 24 hours must be research credit. Normally at least 48 hours of course credits are required, of which 24 hours of course credit may be transferred from a previous graduate degree upon approval of the dissertation committee. Research credits may not be transferred from a previous degree program.

Graduate students resident in the Department of Chemistry and Geochemistry or the Department of Geology and Geological Engineering shall adhere to the seminar rules and requirements of the department of residence.

The Geochemistry Program at CSM has been admitted to the Western Regional Graduate Program. This recognized the CSM Geochemistry Program as unique in the region. Designation of the Geochemistry Program by WRGP allows residents of western states (excluding California) to enroll in the program at Colorado resident tuition rates. Eligible states include Alaska, Arizona, Hawaii, Idaho, Montana, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Utah, Washington, and Wyoming.

### Description of Courses

CHGC503. INTRODUCTION TO GEOCHEMISTRY (I) A comprehensive introduction to the basic concepts and principles of geochemistry, coupled with a thorough overview of the related principles of thermodynamics. Topics covered include: nucleosynthesis, origin of earth and solar system, chemical bonding, mineral chemistry, elemental distributions and geochemical cycles, chemical equilibrium and kinetics, isotope systematics, and organic and biogeochemistry. Prerequisite: Introductory chemistry, mineralogy and petrology, or consent of instructor. 4 hours lecture; 4 semester hours.

GPGN/GEOL503. INTEGRATED EXPLORATION (I) Integration of scientific data in the analysis and modeling of subsurface reservoir systems. Prerequisite: GPGN315 or GEOL501 or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

CHGC504. METHODS IN GEOCHEMISTRY (II) Sampling of natural earth materials including rocks, soils, sediments, and waters. Preparation of naturally heterogeneous materials, digestions, and partial chemical extractions. Principles of instrumental analysis including atomic spectroscopy, mass separations, and chromatography. Quality assurance and quality control. Interpretation and assessment of geochemical data using statistical methods. Prerequisite: Graduate standing in geochemistry or environmental science and engineering. 2 hours lecture; 2 semester hours.

CHGC509/GEGN509. INTRODUCTION TO AQUEOUS GEOCHEMISTRY (I) Analytical, graphical, and interpretive methods applied to aqueous systems. Thermodynamic properties of water and aqueous solutions. Calculation and graphical expression of acid-base, redox and solution-mineral equilibria. Effect of temperature and kinetics on natural aqueous systems. Adsorption and ion exchange equilibria between clays and oxide phases. Behavior of trace elements and complexation in aqueous systems. Application of organic geochemistry to natural aqueous

systems. Light stable and unstable isotopic studies applied to aqueous systems. Prerequisite: DCGN209 or equivalent, or consent of instructor. 3 hours lecture; 3 semester hours.

CHGC511. GEOCHEMISTRY OF IGNEOUS ROCKS (II) A survey of the geochemical characteristics of the various types of igneous rock suites. Application of major element, trace element, and isotope geochemistry to problems of their origin and modification. Prerequisite: Undergraduate mineralogy and petrology or consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

GEOL512. MINERALOGY AND CRYSTAL CHEMISTRY (I) Relationships among mineral chemistry, structure, crystallography, and physical properties. Systematic treatments of structural representation, defects, mineral stability and phase transitions, solid solutions, substitution mechanisms, and advanced methods of mineral identification and characterization. Applications of principles using petrological and environmental examples. Prerequisite: GEOL212, DCGN209, or equivalent, or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GEOL515. ADVANCED MINERAL DEPOSITS - MAGMATIC AND SYNGENETIC ORES (I) Time-space aspects of metallogenesis in relation to regional and local geological evolution of the Earth. Processes leading to the formation of ore magmas and fluids within tectonic and stratigraphic frameworks, and to the development of favorable ore-forming environments. Emphasis will be placed on processes responsible for ore genesis in magmatic systems, such as layered complexes, carbonatites and pegmatites, and on the submarine hydrothermal processes responsible for syndepositional deposits in volcanic and sedimentary terrains, including massive base and precious metal sulfide ores. Ore deposits in certain sedimentary rocks, including copper, paleoplacer gold-uranium, marine evaporite, barite, and phosphate ores are considered in context of their generative environments and processes. Prerequisite: GEGN401 or equivalent, or consent of instructor. 2 hours lecture, 2 hours lab; 3 semester hours.

GEOL516. ADVANCED MINERAL DEPOSITS - EPIGENETIC HYDROTHERMAL SYSTEMS (II) Time-space aspects of metallogenesis in relation to regional and local geological evolution of the Earth. Processes leading to the generation of metalliferous hydrothermal mineralizing solutions within tectonic and lithologic frameworks, and to the development of favorable ore-forming environments. Emphasis will be placed on processes responsible for ore genesis in magmatic-hydrothermal systems such as porphyry copper-molybdenum-gold deposits, epithermal precious metal deposits, metamorphogenetic gold deposits, volcanic and sedimentary rock-hosted epigenetic base metal ores and epigenetic sedimentary-rock hosted and unconformity-related uranium deposits. Prerequisite: GEGN401 or equivalent, or consent of instructor. 2 hours lecture, 2 hours lab; 3 semester hours.

GEGN518. MINERAL EXPLORATION (I) Mineral industry overview, deposit economics, target selection, deposit modeling, exploration technology, international exploration, environmental issues, program planning, proposal development. Team development and presentation of an exploration proposal. Prerequisite: GEOL515, GEOL516, or equivalent. 2 hours lecture/seminar; 2 hours lab; 3 semester hours. Offered alternate years: Fall 1996.

CHGC527/GEGN527. ORGANIC GEOCHEMISTRY OF FOSSIL FUELS AND ORE DEPOSITS (II) A study of organic carbonaceous materials in relation to the genesis and modification of fossil fuel and ore deposits. The biological origin of the organic matter will be discussed with emphasis on contributions of microorganisms to the nature of these deposits. Biochemical and thermal changes which convert the organic compounds into petroleum, oil shale, tar sand, coal and other carbonaceous matter will be studied. Principal analytical techniques used for the characterization of organic matter in the geosphere and for evaluation of oil and gas source potential will be discussed. Laboratory exercises will emphasize source rock evaluation, and oil-source rock and oil-oil correlation methods. Prerequisite: CHGN221, GEGN438, or consent of instructor. 2 hours lecture; 3 hours lab; 3 semester hours. Offered alternate years. Spring 1999.

CHGC530. ENVIRONMENTAL CHEMISTRY AND GEOCHEMISTRY (II) Mobility of the elements in air, water and the surficial environment. Geochemical cycles of elements and constituents of environmental interest. Plant composition, animal and human health in relation to the natural environment. Acid deposition and other processes affecting water quality. Environmental aspects of fossil fuel processing. Sampling design in large scale environmental studies. Prerequisite: CHGC503 or ESGN500 and ESGN501. 3 hours lecture; 3 semester hours.

GEGN530. CLAY CHARACTERIZATION (I) Clay mineral structure, chemistry and classification, physical properties (flocculation and swelling, cation exchange capacity, surface area and charge), geological occurrence, controls on their stabilities. Principles of X-ray diffraction, including sample preparation techniques, data collection and interpretation, and clay separation and treatment methods. The use of scanning electron microscopy to investigate clay distribution and morphology. Methods of measuring cation exchange capacity and surface area. Prerequisite: GEOL210 and GEGN306 or equivalent, or consent of instructor. 1 hour lecture, 2 hours lab; 1 semester hour.

GEGN532. GEOLOGICAL DATA ANALYSIS (I or II) Techniques and strategy of data analysis in geology and geological engineering: basic statistics review, analysis of data sequences, mapping, sampling and sample representativity, univariate and multivariate statistics, geostatistics, and geographic information systems (GIS). Practical experience with geological applications via supplied software and data sets from case histories. Prerequisites: Introductory statistics course (MACS323 or MACS530 or equivalent); and previous or concurrent enrollment in

MACS532 or permission of instructor. 2 hours lecture/discussion; 3 hours lab; 3 semester hours.

CHGC555. ENVIRONMENTAL ORGANIC CHEMISTRY (II) A study of the chemical and physical interactions which determine the fate, transport and interactions of organic chemicals in aquatic systems, with emphasis on chemical transformations of anthropogenic organic contaminants. Prerequisites: A course in organic chemistry and CHGN503, Advanced Physical Chemistry or its equivalent, or consent of instructor. Offered on demand. 3 hours lecture; 3 semester hours.

CHGC562/CHGN462. MICROBIOLOGY AND THE ENVIRONMENT This course will cover the basic fundamentals of microbiology, such as structure and function of procaryotic versus eucaryotic cells; viruses; classification of microorganisms; microbial metabolism, energetics, genetics, growth and diversity; microbial interactions with plants, animals, and other microbes. Additional topics covered will include various aspects of environmental microbiology such as global biogeochemical cycles, bioleaching, bioremediation, and wastewater treatment. Prerequisite: ESGN301 or consent of Instructor. 3 hours lecture, 3 semester hours. Offered alternate years.

CHGC563. ENVIRONMENTAL MICROBIOLOGY (I) An introduction to the microorganisms of major geochemical importance, as well as those of primary importance in water pollution and waste treatment. Microbes and sedimentation, microbial leaching of metals from ores, acid mine water pollution, and the microbial ecology of marine and freshwater habitats are covered. Prerequisite: Consent of instructor. 1 hour lecture, 3 hours lab; 2 semester hours. Offered alternate years. Fall 1998.

CHGC564. BIOGEOCHEMISTRY AND GEOMICROBIOLOGY (I) Designed to give the student an understanding of the role of living things, particularly microorganisms, in the shaping of the earth. Among the subjects will be the aspects of living processes, chemical composition and characteristics of biological material, origin of life, role of microorganisms in weathering of rocks and the early diagenesis of sediments, and the origin of petroleum, oil shale, and coal. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

GXGN571. GEOCHEMICAL EXPLORATION (I, II) Dispersion of trace metals from mineral deposits and their discovery. Laboratory consists of analysis and statistical interpretation of data from soils, stream sediments, vegetation, and rock in connection with field problems. Term report required. Prerequisite: Consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GEOL609. ADVANCED PETROLEUM GEOLOGY (II) Subjects to be covered involve consideration of basic chemical, physical, biological and geological processes and their relation to modern concepts of oil/gas generation (including source rock deposition and maturation), and migration/accumulation (including that occurring under hydrodynamic conditions). Concepts will be applied to the historic and predictive occurrence of oil/gas to specific Rocky Mountain areas. In addition to lecture attendance, course work involves review of topical papers and solution of typical problems. Prerequisite: GEGN438. 3 hours lecture; 3 semester hours.

CHGC610. NUCLEAR AND ISOTOPIC GEOCHEMISTRY (II) A study of the principles of geochronology and stable isotope distributions with an emphasis on the application of these principles to important case studies in igneous petrology and the formation of ore deposits. U, Th, and Pb isotopes, K-Ar, Rb-Sr, oxygen isotopes, sulfur isotopes, and carbon isotopes included. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours Offered alternate years. Spring 1998.

GEOL615. GEOCHEMISTRY OF HYDROTHERMAL MINERAL DEPOSITS (I) Detailed study of the geochemistry of selected hydrothermal mineral deposits. Theory and application of stable isotopes as applied to mineral deposits. Origin and nature of hydrothermal fluids and the mechanisms of transport and deposition of ore minerals. Review of wall-rock alteration processes. Fundamental solution chemistry and the physical chemistry of hydrothermal fluids. Prerequisite: GEGN401 or equivalent or consent of instructor. 3 hours lecture; 3 semester hours.

GEOL617. THERMODYNAMICS AND MINERAL PHASE EQUILIBRIA (I) Basic thermodynamics applied to natural geologic systems. Evaluation of mineral-vapor mineral solution, mineral-melt, and solid solution equilibria with special emphasis on oxide, sulfide, and silicate systems. Experimental and theoretical derivation, use, and application of phase diagrams relevant to natural rock systems. An emphasis will be placed on problem solving rather than basic theory. Prerequisite: DCGN209 or equivalent or consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years; Fall 1995.

GEOL621. PETROLOGY OF DETRITAL ROCKS (II) Compositions and textures of sandstones, siltstones, and mudrocks. Relationship of compositions and textures of provenance, environment of deposition, and burial history. Development of porosity and permeability. Laboratory exercises emphasize use of petrographic thin sections, x-ray diffraction analysis, and scanning electron microscopy to examine detrital rocks. A term project is required, involving petrographic analysis of samples selected by student. Prerequisites: GEOL212 or 210, GEOL221 or equivalent or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours. Offered on demand.

GEOL624. CARBONATE SEDIMENTOLOGY AND PETROLOGY (II) Processes involved in the deposition of carbonate sediments with an emphasis on Recent environments as analogs for ancient carbonate sequences. Carbonate

facies recognition through bio- and lithofacies analysis, three-dimensional geometries, sedimentary dynamics, sedimentary structures, and facies associations. Laboratory stresses identification of Recent carbonate sediments and thin section analysis of carbonate classification, textures, non-skeletal and biogenic constituents, diagenesis, and porosity evolution. Prerequisite: GEOL221 and GEGN306 or GEGN 307 or consent of instructor. 2 hours lecture/seminar, 2 hours lab; 3 semester hours.

GEOL625. ADVANCED METAMORPHIC PETROLOGY Metamorphic processes and concepts, emphasizing physical and chemical controls in the development of mineral assemblages. Petrographic examination of rock suites from representative metamorphic zones and facies. Emphasis on the interrelationships of crystallization and deformation and an interpretation of metamorphic history. Prerequisite: GEGN307 (or equivalent) or consent of instructor. 2 hours lecture and seminar, 3 hours lab; 3 semester hours. Offered alternate years; Fall 1996.

GEOL626. ISOTOPE GEOLOGY (II) The application of radioactive and stable isotope analysis to problems in igneous and metamorphic petrology and ore genesis. Studies of polymetamorphic terrains with special reference to the geochronology of the Front Range. The utilization of isotopic tracers to evaluate petrologenic models. The distribution of heavy radiogenic and light stable isotopes as indicators of source terrain and subsequent evolution of mineral deposits. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years; Spring 2003.

GEOL628. ADVANCED IGNEOUS PETROLOGY (I) Igneous processes and concepts, emphasizing the genesis, evolution, and emplacement of tectonically and geochemically diverse volcanic and plutonic occurrences. Tectonic controls on igneous activity and petrochemistry. Petrographic study of igneous suites, mineralized and non-mineralized, from diverse tectonic settings. Prerequisites: GEOL221, GEOL212, or GEGN307. 3 hours lecture, 3 hours lab; 3 semester hours. Offered alternate years; Fall 1997.

GXGN633. LITHOGEOCHEMICAL MINERAL EXPLORATION (II) Principles and application of primary dispersion to the search for metallic mineral deposits. Evaluation of the design, sampling, analytical, and interpretational techniques used in lithogeochemical exploration. Practical laboratory exercises. Term projects required. Prerequisite: GXGN571, GEGN401 or equivalent or consent of instructor. 3 hours lecture/seminar/lab; 3 semester hours. Offered alternate years; Spring 1999.

GXGN635. SURFICIAL EXPLORATION GEOCHEMISTRY (II) Secondary dispersion processes (mechanical and chemical) applied to the search for metalliferous mineral deposits. A variety of sampling media, analytical procedures, and interpretive techniques are evaluated. Landscape geochemistry framework for exploration program design. Prerequisite: GXGN571 or equivalent or consent of instructor. A course in geomorphology recommended. 3 hours lecture/seminar/lab; 3 semester hours. Offered alternate years; Spring 1997.

CHGC640. SOIL GAS GEOCHEMISTRY AND APPLICATIONS IN THE EARTH AND ENVIRONMENTAL SCIENCES (II) Thermal, chemical, and microbiological reactions in the production of gases. Quantitative review of transport of gaseous species in the saturated and unsaturated zones. Sampling and analysis of soil gases. Applications of soil gas in the earth and environmental sciences, including exploration, contaminant mapping, and global climate change. Prerequisites: CHGC503, or ESGN500 and ESGN501, or consent of instructor. 3 hours lecture; 3 semester hours.

GEOL645. VOLCANOLOGY (II) Assigned readings and seminar discussions on volcanic processes and products. Principal topics include pyroclastic rocks, craters and calderas, caldron subsidence, diatremes, volcanic domes, origin and evolution of volcanic magmas, and relation of volcanism to alteration and mineralization. Petrographic study of selected suites of lava and pyroclastic rocks in the laboratory. Prerequisite: Consent of instructor. 1 hour seminar, 6 hours lab; 3 semester hours.

GEOL653. CARBONATE DIAGENESIS AND GEOCHEMISTRY (II) Petrologic, geochemical, and isotopic approaches to the study of diagenetic changes in carbonate sediments and rocks. Topics covered include major nearsurface diagenetic environments, subaerial exposure, dolomitization, burial diagenesis, carbonate aqueous equibria, and the carbonate geochemistry of trace elements and stable isotopes. Laboratory stresses thin section recognition of diagenetic textures and fabrics, x-ray diffraction, and geochemical/isotopic approaches to diagenetic problems. Prerequisite: GEOL624 or equivalent or consent of instructor. 4 to 6 hours lecture/seminar/lab; 3 semester hours.

GEGN684. CHEMICAL MODELING OF AQUEOUS SYSTEMS (II) Provides theoretical background and practical experience in the application of chemical equilibrium and reaction path models to problems in diverse fields of theoretical and applied aqueous geochemistry. Advanced topics in aqueous geochemistry are presented and subsequently investigated using computer simulation approaches. Includes hands-on experience with the software EQ3/6. Instruction is provided in the use of basic UNIX commands. The course progressively builds user ability through a wide variety of applications including problems in thermodynamic data quality evaluation, ore deposition, sediment diagenesis, groundwater evolution, contaminant geochemistry, leachate generation, and enhanced oil recovery treatments. Course ends with student presentations of a chemical modeling study applied to a problem of their choosing. Prerequisite: GEGN585 or consent of instructor. 3 hours lecture/computer lab; 3 semester hours.

CHGC699A. SELECTED TOPICS IN GEOCHEMISTRY (I, II) Detailed study of a geochemical topic under direction of a member of the staff. Work on the same or a different topic may be continued through later semesters and additional credits earned. Prerequisite: Consent of instructor. 1 to 3 semester hours.

CHGC699B. SPECIAL TOPICS IN AOUEOUS AND SEDIMENTARY GEOCHEMISTRY (I. II) Detailed study of a specific topic in the area of aqueous or sedimentary geochemistry under the direction of a member of the staff. Work on the same or a different topic may be continued through later semesters and additional credits earned. Prerequisite: Consent of instructor, 1 to 3 semester hours.

CHGC699C. SPECIAL TOPICS IN ORGANIC AND BIOGEOCHEMISTRY (I, II) Detailed study of a specific topic in the areas of organic geochemistry or biogeochemistry under the direction of a member of the staff. Work on the same or a different topic may be continued through later semesters and additional credits earned. Prerequisite: Consent of instructor. 1 to 3 semester hours.

CHGC699D. SPECIAL TOPICS IN PETROLOGIC GEOCHEMISTRY (I, II) Detailed study of a specific topic in the area of petrologic geochemistry under the direction of a member of the staff. Work on the same or a different topic may be continued through later semesters and additional credits earned. Prerequisite: Consent of instructor. 1 to 3 semester hours.

CHGC705 GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

CHGC706 GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

# Geology and Geological Engineering

MURRAY W. HITZMAN, Professor, Charles F. Fogarty Professor of Economic Geology, and Department Head WENDY J. HARRISON, Professor NEIL F. HURLEY, Professor, Charles Boettcher Distinguished Chair in Petroleum Geology **KEENAN LEE, Professor** EILEEN POETER, Professor SAMUEL B. ROMBERGER, Professor A. KEITH TURNER, Professor JOHN E. WARME, Professor RICHARD F. WENDLANDT, Professor L. GRAHAM CLOSS, Associate Professor JOHN B. CURTIS, Associate Professor MICHAEL A. GARDNER, Associate Professor JERRY D. HIGGINS, Associate Professor GREGORY S. HOLDEN, Associate Professor and Assistant Department Head JOHN D. HUMPHREY, Associate Professor KEVIN W. MANDERNACK, Associate Professor PAUL SANTI, Associate Professor ERIC P. NELSON, Associate Professor JOHN E. McCRAY, Assistant Professor DONNA S. ANDERSON, Research Assistant Professor MARY CARR, Research Assistant Professor GEOFF THYNE, Research Associate Professor TIMOTHY A. CROSS, Associate Professor Emeritus THOMAS L.T. GROSE, Professor Emeritus JOHN D. HAUN, Professor Emeritus RICHARD W. HUTCHINSON, Professor Emeritus ROBERT J. WEIMER, Professor Emeritus

# Degrees Offered:

Professional Degree (Geological Engineering) Professional Degree (Engineering Geology) Professional Degree (Exploration Geosciences) Petroleum Exploration & Development Option Mineral Exploration Option Geosciences Option

Professional Degree (Hydrogeology) Master of Engineering (Geological Engineer) Master of Science (Geology) Master of Science (Geochemistry) Doctor of Philosophy (Geology) Doctor of Philosophy (Geochemistry) Doctor of Philosophy (Geological Engineering)

### Program Description:

The Department of Geology and Geological Engineering offers Master of Science and Doctor of Philosophy degrees in Geology and Geochemistry; and Master of Engineering, Master of Science and Doctor of Philosophy degrees in Geological Engineering. Geological Engineering degrees require possession or acquisition of an undergraduate engineering degree or its equivalent.

Graduate students desiring to study ground water, engineering geology/geotechnics, mining engineering geology and some environmental applications are generally expected to pursue the Geological Engineering degree. Students desiring to study petroleum or minerals exploration or development sciences, geochemistry and/or geology generally pursue Geology or Geochemistry degrees. Students are initially admitted to either geoscience or geological engineering degree programs and must receive approval of the GE department Graduate Advisory Committee to switch degree categories.

## Program Requirements:

# **Geology Degrees:**

The **Master of Science (Geology)** academic program will require 36 semester hours of course and research credit hours (a maximum of 9 credit hours may be 400-level course work), plus a thesis. Twelve of the 36 credit hours may be research credits. To ensure breadth of background, the course of study for the Master of Science (Geology) degree must include at least one graduate course in each of the fields of stratigraphy/sedimentology, structural geology/tectonics, and petrology. At the discretion of the student's thesis advisory committee, an appropriate course taken from a degree program other than Geology may be substituted for one (and only one) of the fields above. Candidates must also complete GEOL 607, Graduate Seminar, as part of their course programs. All Master of Science (Geology) candidates must also course of study must be approved by a candidate's thesis committee before the candidate begins substantial work on the thesis research.

The requirement for **Doctor of Philosophy (Geology)** academic programs will be established individually by a candidate's Doctoral Thesis Advisory Committee, but must meet the minimum requirements presented below. The Doctor of Philosophy (Geology) academic program will require a minimum of 72 hours of course and research credit hours (a maximum of 9 credit hours may be 400-level course work), plus a qualifying examination and a thesis. All candidates must complete a minimum of 24 research credit hours and must complete a minimum of 48 course credit hours, including 12 hours in a minor field. Up to 24 course credit hours (including those for the minor field) may be awarded by the candidate's Doctoral Thesis Advisory Committee for completion of a Master of Science degree (at CSM or elsewhere). The Doctor of Philosophy (Geology) course program must satisfy the breadth requirements required of Master of Science (Geology) candidates (including GEOL 607) and must also include GEOL 511 (History of Geological Concepts).

Prospective students should submit the results of the Graduate Record Examination with their application for admission to graduate study. In the event that it is not possible, because of geographic and other restrictions, to take the Graduate Record Examination prior to enrolling at Colorado School of Mines, enrollment may be granted on a provisional basis subject to satisfactory completion of the examination within the first year of residence.

#### **Professional Degree Course Requirements:**

Professional degrees are offered in the fields of Geological Engineering, Engineering Geology, Hydrogeology, and Exploration Geosciences (Petroleum Exploration and Development Option, Mineral Exploration Option, or Geosciences Option). Students must complete a 15-unit core course requirement (specific to each degree field) and 15 units of appropriate elective courses, to total 30 units. At least 15 units counted for the degree must be 500-level or above. Personalized course programs are possible with approval of the Professional Degree Advisor.

### **Geological Engineering Degree Requirements:**

The **Master of Engineering** (Geological Engineer) and Master of Science (Geological Engineering) academic programs require a minimum of 36 semester hours of course and project/research credit hours (a maximum of 9 credit hours may be 400-level course work), plus a Graduate Engineering Report or Thesis. The Ph.D. (Geological Engineering) requires a minimum of 72 hours of graduate course work and research combined beyond the B.S. degree. The student is admitted into a degree program and must obtain approval from their advisory committee in order to change degree programs. Although minimum credit requirements are specified for each degree, the total number of

credits and the number of courses taken by an individual student is likely to exceed this minimum and is determined by the student's advisory committee.

The **Master of Engineering (Non-Thesis Master's) Program in Geological Engineering** is comprised of 36 credit hours with 30 course credit hours and 6 research project credit hours, typically taken as 15 course credit hours in both the fall and the spring followed by 6 research project hours during the summer term. It includes three areas of specialization (engineering geology/geotechnics, ground water engineering, and mining geological engineering) with two common courses comprising 4 credits and an engineering project experience comprising 6 credits. Courses common to all areas of specialization include

GEGN 532 Geological Data Analysis (3)

GEOL 607 Graduate Geology Seminar (1)

and 6 credits of a combination of the following two courses:

GEGN 704 Graduate Research Credit: Master of Engineering, and, after all course work is completed,

GEGN700. Graduate Engineering Report-Master of Engineering

The content of the report is determined by the student's advisor, in consultation with the student, and is approved by the Non-thesis Master's Program Committee. The report must demonstrate competence in the application of geological engineering principles. The format of the report will follow the guidelines for a professional journal.

The most common difficulty in scheduling completion of the degree involves satisfaction of prerequisites. Common deficiency courses are Statics, Mechanics of Materials, and Fluid Mechanics. These are essential to the engineering underpinnings of the degree. An intense program at CSM involving 18 credit hours each semester including Statics in the fall and Fluid Mechanics in the spring and 9 credits in the summer including Mechanics of Materials, allows these classes to be taken along with the standard program. Some students may choose to take these prerequisites elsewhere before arriving on the CSM campus.

In addition to the common course requirements, Master of Engineering (Non-Thesis Master's) with **specialization in Engineering Geology/Geotechnics** requires

GEGN 468 Engineering Geology & Geotechnics (4)

GEGN 467 Groundwater Engineering (4)

GEGN 570 Case Histories in Engineering Geology (3)

GEGN 571 Advanced Engineering Geology (3)

GEGN 672 Advanced Geotechnics (3)

Additional courses, approved by the program committee, to total 30 credit hours (Typically, the additional courses are selected from the following topical areas: engineering geology, groundwater engineering, groundwater modeling, soil mechanics and foundations, rock mechanics, underground construction, seismic hazards, geomorphology, geographic information systems, construction management, finite element modeling, waste management, environmental engineering, environmental law, engineering management, and computer programming.)

In addition to the common course requirements, Master of Engineering (Non-Thesis Master's) with **specialization in Ground Water** requires

GEGN467 Groundwater Engineering (4)

GEGN468 Engineering Geology & Geotechnics (4)

GEGN 572 Ground•Water Engineering (3)

GEGN 583 Mathematical Modeling Of Groundwater Systems (3)

2 courses selected as follows:

1 of ESGN 500 (3) Principles of Environmental Chemistry or GEGN 509/CHGC509 (3) Introduction To Aqueous Geochemistry;

1 of ESGN 503 (3) Environmental Pollution or GEGN 581 (3) Advanced Groundwater Engineering;

2 elective courses as approved by the Non-thesis Master's Program Committee.

In addition to the common course requirements, Master of Engineering (Non-Thesis Master's) with **specialization in Mining Geology** requires:

GEGN 468. Engineering Geology & Geotechnics (4) or

GEGN 467. Groundwater Engineering (4)

GEGN 518. Mineral Exploration (3) or

GEGN 528. Mining Geology

GEGN 505. Applied Structural Geology (3)

GEOL 515. Advanced Mineral Deposits-Magmatic & Syngenetic Ores (3)

GEOL 516 Advanced Mineral Deposits-Epigenetic Hydrothermal Systems (3

MNGN 523. Special Topics-Surface Mine Design (2) or

MNGN 523. Special Topics- Underground Mine Design (2)

Additional courses, approved by the program committee, to total 30 credit hours (Typically, the additional courses are selected from the following topical areas: mineral deposits geology, mineral exploration, mining geology, mineral processing, applied geophysics, applied geochemistry, engineering geology, environmental geology, geostatistics, geographic information systems, environmental or exploration and mining law, engineering economics/management, and computer sciences)

The **Master of Science Degree Program in Geological Engineering** requires a minimum of 36 semester hours of course and project/research credit hours (a maximum of 9 credit hours may be 400•level course work), plus a Graduate Thesis. The degree includes three areas of specialization (engineering geology/geotechnics, groundwater engineering, and mining geological engineering) with common requirements as follows:

1. GEGN532 Geological Data Analysis (3)

2. GEOL 607 Graduate Geology Seminar (1)

 At least twelve hours of research credits are required: Master of Science Research (GEGN 705), and after all course work is complete and an admission to candidacy form is filed with the graduate school, Master of Science Thesis (GEGN702).

4. At least 24 course credit hours are required, and must be approved by the student's thesis committee.

The content of the thesis is to be determined by the student's advisory committee in consultation with the student. The Masters thesis must demonstrate creative and comprehensive ability in the development or application of geological engineering principles. The format of the thesis will follow the guidelines described under the *Thesis Writer's Guide*.

In addition to the common course requirements, the Master of Science degree with **specialization in Engineering Geology/Geotechnics** requires:

GEGN467 Groundwater Engineering (4)

GEGN468 Engineering Geology & Geotechnics (4)

GEGN570 Case Histories in Engineering Geology (3)

GEGN571 Advanced Engineering Geology (3)

GEGN672 Advanced Geotechnics (3)

Typically, the additional courses are selected from the following topical areas: engineering geology, groundwater engineering, groundwater modeling, soil mechanics and foundations, rock mechanics, underground construction, seismic hazards, geomorphology, geographic information systems, construction management, finite element modeling, waste management, environmental engineering, environmental law, engineering management, and computer programming.

In addition to the common course requirements, Master of Science degree with **specialization in Ground Water** also requires the following courses:

GEGN467 Groundwater Engineering (4)

GEGN468 Engineering Geology & Geotechnics (4)

GEGN572 Ground•Water Engineering (3)

GEGN583 Mathematical Modeling Of Groundwater (3)

2 courses selected as follows:

ESGN500 Principles of Environmental Chemistry (3) or GEGN 509/CHGC509 (3) Introduction To Aqueous Geochemistry

ESGN503 Environmental Pollution (3) or GEGN581 (3) Advanced Groundwater

As nearly all ground water software is written in Fortran, if the student does not know Fortran, a Fortran course must be taken before graduation, knowledge of other computer languages is encouraged

In addition to the common course requirements, Master of Science degree with **specialization in Mining Geology** also requires:

1. GEGN 528 Mining Geology (3) or GEGN 518 Mineral Exploration (3)

2. Specialty Areas (17 credits minimum.)

This will include about 5•6 courses (predominantly at 500 and 600 level) selected by the student in conjunction with the Masters program advisory committee. Specialty areas might include: mineral deposits geology, mineral exploration, mining geology, mineral processing, applied geophysics, applied geochemistry, engineering geology, environmental geology, geostatistics, geographic information systems, environmental or exploration and mining law, engineering economics/management, and computer sciences.

The **Doctor of Philosophy** (Geological Engineering) degree requires a minimum of 72 hours course work and research combined. Requirements include the same courses as for the Master of Science (Geological Engineering) with the additions noted below and the exception that a PhD Dissertation must be executed under GEGN/GEOL706 Graduate Research Credit: Doctor Of Philosophy. After completing all coursework and an admission to candidacy application, the Dissertation is completed under GEGN/GEOL703 Graduate Thesis•Doctor Of Philosophy. The content of the dissertation is to be determined by the student's advisory committee in consultation with the student. The dissertation must make a new contribution to the geological engineering profession. The format of the dissertation will follow the guidelines described under the *Thesis Writer's Guide*. A minimum of 24 research credits must be taken. A minor area of study, including 12 credit hours of course work, must be included in the program.

In addition to the common course requirements, a PhD **specializing in Engineering Geology/Geotechnics** requires additional course work tailored to the student's specific interests and approved by the doctoral program committee. (Typically, the additional courses are selected from the following topical areas: engineering geology, groundwater engineering, groundwater modeling, soil mechanics and foundations, rock mechanics, underground construction, seismic hazards, geomorphology, geographic information systems, construction management, finite element modeling, waste management, environmental engineering, environmental law, engineering management, and computer programming.) The minor area of study typically is in geotechnical engineering, rock mechanics/earth systems engineering, environmental engineering, groundwater engineering or geology.

In addition to the common course requirements listed previously, a PhD **specializing in Ground Water** also requires:

GEGN581 (3) Advanced Groundwater Engineering

GEGN669 (3) Advanced Topics In Engineering Hydrogeology

GEGN681 (3) Vadose Zone Hydrology

GEGN683 (3) Advanced Ground Water Modeling

and additional course work tailored to their specific interests which are likely to include chemistry, engineering, environmental science, geophysics, math (particularly Partial Differential Equations), microbiology, organic chemistry, contaminant transport, soil physics, optimization, shallow resistivity or seismic methods. The student's advisory committee has the authority to approve elected courses and substitutions of required courses.

If the student elected the ESGN courses from the Masters courses, then ESGN is the likely minor.

In addition to the common course requirements, a PhD specializing in Mining Geology also requires

GEGN 468. Engineering Geology & Geotechnics (4) or

GEGN 467. Groundwater Engineering (4)

GEGN 518. Mineral Exploration (3) or

GEGN 528. Mining Geology

GEGN 505. Applied Structural Geology (3)

GEOL 515. Advanced Mineral Deposits-Magmatic & Syngenetic Ores (3)

GEOL 516 Advanced Mineral Deposits-Epigenetic Hydrothermal Systems (3

MNGN 523. Special Topics-Surface Mine Design (2) or

MNGN 523. Special Topics- Underground Mine Design (2)

Additional course work suited to the student's specific interests and approved by the doctoral program committee. (Typically, the additional courses are selected from the following topical areas: mineral deposits geology, mineral exploration, mining geology, mineral processing, applied geophysics, applied geochemistry, engineering geology, environmental geology, geostatistics, geographic information systems, environmental or exploration and mining law, engineering economics/management, and computer sciences). The minor area of study may be in geotechnical engineering, rock mechanics/earth systems engineering, environmental engineering, groundwater engineering, mining engineering, mineral economics or geology.

#### **Geochemistry Program Requirements:**

The geochemistry program comprises a core group of courses and four optional tracks: Mineralogy-Petrology, Aqueous-Environmental, Ore Deposits-Exploration, and Organic-Petroleum. Satisfactory performance in all core courses is required of all geochemistry students. Required core courses are:

CHGC 503 Introduction to Geochemistry,

CHGC 504 Geochemical Analysis and

CHGN 503 Advanced Physical Chemistry

See the Geochemistry program section in this bulletin for further details.

#### **Qualifying Examination**

Ph.D. students must pass a qualifying examination by the end of the second year of their programs. This timing may be adjusted for part-time students. This examination will be administered by the student's Doctoral committee and will

consist of an oral and a written examination, administered in a format to be determined by the Doctoral Committee. Two negative votes in the Doctoral Committee constitute failure of the examination.

In case of failure of the qualifying examination, a re-examination may be given upon the recommendation of the Doctoral Committee and approval of the Graduate Dean. Only one re-examination may be given.

# Prerequisites:

## **Geology Programs:**

The candidate for the degree of Master of Science (Geology) or Doctor of Philosophy (Geology) must have completed the following or equivalent subjects, for which credit toward an advanced degree will not be granted.

General Geology Structural Geology Field Geology (6 weeks) Mineralogy Petrology Historical Geology Stratigraphy Chemistry (3 semesters, including at least 1 semester of physical or organic) Mathematics (2 semester of calculus) An additional science course (other than geology) or advanced mathematics Physics (2 semesters)

### **Professional Degree Programs:**

Candidates for the Professional Degree must possess an appropriate geosciences undergraduate degree or its equivalent. Prerequisites are the same as those required for the Master of Science (Geology) Degree.

### **Geological Engineering Programs:**

The candidate for the degree of Master of Engineering (Geological Engineer), Master of Science (Geological Engineering) or Doctor of Philosophy (Geological Engineering) must have completed the following or equivalent subjects. Graduate credit may be granted for courses at or above the 400 level, if approved by the student's advisory committee.

#### Mathematics:

Four semesters including: Calculus (2 semesters) and one semester of any two of: calculus III, differential equations, probability and statistics, numerical analysis, linear algebra, operations research, optimization

#### **Basic Science:**

Chemistry (2 semesters) Mineralogy/Petrology Physics (2 semesters) Stratigraphy/Sedimentation Physical Geology/Historical Geology Computer Programming

#### **Engineering Science:**

Structural Geology and one semester in four of the following subjects: Physical Chemistry/Thermodynamics Soil Mechanics Statics Fluid Mechanics Dynamics Rock Mechanics Mechanics of Materials

#### **Engineering Design:**

Field Geology

As part of the graduate program each student must take one semester in two of the following subjects if such courses were not taken for a previous degree:

Mineral Deposits/Economic Geology

Hydrogeology

**Engineering Geology** 

and also as part of the graduate program one semester in three of the following subjects if such courses were not

taken for a previous degree: Foundation Engineering Engineering Hydrology Geomorphology Airphoto Interpretation, Photogeology, or Remote Sensing Petroleum Geology Introduction to Mining Introductory Geophysics Engineering Geology Design Mineral Exploration Design Groundwater Engineering Design Other engineering design courses as approved by the program committee

#### Description of Courses

GEGN401. MINERAL DEPOSITS (I) Introductory presentation of magmatic, hydrothermal, and sedimentary metallic ore deposits. Chemical, petrologic, structural, and sedimentological processes that contribute to ore formation. Description of classic deposits representing individual deposit types. Review of exploration sequences. Laboratory consists of hand specimen study of host rock-ore mineral suites and mineral deposit evaluation problems. Prerequisite: GEGN316 and DCGN209. 3 hours lecture, 3 hours lab; 4 semester hours.

GEGN403. MINERAL EXPLORATION DESIGN (II) Exploration project design: commodity selection, target selection, genetic models, alternative exploration approaches and associated costs, exploration models, property acquisition, and preliminary economic evaluation. Lectures and laboratory exercises to simulate the entire exploration sequence from inception and planning through implementation to discovery, with initial ore reserve calculations and preliminary economic evaluation. Prerequisite: GEGN401 or concurrent enrollment. 2 hours lecture, 3 hours lab; 3 sememster hours.

GEGN404. ORE MICROSCOPY/ FLUID INCLUSIONS (II) Identification of ore minerals using reflected light microscopy, micro-hardness, and reflectivity techniques. Petrographic analysis of ore textures and their significance. Guided research on the ore mineralogy and ore textures of classic ore deposits. Prerequisites: GEGN 306, GEGN401, or consent of instructor. 6 hours lab; 3 semester hours.

GEGN405. MINERAL DEPOSITS (I) Physical and chemical characteristics and geologic and geographic setting of magmatic, hydrothermal, and sedimentary metallic mineral deposits from the aspects of genesis, exploration, and mining. For non-majors. Prerequisite: GEOL210, GEOL308, DCGN209 or concurrent enrollment. 2 hours lecture; 2 semester hours.

GEOC407. ATMOSPHERE, WEATHER AND CLIMATE (II) An introduction to the Earth's atmosphere and its role in weather patterns and long term climate. Provides basic understanding of origin and evolution of the atmosphere, Earth's heat budget, global atmospheric circulation and modern climatic zones. Long- and short-term climate change including paleoclimatology, the causes of glacial periods and global warming, and the depletion of the ozone layer. Causes and effects of volcanic eruptions on climate, El Nino, acid rain, severe thunderstorms, tornadoes, hurricanes, and avalanches are also discussed. Microclimates and weather patterns common in Colorado. Prerequisite: Completion of CSM freshman technical core, or equivalent. 3 hours lecture; 3 semester hours. Offered alternate years; Spring 2003.

GEOC408. INTRODUCTION TO OCEANOGRAPHY (II) An introduction to the scientific study of the oceans, including chemistry, physics, geology, biology, geophysics, and mineral resources of the marine environment. Lectures from pertinent disciplines are included. Recommended background: basic college courses in chemistry, geology, mathematics, and physics. 3 hours lecture; 3 semester hours. Offered alternate years; Spring 2002.

GEGN438. PETROLEUM GEOLOGY (I) Source rocks, reservoir rocks, types of traps, temperature and pressure conditions of the reservoir, theories of origin and accumulation of petroleum, geology of major petroleum fields and provinces of the world, and methods of exploration of petroleum. Term report required. Laboratory consists of well log analysis, stratigraphic correlation, production mapping, hydrodynamics and exploration exercises. Prerequisite: GEOL309 and GEOL314; GEGN316 or GPGN386 and PEGN316. 3 hours lecture, 3 hours lab; 4 semester hours.

GEGN439/GPGN439/PEGN439. MULTI-DISCIPLINARY PETROLEUM DESIGN (II) This is a multidisciplinary design course that integrates fundamentals and design concepts in geological, geophysical, and petroleum engineering. Students work in integrated teams consisting of students from each of the disciplines. Multiple open-end design problems in oil and gas exploration and field development, including the development of a prospect in an exploration play and a detailed engineering field study, are assigned. Several detailed written and oral presentations are made throughout the semester. Project economics including risk analysis are an integral part of the course. Prerequisites: GP majors: GPGN302 and 303. PE majors: PEGN316, PEGN414, PEGN422, PEGN423, PEGN424 (or concurrent) GEOL308; GE Majors: GEOL308 or GEOL309, GEGN438, GEGN316. 2 hours lecture, 3 hours lab; 3 hours lecture; 3

semester hours.

GEGN442. ADVANCED ENGINEERING GEOMORPHOLOGY (II) Application of quantitative geomorphic techniques to engineering problems. Map interpretation, photointerpretation, field observations, computer modeling, and GIS analysis methods. Topics include: coastal engineering, fluvial processes, river engineering, controlling water and wind erosion, permafrost engineering. Multi-week design projects and case studies. Prerequisite: GEGN342 and GEGN468, or graduate standing; GEGN475/575 recommended. 2 hours lecture, 3 hours lab; 3 semester hours.

GEGN467. GROUNDWATER ENGINEERING (I) Theory of groundwater occurrence and flow. Relation of groundwater to surface water; potential distribution and flow; theory of aquifer tests; water chemistry, water quality, and contaminant transport. Laboratory sessions on water budgets, water chemistry, properties of porous media, solutions to hydraulic flow problems, analytical and digital models, and hydrogeologic interpretation. Prerequisite: mathematics through calculus and differential equations, structural geology, and sedimentation/stratigraphy, or consent of instructor. 3 hours lecture, 3 hours lab; 4 semester hours.

GEGN468. ENGINEERING GEOLOGY AND GEOTECHNICS (I) Application of geology to evaluation of construction, mining, and environmental projects such as dams, waterways, tunnels, highways, bridges, buildings, mine design, and land-based waste disposal facilities. Design projects including field, laboratory, and computer analyses are an important part of the course. Prerequisite: MNGN321 and concurrent enrollment in EGGN361/EGGN363 or consent of instructor. 3 hours lecture, 3 hours lab, 4 semester hours.

GEGN469. ENGINEERING GEOLOGY DESIGN (II) This is a capstone design course that emphasizes realistic engineering geologic/geotechnics projects. Lecture time is used to introduce projects and discussions of methods and procedures for project work. Several major projects will be assigned and one to two field trips will be required. Students work as individual investigators and in teams. Final written design reports and oral presentations are required. Prerequisite: GEGN468 or equivalent. 2 hours lecture, 3 hours lab; 3 semester hours.

GEGN470. GROUND-WATER ENGINEERING DESIGN (II) Application of the principles of hydrogeology and ground-water engineering to water supply, geotechnical, or water quality problems involving the design of well fields, drilling programs, and/or pump tests. Engineering reports, complete with specifications, analyses, and results, will be required. Prerequisite: GEGN467 or equivalent or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GEGN475. APPLICATIONS OF GEOGRAPHIC INFORMATION SYSTEMS (I) An introduction to Geographic Information Systems (GIS) and their applications to all areas of geology and geological engineering. Lecture topics include: principles of GIS, data structures, digital elevation models, data input and verification, data analysis and spatial modeling, data quality and error propagation, methods of GIS evaluation and selection. Laboratories will use personal computer systems for GIS projects, as well as video presentations. Prerequisite: SYGN101. 2 hours lecture, 3 hours lab; 3 semester hours.

GEGN476. DESKTOP MAPPING APPLICATIONS FOR PROJECT DATA MANAGEMENT (I, II) Conceptual overview and hands-on experience with a commercial desktop mapping system. Display, analysis, and presentation mapping functions; familiarity with the software components, including graphical user interface (GUI); methods for handling different kinds of information; organization and storage of project documents. Use of raster and vector data in an integrated environment; basic raster concepts; introduction to GIS models, such as hill shading and cost/distance analysis. Prerequisite: No previous knowledge of desktop mapping or GIS technology assumed. Some computer experience in operating within a Windows environment recommended. 1 hour lecture; 1 semester hour.

GEGN481. ADVANCED HYDROGEOLOGY (I) Lectures, assigned readings, and discussions concerning the theory, measurement, and estimation of ground water parameters, fractured-rock flow, new or specialized methods of well hydraulics and pump tests, tracer methods, and well construction design. Design of well tests in variety of settings. Prerequisites: GEGN467 or consent of instructor. 3 hours lecture; 3 semester hours.

GEGN483. MATHEMATICAL MODELING OF GROUNDWATER SYSTEMS (II) Lectures, assigned readings, and direct computer experience concerning the fundamentals and applications of analytical and finite-difference solutions to ground water flow problems as well as an introduction to inverse modeling. Design of computer models to solve ground water problems. Prerequisites: Familiarity with computers, mathematics through differential and integral calculus, and GEGN467. 3 hours lecture; 3 semester hours.

GEGN/GEOL498. SEMINAR IN GEOLOGY OR GEOLOGICAL ENGINEERING (I, II) Special topics classes, taught on a one-time bases. May include lecture, laboratory and field trip activities. Prerequisite: Approval of instructor and department head. Variable credit; 1 to 3 semester hours.

GEGN499. INDEPENDENT STUDY IN ENGINEERING GEOLOGY OR ENGINEERING HYDROGEOLOGY (I, II) Individual special studies, laboratory and/or field problems in geological engineering or engineering hydrogeology. Prerequisite: Approval of instructor and department head. Variable credit; 1 to 3 semester hours.

GEOL499. INDEPENDENT STUDY IN GEOLOGY (I, II) Individual special studies, laboratory and/or field problems

in geology. Prerequisite: Approval of instructor and department. Variable credit; 1 to 3 semester hours.

### **Graduate Courses**

The following courses are not all offered each academic year. Any of those offered for which fewer than five students have registered may be omitted in any semester. All 500-level courses are open to qualified seniors with permission of the department and Dean of Graduate School.. The 600-level courses are open only to students enrolled in the Graduate School.

GEOL 501. APPLIED STRATIGRAPHY (I) Review of basic concepts in siliciclastic and carbonate sedimentology and stratigraphy. Introduction to advanced concepts and their application to exploration and development of fossil fuels and stratiform mineral deposits. Modern facies models and sequence-stratigraphic concepts applied to solving stratigraphic problems in field and subsurface settings. Prerequisites: GEOL 314 or equivalent or consent of instructor. 3 hours lecture, 4 hours lab; 4 semester hours.

GEGN503/GPGN503/PEGN503. INTEGRATED EXPLORATION AND DEVELOPMENT (I) Students work alone and in teams to study reservoirs from fluvial-deltaic and valley fill depositional environments. This is a multidisciplinary course that shows students how to characterize and model subsurface reservoir performance by integrating data, methods and concepts from geology, geophysics and petroleum engineering. Activities and topics include field trips to surface outcrops, well logs, borehole cores, seismograms, reservoir modeling of field performance, written exercises and oral team presentations. Prerequisite: Consent of instructor. 2 hours lecture, 3 hours lab; -3 semester hours.

GEGN504/GPGN504/PEGN504. INTEGRATED EXPLORATION AND DEVELOPMENT (II) Students work in multidisciplinary teams to study practical problems and case studies in integrated subsurface exploration and development. The course addresses emerging technologies and timely topics with a general focus on carbonate reservoirs. Activities include field trips, 3D computer modeling, written exercises and oral team presentation. Prerequisite: Consent of instructor. 3 hours lecture and seminar; 3 semester hours.

GEOL505. APPLIED STRUCTURAL GEOLOGY (II) Structural geology with emphasis on solving problems in field and lab exercises using systematic analysis by geometric and mapping techniques. Interpretation of the structural aspects of ore control, fossil fuels, and environmental geology. Relationships between mechanical properties and structural behavior of geological materials. Prerequisite: GEGN316 or equivalent. 2 hours lecture, 4 hours lab; 3 semester hours.

GEOL506. PHYSICS OF ROCK DEFORMATION (II) A material-oriented, mechanistic approach to understanding brittle and ductile rock deformation. Starts with fundamental understanding of stress and strain. Physical processes of rock fracture, friction, and flow will be studied as they relate to earthquakes, crustal fluid movement, creep, and folding. Emphasis on relating initial and derived microstructure, such as grain size, micro-cracks, and intracrystalline dislocation, to stresses, temperatures, and fluids in the Earth. Rock anisotropy, heterogeneity, and scale effects discussed. Prerequisite: GEGN309 or equivalent.3 hours lecture; 3 semester hours Offered alternate years, Spring 2002.

GEOL507. IGNEOUS AND METAMORPHIC PETROLOGY (I) An overview of igneous and metamorphic petrology. Presentation of rock associations and examination of the constraints on models for their origin. Emphasis will be on processes. Field trips required. Prerequisite: GEGN307, DCGN209 or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GEGN509/CHGC509. INTRODUCTION TO AQUEOUS GEOCHEMISTRY (I) Analytical, graphical and interpretive methods applied to aqueous systems. Thermodynamic properties of water and aqueous solutions. Calculation and graphical expression of acid-base, redox and solution-mineral equilibria. Effect of temperature and kinetics on natural aqueous systems. Adsorption and ion exchange equilibria between clays and oxide phases. Behavior of trace elements and complexation in aqueous systems. Application of organic geochemistry to natural aqueous systems. Light stable and unstable isotopic studies applied to aqueous systems. Prerequisite: DCGN209 or equivalent, or consent of instructor. 3 hours lecture; 3 semester hours.

GEOL 510. IMPACT GEOLOGY (II) A seminar-based course of inquiry into the nature, process, and geological significance of extra-terrestrial impacts on the Earth. Course topics include the nature of impactors, impact processes, morphology of impact structures, shock metamorphism, case studies of impacts, and the role of impacts in Earth evolution, biologic extinctions, and economic deposits. Optional field trips to Meteor Crater and other impact sites over Spring Break. 2 hours seminar, 3 hours lab, 3 credit hours.

GEOL511. HISTORY OF GEOLOGIC CONCEPTS (II) Lectures and seminars concerning the history and philosophy of the science of geology; emphasis on the historical development of basic geologic concepts. 3 hours lecture and seminar; 3 semester hours. Required of all doctoral candidates in department. Offered alternate years. Spring 2001.

GEOL 512. MINERALOGY AND CRYSTAL CHEMISTRY (I) Relationships among mineral chemistry, structure, crystallography, and physical properties. Systematic treatments of structural representation, defects, mineral stability

and phase transitions, solid solutions, substitution mechanisms, and advanced methods of mineral identification and characterization. Applications of principles using petrological and environmental examples. Prerequisites: GEOL 212, DCGN 209 or equivalent or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours. Offered alternate years. Fall 2001.

GEOL515. ADVANCED MINERAL DEPOSITS - MAGMATIC AND SYNGENETIC ORES (I) Time-space aspects of metallogenesis in relation to regional and local geological evolution of the earth. Processes leading to the formation of ore magmas and fluids within tectonic and stratigraphic frameworks, and to the development of favorable ore-forming environments. Emphasis will be placed on processes responsible for ore genesis in magmatic systems, such as layered complexes, carbonatites and pegmatites, and on the submarine hydrothermal processes responsible for syndepositional deposits in volcanic and sedimentary terrains, including massive base and precious metal sulfide ores. Ore deposits in certain sedimentary rocks, including copper, paleoplacer gold-uranium, marine evaporite, barite, and phosphate ores are considered in context of their generative environments and processes. Prerequisite: GEGN401 or equivalent, or consent of instructor. 2 hours lecture, 2 hours lab; 3 semester hours.

GEOL516. ADVANCED MINERAL DEPOSITS - EPIGENETIC HYDROTHERMAL SYSTEMS (II) Time-space aspects of metallogenesis in relation to regional and local geological evolution of the earth. Processes leading to the generation of metalliferous hydrothermal mineralizing solutions within tectonic and lithologic frameworks, and to the development of favorable ore-forming environments. Emphasis will be placed on processes responsible for ore genesis in magmatic-hydrothermal systems such as porphyry copper-molybdenum-gold deposits, epithermal precious metal deposits, metamorphogenetic gold deposits, volcanic and sedimentary rock-hosted epigenetic base metal ores and epigenetic sedimentary-rock hosted and unconformity-related uranium deposits. Prerequisite: GEGN401 or equivalent, or consent of instructor. 2 hours lecture, 2 hours lab; 3 semester hours.

GEGN517. FIELD METHODS FOR ECONOMIC GEOLOGY (II) Methods of field investigation for economic geology including underground mapping at the CSM test mine in Idaho Springs, logging of drill core, logging of drill chips, and surface mapping. Technical reports will be written for each of the projects. 9 hours lab; 3 semester hours.

GEGN518. MINERAL EXPLORATION (I) Mineral industry overview, deposit economics, target selection, deposit modeling, exploration technology, international exploration, environmental issues, program planning, proposal development. Team development and presentation of an exploration proposal. Prerequisite: GEOL515, GEOL516, or equivalent. 2 hours lecture/seminar, 2 hours lab; 3 semester hours. Offered alternate years: Fall 2002.

GEGN527/CHGC527. ORGANIC GEOCHEMISTRY OF FOSSIL FUELS AND ORE DEPOSITS (II) A study of organic carbonaceous materials in relation to the genesis and modification of fossil fuel and ore deposits. The biological origin of the organic matter will be discussed with emphasis on contributions of microorganisms to the nature of these deposits. Biochemical and thermal changes which convert the organic compounds into petroleum, oil shale, tar sand, coal, and other carbonaceous matter will be studied. Principal analytical techniques used for the characterization of organic matter in the geosphere and for evaluation of oil and gas source potential will be discussed. Laboratory exercises will emphasize source rock evaluation, and oil-source rock and oil-oil correlation methods. Prerequisite: CHGN221, GEGN438, or consent of instructor. 2 hours lecture; 3 hours lab; 3 semester hours. Offered alternate years, Spring 2003.

GEGN528/MNGN528. MINING GEOLOGY (I) Role of geology and the geologist in the development and production stages of a mining operation. Topics addressed: mining operation sequence, mine mapping, drilling, sampling, reserve estimation, economic evaluation, permitting, support functions. Field trips, mine mapping, data evaluation exercises, and term project. Prerequisite: GEGN401 or GEGN405 or permission of instructors. 2 hours lecture/seminar, 3 hours lab; 3 semester hours. Offered alternate years; Fall 2003.

GEGN530. CLAY CHARACTERIZATION (I) Clay mineral structure, chemistry and classification, physical properties (flocculation and swelling, cation exchange capacity, surface area and charge), geological occurrence, controls on their stabilities. Principles of X-ray diffraction, including sample preparation techniques, data collection and interpretation, and clay separation and treatment methods. The use of scanning electron microscopy to investigate clay distribution and morphology. Methods of measuring cation exchange capacity and surface area. Prerequisite: GEOL210 or GEGN306 or equivalent, or consent of instructor. 1 hour lecture, 2 hours lab; 1 semester hour.

GEGN532. GEOLOGICAL DATA ANALYSIS (I or II) Techniques and strategy of data analysis in geology and geological engineering: basic statistics review, analysis of data sequences, mapping, sampling and sample representativity, univariate and multivariate statistics, geostatistics, and geographic informations systems (GIS). Practical experience with geological applications via supplied software and data sets from case histories. Prerequisites: Introductory statistics course (MACS323 or MACS530 equivalent); and previous or concurrent enrollment in MACS532 or permission of instructor. 2 hours lecture/discussion; 3 hours lab; 3 semester hours.

GEGN542. ADVANCED ENGINEERING GEOMORPHOLOGY (II) Application of quantitative geomorphic techniques to engineering problems. Map interpretation, photointerpretation, field observations, computer modeling, and GIS analysis methods. Topics include: coastal engineering, fluvial processes, river engineering, controlling water

and wind erosion, permafrost engineering. Multi-week design projects and case studies. Prerequisite: GEGN342 and GEGN468, or graduate standing; GEGN475 or GEGN575 recommended. 2 hours lecture, 3 hours lab; 3 semester hours.

GEOL543. MODERN SEDIMENTS FIELD PROGRAM (S) Detailed field study of modern transitional and shallow marine environments of sedimentary deposition. Both detrital and carbonate environments are included. Emphasis on energy and mineral resources. Conducted at field locations such as southeastern United States and the Bahamas. Fees are assessed for field and living expenses and transportation. Prerequisite: Background in sedimentary geology and consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GEOL545. INTRODUCTION TO REMOTE SENSING (I) Theory and application of remote sensing techniques using visible, infrared, and microwave electromagnetic energy. Spectral information from cameras and scanning instruments, including infrared photography, radar imagery, Landsat imagery, and imaging spectroscopy. Survey of applications to geology and global change. Lab interpretation of remote sensing imagery and introduction to digital image processing. 2 hours lecture, 3 hours lab; 3 semester hours.

GEOL546. GEOLOGIC APPLICATIONS OF REMOTE SENSING (II) Application of remote sensing to regional geologic studies and to mineral and energy resource assessments. Study of remote sensing techniques, including spectral analysis, lineament analysis, and digital image processing. Reviews of case studies and current literature. Student participation in discussion required. Prerequisite: GEOL545 or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GEGN570. CASE HISTORIES IN GEOLOGICAL ENGINEERING AND HYDROGEOLOGY (I) Case histories in geological and geotechnical engineering, ground water, and waste management problems. Students are assigned problems and must recommend solutions and/or prepare defendable work plans. Discussions center on the role of the geological engineer in working with government regulators, private-sector clients, other consultants, and other special interest groups. Prerequisite: GEGN442, GEGN467, GEGN468, GEGN469, GEGN470 or consent of instructor. 3 hours lecture; 3 semester hours.

GEGN571. ADVANCED ENGINEERING GEOLOGY (I) Emphasis will be on engineering geology mapping methods, and geologic hazards assessment applied to site selection and site assessment for a variety of human activities. Prerequisite: GEGN468 or equivalent. 2 hours lecture, 3 hours lab; 3 semester hours. Offered alternate years, Fall 1998.

GEGN573. GEOLOGICAL ENGINEERING SITE INVESTIGATION (II) Methods of field investigation, testing, and monitoring for geotechnical and hazardous waste sites, including: drilling and sampling methods, sample logging, field testing methods, instrumentation, trench logging, foundation inspection, engineering stratigraphic column and engineering soils map construction. Projects will include technical writing for investigations (reports, memos, proposals, workplans). Class will culminate in practice conducting simulated investigations (using a computer simulator). 3 hours lecture; 3 semester hours.

GEGN574. GEOTECHNICAL ASPECTS OF WASTE DISPOSAL (II) Analysis and review of the legal and technical problems surrounding the shallow land burial of waste materials, with special emphasis on hazardous solid waste. Methods of investigation of new and abandoned or inactive waste sites. Measurement of contaminant movement in the ground, design of contaminant and monitoring systems, case histories of field performance, and current research findings. Prerequisite: GEGN468 and EGGN461/EGGN463. 3 hours lecture; 3 semester hours. Offered alternate years, Spring 1996.

GEGN575. APPLICATIONS OF GEOGRAPHIC INFORMATION SYSTEMS (II) An introduction to Geographic Information Systems (GIS) and their applications to all areas of geology and geological engineering. Lecture topics include: principles of GIS, data structures, digital elevation models, data input and verification, data analysis and spatial modeling, data quality and error propogation, methods of GIS evaluation and selection. Laboratories will use Macintosh and DOS-based personal computer systems for GIS projects, as well as video-presentations. Visits to local GIS laboratories, and field studies will be required. 2 hours lecture, 3 hours lab; 3 semester hours.

GEGN576. FUNDAMENTALS OF VECTOR GEOGRAPHIC INFORMATION SYSTEMS (I, II) Fundamentals of relational vector GIS; topological relationships; spatial coordinate systems; data capture and conversion; displaying and correcting errors; mapping precision; spatial data attribute accuracy; and database models. Case studies. Prerequisite: GEGN475 or GEGN575. 2 hours lecture; 2 semester hours. Offered on demand.

GEGN 577. VECTOR GIS ANALYSIS FUNCTIONS (I, II) Classification of relational vector GIS analysis functions; topological relationships; constructing a database; associating attributes with spatial data; relating and joining attribute tables; selecting and manipulating data records; edgematching and merging maps; displaying data; query and analysis functions; topological overlay operations; distance functions. Case studies of spatial analysis projects. Prerequisite: GEGN 475 or GEGN 575, and GEGN 576. 2 hours lecture; 2 semester hours. Offered on demand.

GEGN 578. GIS PROJECT DESIGN (I, II) Project implementation of GIS analyses. Projects may be undertaken by

individual students, or small student teams. Documentation of all project design stages, including user needs assessment, implementation procedures, hardware and software selection, data sources and acquisition, and project success assessment. Various GIS software may be used; projects may involve 2-dimensional GIS, 3-dimensional subsurface models, or multi-dimensional time-series analyses. Prerequisite: Consent of instructor. Variable credit, 1-3 semester hours, depending on project. Offered on demand.

GEGN581. ADVANCED GROUNDWATER ENGINEERING (I) Lectures, assigned readings, and discussions concerning the theory, measurement, and estimation of ground water parameters, fractured-rock flow, new or specialized methods of well hydraulics and pump tests, tracer methods. Prerequisite: GEGN467 or consent of instructor. 3 hours lecture; 3 semester hours.

GEGN583. MATHEMATICAL MODELING OF GROUNDWATER SYSTEMS (II) Lectures, assigned readings, and direct computer experience concerning the fundamentals and applications of finite-difference and finite-element numerical methods and analytical solutions to ground water flow and mass transport problems. Prerequisite: A knowledge of FORTRAN programming, mathematics through differential and integral calculus, and GEGN467 or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GEGN585. HYDROCHEMICAL EVOLUTION AND MODELING OF GROUND-WATER SYSTEMS (I) Application of hydrologic, geochemical, and isotopic concepts to the natural evolution of groundwater systems. Principles of groundwater evolution in the vadose zone, in evaporative environments, wetlands, unconfined and confined groundwater systems, and areas of interaquifer mixing. Introduction of use of geochemical modeling techniques to constrain problems of mass transfer and mass balance in groundwater systems. Course is designed to provide students with overview of hydrochemistry prior to taking advanced numerical modeling courses in hydrology and geochemistry. Prerequisites: DCGN209 and GEGN467 or equivalent or consent of instructor. 3 hours lecture; 3 semester hours.

GEGN/GEOL 598. SEMINAR IN GEOLOGY OR GEOLOGICAL ENGINEERING (I, II) Special topics classes, taught on a one-time basis. May include lecture, laboratory and field trip activities. Prerequisite: Approval of instructor and department head. Variable credit; 1 to 3 semester hours.

GEGN599. INDEPENDENT STUDY IN ENGINEERING GEOLOGY OR ENGINEERING HYDROGEOLOGY(I, II) Individual special studies, laboratory and/or field problems in geological engineering or engineering hydrogeology. Prerequisite: Approval of instructor and department head. Variable credit; 1 to 6 credit hours.

GEOL 599. INDEPENDENT STUDY IN GEOLOGY (I, II). Individual special studies, laboratory and/or field problems in geology. Prerequisite: Approval of instructor and department. Variable credit; 1 to 3 semester hours.

GEOL605. ADVANCED STRUCTURAL AND TECTONIC PRINCIPLES (I) Seminar discussions on geotectonic principles, mountain patterns and cycles, type regional and areal studies in tectonic style. Comparative tectonics. Includes field work in nearby areas on specific tectonic problems, review of recent literature, and tectonic analysis in mineral and fuel exploration. Prerequisite: GEOL309. 2 hours lecture and seminar, 3 hours field; 3 semester hours. Offered alternate years, Fall 2003.

GEOL606. ADVANCED STRUCTURAL GEOLOGY (REGIONAL) (II) Seminar discussion of the world's main tectonic provinces using modern methods of tectonic analysis; includes discussion of typical structures for each province and thorough review of recent literature. Assigned reports on analysis of regional structural patterns and their possible reproduction experimentally. Prerequisite: GEOL605. 3 hours lecture and seminar; 3 semester hours. Offered alternate years, Spring 2002.

GEOL607. GRADUATE SEMINAR (I, II) Recent geologic ideas and literature reviewed. Preparation and oral presentation of short papers. 1 hour seminar; 1 semester hour. Required of all geology candidates for advanced degrees during their enrollment on campus.

GEOL609. ADVANCED PETROLEUM GEOLOGY (II) Subjects to be covered involve consideration of basic chemical, physical, biological and geological processes and their relation to modern concepts of oil/gas generation (including source rock deposition and maturation), and migration/accumulation (including that occurring under hydrodynamic conditions). Concepts will be applied to the historic and predictive occurrence of oil/gas to specific Rocky Mountain areas. In addition to lecture attendance, course work involves review of topical papers and solution of typical problems. Prerequisite: GEGN438 or consent of instructor. 3 hours lecture; 3 semester hours.

GEOL611. ADVANCED STRATIGRAPHY (II) Seminar on history and development of stratigraphic concepts and terminology; sedimentary processes and related facies for detrital, carbonate, and evaporite sequences; tectonics and sedimentation; stratigraphic styles in plate tectonic models. Field trips and report required. Prerequisite: GEOL314 or equivalent or GEOL501. 3 hours lecture and seminar; 3 semester hours.

GEOL613. GEOLOGIC RESERVOIR CHARACTERIZATION (I or II) Principles and practice of characterizing petroleum reservoirs using geologic and engineering data, including well logs, sample descriptions, routine and special

core analyses and well tests. Emphasis is placed on practical analysis of such data sets from a variety of clastic petroleum reservoirs worldwide. These data sets are integrated into detailed characterizations, which then are used to solve practical oil and gas field problems. Prerequisites: GEGN438, GEOL501, GEOL505/605 or equivalents. 3 hours lecture; 3 semester hours.

GEOL614. PETROLEUM GEOLOGY OF DEEP-WATER CLASTIC DEPOSITIONAL SYSTEMS (I) Course combines local and regional deep-water sedimentology, sequence stratigraphy, reservoir geology, interpretation of outcrops, reflection seismic records, cores and well logs. Focus is on depositional processes, facies and their interpretation within deep-water depositional systems, turbidite models and their evolution, control of reservoir characteristics and performance, turbidites within a sequence stratigraphic framework, and the global occurrence of turbidite reservoirs. Laboratory exercises on seismic, well log, and core interpretation. Seven day field trip to study classic turbidites in Arkansas and to develop individual field mapping and interpretation projects. Prerequisites: GEGN438, GEOL501 or equivalents. 3 hours lecture, 3 hours lab; 4 semester hours. Offered alternate years. Fall 2003.

GEOL615. GEOCHEMISTRY OF HYDROTHERMAL MINERAL DEPOSITS (I) Detailed study of the geochemistry of selected hydrothermal mineral deposits. Theory and application of stable isotopes as applied to mineral deposits. Origin and nature of hydrothermal fluids and the mechanisms of transport and deposition of ore minerals. Review of wall-rock alteration processes. Fundamental solution chemistry and the physical chemistry of hydrothermal fluids. Prerequisite: GEGN401 or equivalent or consent of instructor. 3 hours lecture; 3 semester hours.

GEOL616. ADVANCED MINERAL DEPOSITS (II) Reviews of current literature and research regarding selected topics in mineral deposits. Group discussion and individual participation expected. May be repeated for credit if different topics are involved. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

GEOL617. THERMODYNAMICS AND MINERAL PHASE EQUILIBRIA (I) Basic thermodynamics applied to natural geologic systems. Evaluation of mineral-vapor mineral solution, mineral-melt, and solid solution equilibria with special emphasis on oxide, sulfide, and silicate systems. Experimental and theoretical derivation, use, and application of phase diagrams relevant to natural rock systems. An emphasis will be placed on problem solving rather than basic theory. Prerequisite: DCGN209 or equivalent or consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years; Fall 2003.

GEOL618. EVOLUTION OF ORE DEPOSITS (II) The evolutionary changes in major types of ore deposits through time are described, and the causative changes in their geological environments and genetic processes are considered. The possible significance of these changes to tectonic processes, and to crustal evolution of the earth are evaluated. In this context ore deposits are of interest not only for their commercial value, but scientifically, as additional guides to the earth's evolutionary development through 4 billion years of earth history. Prerequisite: GEGN401, GEOL515, GEOL516 or equivalents or consent of instructor. 3 hours lectures and/or seminar/lab; 3 semester hours.

GEOL621. PETROLOGY OF DETRITAL ROCKS (II) Compositions and textures of sandstones, siltstones, and mudrocks. Relationship of compositions and textures of provenance, environment of deposition, and burial history. Development of porosity and permeability. Laboratory exercises emphasize use of petrographic thin sections, x-ray diffraction analysis, and scanning electron microscopy to examine detrital rocks. A term project is required, involving petrographic analysis of samples selected by student. Prerequisites: GEOL212 or 210, GEOL221 or equivalent or consent of instructor. 2 hours lecture and seminar, 3 hours lab; 3 semester hours. Offered on demand.

GEOL624. CARBONATE SEDIMENTOLOGY AND PETROLOGY (II) Processes involved in the deposition of carbonate sediments with an emphasis on Recent environments as analogs for ancient carbonate sequences. Carbonate facies recognition through bio- and lithofacies analysis, three-dimensional geometries, sedimentary dynamics, sedimentary structures, and facies associations. Laboratory stresses identification of Recent carbonate sediments and thin section analysis of carbonate classification, textures, non-skeletal and biogenic constituents, diagenesis, and porosity evolution. Prerequisite: GEOL221 and GEGN306 or GEGN 307 or consent of instructor. 2 hours lecture/seminar, 2 hours lab; 3 semester hours.

GEOL625. ADVANCED METAMORPHIC PETROLOGY (I) Metamorphic processes and concepts, emphasizing physical and chemical controls in the development of mineral assemblages. Petrographic examination of rock suites from representative metamorphic zones and facies. Emphasis on the interrelationships of crystallization and deformation and an interpretation of metamorphic history. Prerequisite: Consent of instructor. 2 hours lecture and seminar, 3 hours lab; 3 semester hours. Offered alternate years; Fall 2002.

GEOL628. ADVANCED IGNEOUS PETROLOGY (I) Igneous processes and concepts, emphasizing the genesis, evolution, and emplacement of tectonically and geochemically diverse volcanic and plutonic occurrences. Tectonic controls on igneous activity and petrochemistry. Petrographic study of igneous suites, mineralized and non-mineralized, from diverse tectonic settings. Prerequisites: GEOL221, GEOL212, GEGN306 or GEGN307. 3 hours lecture, 3 hours lab; 3 semester hours. Offered alternate years; Fall 2003.

GEOL642. FIELD GEOLOGY (S) Field program operated concurrently with GEGN316 field camp to familiarize the

student with basic field technique, geologic principles, and regional geology of Rocky Mountains. Prerequisite: Undergraduate degree in geology and GEGN316 or equivalent. During summer field session; 1 to 3 semester hours.

GEOL643. GRADUATE FIELD SEMINARS (I, II, S) Special advanced field programs emphasizing detailed study of some aspects of geology. Normally conducted away from the Golden campus. Prerequisite: Restricted to Ph.D. or advanced M.S. candidates. Usually taken after at least one year of graduate residence. Background requirements vary according to nature of field study. Consent of instructor and department head is required. Fees are assessed for field and living expenses and transportation. 1 to 3 semester hours; may be repeated for credit with consent of instructor.

GEOL645. VOLCANOLOGY (II) Assigned readings and seminar discussions on volcanic processes and products. Principal topics include pyroclastic rocks, craters and calderas, caldron subsidence, diatremes, volcanic domes, origin and evolution of volcanic magmas, and relation of volcanism to alteration and mineralization. Petrographic study of selected suites of lava and pyroclastic rocks in the laboratory. Prerequisite: Consent of instructor. 1 hour seminar, 6 hours lab; 3 semester hours.

GEOL653. CARBONATE DIAGENESIS AND GEOCHEMISTRY(II) Petrologic, geochemical, and isotopic approaches to the study of diagenetic changes in carbonate sediments and rocks. Topics covered include major nearsurface diagenetic environments, subaerial exposure, dolomitization, burial diagenesis, carbonate aqueous equibiria, and the carbonate geochemistry of trace elements and stable isotopes. Laboratory stresses thin section recognition of diagenetic textures and fabrics, x-ray diffraction, and geochemical/isotopic approaches to diagenetic problems. Prerequisite: GEOL624 or equivalent or consent of instructor. 4 to 6 hours lecture/seminar/lab; 3 semester hours.

GEGN669. ADVANCED TOPICS IN ENGINEERING HYDROGEOLOGY Review of current literature and research regarding selected topics in hydrogeology. Group discussion and individual participation. Guest speakers and field trips may be incorporated into the course. Prerequisite: Consent of instructor. 1 to 2 semester hours; may be repeated for credit with consent of instructor.

GEGN670. ADVANCED TOPICS IN GEOLOGICAL ENGINEERING Review of current literature and research regarding selected topics in engineering geology. Group discussion and individual participation. Guest speakers and field trips may be incorporated into the course. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

GEGN671. ADVANCED SITE INVESTIGATION PROJECTS (II) The geological engineer's role in assessment and design for heavy construction projects from the preliminary site investigation stage through the final design stage. An advanced course for the application of siting and design methods to complex projects. Prerequisite: GEGN571 or consent of instructor. 1 hour lecture, 6 hours lab; 3 semester hours. Offered on demand.

GEGN672. ADVANCED GEOTECHNICS (II) Geological analysis, design, and stabilization of natural soil and rock slopes and rock foundations; computer modeling of slopes; use of specialized methods in earth construction. Prerequisite: GEGN468, EGGN361/EGGN363 and MNGN321. 3 hours lecture; 3 semester hours.

GEGN675. ADVANCED TOPICS IN GEOGRAPHIC INFORMATION SYSTEMS (I, II) Review of current developments and research in specific advanced topics concerning Geographic Information Systems (GIS) technology and their applications to all areas of geology and geological engineering. Topics will include 3-dimensional data systems, the problems of 3-dimensional data structures, visualization and rendering of complex geological objects, interactions with analytical models, and the capabilities of new software and hardware. Prerequisites: GEGN575 and consent of instructor. 3 hours lecture; 3 semester hours.

GEGN681. VADOSE ZONE HYDROLOGY (II) Study of the physics of unsaturated groundwater flow and contaminant transport. Fundamental processes and data collection methods will be presented. The emphasis will be on analytic solutions to the unsaturated flow equations and analysis of field data. Application to non-miscible fluids, such as gasoline, will be made. The fate of leaks from underground tanks will be analyzed. Prerequisites: GEGN467 or equivalent; Math through Differential Equations; or consent of instructor. 3 hours lecture; 3 semester hours.

GEGN682. FLOW AND TRANSPORT IN FRACTURED ROCK (I) Explores the application of hydrologic and engineering principles to flow and transport in fractured rock. Emphasis is on analysis of field data and the differences between flow and transport in porous media and fractured rock. Teams work together throughout the semester to solve problems using field data, collect and analyze field data, and do independent research in flow and transport in fractured rock. Prerequisites: GEGN581 or consent of instructor. 3 hours lecture; 3 credit hours. Offered alternate years; Fall 2001.

GEGN683. ADVANCED GROUND WATER MODELING (II) Flow and solute transport modeling including: 1) advanced analytical modeling methods; 2) finite elements, random-walk, and method of characteristics numerical methods; 3) discussion of alternative computer codes for modeling and presentation of the essential features of a number of codes; 4) study of selection of appropriate computer codes for specific modeling problems; 5) application of models to ground water problems; and 6) study of completed modeling projects through literature review, reading and discussion. Prerequisite: GEOL/CHGC509 or GEGN583, and GEGN585 or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GEGN684. CHEMICAL MODELING OF AQUEOUS SYSTEMS (II) Provides theoretical background and practical experience in the application of chemical equilibrium and reaction path models to problems in diverse fields of theoretical and applied aqueous geochemistry. Advanced topics in aqueous geochemistry are presented and subsequently investigated using computer simulation approaches. Includes hands-on experience with the software EQ3/6. Instruction is provided in the use of basic UNIX commands. The course progressively builds user ability through a wide variety of applications including problems in thermodynamic data quality evaluation, ore deposition, sediment diagenesis, groundwater evolution, contaminant geochemistry, leachate generation, and enhanced oil recovery treatments. Course ends with student presentations of a chemical modeling study applied to a problem of their choosing. Prerequisite: GEGN585 or consent of instructor. 3 hours lecture/computer lab; 3 semester hours.

GEGN685. APPLIED GROUND-WATER MODELING PROBLEM SOLVING (I, II) Approach to and resolution of technical ground-water modeling problems from industrial applications. Conceptual analysis taught via Socratic Dialectic. Students reproduce, analyze, and resolve each problem. Each class offers new problems and learning experiences, thus the course can be repeated for credit with consent of instructor. By successful completion of this course, students earn certification to advise on the International Ground Water Modeling Center technical support line in a part-time employment mode. Prerequisite: GEGN583 or consent of instructor. 2 hours recitation alternate weeks; 3 hours lab every week; 2 credit hours.

GEGN/GEOL 698. SEMINAR IN GEOLOGY OR GEOLOGICAL ENGINEERING (I, II) Special topics classes, taught on a one-time basis. May include lecture, laboratory and field trip activities. Prerequisite: Approval of instructor and department head. Variable credit; 1 to 3 semester hours.

GEGN699. INDEPENDENT STUDY IN ENGINEERING GEOLOGY OR ENGINEERING HYDROGEOLOGY(I, II) Individual special studies, laboratory and/or field problems in geological engineering or engineering hydrogeology. Prerequisite: Approval of instructor and department head. Variable credit; 1 to 6 credit hours.

GEOL 699. INDEPENDENT STUDY IN GEOLOGY (I, II). Individual special studies, laboratory and/or field problems in geology. Prerequisite: Approval of instructor and department. Variable credit; 1 to 3 semester hours.

GEGN700. GRADUATE ENGINEERING REPORT-MASTER OF ENGINEERING (I, II, S) Laboratory, field and library work for the Master of Engineering report under supervision of the student's advisory committee.

GEOL701. GRADUATE THESIS-MASTER OF SCIENCE, GEOLOGY (I, II, S) Laboratory, field, and library work for the Master's thesis under supervision of the student's advisory committee.

GEGN702. GRADUATE THESIS-MASTER OF SCIENCE, GEOLOGICAL ENGINEERING (I, II, S) Laboratory, field, and library work for the Master's thesis under supervision of the student's advisory committee. Required of candidates for the degree of Master of Science (Geological Engineering).

GEGN/GEOL703. GRADUATE THESIS-DOCTOR OF PHILOSOPHY (I, II, S) Conducted under the supervision of student's doctoral committee.

GEGN/GEOL704 GRADUATE RESEARCH CREDIT: MASTER OF ENGINEERING Engineering design credit hours required for completion of the degree Master of Engineering - thesis. Engineering design must be carried out under the direct supervision of the graduate student's faculty advisor.

GEGN/GEOL705 GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

GEGN/GEOL706 GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

#### **Geochemical Exploration**

GXGN571. GEOCHEMICAL EXPLORATION (I, II) Dispersion of trace metals from mineral deposits and their discovery. Laboratory consists of analysis and statistical interpretation of data of soils, stream sediments, vegetation, and rock in connection with field problems. Term report required. Prerequisite: Consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GXGN633. LITHOGEOCHEMICAL MINERAL EXPLORATION (II) Principles and application of primary dispersion to the search for metallic mineral deposits. Evaluation of the design, sampling, analytical, and interpretational techniques used in lithogeochemical exploration. Practical laboratory exercises. Term projects required. Prerequisite: GXGN571, GEGN401 or equivalent or consent of instructor. 3 hours lecture/seminar/lab; 3 semester hours. Offered alternate years; Spring 2002.

GXGN635. SURFICIAL EXPLORATION GEOCHEMISTRY (II) Secondary dispersion processes (mechanical and chemical) applied to the search for metalliferous mineral deposits. A variety of sampling media, analytical procedures,

and interpretive techniques are evaluated. Landscape geochemistry framework for exploration program design. Prerequisite: GXGN571 or equivalent or consent of instructor. A course in geomorphology recommended. 3 hours lecture/seminar/lab; 3 semester hours. Offered alternate years; Spring 2003.

GXGN637. ADVANCED STUDIES IN EXPLORATION GEOCHEMISTRY (I, II) Individual special investigations of a laboratory or field problem in exploration geochemistry under the direction of a member of staff. Work on the same or a different topic may be continued through later semesters and additional credits earned. Prerequisite: GXGN571 and consent of instructor. 1 to 3 semester hours.

## Geophysics

TERENCE K. YOUNG, Professor and Department Head THOMAS L. DAVIS, Professor ALEXANDER A. KAUFMAN, Professor KENNETH L. LARNER, Charles Henry Green Professor of Exploration Geophysics GARY R. OLHOEFT, Professor MAX PEETERS, Baker Hughes Professor of Petrophysics and Borehole Geophysics PHILLIP R. ROMIG, Professor and Dean of Graduate Studies and Research JOHN A. SCALES, Professor ROEL K. SNIEDER, Keck Foundation Professor of Basic Exploration Science ILYA D. TSVANKIN, Professor THOMAS M. BOYD, Associate Professor YAOGUO LI, Associate Professor NORMAN BLEISTEIN. Research Professor MICHAEL L. BATZLE, Research Associate Professor ROBERT D. BENSON, Research Associate Professor HENGREN XIA. Research Assistant Professor ROBERT L. KRANZ, Adjunct Associate Professor WARREN B. HAMILTON, Distinguished Senior Scientist PIETER HOEKSTRA, Distinguished Senior Scientist THOMAS R. LAFEHR, Distinguished Senior Scientist MISAC N. NABIGHIAN, Distinguished Senior Scientist ADEL ZOHDY, Distinguished Senior Scientist FRANK A. HADSELL, Professor Emeritus GUY H. TOWLE, Professor Emeritus JAMES E. WHITE, Professor Emeritus

## **Degrees Offered**

Professional Degree (Geophysics) Master of Engineering (Geophysical Engineering) Master of Science (Geophysics) Master of Science (Geophysical Engineering) Doctor of Philosophy (Geophysics) Doctor of Philosophy (Geophysical Engineering)

#### Program Description

Geophysics entails the study and exploration of the Earth's interior through physical measurements collected at the earth's surface, in boreholes, from aircraft, and from satellites. Using a combination of mathematics, physics, geology, chemistry, hydrology, and computer science, a geophysicist analyzes these measurements to infer properties and processes within the Earth's complex interior.

Because the Earth supplies all of our material needs and is the repository of our waste products, the breadth and importance of this field of science are evident. Oil companies and mining firms use the exploratory skills of geophysicists to locate hidden resources throughout the world. Geophysicists assess the material properties near the Earth's surface when sites are chosen for large engineering and waste-management operations. Geophysical technology is used in environmental applications such as tracking the flow of contaminants and searching for groundwater. On the global scale, geophysicists attempt to unravel Earth processes and structures from its surface down to its central core using measurements of heat distribution and flow; gravitational, magnetic, electric, thermal, and stress fields; and ground motion caused by earthquakes or explosions.

Founded in 1926, the Department of Geophysics at the Colorado School of Mines is the largest department in the U.S. specializing in applied geophysical research and education. Even so, with 12 full-time faculty and class sizes ranging from 12 to 20, students receive individualized attention in a close-knit environment. Given the interdisciplinary

nature of geophysics, the undergraduate curriculum requires students to become thoroughly familiar with geological, mathematical, and physical theory, in addition to exploring the theoretical and practical aspects of the various geophysical methodologies.

Traditionally, the resource industry has been, and continues to be, the largest employer of CSM geophysics graduates. Within this industry, graduates find employment with the major oil companies, contractors involved in seismic and borehole logging surveys, and mineral exploration. Graduates also find employment in the emerging engineering and geotechnical industries with positions offered by government agencies and the myriad of small contracting firms specializing in shallow subsurface characterization for environmental, water management, and civil engineering applications.

### Research Emphasis

The Department conducts research in a wide variety of areas mostly related, but not restricted, to applied geophysics. Candidates interested in the research activities of a specific faculty member are encouraged to obtain a copy of the Department's view book and to contact that faculty member directly. To give prospective candidates an idea of the types of research activities available in geophysics at CSM, a list of the recognized research groups operating within the Department of Geophysics is given below.

- *The Center for Wave Phenomena* (CWP) is a multi-disciplinary research group with a total of six faculty members ---four from the Department of Geophysics, and two from the Department of Mathematics and Computer Sciences. With research sponsored by some 30 companies worldwide in the petroleum-exploration industry, plus U.S. government agencies, CWP emphasizes the development of theoretical and computational methods for imaging of the Earth's subsurface, primarily through use of the reflection seismic method. Researchers have been involved in forward and inverse problems of wave propagation as well as data processing for data obtained where the subsurface is complex, specifically where it is both heterogeneous and anisotropic. Further information about CWP can be obtained at http://www.cwp.mines.edu.
- *The Reservoir Characterization Project* (RCP) integrates the acquisition and interpretation of multicomponent, threedimensional seismic reflection and downhole data, with the geology and petroleum engineering of existing oil fields, in an attempt to understand the complex properties of petroleum reservoirs. Like CWP, RCP is a multidisciplinary group with faculty members from Geophysics, Petroleum Engineering, and Geology involved. More information about RCP can be obtained at http://www.mines.edu/academic/geophysics/rcp.
- *The Rock Physics Laboratory* conducts research on the physical properties of rocks having varying porosity, permeability and fluid content. These properties are measured at various temperatures and pressures to simulate reservoir conditions.
- The Near Surface Seismic (NSS) Group is involved in research activity related to using surface and borehole, multicomponent observations in an attempt to quantify the upper 100 meters of the subsurface.
- *The Environmental Geophysics Group* investigates the uses of complex resistivity and ground-penetrating radar for the characterization of contaminated soils.
- *The Gravity and Magnetic Research Consortium* carries out industry sponsored research in modeling, processing, and inversion of gravity and magnetic data. The emphasis is to develop efficient methods for imaging subsurface structures by inverting surface, airborne, and borehole observations to infer the below-ground distributions of density or magnetization, together with their structural boundaries. Developing fast forward-modeling techniques for calculating the gravity, gravity gradient, and magnetic fields from a given distribution of density or magnetization is an integral part of the research.
- *The Center for Petrophysics* (CENPET) is an interdisciplinary facility that performs research and education in all aspects of petrophysics ranging from acoustic measurements on core material for the calibration of seismic surveys to the design of new borehole instruments to measure climatological parameters in the ice of the Antarctic. CENPET is dedicated to understanding the properties of the materials in the earth and how geophysical observations can be used to predict these properties. Several departments (Geology, Chemistry, Petroleum Engineering, Mathematics, and Geophysics) cooperate in the center. For more information consult http://www.geophysics.mines.edu/petrophysics

#### Degrees Offered

The Department offers both traditional, research-oriented graduate programs and a non-thesis professional education program designed to meet specific career objectives. The program of study is selected by the student, in consultation with an advisor, and with thesis committee approval, according to the student's career needs and interests. Specific degrees, have specific requirements as detailed below. The Department maintains the Department of Geophysics, Graduate Student Handbook. This resource includes discussion of all of the current degree requirements, a description of Departmental resources and activities, and descriptions of Departmental procedures governing graduate student progress through degree programs. The handbook can be viewed on the department's web site at www.geophysics.mines.edu/sggs/sggs\_resources.htm. Like the CSM Graduate Student Bulletin, the Department of

Geophysics, Graduate Student Handbook is updated annually.

### **Professional Degree in Geophysical Engineering**

The Professional Degree in Geophysical Engineering is the Department's non-thesis postgraduate degree. The Professional Degree is awarded upon the completion of 38 hours of approved coursework. While individual courses constituting the degree are determined by the student, and approved by his or her program advisor and committee (as described below), courses applied to all professional degrees must satisfy the following criteria.

- ♦ All credits applied to the thesis must be at the 400 (senior) level or above. Courses required to fulfill deficiencies, as described below, may be 300 level and lower, but these cannot be applied to the course credit requirements of the degree.
- The student's advisor and committee may require fulfillment of all or some program deficiencies as described below. Credits used to fulfill program deficiencies are not included in the minimum required credits needed to obtain the Professional Degree.
- ◆ At least (21) credits must be at the 500 (graduate) level or above.
- ♦ At least (15) credits must be for courses taken within the Department of Geophysics at CSM.
- In addition, students must include the following courses in their Professional Degree program GPGN599 – Geophysical Investigation (6 credits total)
   LICM515 – Professional Oral Communication (1 credit)
  - GPGN581 Graduate Seminar (1 credit)

Upon admission into the Professional Degree program, the Department's Graduate Advisory Committee (GAC) will assign each candidate an interim advisor and make a preliminary assessment of course deficiencies. Students in this program, like students in all of the Department's programs, are free to change advisors as they desire. Unlike the Department's other graduate programs, however, Professional Degree students are not free to choose their advisory committees. The GAC acts as the advisory committee to all Professional Degree students. Professional Degree candidates are required to meet at least once a semester with the GAC to discuss course requirements, deficiencies, and their independent investigation.

While no formal thesis is required, students obtaining the Professional Degree must complete, and then report on, an independent investigation for which six credits are awarded under GPGN599. The work constituting the independent investigation can be completed at CSM under faculty guidance, or it can be completed in partnership with an industry sponsor. In either case, the candidate must submit to his or her advisor and committee a written proposal describing the scope and content of this work prior to enrolling in GPGN599.

As with the other graduate degrees offered by the Department of Geophysics, candidates in the Professional Degree program are expected to defend their independent investigation in an open oral defense. For the Professional Degree this requirement is fulfilled as part of enrollment in GPGN581. To successfully complete GPGN581, candidates are required to prepare and present a 20 minute oral presentation of their independent study to the Geophysics faculty and student body. At this time, students should be prepared to answer questions related to all aspects of the work presented.

### Master of Science Degrees: Geophysics and Geophysical Engineering

Students may obtain a Master of Science Degree in either Geophysics or Geophysical Engineering. Both degrees have the same coursework and thesis requirements, as described below. Students are normally admitted into the Master of Science in Geophysics program. If, however, a student would like to obtain the Master of Science in Geophysical Engineering, the course work and thesis topic must meet the following requirements. Note that these requirements are in addition to those associated with the Master of Science in Geophysics.

- ◆ Students must complete, either prior to their arrival at CSM or while at CSM, no fewer than 16 credits of engineering coursework. What constitutes coursework considered as engineering is determined by the Geophysics faculty at large.
- Within the opinion of the Geophysics faculty at large, the student's dissertation topic must be appropriate for inclusion as part of an Engineering degree.

For either Master of Science degree, a minimum of 26 course credits is required accompanied by a minimum of 12 credits of graduate research. While individual courses constituting the degree are determined by the student, and approved by their advisor and thesis committee, courses applied to all M.S. degrees must satisfy the following criteria:

- ♦ All course, research, transfer, residence, and thesis requirements are as described in Registration and Tuition Classification and Graduate Degrees and Requirements sections of this document.
- ♦ All credits applied to the thesis must be at the 400 (senior) level or above. Courses required to fulfill deficiencies, as described below, may be 300 level and lower, but these cannot be applied to the course credit requirements of the degree.

- The student's advisor and committee may require fulfillment of all or some program deficiencies as described below. Credits used to fulfill program deficiencies are not included in the minimum required credits needed to obtain the M.S. Degree.
- ♦ Students must include the following courses in their Master degree program

LICM515 – Professional Oral Communication (1 credit)

GPGN581 - Graduate Seminar (1 credit)

GPGN705 – Graduate Research – Master of Science (12 credits in addition to the required 26 course credits). As described in the Master of Science, Thesis and Thesis Defense section of this bulletin, all M.S. candidates must successfully defend their M.S. thesis in an open oral Thesis Defense. The guidelines of the Thesis Defense enforced by the Department of Geophysics follow those outlined in the Graduate Bulletin, with one exception. The Department of Geophysics requires students submit the final draft of their written thesis to their Thesis Committee no less than two weeks prior to the thesis defense date.

### **Doctor of Philosophy Degrees:**

## **Geophysics and Geophysical Engineering**

Students may obtain a Doctor of Philosophy Degree in either Geophysics or Geophysical Engineering. Both degrees have the same coursework and thesis requirements, as described below. Students are normally admitted into the Ph.D. in Geophysics program. If, however, a student would like to obtain the Ph.D. in Geophysical Engineering, the course work and thesis topic must meet the following requirements. Note that these requirements are in addition to those associated with the Ph.D. in Geophysics.

- ◆ Students must complete, either prior to their arrival at CSM or while at CSM, no fewer than 16 credits of engineering coursework. What constitutes coursework considered as engineering is determined by the Geophysics faculty at large.
- Within the opinion of the Geophysics faculty at large, the student's dissertation topic must be appropriate for inclusion as part of an Engineering degree.

For the Doctor of Philosophy Degree (Ph.D.), at least 72 credits beyond the Bachelors degree are required. No fewer than 24 research credits are required. Up to 30 course credits can be awarded by the candidate's Ph.D. Thesis Committee for completion of a Master's Degree at CSM or another institution. While individual courses constituting the degree are determined by the student, and approved by the student's advisor and committee, courses applied to all Ph.D. degrees must satisfy the following criteria:

- ♦ All course, research, minor degree programs, transfer, residence, and thesis requirements are as described in Registration and Tuition Classification and Graduate Degrees and Requirements sections of this document.
- ♦ All credits applied to the thesis must be at the 400 (senior) level or above. Courses required to fulfill deficiencies, as described below, may be 300 level and lower, but these cannot be applied to the course credit requirements of the degree.
- The student's advisor and committee may require fulfillment of all or some program deficiencies as described below. Credits used to fulfill program deficiencies are not included in the minimum required credits needed to obtain the Ph.D. Degree.
- Students must include the following courses in their Ph.D. program
  - LICM515 Professional Oral Communication (1 credit)
  - SYGN600 Fundamentals of College Teaching (2 credits).
  - GPGN681 Graduate Seminar (1 credit)
  - GPGN706 Graduate Research Doctor of Philosophy (minimum 24 credits)
- ◆ In addition to taking SYGN600, students are also required to participate in a practical teaching experience.

In the Doctoral program, students must demonstrate the potential for successful completion of independent research and enhance the breadth of their expertise by completing a Doctoral Research Qualifying Examination no later than two years from the date of enrollment in the program. An extension of one additional year may be petitioned by students through their Thesis Committees.

In the Department of Geophysics, the Doctoral Research Qualifying Examination consists of the preparation, presentation, and defense of two research projects completed while in residence in the Ph.D. program at the Colorado School of Mines. The research projects used in this process must conform to the standards described in the Department's Graduate Student Handbook.

As described in the Doctor of Philosophy, Thesis Defense section of this bulletin, all Ph.D. candidates must successfully defend their Ph.D. thesis in an open oral Thesis Defense. The guidelines of the Thesis Defense enforced by the Department of Geophysics follow those outlined in the Graduate Bulletin, with one exception. The Department of

Geophysics requires students submit the final draft of their written thesis to their Thesis Committee no less than two weeks prior to the thesis defense date.

### Acceptable Thesis Formats

In addition to traditional dissertations, the Department of Geophysics also accepts dissertations that are compendia of papers published or submitted to peer-reviewed journals. The following guidelines are applied by the Department in determining the suitability of a thesis submitted as a series of written papers.

- All papers included in the dissertation must have a common theme, as approved by a student's thesis committee.
- Papers should be submitted for inclusion in a dissertation in a common format and typeset.
- In addition to the individual papers, students must prepare abstract, introduction, discussion, and conclusions sections of the thesis that tie together the individual papers into a unified dissertation.
- ♦ A student's thesis committee might also require the preparation and inclusion of various appendices with the dissertation in support of the papers prepared explicitly for publication.

### **Graduate Program Background Requirements**

All graduate programs in Geophysics require that applicants have a background that includes the equivalent of adequate undergraduate preparation in the following areas:

- ◆ Mathematics Linear Algebra or Linear Systems, Differential Equations, Computer Programming
- Physics Classical Physics
- ♦ Geology Structural Geology and Stratigraphy
- Geophysics Geophysical Field Methods and courses that include theory and application in three of the following areas: gravity/magnetics, seismic, electical/electromagnetics, borehole geophysics, and physics of the earth
- In addition, candidates in the Doctoral program are expected to have no less than one year of college level or two years of high school courses in a single foreign language.

Candidates not prepared in one or more of these areas may be admitted into the program if their background and demonstrated talents give reasonable expectation that they can overcome deficiencies during their graduate career.

## Description of Courses

GPGN404. DIGITAL ANALYSIS (I) The fundamentals of one-dimensional digital signal processing as applied to geophysical investigations are studied. Students explore the mathematical background and practical consequences of the sampling theorem, convolution, deconvolution, the Z and Fourier transforms, windows, and filters. Emphasis is placed on applying the knowledge gained in lecture to exploring practical signal processing issues. This is done through homework and in-class practicum assignments requiring the programming and testing of algorithms discussed in lecture. Prerequisites: MACS213, MACS315, GPGN249, and GPGN306, or consent of instructor. Knowledge of a computer programming language is assumed. 2 hours lecture, 2 hours lab; 3 semester hours.

GPGN414. GRAVITY AND MAGNETIC EXPLORATION (II) Instrumentation for land surface, borehole, sea floor, sea surface, and airborne operations. Reduction of observed gravity and magnetic values. Theory of potential field effects of geologic distributions. Methods and limitations of interpretation. Prerequisite: GPGN303. 3 hours lecture, 3 hours lab; 4 semester hours.

GPGN419/PEGN419.WELL LOG ANALYSIS AND FORMATION EVLUATION (I) The basics of core analyses and the principles of all common borehole instruments are reviewed. The course shows (computer) interpretation methods that combine the measurements of various borehole instruments to determine rock properties such as porosity, permeability, hydrocarbon saturation, water salinity, ore grade, ash-content, mechanical strength, and acoustic velocity. The impact of these parameters on reserves estimates of hydrocarbon reservoirs and mineral accumulations is demonstrated. Prerequisite: MACS315, GPGN249, GPGN302, GPGN303, and GPGN308. 3 hours lecture, 2 hours lab; 3 semester hours.

GPGN422. METHODS OF ELECTRICAL PROSPECTING (I) In-depth study of the application of electrical and electromagnetic methods to crustal studies, minerals exploration, oil and gas exploration, and groundwater. Laboratory work with scale and mathematical models coupled with field work over areas of known geology. Prerequisite: GPGN308 or consent of instructor. 3 hours lecture, 3 hours lab; 4 semester hours.

GPGN432. FORMATION EVALUATION (II) The basics of core analyses and the principles of all common borehole instruments are reviewed. The course teaches interpretation methods that combine the measurements of various borehole instruments to determine rock properties such as porosity, permeability, hydrocarbon saturation, water salinity, ore grade and ash content. The impact of these parameters on reserve estimates of hydrocarbon reservoirs and mineral accumulations is demonstrated. Geophysical topics such as vertical seismic profiling, single well and cross-

well seismic are emphasized in this course, while formation testing, and cased hole logging are covered in GPGN419/PEGN419 presented in the fall. The laboratory provides on-line course material and hands-on computer log evaluation exercises. Prerequisites: MACS315, GPGN249, GPGN302, GPGN303, and GPGN308. 2 hours lecture, 2 hours lab; 3 semester hours. Only one of the two courses GPGN432 and GPGN419/PEGN419 can be taken for credit.

GPGN438. GEOPHYSICS PROJECT DESIGN (I, II) Complementary design course for geophysics restricted elective course(s). Application of engineering design principles to geophysics through advanced work, individual in character, leading to an engineering report or senior thesis and oral presentation thereof. Choice of design project is to be arranged between student and individual faculty member who will serve as an advisor, subject to department head approval. Prerequisites: GPGN302, GPGN303, GPGN308, and completion of or concurrent enrollment in geophysics method courses in the general topic area of the project design. Credit variable, 1 to 3 hours. Course can be retaken once.

GPGN439. GEOPHYSICS PROJECT DESIGN (II) GEGN439/PEGN439. MULTI-DISCIPLINARY PETROLEUM DESIGN (II). This is a multidisciplinary design course that integrates fundamentals and design concepts in geological, geophysical, and petroleum engineering. Students work in integrated teams consisting of students from each of the disciplines. Multiple open-end design problems in oil and gas exploration and field development, including the development of a prospect in an exploration play a detailed engineering field study, are assigned. Several detailed written and oral presentations are made throughout the semester. Project economics, including risk analysis, are an integral part of the course. Prerequisites: GP majors: GPGN302 and GPGN303; GE majors: GEOL308 or GEOL309, GEGN316, GEGN438; PE majors: PEGN316, PEGN414, PEGN422, PEGN423, PEGN424 (or concurrent). 2 hours lecture, 3 hours lab; 3 semester hours.

GPGN452. ADVANCED SEISMIC METHODS (I) Historical survey. Propagation of body and surface waves in elastic media; transmission and reflection at single and multiple interfaces; energy relationships; attenuation factors, data processing (including velocity interpretation, stacking, and migration) interpretation techniques including curved ray methods. Acquisition, processing, and interpretation of laboratory model data; seismic processing using an interactive workstation. Prerequisite: GPGN302 and concurrent enrollment in GPGN404, or consent of instructor. 3 hours lecture, 3 hours lab; 4 semester hours.

GPGN486. GEOPHYSICS FIELD CAMP (S) Introduction to geological and geophysical field methods. The program includes exercises in geological surveying, stratigraphic section measurements, geological mapping, and interpretation of geological observations. Students conduct geophysical surveys related to the acquisition of seismic, gravity, magnetic, and electrical observations. Students participate in designing the appropriate geophysical surveys, acquiring the observations, reducing the observations, and interpreting these observations in the context of the geological model defined from the geological surveys. Prerequisites: GEOL309, GEOL314, GPGN302, GPGN303, GPGN308, GPGN315 or consent of instructor. Up to 6 weeks field; up to 6 semester hours, minimum 4 hours.

GPGN494. PHYSICS OF THE EARTH (II). Students will explore the fundamental observations from which physical and mathematical inferences can be made regarding the Earth's origin, structure, and evolution. These observations include traditional geophysical observations (e.g., seismic, gravity, magnetic, and radioactive) in addition to geochemical, nucleonic, and extraterrestrial observations. Emphasis is placed on not only cataloging the available data sets, but also on developing and testing quantitative models to describe these disparate data sets. Prerequisites: GEOL201, GPGN249, GPGN302, GPGN303, GPGN306, GPGN308, PHGN200, and MACS315, or consent of instructor. 3 hours lecture; 3 semester hours.

GPGN498. SPECIAL TOPICS IN GEOPHYSICS (I, II) New topics in geophysics. Each member of the academic faculty is invited to submit a prospectus of the course to the department head for evaluation as a special topics course. If selected, the course can be taught only once under the 498 title before becoming a part of the regular curriculum under a new course number and title. Prerequisite: Consent of department. Credit – variable, 1 to 6 hours.

GPGN499. GEOPHYSICAL INVESTIGATION (I, II) Individual project; instrument design, data interpretation, problem analysis, or field survey. Prerequisite: Consent of department. "Independent Study" form must be completed and submitted to the Registrar. Credit dependent upon nature and extent of project, not to exceed 6 semester hours.

#### **Graduate Courses**

500-level courses are open to qualified seniors with the permission of the department and Dean of the Graduate School. 600-level courses are open only to students enrolled in the Graduate School.

GPGN503/GEGN503/PEGN503. INTEGRATED EXPLORATION AND DEVELOPMENT (I) Students work alone and in teams to study reservoirs from fluvial-deltaic and valley fill depositional environments. This is a multidisciplinary course that shows students how to characterize and model subsurface reservoir performance by integrating data, methods and concepts from geology, geophysics and petroleum engineering. Activities include field trips, computer modeling, written exercises and oral team presentations. Prerequisite: GEOL 501 or consent of instructors. 2 hours lecture, 3 hours lab; 3 semester hours. Offered fall semester, odd years. GPGN504/GEGN504/PEGN504. INTEGRATED EXPLORATION AND DEVELOPMENT (I) Students work in multidisciplinary teams to study practical problems and case studies in integrated subsurface exploration and development. Students will learn and apply methods and concepts from geology, geophysics and petroleum engineering to timely design problems in oil and gas exploration and field development. Activities include field trips, computer modeling, written exercises and oral team presentations. Prerequisite: GPGN/GEGN/PEGN503 or consent of instructors. 3 hours lecture and seminar; 3 semester hours. Offered fall semester, even years.

GPGN507. NEAR-SURFACE FIELD METHODS (I) Students design and implement data acquisition programs for all forms of near-surface geophysical surveys. The result of each survey is then modeled and discussed in the context of field design methods. Prerequisite: Consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours. Offered fall semester, even years.

GPGN509. PHYSICAL AND CHEMICAL PROPERTIES AND PROCESSES IN ROCK, SOILS, AND FLUIDS (I) Physical and chemical properties and processes that are measurable with geophysical instruments are studied, including methods of measurement, interrelationships between properties, coupled processes, and processes which modify properties in pure phase minerals and fluids, and in mineral mixtures (rocks and soils). Investigation of implications for petroleum development, minerals extraction, groundwater exploration, and environmental remediation. Prerequisite: Consent of instructor. 3 hours lecture, 3 semester hours.

GPGN510. GRAVITY AND MAGNETIC EXPLORATION (II) Instrumentation for land surface, borehole, sea floor, sea surface, and airborne operations. Reduction of observed gravity and magnetic values. Theory of potential field effects of geologic distributions. Methods and limitations of interpretation. Prerequisite: GPGN303, GPGN321, or consent of instructor. 3 hours lecture, 3 hours lab; 4 semester hours.

GPGN511. ADVANCED GRAVITY AND MAGNETIC EXPLORATION (II) Field or laboratory projects of interest to class members; topics for lecture and laboratory selected from the following: new methods for acquiring, processing, and interpreting gravity and magnetic data, methods for the solution of two- and three-dimensional potential field problems, Fourier transforms as applied to gravity and magnetics, the geologic implications of filtering gravity and magnetic data, equivalent distributions, harmonic functions, inversions. Prerequisite: GPGN414 or consent of instructor. 3 hours lecture, 3 hours lab and field; 4 semester hours. Offered spring semester, even years.

GPGN519/PEGN 519. ADVANCED FORMATION EVALUATION (II). A detailed review of well logging and other formation evaluation methods will be presented, with the emphasis on the imaging and characterization of hydrocarbon reservoirs. Advanced logging tools such as array induction, dipole sonic, and imaging tools will be discussed. The second half of the course will offer in parallel sessions: for geologists and petroleum engineers on subjects such as pulsed neutron logging, nuclear magnetic resonance, production logging, and formation testing; for geophysicists on vertical seismic profiling, cross well acoustics and electro-magnetic surveys. Prerequisite: GPGN419/PEGN419 or consent of instructor. 3 hours lecture: 3 semester hours.

GPGN520. ELECTRICAL AND ELECTROMAGNETIC EXPLORATION (I) Electromagnetic theory. Instrumentation. Survey planning. Processing of data. Geologic interpretations. Methods and limitations of interpretation. Prerequisite: GPGN308 or consent of instructor. 3 hours lecture, 3 hours lab; 4 semester hours. Offered fall semester, odd years

GPGN521. ADVANCED ELECTRICAL AND ELECTROMAGNETIC EXPLORATION (II) Field or laboratory projects of interest to class members; topics for lecture and laboratory selected from the following: new methods for acquiring, processing and interpreting electrical and electromagnetic data, methods for the solution of two- and three-dimensional EM problems, physical modeling, integrated inversions. Prerequisite: GPGN422 or GPGN520, or consent of instructor. 3 hours lecture, 3 hours lab; 4 semester hours. Offered spring semester, even years

GPGN530. APPLIED GEOPHYSICS (II) Introduction to geophysical techniques used in a variety of industries (mining, petroleum, environmental and engineering) in exploring for new deposits, site design, etc. The methods studied include gravity, magnetic, electrical, seismic, radiometric and borehole techniques. Emphasis on techniques and their applications are tailored to student interests. The course, intended for non-geophysics students, will emphasize the theoretical basis for each technique, the instrumentation used and data collection, processing and interpretation procedures specific to each technique so that non-specialists can more effectively evaluate the results of geophysical investigations. Prerequisites: PHGN100, PHGN200, MACS111. GEGN401 or consent of the instructor. 3 hours lecture; 3 semester hours

GPGN540. MINING GEOPHYSICS (I) Introduction to gravity, magnetic, electric, radiometric and borehole techniques used by the mining industry in exploring for new deposits. The course, intended for graduate geophysics students, will emphasize the theoretical basis for each technique, the instrumentation used and data collection, processing and interpretation procedures specific to each technique. Prerequisites: GPGN321, GPGN322, MACS111,MACS112, MACS213. 3 hours lecture; 3 semester hours.

GPGN551/MACS693. WAVE PHENOMENA SEMINAR (I, II) Students will probe a range of current methodologies

and issues in seismic data processing, with emphasis on underlying assumptions, implications of these assumptions, and implications that would follow from use of alternative assumptions. Such analysis should provide seed topics for ongoing and subsequent research. Topic areas include: Statics estimation and compensation, deconvolution, multiple suppression, suppression of other noises, wavelet estimation, imaging and inversion, extraction of stratigraphic and lithologic information, and correlation of surface and borehole seismic data with well log data. Prerequisite: Consent of department. 1 hour seminar; 1 semester hour.

GPGN552. INTRODUCTION TO SEISMOLOGY (I) Introduction to basic principles of elasticity including Hooke's law, equation of motion, representation theorems, and reciprocity. Representation of seismic sources, seismic moment tensor, radiation from point sources in homogeneous isotropic media. Boundary conditions, reflection/transmission coefficients of plane waves, plane-wave propagation in stratified media. Basics of wave propagation in attenuative media, brief description of seismic modeling methods. Prerequisite: GPGN452 or consent of instructor. 3 hours lecture; 3 semester hours.

GPGN553. INTRODUCTION TO SEISMOLOGY (II) This course is focused on the physics of wave phenomena and the importance of wave-theory results in exploration and earthquake seismology. Includes reflection and transmission problems for spherical waves, methods of steepest descent and stationary phase, point-source radiation in layered isotropic media, surface and non-geometrical waves. Discussion of seismic modeling methods, fundamentals of wave propagation in anisotropic and attenuative media. Prerequisite: GPGN552 or consent of instructor. 3 hours lecture; 3 semester hours. Offered spring semester, even years

GPGN555. INTRODUCTION TO EARTHQUAKE SEISMOLOGY (I) Introductory course in observational, engineering, and theoretical earthquake seismology. Topics include: seismogram interpretation, elastic plane waves and surface waves, source kinematics and constraints from seismograms, seismicity and earthquake location, magnitude and intensity estimates, seismic hazard analysis, and earthquake induced ground motions. Students interpret digital data from globally distributed seismic stations. Prerequisite: GPGN452.3 hours lecture; 3 semester hours. Offered spring semester, odd years.

GPGN558. SEISMIC DATA INTERPRETATION (II) Practical interpretation of seismic data used in exploration for hydrocarbons. Integration with other sources of geological and geophysical information. Prerequisite: GPGN452, GEOL501 or equivalent or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

GPGN561. SEISMIC DATA PROCESSING I (I) Introduction to basic principles underlying the processing of seismic data for suppression of various types of noise. Includes the rationale for and methods for implementing different forms of gain to data, and the use of various forms of stacking for noise suppression, such as diversity stacking of Vibroseis data, normal-moveout correction and common-midpoint stacking, optimum-weight stacking, beam steering and the stack array. Also discussed are continuous and discrete one- and two-dimensional data filtering, including Vibroseis correlation, spectral whitening, moveout filtering, data interpolation, slant stacking, and the continuous and discrete Radon transform for enhancing data resolution and suppression of multiples and other forms of coherent noise. Prerequisite: GPGN452 or consent of instructor. 3 hours lecture; 3 semester hours. Offered fall semester, even years.

GPGN562. SEISMIC DATA PROCESSING II (II) The student will gain understanding of applications of deterministic and statistical deconvolution for wavelet shaping, wavelet compression, and multiple suppression. Both reflectionbased and refraction-based statistics estimation and correction for 2-D and 3-D seismic data will be covered, with some attention to problems where subsurface structure is complex. Also for areas of complex subsurface structure, students will be introduced to analytic and interactive methods of velocity estimation. Where the near-surface is complex, poststack and prestack imaging methods, such as layer replacement are introduced to derive dynamic corrections to reflection data. Also discussed are special problems related to the processing of multi-component seismic data for enhancement of shear-wave information, and those related to processing of vertical seismic profile data for separation of upgoing and downgoing P- and S- wave arrivals. Prerequisite: GPGN452 and GPGN561 or consent of instructor. 3 hours lecture; 3 semester hours. Offered spring semester, odd years.

GPGN574. GROUNDWATER GEOPHYSICS (II) Description of world groundwater aquifers. Effects of water saturation on the physical properties of rocks. Use of geophysical methods in the exploration, development and production of groundwater. Field demonstrations of the application of the geophysical methods in the solution of some groundwater problems. Prerequisite: Consent of instructor. 3 hours lecture, 3 hours lab; 4 semester hours.

GPGN581. GRADUATE SEMINAR – MS (I, II) Presentation describing results of MS thesis research. All theses must be presented in seminar before corresponding degree is granted. 1 hour seminar, 1 semester hour.

GPGN583. THEORY OF GEOPHYSICAL METHODS I (I) This course describes the physical and mathematical principles of the gravimetric, magnetometric and electrical methods of geophysical prospecting. For each method, the following questions are discussed: 1) the physical laws and examples illustrating their application; 2) the physical properties of rocks and the influence of the medium on the field; 3) the distribution of field generators in the medium; 4) the relevant systems of field equations; 5) methods of solution of the forward problems; 6) approximate methods of field calculation and their application in geophysics; 7) the behavior of the fields as they are applied in the main

geophysical methods; 8) the relationship between the fields and the geometric and physical parameters of the medium. Prerequisite: Consent of department. 3 hours lecture; 3 semester hours.

GPGN584. THEORY OF GEOPHYSICAL METHODS II (II) This course describes the physical and mathematical principles of the electromagnetic, seismic and nuclear methods of geophysical prospecting. For each method, the following questions are discussed: 1) the physical laws and examples illustrating their application; 2) the physical properties of rocks and the influence of the medium on the field; 3) the distribution of field generators in the medium; 4) the relevant systems of field equations; 5) methods of solution of the forward problems; 6) approximate methods of field calculation and their application in geophysics; 7) the behavior of the fields as they are applied in the main geophysical methods; 8) the relationship between the fields and the geometric and physical parameters of the medium. Prerequisite: GPGN583. 3 hours lecture; 3 semester hours.

GPGN598. SPECIAL TOPICS IN GEOPHYSICS (I, II) New topics in geophysics. Each member of the academic faculty is invited to submit a prospectus of the course to the department head for evaluation as a special topics course. If selected, the course can be taught only once under the 598 title before becoming a part of the regular curriculum under a new course number and title. Prerequisite: Consent of department. Credit-variable, 1 to 6 hours.

GPGN599. GEOPHYSICAL INVESTIGATIONS MS (I, II) Individual project; instrument design, data interpretation, problem analysis, or field survey. Prerequisite: Consent of department and "Independent Study" form must be completed and submitted to the Registrar. Credit dependent upon nature and extent of project, not to exceed 6 semester hours.

GPGN605. INVERSION THEORY (II) Introductory course in inverting geophysical observations for inferring earth structure and processes. Techniques discussed include: Monte-Carlo procedures, Marquardt-Levenburg optimization, and generalized linear inversion. In addition, aspects of probability theory, data and model resolution, uniqueness considerations, and the use of a priori constraints are presented. Students are required to apply the inversion methods described to a problem of their choice and present the results as an oral and written report. Prerequisite: MACS315 and knowledge of a scientific programming language. 3 hours lecture; 3 semester hours.

GPGN606. SIMUATION OF GEOPHYSICAL DATA (II) Efficiency of writing and running computer programs. Review of basic matrix manipulation. Utilization of existing CSM and department computer program libraries. Some basic and specialized numerical integration techniques used in geophysics. Geophysical applications of finite elements, finite differences, integral equation modeling, and summary representation. Project resulting in a term paper on the use of numerical methods in geophysical interpretation. Prerequisite: Consent of Instructor. 3 hours lecture; 3 semester hours. Offered spring semester, odd years.

GPGN651. ADVANCED SEISMOLOGY (I) In-depth discussion of wave propagation in anisotropic and inhomogeneous media. Topics include the Green's function for homogeneous anisotropic media, influence of anisotropy on body-wave polarizations and shear-wave splitting, traveltime analysis for transversely isotropic models, inversion of seismic data in the presence of anisotropy. Analytic and numerical description of surface waves in horizontally layered media, ray theory and dynamic ray tracing for body waves in homogeneous earth models. Prerequisites: GPGN552 and GPGN553 or consent of instructor. 3 hours lecture; 3 semester hours. Offered fall semester, even years.

GPGN658. SEISMIC MIGRATION (II) Seismic migration is the process that converts seismograms, each recorded as a function of time, to an image of the earth's subsurface, which is a function of depth below the surface. The theoretical and practical aspects of finite-difference, Kirchhoff, Fourier transform, and other methods for migration are emphasized with numerous computer programs and exercises. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Offered spring semester, even years.

GPGN681. GRADUATE SEMINAR – PHD (I, II) Presentation describing results of Ph.D. thesis research. All theses must be presented in seminar before corresponding degree is granted. 1 hour seminar; 1 semester hour.

GPGN698. SPECIAL TOPICS IN GEOPHYSICS (I, II) New topics in geophysics. Each member of the academic faculty is invited to submit a prospectus of the course to the department head for evaluation as a special topics course. If selected, the course can be taught only once under the 698 title before becoming a part of the regular curriculum under a new course number and title. Prerequisite: Consent of instructor. Credit – variable, 1 to 6 hours.

GPGN699. GEOPHYSICAL INVESTIGATION-PHD (I, II) Individual project; instrument design, data interpretation, problem analysis, or field survey. Prerequisite: Consent of department and "Independent Study" form must be completed and submitted to the Registrar. Credit dependent upon nature and extent of project, not to exceed 6 semester hours.

GPGN700. GRADUATE ENGINEERING REPORT – MASTER OF ENGINEERING (I, II) Laboratory, field, and library work for the Master of Engineering report under supervision of the student's advisory committee. Required of candidates for the degree of Master of Engineering. 6 semester hours upon completion of report.

GPGN701. GRADUATE THESIS – MASTER OF SCIENCE (I, II, S) Required of candidates for the degree of Master of Science in Geophysics. 6 semester hours upon completion of thesis.

GPGN703. GRADUATE THESIS – DOCTOR OF PHILOSOPHY (I, II, S) Required of candidates for the degree of Doctor of Philosophy in Geophysics. 30 semester hours.

GPGN704. GRADUATE RESEARCH CREDIT: MASTER OF ENGINEERING Engineering design credit hours required for completion of the degree Master of Engineering - thesis. Engineering design must be carried out under the direct supervision of the graduate student's faculty advisor.

GPGN705. GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

GPGN706. GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy-thesis. Research must be carried out under direct supervision of the graduate student's faculty advisor.

## Liberal Arts and International Studies

ARTHUR B. SACKS, Professor and Division Director RICHARD G. OLSON, 2002-2003 Hennebach Visiting Professor CARL MITCHAM, Professor BARBARA M. OLDS, Professor and Associate Vice President for Academic Affairs EUL-SOO PANG, Professor HUSSEIN A. AMERY, Associate Professor JAMES V. JESUDASON, Associate Professor JUAN C. LUCENA, Associate Professor KATHLEEN H. OCHS, Associate Professor LAURA J. PANG. Associate Professor KAREN B. WILEY, Associate Professor JUAN E. de CASTRO, Assistant Professor JOHN R. HEILBRUNN, Assistant Professor SUZANNE M. MOON. Assistant Professor ROBERT KLIMEK, Lecturer TONYA LEFTON, Lecturer JON LEYDENS, Lecturer and Writing Program Administrator JAMES LOUGH, Lecturer SUZANNE M. NORTHCOTE, Lecturer SANDRA WOODSON, Lecturer BETTY J. CANNON, Emeritus Associate Professor W. JOHN CIESLEWICZ, Emeritus Professor DONALD I. DICKINSON, Emeritus Professor WILTON ECKLEY, Emeritus Professor PETER HARTLEY, Emeritus Associate Professor T. GRAHAM HEREFORD, Emeritus Professor JOHN A. HOGAN, Emeritus Professor GEORGE W. JOHNSON, Emeritus Professor ANTON G. PEGIS, Emeritus Professor JOSEPH D. SNEED, Emeritus Professor RONALD V. WIEDENHOEFT, Emeritus Professor THOMAS PHILIPOSE, University Emeritus Professor

The Liberal Arts and International Studies Division (LAIS) provides students with an understanding of the cultural, philosophical, social, political, environmental and economic contexts in which science and engineering function. LAIS offerings enable students to learn how their responsibilities extend beyond the technical mastery of science and technology to the consequences for human society and the rest of life on earth. Because of those larger responsibilities, the LAIS mission includes preparing students for effective political and social thought and action.

The liberal arts exist for their intrinsic value. They are the arts of the free mind developing its powers for their own sake; they are the basis for the free, liberal, unhindered development of intellect and imagination addressing intrinsically worthy concerns. They are essential for preserving an open, creative, and responsible society. The liberal arts include philosophy, literature, language, history, political science, the creative arts, and the social sciences generally.

International Studies applies the liberal arts to the study of international political economy, which is the interplay between economic, political, cultural, and environmental forces that shape the relations among the world's developed and developing areas. International Studies focus especially on the role of the state and market in society and economy.

The LAIS mission is crucial to defining the implications of CSM's commitment to stewardship of the Earth and to the permanent sustainability of both social organization and environmental resources and systems that such a commitment requires. A good foundation in the subjects provided by the LAIS Division is essential for graduating men and women who can provide the technical means for society's material needs in a manner that leaves posterity an undiminished level of both social and environmental quality.

## Graduate Certificate in International Political Economy

In May 1999 the Graduate Council approved the introduction of a graduate certificate program in International Political Economy (IPE), effective Fall 1999. For the first three years, the IPE certificate program will be offered. At the beginning of the fourth year, pending the required administrative approvals, it is the intent of the Division of Liberal Arts and International Studies to introduce a Master's in International Political Economy.

#### **Program Description**

The complete program requires two 15 credit-hour tracks (30 hours total). Track I is an introduction and background to the discipline of International Political Economy. Track II includes 9 hours of specialization in courses dealing either with Area Studies or with Resources (see below).

The objective of the certificate program is to provide research and analytical skills in: (a) the national and supranational relationships between the state and the market; (b) the ramifications of economic policies on social, political, and economic development; and (c) the consequences of environmental policies on economic, political, and cultural transformations.

The IPE Graduate Certificate curriculum is organized into four thematic clusters:

- ◆ International Political Economy of a Region (Latin America, Asia Pacific, the Middle East, and Sub-Saharan Africa)
- Economic and Political Geography of World Resources
- ◆ Global Environmental Policy
- ◆ International Political Risk Assessment and Mitigation

#### **Program Requirements**

#### Track I (15 credit-hours)

Students must select one course from each of the four thematic clusters of the IPE curriculum noted above for 12 of the 15 credit-hours in this track. The final 3 credit-hours can be taken in any one of the four thematic clusters. Students are asked to consult with their advisor about which courses qualify for each of the four themes in any given semester.

#### Track II (15 credit hours)

Nine (9) of the 15 hours in Track II must come from Area Studies-related courses or Resources-related courses:

Area Studies. Area Studies courses come from the *International Political Economy of a Region* and the *International Political Risk Assessment and Mitigation* cluster themes. They focus on the following regions: Latin America, Asia Pacific, the Middle East, and Sub-Saharan Africa. This specialization emphasizes the macro dimensions of the role of the state and the market in the international political economy of development, culture, trade, investment, and finance with a specific country or region focus.

**Resources.** Resources courses come from the *Economic and Political Geography of World Resources* and the *Global Environmental Policy* cluster themes and focus on the development and use of natural resources, including the environment. This specialization emphasizes the role of a specific natural resource sector in inter-state relations and the global context of trade, finance, investment, technology transfer, and environmental concerns.

An additional three (3) hours must come from a thematic cluster other than the one or ones utilized for the first 9 hours.

The final three (3) hours may come from outside the Division of Liberal Arts and International Studies, such as the Division of Economics and Business or one of the engineering/applied science departments or divisions; or they may come from one of the four thematic clusters other than the clusters from which the first 12 hours in Track II are taken.

### Prerequisites

The requirements for admission to the IPE graduate certificate program are as follows:

1. BS or BA with a cumulative grade point average at or above 3.0 (4.0 scale).

2. Undergraduate CSM students who do not meet the overall GPA of 3.0 but who are pursuing the undergraduate IPE Minor or Certificate must have a minimum 3.0 in that minor. IPE undergraduate minors may apply to the graduate

certificate program for provisional admission in their junior year. See the IPE graduate advisor for further details.

3. The GRE is not required.

4. A TOEFL score of 550 or higher is required for students who are non-native English speakers.

5. No foreign language is required at the time of admission. However, demonstrated commitment to learning a second and/or third language during the residency in the program is encouraged in order to carry out research projects.

### **Fields of IPE Research**

The research specialty of the program will parallel the four thematic clusters of the curriculum. The research methodology of IPE draws from such diverse disciplines as political science, history, economics, geography, sociology, international relations, literature, environmental studies, anthropology, area studies, and even law.

The principal fields of research are: the international political economy of development of a specific region or country/countries; trade and investment; region-markets and region-states; international and multilateral governmental and non-governmental organizations; global environmental politics and policies; region-specific environmental policy making and implementation; economic and political geography of resources; international political risk assessment and mitigation of a specific country, countries, or region.

### **Course Offerings**

The current list of IPE graduate courses appears in this section. Additional courses may be offered in any given semester on a pilot basis. Students are encouraged to consult this list and their advisor for details.

## Graduate Individual Minor

Graduate students can earn a minor in Liberal Arts and International Studies if they complete 12 hours of course work from the Selected Topics or Independent Studies categories chosen under the supervision of an LAIS advisor.

Note: The Graduate Individual Minor must be approved by the student's graduate committee and by the LAIS Division.

# Description of Courses

# Humanities (LIHU)

LIHU401: THE AMERICAN DREAM: ILLUSION OR REALITY? This seminar will examine 'that elusive phrase, the American dream,' and ask what it meant to the pioneers in the New World, how it withered, and whether it has been revived. The concept will be critically scrutinized within cultural contexts. The study will rely on the major genres of fiction, drama, and poetry, but will venture into biography and autobiography, and will range from Thoreau's *Walden* to Kerouac's *On the Road* and Boyle's *Budding Prospects*. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LIHU402. HEROES AND ANTIHEROES: A TRAGIC VIEW This course features heroes and antiheroes (average folks, like most of us), but because it is difficult to be heroic unless there are one or more villains lurking in the shadows, there will have to be an Iago or Caesar or a politician or a member of the bureaucracy to overcome. Webster's defines heroic as 'exhibiting or marked by courage and daring.' Courage and daring are not confined to the battlefield, of course. One can find them in surprising places-in the community (Ibsen's *Enemy of the People*), in the psychiatric ward (Kesey's *One Flew Over the Cuckoo's Nest*), in the military (Heller's *Catch-22*), on the river (Twain's *The Adventures of Huckleberry Finn* or in a 'bachelor pad' (Simon's *Last of the Red Hot Lovers*). Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LIHU403. MYTHOLOGY This course is designed to give students a familiarity with important Greek myths, especially in terms of their imaginative and dramatic appeal. Considerations regarding the nature of that appeal will provide means for addressing the social function of myth, which is a central issue for the course. The class will also examine various issues of anthropological and philosophical significance pertaining to the understanding of myth, including the issue of whether science is a form of myth. The final assignment will provide an opportunity to address either Greek or non-Greek myth. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LIHU404. TRANSCENDENT VISION Imagination can take us beyond the limits imposed by conventional mechanistic thinking about life and the universe. Spiritual vision can reveal a living universe of great power, beauty, and intrinsic value. Yet people accept existence in a world supposedly built out of dead matter. To transcend ordinary experience, we must set out on an adventure, a journey into new and strange worlds. Works of imaginative literature provide gateways to new worlds in which the universe is a transcendent experience that gives full meaning to existence. This course explores ideas and images of the universe as a revelation of transcendent value. A major issue considered in the course is the implication of comparing European and Native American world views. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LIHU410. ROMANTICISM TO IMPRESSIONISM Romanticism to Impressionism is a seminar on aspects of European (primarily French) cultural history of the nineteenth century. Emphasis is on art and literature from the era of

Napoleon I to that of the Third Republic. This is the age of industrial revolution, rapid growth of cities, exploitation of the working class, the beginnings of socialism, and the triumph of capitalism. Artists to be covered range from Delacroix to Monet; authors include Sir Walter Scott and Emile Zola. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LIHU470. BECOMING AMERICAN: LITERARY PERSPECTIVES This course will explore the increasing heterogeneity of U.S. society by examining the immigration and assimilation experience of Americans from Europe, Africa, Latin America, and Asia as well as Native Americans. Primary sources and works of literature will provide the media for examining these phenomena. In addition, Arthur Schlesinger, Jr.'s thesis about the 'unifying ideals and common culture' that have allowed the United States to absorb immigrants from every corner of the globe under the umbrella of individual freedom, and the various ways in which Americans have attempted to live up to the motto 'e pluribus unum' will also be explored. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LIHU479. THE AMERICAN MILITARY EXPERIENCE A survey of military history, with primary focus on the American military experience from 1775 to present. Emphasis is placed not only on military strategy and technology, but also on relevant political, social, and economic questions. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours. Open to ROTC students or by permission of the LAIS Division.

LIHU480. URBAN QUALITY OF LIFE This course is intended to engage students with the marvelous potential and appalling problems of some of the world's cities. Primary focus will be on cultural history and the designed environment, including issues of traffic, housing, and environmental quality. Emphasis will be on the humanistic dimensions of a range of issues normally associated with urban sociology. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LIHU498. SPECIAL TOPICS IN HUMANITIES (1, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Prerequisite or corequisite: SYGN200. Variable credit: 1 to 6 semester hours.

LIHU499. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member. For students who have completed their LAIS requirements. Instructor consent required. Prerequisite: 'Independent Study' form must be completed and submitted to the registrar. Prerequisite or corequisite: SYGN200. Variable credit: 1 to 6 hours.

LIHU540. LATIN AMERICAN POLITICAL CULTURE This research seminar will deal with the relationship between political and social thought and narrative in Latin America. Special emphasis will be given to the impact of evolving national, regional, and international realities on political and social theory and narrative in Latin America. Prerequisites: any two IPE courses at the 300-level, or one IPE course at the 400 level. 3 hours seminar; 3 semester hours.

LIHU549. COMPARATIVE POLITICAL CULTURES This research seminar will deal with the role played by literature in shaping and developing nationhood in the Americas. Stress will be placed on both literary and theoretical texts. Prerequisites: any two IPE courses at the 300-level, or one IPE course at the 400 level. 3 hours seminar; 3 semester hours.

### Social Sciences (LISS)

LISS 410. UTOPIAS/DYSTOPIAS This course studies the relationship between society, technology, and science using fiction and film as a point of departure. A variety of science fiction novels, short stories, and films will provide the starting point for discussions. These creative works will also be concrete examples of various conceptualizations that historians, sociologists, philosophers, and other scholars have created to discuss the relationship. Prerequisite: LIHU 100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS415. THE INVISIBLE MACHINE Did an Invisible Machine build the pyramids? Was the Invisible Machine reassembled in the 17th century? Did astronomy provide the blueprint? Why was Louis XIV called the "Sun King?" Is modern technology a servant that obeys, or a mega-technical system that dominates? Is human society becoming a technological paradise, or an urban nightmare? Why have a number of movies depicted the future as a nightmare city? Using selected readings plus films such as *Metropolis* and *Blade Runner*, this course will address these and other significant questions. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS430. GLOBALIZATION This international political economy seminar is an historical and contemporary analysis of globalization processes examined through selected issues of world affairs of political, economic, military, and diplomatic significance. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS431. GLOBAL ENVIRONMENTAL ISSUES Critical examination of interactions between development and the environment and the human dimensions of global change; social, political, economic, and cultural responses to the

management and preservation of natural resources and ecosystems on a global scale. Exploration of the meaning and implications of 'stewardship of the Earth' and 'sustainable development'. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS432. CULTURAL DYNAMICS OF GLOBAL DEVELOPMENT Role of cultures and nuances in world development; cultural relationship between the developed North and the developing South, specifically between the U.S. and the Third World. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS433/533. GLOBAL CORPORATIONS This seminar deals with the historical development of multinational and global corporations, their role in the globalization of the world economy, and their relationship with the current systems of nation-states. The course will emphasize the theoretical foundations of global business, develop research skills in data collection and analysis, and learn to use statistical data for IPE interpretations. Prerequisites: LISS335 and any LISS 400-level course, or an equivalent. This course grants 3 credit hours.

LISS434. INTERNATIONAL FIELD PRACTICUM For students who go abroad for an on-site practicum involving their technical field as practiced in another country and culture; required course for students pursuing a certificate in International Political Economy; all arrangements for this course are to be supervised and approved by the advisor of the International Political Economy minor program. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS435/535. POLITICAL RISK ASSESSMENT This course will review the existing methodologies and techniques of risk assessment in both country-specific and global environments. It will also seek to design better ways of assessing and evaluating risk factors for business and public diplomacy in the increasingly globalized context of economy and politics wherein the role of the state is being challenged and redefined. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS437 CORRUPTION AND DEVELOPMENT This course addresses the problem of corruption and its impact on development. Readings are multidisciplinary and include policy studies, economics, and political science. Students will acquire an understanding of what constitutes corruption, how it negatively affects development, and what they, as engineers in a variety of professional circumstances, might do in circumstances in which bribe paying or taking might occur.

LISS439. POLITICAL RISK ASSESSMENT RESEARCH SEMINAR This international political economy seminar must be taken concurrently with LISS435, Political Risk Assessment. Its purpose is to acquaint the student with empirical research methods and sources appropriate to conducting a political risk assessment study, and to hone the students analytical abilities. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. Concurrent enrollment in LISS435. 1 hour seminar; 1 semester hour.

LISS440/540. LATIN AMERICAN DEVELOPMENT A senior seminar designed to explore the political economy of current and recent past development strategies, models, efforts, and issues in Latin America, one of the most dynamic regions of the world today. Development is understood to be a nonlinear, complex set of processes involving political, economic, social, cultural, and environmental factors whose ultimate goal is to improve the quality of life for individuals. The role of both the state and the market in development processes will be examined. Topics to be covered will vary as changing realities dictate but will be drawn from such subjects as inequality of income distribution; the role of education and health care; region-markets; the impact of globalization; institution-building; corporate-community-state interfaces; neoliberalism; privatization; democracy; and public policy formulation as it relates to development goals. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS441/541. HEMISPHERIC INTEGRATION IN THE AMERICAS This international political economy seminar is designed to accompany the endeavor now under way in the Americas to create a free trade area for the entire Western Hemisphere. Integrating this hemisphere, however, is not just restricted to the mechanics of facilitating trade but also engages a host of other economic, political, social, cultural, and environmental issues, which will also be treated in this course. If the Free Trade Area of the Americas (FTAA) becomes a reality, it will be the largest region-market in the world with some 800 million people and a combined GNP of over US\$10 trillion. In the three other main languages of the Americas, the FTAA is know as the Area de Libre Comercio de las Américas (ALCA) (Spanish), the Area de Livre Comércio das Américas (ALCA) (Portuguese), and the Zone de libre échange des Amériques (ZLEA) (French). Negotiations for the FTAA/ALCA/ZLEA are to be concluded by 2005. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS442/542. ASIAN DEVELOPMENT This international political economy seminar deals with the historical development of Asia Pacific from agrarian to post-industrial eras; its economic, political, and cultural transformation since World War II, contemporary security issues that both divide and unite the region; and globalization processes that encourage Asia Pacific to forge a single trading bloc. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS446. INTERNATIONAL POLITICAL ECONOMY OF AFRICA This course provides a broad overview of the political economy of Africa. Its goal is to give students an understanding of the possibilities of African development and the impediments that currently block its economic growth. Despite substantial natural resources, mineral reserves, and human capital, most African countries remain mired in poverty. The struggles that have arisen on the continent have fostered thinking about the curse of natural resources where countries with oil or diamonds are beset with political instability and warfare. Readings give first an introduction to the continent followed by a focus on the specific issues that confront African development today.

LISS450. AMERICAN MINING HISTORY This course asks the question, 'how do we know what happened in the past?' using Western American mining history as the case study. The course will include primary texts-those written at the time that the historical events occurred-and secondary sources, scholars' and popularizers' reconstructions. We will look at several approaches: scholarly studies, such as labor, technology, quantitative, and social history. Oral history will be approached through song and video material. We will study industrial archaeology by visiting the Western Mining Museum in Colorado Springs. The movie 'Matewan' illustrates how Americans make myths out of history. Students unfamiliar with mining can earn extra credit by a visit to the CSM experimental mine. In all these cases, we will discuss the standpoint of the authors of primary sources and scholarly accounts. We will discuss how we represent all different historical viewpoints and discuss how we know what is historically true–what really happened. Prerequisite: LIHU 100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS455. JAPANESE HISTORY AND CULTURE Japanese History and Culture is a senior seminar taught in Japanese that covers Japan's historical and cultural foundations from earliest times through the modern period. It is designed to allow students who have had three semesters of Japanese language instruction (or the equivalent) to apply their knowledge of Japanese in a social science-based course. Major themes will include: cultural roots; forms of social organization; the development of writing systems; the development of religious institutions; the evolution of legal institutions; literary roots; and clan structure. Students will engage in activities that enhance their reading proficiency, active vocabulary, translation skills, and expository writing abilities. Text is in Japanese. Prerequisites: LIHU 100; three semesters of college-level Japanese or permission of instructor. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS460. TECHNOLOGY AND WILDERNESS A seminar on the values of wild nature in comparison to technological values with a view to the impact on environmental management policies. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS461. TECHNOLOGY AND GENDER: ISSUES This course focuses on how women and men relate to technology. Several traditional disciplines will be used: philosophy, history, sociology, literature, and a brief look at theory. The class will begin discussing some basic concepts such as gender and sex and the essential and/or social construction of gender, for example. We will then focus on topical and historical issues. We will look at modern engineering using sociological studies that focus on women in engineering. We will look at some specific topics including military technologies, ecology, and reproductive technologies. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS480/503. ENVIRONMENTAL POLITICS AND POLICY Seminar on environmental policies and the political and governmental processes that produce them. Group discussion and independent research on specific environmental issues. Primary but not exclusive focus on the U.S. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS482/504. WATER POLITICS AND POLICY Seminar on water policies and the political and governmental processes that produce them, as an exemplar of natural resource politics and policy in general. Group discussion and independent research on specific politics and policy issues. Primary but not exclusive focus on the U.S. Prerequisite: LIHU100. Prerequisite or corequisite: SYGN200. 3 hours seminar; 3 semester hours.

LISS498. SPECIAL TOPICS IN SOCIAL SCIENCE (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Prerequisite or corequisite: SYGN200. Variable credit: 1 to 6 semester hours.

LISS499. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member. For students who have completed their LAIS requirements. Instructor consent required. Prerequisite: 'Independent Study' form must be completed and submitted to the registrar. Prerequisite or corequisite: SYGN200. Variable credit: 1 to 6 hours.

LISS530. GLOBALIZATION This seminar deals with the historical development of international political economy as a discipline. Originally studies as the harbinger of today's political science, economics, sociology, anthropology, and history, international political economy is the multidisciplinary study of the relationship between the states and the markets. A fuller understanding will be achieved through research and data analysis as well as interpretation of case studies. Prerequisites: LISS335 and any LISS 400-level course, or two equivalent courses.

LISS531. GLOBAL ENVIRONMENTAL POLITICS AND POLICY This seminar examines the increasing importance of environmental policy and politics in international political economy and global international relations. Using both historical analysis and interdisciplinary environmental studies perspectives, this course explores global environmental problems that have prompted an array of international and global regimes and other approaches to deal with them. It looks at the impact of environmental policy and politics on development, and the role that state and non-state actors play, especially in North-South relations and in the pursuit of sustainability. Prerequisites: any two IPE courses at the 300-level; or one IPE course at the 400 level; or one IPE course at the 300 level and one environmental policy/issues course at the 400 level. 3 hours seminar; 3 semester hours.

LISS532. INTERNATIONAL POLITICAL ECONOMY This course will combine the historical and theoretical foundations of international political economy and empirical case studies of the world's various regions. The student will be required to be familiar with key IPE schools of thought, history of development and underdevelopment of key regions, and a series of contemporary issues and themes that drives globalization. Prerequisites: any two IPE courses at the 300-level, or one IPE course at the 400 level. 3 hours seminar; 3 semester hours.

LISS534. GLOBAL GEOPOLITICS This seminar deals with geopolitical theories and how they help us explain and understand contemporary developments in the world. Empirical evidence from case studies help students develop a deeper understanding of the interconnections between the political, economic, social, cultural and geographic dimensions of governmental policies and corporate decisions. Prerequisites: any two IPE courses at the 300-level, or one IPE course at the 400 level. 3 hours seminar; 3 semester hours.

LISS537. URBANIZATION AND DEVELOPMENT This seminar course discusses the effects of colonization, uneven regional development, industrialization and globalization on urban systems. The urban models that will be studied include the pre-industrial, colonial, global, Latin American and Islamic cities. Approaches to urban development and how they affect settlement planning, as well as urban-rural interface, urban labor markets, housing and shelter, migration will be considered. Sustainable cities and world cities will be discussed. Prerequisites: any two IPE courses at the 300-level, or one IPE course at the 400 level. 3 hours seminar; 3 semester hours.

LISS538. REGION-MARKETS AND REGION-STATES This research seminar will deal with the international political economy dimensions of the origin, the structure, and the function of the world's major region-markets and region-states. Special emphasis will be given to the changing roles of nation-states, globalization of trade and finance, and the future world polity. Prerequisites: any two IPE courses at the 300-level, or one IPE course at the 400 level. 3 hours seminar; 3 semester hours.

### Foreign Languages (LIFL)

A variety of foreign languages is available through the LAIS Division. Students interested in a particular language should check with the LAIS Division Office to determine when these languages might be scheduled. In order to gain basic proficiency from their foreign language study, students are encouraged to enroll for at least two semesters in whatever language(s) they elect to take. If there is sufficient demand, the Division can provide third- and fourth-semester courses in a given foreign language. No student is permitted to take a foreign language that is either his/her native language or second language. Proficiency tests may be used to determine at what level a student should be enrolled, but a student cannot receive course credit by taking these tests.

**FOREIGN LANGUAGE POLICY:** Students will not receive credit for taking a foreign language in which they have had previous courses as per the following formula:

If a student has taken one year in high school or one semester in college, he/she will not receive graduation credit for the first semester in a CSM foreign language course. Likewise, if a student has taken two years in high school or two semesters in college, he/she will not receive graduation credit for the second semester, and if a student has taken three years in high school or three semesters in college, he/she will not receive graduation credit for the third semester.

LIFL421. SPANISH III Emphasis on furthering conversational skills and a continuing study of grammar, vocabulary, and Spanish/American culture. 3 semester hours.

LIFL422. ARABIC III Emphasis on furthering conversational skills and a continuing study of grammar, vocabulary, and culture of Arabic-speaking societies. 3 semester hours.

LIFL423. GERMAN III Emphasis on furthering conversational skills and a continuing study of grammar, vocabulary, and German culture. 3 semester hours.

LIFL424. RUSSIAN III Emphasis on furthering conversational skills and a continuing study of grammar, vocabulary, and Russian culture. 3 semester hours.

LIFL425. FRENCH III Emphasis on furthering conversational skills and a continuing study of grammar, vocabulary, and French-speaking societies. 3 semester hours.

LIFL426. PORTUGUESE III Emphasis on furthering conversational skills and a continuing study of grammar, vocabulary, and Brazilian culture. 3 semester hours.

LIFL427. CHINESE III Emphasis on furthering conversational skills and a continuing study of grammar, vocabulary, and Chinese culture. 3 semester hours.

LIFL428. INDONESIAN III Emphasis on furthering conversational skills and a continuing study of grammar, vocabulary, and Indonesian culture. 3 semester hours.

LIFL429. JAPANESE III Emphasis on furthering conversational skills and a continuing study of grammar, vocabulary, and Japanese culture. 3 semester hours.

LIFL498. SPECIAL TOPICS IN A FOREIGN LANGUAGE (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit: 1 to 6 semester hours.

LIFL499. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member. For students who have completed their LAIS requirements. Instructor consent required. Prerequisite: 'Independent Study' form must be completed and submitted to the registrar. Variable credit: 1 to 6 hours.

### **Communication (LICM)**

LICM501. PROFESSIONAL ORAL COMMUNICATION A five-week course which teaches the fundamentals of effectively preparing and presenting messages. 'Hands-on' course emphasizing short (5- and 10-minute) weekly presentations made in small groups to simulate professional and corporate communications. Students are encouraged to make formal presentations which relate to their academic or professional fields. Extensive instruction in the use of visuals. Presentations are rehearsed in class two days prior to the formal presentations, all of which are video-taped and carefully evaluated. 1 hour lecture/lab; 1 semester hour.

# **Materials Science**

JOHN J. MOORE, Trustee Professor, Director, and Department Head of Metallurgical and Materials Engineering DAVID L. OLSON, Lead Scientist, John Henry Moore Distinguished Professor of Physical Metallurgy

Department of Chemistry and Geochemistry PAUL JAGODZINSKI, Professor and Head of Department STEVE DANIEL, Professor \*DEAN W. DICKERHOOF, Professor \*KENT J. VOORHEES, Professor THOMAS WILDEMAN. Professor SCOTT W. COWLEY, Associate Professor \*MARK EBERHART, Associate Professor DANIEL M. KNAUSS, Associate Professor KIM R. WILLIAMS, Associate Professor C. JEFFREY HARLAN, Assistant Professor STEVEN R. DEC, Lecturer Department of Chemical Engineering and Petroleum Refining JAMES ELY, Professor and Head of Department ROBERT BALDWIN, Professor JOHN R. DORGAN, Associate Professor \*DAVID W.M. MARR, Associate Professor, Representative of Graduate Affairs J. DOUGLAS WAY, Associate Professor COLIN WOLDEN, Associate Professor \*DAVID T. WU, Associate Professor **Division of Engineering** JOAN GOSINK, Professor and Division Director ROBERT J. KEE, George R. Brown Distinguished Professor of Engineering MARK A. LINNE. Professor RAHMAT A. SHOURESHI, Gerard August Dobelman Distinguished Professor of Engineering JOHN R. BERGER, Associate Professor \*MARK LUSK, Associate Professor DAVID R. MUNOZ, Associate Professor \*GRAHAM MUSTOE, Associate Professor TERRY PARKER, Associate Professor JEAN-PIERRE DELPLANQUE, Assistant Professor JOHN P.H. STEELE, Assistant Professor **Department of Metallurgical and Materials Engineering** GLEN EDWARDS, Professor and Director of the Center for Welding and Joining Research

FREDERICK J. FRAIKOR, Research Professor

JOHN HAGER, Hazen Research Inc., Professor; Director, Kroll Institute for Extractive Metallurgy

\*STEPHEN LIU, Professor

\*GERARD P. MARTINS, Professor

DAVID K. MATLOCK, ARMCO Foundation Fogarty Professor; Director, Advanced Steel Processing and Products Research Center JOHN J. MOORE, Trustee Professor and Head of Department, and Director, Advanced Coatings and Surface Engineering Laboratory \*DAVID L. OLSON, John Henry Moore Distinguished Professor

\*DENNIS W. READEY, Herman F. Coors Distinguished Professor; Director, Colorado Center for Advanced Ceramics

JOHN G. SPEER, ISS Professor

PATRICK R. TAYLOR, George S. Ansell Distinguished Professor in Chemical Metallurgy

CHESTER J. VAN TYNE, FIERF Professor

BRAJENDRA MISHRA, Professor, Associate Director, Kroll Institute

ROBERT H. FROST, Associate Professor

HANS-JOACHIM KLEEBE, Associate Professor

IVAR E. REIMANIS, Associate Professor

STEVEN W. THOMPSON, Associate Professor

KELLY T. MILLER, Assistant Professor

#### **Department of Physics**

JAMES A. McNEIL, Professor and Head of Department F. EDWARD CECIL, Professor \*REUBEN T. COLLINS, Professor THOMAS E. FURTAK, Professor VICTOR KAYDANOV, Research Professor FRANKLIN D. SCHOWENGERDT, Professor JAMES E. BERNAND, Research Associate Professor TIMOTHY R. OHNO, Associate Professor \*DAVID M. WOOD, Associate Professor JON EGGERT, Assistant Professor UWE GREIFE, Assistant Professor ELI SUTTER, Assistant Professor

PETER W. SUTTER, Assistant Professor

DON L. WILLIAMSON, Emeritus Professor

\* Members of the Materials Science Graduate Affairs Committee; or, Faculty Research-Opportunities Committee

# Degrees Offered:

Master of Science (Materials Science; thesis option or non-thesis option)

Doctor of Philosophy (Materials Science)

# Program Description:

The interdisciplinary materials science program is administered jointly by the Departments of Chemical Engineering, Chemistry and Geochemistry, Metallurgical and Materials Engineering, Physics, and the Division of Engineering. Each department is represented on both the Governing Board and the Graduate Affairs Committees which are responsible for the operation of the program. The variety of disciplines provides for programs of study ranging from the traditional materials science program to a custom-designed program in one of the participating departments.

#### Program Requirements:

# Master of Science (thesis option):

This Master of Science degree requires a minimum of 24 semester hours of acceptable coursework as outlined under Required Curriculum which follows. Also 12 semester hours of research credit must be completed. In addition, a student must submit a thesis and pass a Defense of Thesis examination before their Thesis Committee.

#### Master of Science (non-thesis option):

This Master of Science degree requires a minimum of 39 credits of acceptable coursework as outlined under Required Curriculum which follows. Consult the section on Graduate Degrees and Requirements in this Bulletin for general information on this Master of Science - Non-Thesis degree.

# **Doctor of Philosophy:**

The Doctor of Philosophy requires a minimum of 42 semester hours of acceptable coursework, of which a minimum of 30 hours must be taken at CSM. The course work requirements include the core courses listed under Required Curriculum, plus 15 hours of course work in a selected primary area. In addition, 30 semester hours of research credit must be completed. A candidate for the degree must satisfy a two-step qualifying process consisting of a case study and a written and oral examination in the specialty area, and must submit a thesis and pass a Defense of Thesis examination before their Thesis Committee.

## Prerequisites

The primary admission requirement for this interdisciplinary program is a Bachelor of Science degree in biological sciences, physical science or engineering, equivalent to those offered at CSM in the following departments: Chemistry and Geochemistry, Engineering, Chemical Engineering and Petroleum Refining, Metallurgical and Materials Engineering or Physics.

### **Deficiency Courses:**

A student admitted to this graduate program who has not taken one or all of the following courses (or equivalent) will be required to satisfy any such deficiency early in their program of study: Mechanics, Differential Equations, Modern Physics, Physical Chemistry/Chemical Thermodynamics.

#### Required Curriculum:

1) The Master of Science degree (thesis option) requires a minimum of 24 semester-hours of acceptable course work, which must include the required core-courses listed below:

# Master of Science (thesis option) Core Courses:

MLGN500 - Processing, Microstructure and Properties of Materials MLGN501/CHGN580 - Structure of Materials MLGN501/PHGN440 - Introductory Solid State Physics MLGN503/CHGN515 - Chemical Bonding in Materials MLGN513 - Problem Solving in Materials Science MLGN514 - Experimental Methods and Instrumentation (2 hours) MLGN601 - Graduate Materials Science Seminar (1 hour) MLGN Elective (9 hours)

Students who have taken the equivalent of any of the core-courses listed may petition the Materials Science Graduate Affairs Committee for transfer credit.

2) The Master of Science degree (non-thesis option) requires 36 semester-hours of acceptable course work which must include the required core-courses listed below. In addition, 3 semester-hours of a case-study devoted to independent research must be conducted on a selected materials-processing or materials-characterization problem. Typically, this research would incorporate a concise analysis of various approaches to the problem, as reported in the technical literature, and culminate in a report submitted to the Faculty Advisor for approval.

# Master of Science (non-thesis option) Core Courses:

MLGN500 - Processing, Microstructure and Properties of Materials

MLGN501/ CHGN580 - Structure of Materials

MLGN502/ PHGN440 - Introductory Solid State Physics

MLGN503/ CHGN515 - Chemical Bonding in Materials

MLGN504/ MTGN555 - Solid State Thermodynamics

MLGN511 - Kinetic Concerns in Materials Processing I

MLGN513 - Problem Solving in Materials Science

MLGN514 - Experimental Methods and Instrumentation (2 hours)

MLGN517 - Solid Mechanics of Materials

MLGN599 - Case Study - Materials Science

MLGN601 - Graduate Materials Science Seminar (1 hour)

In addition to the above, three other graduate-level courses (9 hours); by mutual agreement between the student and Faculty Advisor. The total course-work requirement, including the case-study, is therefore 39 semester-hours beyond the baccalaureate degree.

Students who have taken the equivalent of any of the core-courses listed may petition the Materials Science Graduate Affairs Committee for transfer credit.

The core-courses requirement for the Doctor of Philosophy degree is listed below. In addition, a minimum of 15 semester-hours of course work in a selected primary area must be part of the minimum requirement of 42 semester-hours beyond the baccalaureate degree.

# **Doctor of Philosophy Core Courses:**

MLGN500 - Processing Microstructures and Properties of Materials

MLGN501/ CHGN580 - Structure of Materials

MLGN502/ PHGN440 - Introductory Solid State Physics

MLGN503/ CHGN515 - Chemical Bonding in Materials

MLGN504/ MTGN555 - Solid State Thermodynamics

MLGN511 - Kinetic Concerns in Materials Processing I

MLGN513 - Problem Solving in Materials Science

MLGN514 - Experimental Methods and Instrumentation (2 hours)

MLGN517 - Solid Mechanics of Materials

MLGN601 - Graduate Materials Science Seminar (1 hour)

#### **Primary Areas:**

Ceramics; Composites; Electronic Materials; Joining Science; Materials Chemistry; Mechanics of Materials; Metal and Alloy Systems; Polymeric Materials; Surface/Interfaces, Thin Films and Coatings.

The ML Ph.D. program is currently being revised. For information, contact the Materials Science Program Office (x3660) for details.

#### Thesis Committee Structure:

The M.S. student will invite at least 3 members (one of whom is the advisor) to serve on a graduate committee. At least one of these members must be from a department other than that of the advisor.

The Ph.D. student will invite 4 members (one of whom is the advisor) to serve on a graduate committee. At least one of these members must be in a department other than that of the advisor. The member at large will be assigned by the Graduate Dean. External members may be invited to participate.

For administrative purposes, the student will be resident in the advisor's department.

The student's graduate committee will have final approval of the course of study.

#### Fields of Research:

Advanced polymeric materials

Fullerene synthesis, combustion chemistry

Transport phenomena, mathematical modeling, kinetic properties of colloidal suspensions, diffusion with chemical reaction

Novel separation processes: membranes, catalytical membrane reactors, biopolymer adsorbents for heavy metal remediation of ground surface water

Heterogeneous catalysis, reformulated and alcohol fuels, surface analysis, electrophotography

Computer modeling and simulation

Characterization, thermal stability, and thermal degradation mechanisms of polymers

Crystal and molecular structure determination by X-ray crystallography

Power electronics, plasma physics, pulsed power, plasma material processing

Control systems engineering, artificial neural systems for senior data processing, polymer cure monitoring sensors,

process monitoring and control for composites manufacturing

Heat and mass transfer, materials processing

Numerical modeling of particulate media, thermomechanical analysis

Intelligent automated systems, intelligent process control, robotics, artificial neural systems

Ceramic processing, modeling of ceramic processing

Alloy theory, concurrent design, theory-assisted materials engineering, electronic structure theory

Physical metallurgy, Ferrous and nonferrous alloy systems

Archaeometallurgy, industry and university partnerships

Solidification and near net shape processing

Chemical processing of materials

Processing and characterization of electroceramics (ferroelectrics, piezoelectrics, pyroelectrics, and dielectrics), glassceramics for electronic and structural applications, thermodynamic modeling of ferroelectrics

Applications of artificial intelligence techniques to materials processing and manufacturing, neural networks for process modeling and sensor data processing, manufacturing process control

Transformations, microstructure, deformation, fracture

Weld metallurgy, materials joining processes

Welding and joining science

Extractive and process metallurgy, electrochemical corrosion, synthesis of ceramic precursor powders and metal powders

Mechanical metallurgy, failure analysis, deformation of materials, advanced steel coatings

Pyrometallurgy, corrosion, materials synthesis, coatings

Chemical and physical processing of materials, engineered materials, materials synthesis

Reactive metals Properties and processing of ceramics and ceramic-metal composites, dielectrics and ferrimagnetics Phase transformations and mechanisms of microstructural change, electron microscopy, structure-property relationships Forging, deformation modeling, high-temperature material behavior Materials synthesis, interfaces, flocculation, fine particles Optical properties of materials and interfaces Surface physics, epitaxial growth, interfacial science, adsorption Experimental condensed-matter physics, thermal and electrical properties of materials, superconductivity, photovoltaics Mössbauer spectroscopy, ion implantation, small-angle X-ray scattering, semiconductor defects Computational condensed-matter physics, semiconductor alloys, first-principles phonon calculations Physical vapor deposition, thin films, coatings Chemical vapor deposition Bio materials

# Description of Courses (Interdisciplinary Program)

The interdisciplinary materials science program is administered jointly by the Departments of Chemical Engineering, Chemistry and Geochemistry, Metallurgical and Materials Engineering, Physics and the Division of Engineering. Each department is represented on both the Governing Board and the Graduate Affairs Committees which are responsible for the operation of the program.

The following courses are considered to be part of the Materials Science Program. Some have been cross-listed between Materials Science and the participating departments/division. Other courses not included may be suitable for inclusion in a graduate program. See the participating department listings. It should be noted that the course requirement for graduate-level registration for a MLGN 500-level course which is cross-listed with a 400-level course-number, will include an additional course-component above that required for 400-level credit.

MLGN500. PROCESSING, MICROSTRUCTURE, AND PROPERTIES OF MATERIALS I A summary of the important relationships between the processing, microstructure, and properties of materials. Topics include electronic structure and bonding, crystal structures, lattice defects and mass transport, glasses, phase transformation, important materials processes, and properties including: mechanical and rheological, electrical conductivity, magnetic, dielectric, optical, thermal, and chemical. In a given year, one of these topics will be given special emphasis. Another area of emphasis is phase equilibria. Prerequisite: Consent of Instructor 3 hours lecture; 3 semester hours.

MLGN501/CHGN580. STRUCTURE OF MATERIALS (II) Principles of crystallography and diffraction from materials. Properties of radiation useful for studying the structure of materials. Structure determination methods. Prerequisite: Any Physics III course. 3 hours lecture; 3 semester hours.

MLGN502/PHGN440. INTRODUCTORY SOLID STATE PHYSICS (II) Introduction to the physics of condensed matter with an emphasis on periodic crystals, including geometrical, dynamical, thermal, and electronic properties. Discussion of experimental methods including photon and neutron scattering, charge and heat transport, action of simple solid state devices. Prerequisite: Physics III and MACS315. 3 hours lecture; 3 semester hours. MLGN502 requires a term project. PHGN440 ABET classification: 3 hrs. engineering science.

MLGN503/CHGN515. CHEMICAL BONDING IN MATERIALS (I) Introduction to chemical bonding theories and calculations and their applications to solids of interest to materials science. The relationship between a material's properties and the bonding of its atoms will be examined for a variety of materials. Includes an introduction to organic polymers. Computer programs will be used for calculating bonding parameters. Prerequisite: Consent of department. 3 hours lecture; 3 semester hours.

MLGN504/MTGN555. SOLID STATE THERMODYNAMICS (I) A second course in thermodynamics which applies chemical thermodynamic principles to phase equilibria, point defects, surfaces and electrochemistry. The application of thermodynamic principles through Maxwell's principles will be extended to a broad range of material properties. Prerequisite: Solid State Thermodynamics I or equivalent. 3 hours lecture; 3 semester hours.

MLGN505\*/MTGN445. MECHANICAL PROPERTIES OF MATERIALS (I) Mechanical properties and relationships. Plastic deformation of crystalline materials. Relationships of microstructures to mechanical strength. Fracture, creep, and fatigue. Prerequisite: MTGN348. 3 hours lecture; 3 hours lab; 3\*/4 semester hours. \* This is a 3 credit-hour graduate-course in the Materials Science Program and a 4 credit-hour undergraduate-course in the MTGN program.

MLGN506/MTGN556. TRANSPORT IN SOLIDS (II) Thermal and electrical conductivity. Solid state diffusion in metals and metal systems. Kinetics of metallurgical reactions in the solid state. Prerequisite: Consent of department. 3 semester hours. (Spring of odd years only.)

MLGN507/PHGN540. CONDENSED MATTER I (I) Principles and applications of the quantum theory of electrons and phonons in solids: structure, symmetry, and bonding; electron states and excitations in metals and alloys; transport properties; surfaces. Prerequisite: PHGN420 and PHGN440 or their equivalent. 3 hours lecture; 3 semester hours.

MLGN508/PHGN541. CONDENSED MATTER II (II) Principles and applications of the quantum theory of electrons

and phonons in solids: phonon states in solids; transport properties; electron states and excitations in semiconductors and insulators; defects and impurities; amorphous materials; magnetism; superconductivity. Prerequisite: MLGN507/PHGN540. 3 hours lecture; 3 semester hours.

MLGN509/CHGN523. SOLID STATE CHEMISTRY (I) Dependence on properties of solids on chemical bonding and structure; principles of crystal growth, crystal imperfections, reactions and diffusion in solids, and the theory of conductors and semiconductors. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate years.

MLGN510/CHGN410 SURFACE CHEMISTRY (I) Introduction to colloid systems, capillarity, surface tension and contact angle, adsorption from solution, micelles and microemulsions, the solid/gas interface, surface analytical techniques, van der Waal forces, electrical properties and colloid stability, some specific colloid systems (clays, foams and emulsions). Students enrolled for graduate credit in MLGN510 must complete a special project. Prerequisite: DCGN209 or consent of instructor. 3 hours lecture; 3 semester hours.

MLGN511. KINETIC CONCERNS IN MATERIALS PROCESSING I (I) Introduction to the kinetics of materials processing, with emphasis on the momentum, heat and mass transport. Discussion of the basic mechanism of transport in gases, liquids and solids. Prerequisite: MTGN352, MTGN 361, MACS315 or equivalent. 3 hours lecture; 3 semester hours.

MLGN512/MTGN412. CERAMIC ENGINEERING (II) Application of engineering principles to nonmetallic and ceramic materials. Processing of raw materials and production of ceramic bodies, glazes, glasses, enamels, and cements. Firing processes and reactions in glass bonded as well as mechanically bonded systems. Prerequisite: MTGN348. 3 hours lecture; 3 semester hours.

MLGN513. PROBLEM SOLVING IN MATERIALS SCIENCE (I) Review the theoretical aspects of various physical phenomena of major importance to materials scientists. Develop mathematical models from these theories, and construct quantitative solution procedures based on analytical and numerical techniques. Prerequisite: MACS315. 3 hours lecture; 3 semester hours.

MLGN514. EXPERIMENTAL METHODS AND INSTRUMENTATION (S) This course consists of two parts, (i) a series of classes that describe theory of measurements and experimental principles and (ii) a series of laboratory visits to either perform experimental measurements or to see actual procedures demonstrated. Prerequisite: Consent of instructor. 1 hour lecture; 2 hours lab; 2 semester hours.

MLGN515/MTGN415. ELECTRICAL PROPERTIES AND APPLICATIONS OF MATERIALS (II) Survey of the electrical properties of materials, and the applications of materials as electrical circuit components. The effects of chemistry, processing, and microstructure on the electrical properties will be discussed, along with functions, performance requirements, and testing methods of materials for each type of circuit component. The general topics covered are conductors, resistors, insulators, capacitors, energy convertors, magnetic materials, and integrated circuits. Prerequisites: PHGN200; MTGN311 or MLGN501; MTGN412/MLGN512, or consent of instructor. 3 hours lecture; 3 semester hours.

MLGN516/MTGN416 PROPERTIES OF CERAMICS (II) A survey of the properties of ceramic materials and how these properties are determined by the chemical structure (composition), crystal structure, and the microstructure of crystalline ceramics and glasses. Thermal, optical, and mechanical properties of single-phase and multi-phase ceramics, including composites, are covered. Prerequisites: PHGN200, MTGN311 or MLGN501, MTGN412 or consent of instructor. 3 semester hours: 3 hours lecture

MLGN517/EGGN422. SOLID MECHANICS OF MATERIALS (I) Review mechanics of materials. Introduction to elastic and non-linear continua. Cartesian tensors and stresses and strains. Analytical solution of elasticity problems. Develop basic concepts of fracture mechanics. Prerequisite: EGGN320 or equivalent, MACS315 or equivalent. 3 hours lecture; 3 semester hours. Semester to be offered: Spring

MLGN518/MTGN518. PHASE EQUILIBRIA IN CERAMICS SYSTEMS (II) Application of one of four component oxide diagrams to ceramic engineering problems. Emphasis on refractories and glasses and their interaction with metallic systems. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MLGN519/MTGN419. NON-CRYSTALLINE MATERIALS (I) An introduction to the principles of glass scienceand-engineering and non-crystalline materials in general. Glass formation, structure, crystallization and properties will be covered, along with a survey of commercial glass compositions, manufacturing processes and applications. Prerequisites: MTGN311 or MLGN501; MLGN512/MTGN412, or consent of instructor. 3 hours lecture; 3 semester hours.

MLGN520 SPECIAL PROBLEMS May comprise individual and group study. Not part of thesis. Prerequisite: Consent of instructor. 1 to 3 semester hours.

MLGN521. KINETIC CONCERNS IN MATERIAL PROCESSING II (I) Advanced course to address the kinetics of

materials processing, with emphasis in those processes that promote phase and structural transformations. Processes that involve precipitation, sintering, oxidation, sol-gel, coating, etc., will be discussed in detail. Prerequisite: MLGN511. 3 hours lecture; 3 semester hours.

MLGN522/PHGN441. SOLID STATE PHYSICS APPLICATIONS AND PHENOMENA Continuation of MLGN502/PHGN440 with an emphasis on applications of the principles of solid state physics to practical properties of materials including: : optical properties, superconductivity, dielectric properties, magnetism, noncrystalline structure, and interfaces. Graduate students in physics cannot receive credit for MLGN522, only PHGN441. Prerequisite: MLGN502/PHGN440 3 hours lecture, 3 semester hours/ \*Those receiving graduate credit will be required to submit a term paper, in addition to satisfying all of the other requirements of the course.

MLGN523/MTGN523. APPLIED SURFACE AND SOLUTION CHEMISTRY (I) Solution and surface chemistry of importance in mineral and metallurgical operations. Prerequisite: Consent of department. 3 semester hours. (Fall of even years only.)

MLGN525/PHGN525. SURFACE PHYSICS (I) Solid state physics focusing on the structural and electronic nature of the outer few atomic layers and the gas-surface interations. Detailed explanations of many surface analysis techniques are provided, highlighting the application of these techniques to current problems, particularly electronic materials. Prerequisite: MLGN502 or equivalent, or consent of instructor. 3 hours lecture; 3 semester hours (Fall of even years only)

MLGN526/MTGN526. GEL SCIENCE AND TECHNOLOGY An introduction to the science and technology of particulate and polymeric gels, emphasizing inorganic systems. Interparticle forces. Aggregation, network formation, percolation, and the gel transition. Gel structure, rheology, and mechanical properties. Application to solid-liquid separation operations (filtration, centrifugation, sedimentation) and to ceramics processing. Prerequisite: Graduate level status or consent of instructor. 3 hours lecture; 3 semester hours. Spring of odd years only.

MLGN530/CHGN430/CRGN415. INTRODUCTION TO POLYMER SCIENCE (I) An introduction to the chemistry and physics of macromolecules. Topics include the properties and statistics of polymer solutions, measurements of molecular weights, molecular weight distributions, properties of bulk polymers, mechanisms of polymer formation, and properties of thermosets and thermoplasts including elastomers. Prerequisite: CHGN327 or consent of instructor. 3 hours lecture; 3 semester hours.

MLGN531/CRGN416. INTRODUCTION TO POLYMER ENGINEERING (II) This class provides a background in polymer fluid mechanics, polymer rheological response and polymer shape forming. The class begins with a discussion of the definition and measurement of material properties. Interrelationships among the material response functions are elucidated and relevant correlations between experimental data and material response in real flow situations are given. Processing operations for polymeric materials will then be addressed. These include the flow of polymers through circular, slit, and complex dies. Fiber spinning, film blowing, extrusion and coextrusion will be covered as will injection molding. Graduate students are required to write a term paper and take separate examinations which are at a more advanced level. Prerequisite: CRGN307, EGGN351 or equivalent. 3 hours lecture; 3 semester hours.

MLGN536/CHGN536. ADVANCED POLYMER SYNTHESIS (II) An advanced course in the synthesis of macromolecules. Various methods of polymerization will be discussed with an emphasis on the specifics concerning the syntheses of different classes of organic and inorganic polymers. Prerequisite: CHGN430, ChEN415, MLGN530 or consent of instructor. 3 hours lecture, 3 semester hours

MLGN544/MTGN414. PROCESSING OF CERAMICS (II) A description of the principles of ceramic processing and the relationship between processing and microstructure. Raw materials and raw material preparation, forming and fabrication, thermal processing, and finishing of ceramic materials will be covered. Principles will be illustrated by case studies on specific ceramic materials. A project to design a ceramic fabrication process is required. Field trips to local ceramic manufacturing operations are included. Prerequisites: MTGN311, MTGN331, and MTGN412/MLGN512 or consent of instructor. 3 hours lecture; 3 semester hours.

MLGN550/MTGN450. STATISTICAL PROCESS CONTROL AND DESIGN OF EXPERIMENTS (I) An introduction to statistical process control, process capability analysis and experimental design techniques. Statistical process control theory and techniques will be developed and applied to control charts for variables and attributes involved in process control and evaluation. Process capability concepts will be developed and applied for the evaluation of manufacturing processes. The theory and application of designed experiments will be developed and applied for full factorial experiments, fractional factorial experiments, screening experiments, multilevel experiments and mixture experiments. Analysis of designed experiments will be carried out by graphical and statistical techniques. Computer software will be utilized for statistical process control and for the design and analysis of experiments. Prerequisite: Consent of Instructor. 3 hours lecture, 3 semester hours.

MLGN552/MTGN552. INORGANIC MATRIX COMPOSITES I An introduction to the processing, structure, properties and applications of metal matrix and ceramic matrix composites. Importance of structure and properties of

both the matrix and the reinforcement and the types of reinforcement utilized, e.g., particulate, short fiber, continuous fiber, and laminates. Special emphasis will be placed on the development of properties such as electrical and thermal will also be examined. Prerequisite/Corequisite: MTGN311, MTGN348, MTGN351, MTGN352, MTGN445/MLGN505 or consent of instructor. 3 hours lecture; 3 semester hours (Fall of odd years only)

MLGN561 TRANSPORT PHENOMENA IN MATERIALS PROCESSING (II) Fluid flow, heat and mass transfer applied to processing of materials. Rheology of polymers, liquid metal/particles slurries, and particulate solids. Transient flow behavior of these materials in various geometries, including infiltration of liquids in porous media. Mixing and blending. Flow behavior of jets, drainage of films and particle fluidization. Surface-tension-, electromagnetic-, and bubble-driven flows. Heat -transfer behavior in porous bodies applied to sintering and solidification of composites. Simultaneous heat-and-mass-transfer applied to spray drying and drying of porous bodies. Prerequisites: ChEN307 or ChEN308 or MTGN461 or consent of instructor. 3 hours lecture; 3 semester hours

MLGN563. POLYMER ENGINEERING: STRUCTURE, PROPERTIES AND PROCESSING/MTGN463. POLYMER ENGINEERING An introduction to the structure and properties of polymeric materials, their deformation and failure mechanisms, and the design and fabrication of polymeric end items. The molecular and crystallographic structures of polymers will be developed and related to the elastic, viscoelastic, yield and fracture properties of polymeric solids and reinforced polymer composites. Emphasis will be placed on forming techniques for end item fabrication including: extrusion, injection molding, reaction injection molding, thermoforming, and blow molding. The design of end items will be considered in relation to: materials selection, manufacturing engineering, properties, and applications. Prerequisite: MTGN311 or equivalent or consent of instructor. 3 hours lecture; 3 semester hours

MLGN565/MTGN565 MECHANICAL PROPERTIES OF CERAMICS AND COMPOSITES (I) Mechanical properties of ceramics and ceramic-based composites; brittle fracture of solids; toughening mechanisms in composites; fatigue, high temperature mechanical behavior, including fracture, creep deformation. Prerequisites: MTGN445 or MLGN505, or consent of instructor. 3 hours lecture; 3 semester hours. (Fall of even years only.)

MLGN/MTGN 570 BIOCOMPATIBILITY OF MATERIALS Introduction to the diversity of biomaterials and applications through examination of the physiologic environment in conjunction with compositional and structural requirements of tissues and organs. Appropriate domains and applications of metals, ceramics and polymers, including implants, sensors, drug delivery, laboratory automation, and tissue engineering are presented. Prerequisites: ESGN 301 or equivalent, or instructor consent. 3 hours lecture; 3 semester hours.

MLGN583/CHGN583. PRINCIPLES AND APPLICATIONS OF SURFACE ANALYSIS TECHNIQUES (II) Instrumental techniques for the characterization of surfaces of solid materials. Applications of such techniques to polymers, corrosion, metallurgy, adhesion science, micro-electronics. Methods of analysis discussed: X-ray photoelectron spectroscopy (XPS), auger electron spectroscopy (AES), ion scattering spectroscopy (ISS), secondary ion mass spectroscopy (SIMS), Rutherford backscattering (RBS), scanning and transmission electron microscopy (SEM, TEM), energy and wavelength dispersive X-ray analysis; principles of these methods, quantification, instrumentation, sample preparation. Prerequisite: B.S. in metallurgy, chemistry, chemical engineering, physics, or consent of instructor. 3 hours lecture; 3 semester hours.

MLGN590. PROCESSING/STRUCTURE/PROPERTY/PERFORMANCE RELATIONSHIPS IN MATERIALS DESIGN A phenomenological overview of the broad field of materials science. The unifying theme is provided through the relationships between processing-structure-properties and performance that constitute the scientific foundations which facilitate materials design. These relationships and their applications will be surveyed across a broad spectrum of materials including polymers, metals, ceramics, electronic-materials, composites, and biomaterials. Prerequisites: Graduate Standing in the Materials Science Program or Consent of Instructor. 3 hours lecture; 3 semester hours (a two-semester course sequence).

MLGN591. PERSPECTIVES IN MATERIALS DESIGN An in depth review of the role that processing- structureproperty relationships have played in the development of new and improved materials. Students enrolled in the course are required to independently investigate the development of a specified material and the contribution that processingstructure- property relationships have provided to its development. The investigation to be presented in a document of significant technical-merit within a framework that includes historical perspective as well as identification of future research-directions for the improvement of the specified material. Prerequisites: Graduate Standing in the Materials Science Program or Consent of Instructor. 3 hours lecture; 3 semester hours.

MLGN598. SPECIAL TOPICS Special topic course on a specific subject defined by instructor. Prerequisite: Consent of Instructor 1 to 3 hours.

MLGN599. CASE STUDY MATERIALS SCIENCE (I, II) An independent study of a selected materials processing or material characterization problem involving a thorough analysis of the various solutions reported in the technical literature and/or a thorough industrial survey. The case study will prepare a case study report of technical merit. Prerequisite/Co-requisite: MLGN501, MLGN502, MLGN503, MLGN504, and MLGN511, and MLGN517 or consent of advisor. 3 semester hours.

MLGN601. GRADUATE MATERIAL SCIENCE SEMINAR (I), (II) To develop an understanding of and practice in oral communication. Students will register each semester in residence. IPS or IPU grades will be given each semester until the final semester when a final letter grade will be assigned. Each student will be required to give one seminar during their program. Attendance at designated Materials Science seminars is also a requirement of the course. Prerequisite: Graduate standing. 1 hour seminar: 1 semester hour.

MLGN634. POLYMER SOLUTIONS AND THERMODYNAMICS/CRGN609. ADVANCED TOPICS IN THERMODYNAMICS The phase behavior of polymer solutions is dramatically different from their low molecular weight analogs due to the small entropy of mixing associated with large polymer molecules. This course begins with a discussion of classical thermodynamics and the stability of phases. Statistical mechanics and the partition function for an ideal mixture are reviewed. Next, the solution properties of an isolated polymer coil in solution are elucidated. This discussion leads naturally to the description of dilute solution behavior and its applications. The thermodynamics of concentrated solutions are then undertaken using Flory-Huggins theory. Brownian motion of polymer molecules and the thermodynamics of polymers at interfaces are also covered. Prerequisite: MLGN530, MLGN504, or CRGN520 or equivalent. 3 hours lecture; 3 semester hours

MLGN635. POLYMER REACTION ENGINEERING/CRGN618. ADVANCED TOPICS IN REACTION KINETICS This class is aimed at engineers with a firm technical background who wish to apply that background to polymerization production techniques. The class begins with a review of the fundamental concepts of reaction engineering, introduces the needed terminology and describes different reactor types. The applied kinetic models relevant to polymerization reaction engineering are then developed. Next, mixing effects are introduced; goodness of mixing and effects on reactor performance are discussed. Thermal effects are then introduced and the subjects of thermal runaway, thermal instabilities and multiple steady states are included. Reactive processing, change in viscosity with the extent of reaction and continuous drag flow reactors are described. Polymer devolatilization constitutes the final subject of the class. Prerequisites: CRGN518 or equivalent. 3 hours lecture; 3 semester hours

MLGN673. STRUCTURE AND PROPERTIES OF POLYMERS This course will provide an understanding of structure - properties relations in polymeric materials. The topics include: phase separation, amorphous structures, crystalline structures, liquid crystals, glass-rubber transition behavior, rubber elasticity, viscoelasticity, mechanical properties of polymers, polymer forming processes, and electrical properties of polymers. Prerequisite: MLGN563 or consent of instructor. 3 hours lecture; 3 semester hours

MLGN696/MTGN696. VAPOR DEPOSITION PROCESSES (II) Introduction to the fundamental physics and chemistry underlying the control of vapor deposition processes for the deposition of thin films for a variety of applications, e.g., corrosion/oxidation resistance, decorative coatings, electronic and magnetic thin films. Emphasis on the vapor deposition processes and the control of process variables rather than the structure and properties of the thin films. Prerequisites: MTGN351, MTGN461, or equivalent courses, or consent of instructor. 3 hours lecture; 3 semester hours.

MLGN698. ADVANCED TOPICS Advanced study of materials science theory and application of materials science principles in a specialty area of the instructor's choosing. Not part of thesis. Prerequisite: Consent of instructor. 1 to 3 semester hours.

MLGN699. INDEPENDENT STUDY Independent study of a materials science topic with guidance of an instructor. Not part of thesis. Prerequisite: Consent of Instructor. 1 to 3 hours.

MLGN701. GRADUATE THESIS - MASTER OF SCIENCE (I, II) Laboratory for Master's thesis under supervision of graduate student's advisory committee.

MLGN703. GRADUATE THESIS - DOCTOR OF PHILOSOPHY (I, II) Preparation of the doctoral thesis under supervision of the graduate student's advisory committee.

MLGN705. GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

MLGN706. GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

# Mathematical and Computer Sciences

GRAEME FAIRWEATHER, Professor and Department Head BERNARD BIALECKI, Professor JOHN DeSANTO, Professor WILLY A.M. HEREMAN, Professor

RAGHU KRISHNAPURAM, Professor PAUL A. MARTIN, Professor ALYN P. ROCKWOOD, Professor JUNPING WANG, Professor BARBARA B. BATH. Associate Professor TRACY KAY CAMP Associate Professor MAARTEN V. de HOOP, Associate Professor DINESH MEHTA Associate Professor WILLIAM C. NAVIDI. Associate Professor ROBERT G. UNDERWOOD, Associate Professor ERIK S. VAN VLECK, Associate Professor MICHAEL COLAGROSSO, Assistant Professor JAE YOUNG LEE, Assistant Professor BARBARA M. MOSKAL, Assistant Professor LUIS TENORIO, Assistant Professor HUGH KING, Senior Lecturer G. GUSTAVE GREIVEL, Lecturer JIMMY DEE LEES, Lecturer NATHAN PALMER, Lecturer CYNDI RADER, Lecturer TERI WOODINGTON Lecturer WILLIAM R. ASTLE, Professor Emeritus NORMAN BLEISTEIN, Professor Emeritus ARDEL J. BOES. Professor Emeritus STEVEN PRUESS, Professor Emeritus RUTH MAURER, Associate Professor Emeritus

#### Degrees Offered:

Master of Science (Mathematical and Computer Sciences)

Doctor of Philosophy (Mathematical and Computer Sciences)

### Program Description:

There are three areas of concentration within the department: applied mathematics, applied statistics, and computer sciences. Since the requirements for these areas vary somewhat, they are often considered separately in this catalog. However, labeling these as distinct areas is not meant to discourage any student from pursuing research involving more than one. Work in any of these areas can lead to the degree of Master of Science or Doctor of Philosophy. Applicants to the graduate program need these four items: 1. A statement of purpose (short essay) from the applicant briefly describing background, interests, goals at CSM, career intentions, etc. 2. The general Graduate Record Examination. 3. B or better average in courses in the major field. 4. B or better overall undergraduate grade point average.

### Program Requirements:

The Master of Science degree (thesis option) requires 36 credit hours of acceptable course work and research, completion of a satisfactory thesis, and successful oral defense of this thesis. The course work includes the required core curriculum. At least 12 of the credit hours must be designated for supervised research.

The Master of Science degree (non-thesis option) requires 36 credit hours of course work.

The Doctor of Philosophy requires 72 credit hours beyond the bachelor's degree. At least 24 of these hours are thesis hours. Doctoral students must pass a qualifying examination, complete a satisfactory thesis, and successfully defend their thesis.

The specific core curriculum requirements can be found in the Mathematical and Computer Sciences Department Graduate Student Handbook: Call 303 273-3860; FAX 303 273-3875, or look on the Web at http://www.mines.edu/Academic/macs/grad.html. This handbook also provides an overview of the programs, requirements and policies of the department.

#### Prerequisites:

Applied Mathematics: Linear algebra Vector calculus Ordinary differential equations Advanced calculus (Introduction to real analysis) Applied Statistics:

Linear algebra

Introduction to probability & statistics Advanced calculus (Introduction to real analysis)

### **Computer Sciences:**

Science - two semesters

Mathematics - two semesters of calculus, at least two courses from ordinary differential equations, linear algebra, statistics, discrete math

Data structures

# A programming language

Upper level courses in at least three of software engineering, numerical analysis, machine architecture/assembly language, comparative languages, analysis of algorithms, operating systems

# Fields of Research:

Applied Mathematics: Dynamical Systems Classical Scattering Theory Classical Wave Propagation Mathematical Methods for Wave Phenomena Micro-local Analysis Nonlinear Partial Differential Equations Numerical Analysis Optimal Control Optimization Software Seismic Inverse Methods Symbolic Computing

# Applied Statistics:

Inverse Problems in Statistics Resampling Methods Statistical Genetics Stochastic Modeling

# **Computer Sciences:**

Computer Graphics Computer Networks Databases Fuzzy Sets Machine Learning Mathematical Software Mobile Computing Neural Networks Pattern Recognition Supercomputing and Parallel Processing

# Description of Courses

# Senior Year

MACS400. PRINCIPLES OF PROGRAMMING LANGUAGES (I,II) Study of the principles relating to design, evaluation and implementation of programming languages of historical and technical interest, considered as individual entities and with respect to their relationships to other languages. Topics discussed for each language include: history, design, structural organization, data structures, name structures, control structures, syntactic structures, and implementation of issues. The primary languages discussed are FORTRAN, PASCAL, LISP, ADA, C/C++, JAVA, PROLOG, PERL. Prerequisite: MACS262. 3 hours lecture; 3 semester hours.

MACS401 REAL ANALYSIS (I) This course is a first course in real analysis that lays out the context and motivation of analysis in terms of the transition from power series to those less predictable series. The course is taught from a historical perspective. It covers an introduction to the real numbers, sequences and series and their convergence, real-valued functions and their continuity and differentiability, sequences of functions and their pointwise and uniform convergence, and Riemann-Stieltjes integration theory. Prerequisite: MACS213 or MACS223and MACS332. 3 hours lecture; 3 semester hours.

MACS403. DATA BASE MANAGEMENT (I,II) Design and evaluation of information storage and retrieval systems, including defining and building a data base and producing the necessary queries for access to the stored information. Generalized data base management systems, query languages, and data storage facilities. General organization of files

including lists, inverted lists and trees. System security and system recovery, and system definition. Interfacing host language to data base systems. Prerequisite: MACS262. 3 hours lecture; 3 semester hours.

MACS404. ARTIFICIAL INTELLIGENCE (I) General investigation of the Artificial Intelligence field. During the first part of the course a working knowledge of the LISP programming language is developed. Several methods used in artificial intelligence such as search strategies, knowledge representation, logic and probabilistic reasoning are developed and applied to problems. Learning is discussed and selected applications presented. Prerequisite: MACS262, MACS358. 3 hours lecture; 3 semester hours.

MACS406. DESIGN AND ANALYSIS OF ALGORITHMS (I,II) Divide-and-conquer: splitting problems into subproblems of a finite number. Greedy: considering each problem piece one at a time for optimality. Dynamic programming: considering a sequence of decisions in problem solution. Searches and traversals: determination of the vertex in the given data set that satisfies a given property. Techniques of backtracking, branch-and-bound techniques, techniques in lower bound theory. Prerequisite: MACS262, MACS213, MACS358. 3 hours lecture; 3 semester hours.

MACS407. INTRODUCTION TO SCIENTIFIC COMPUTING(I,II) Round-off error in floating point arithmetic, conditioning and stability, solution techniques (Gaussian elimination, LU factorization, iterative methods) of linear algebraic systems, curve and surface fitting by the method of least-squares , zeros of nonlinear equations and systems by iterative methods, polynomial interpolation and cubic splines, numerical integration by adaptive quadrature and multivariate quadrature, numerical methods for initial value problems in ordinary differential equations. Code development using C/C++/Java. Emphasis is on problem solving using efficient numerical methods in scientific computing. Prerequisite: MACS315 and knowledge of computer programming. 3 hours lecture; 3 semester hours.

MACS411. INTRODUCTION TO EXPERT SYSTEMS (II) General investigation of the field of expert systems. The first part of the course is devoted to designing expert systems. The last half of the course is implementation of the design and construction of demonstration prototypes of expert systems. Prerequisite: MACS 262, MACS358. 3 hours lecture; 3 semester hours.

MACS428. APPLIED PROBABILITY (II) Basic probability. Probabilistic modeling. Discrete and continuous probability models and their application to engineering and scientific problems. Empirical distributions, probability plotting, and testing of distributional assumptions. Prerequisite: MACS213 or MACS223. 3 hours lecture; 3 semester hours.

MACS433/BELS433 MATHEMATICAL BIOLOGY (I) This course will discuss methods for building and solving both continuous and discrete mathematical models. These methods will be applied to population dynamics, epidemic spread, pharmcokinetics and modeling of physiologic systems. Modern Control Theory will be introduced and used to model living systems. Some concepts related to self-organizing systems will be introduced. Prerequisite: MACS 315. 3 hours lecture, 3 semester hours.

MACS434. INTRODUCTION TO PROBABILITY (I) An introduction to the theory of probability essential for problems in science and engineering. Topics include axioms of probability, combinatorics, conditional probability and independence, discrete and continuous probability density functions, expectation, jointly distributed random variables, Central Limit Theorem, laws of large numbers. Prerequisite: MACS 213 or 223. 3 hours lecture, 3 semester hours.

MACS 435: INTRODUCTION TO MATHEMATICAL STATISTICS. (II) An introduction to the theory of statistics essential for problems in science and engineering. Topics include sampling distributions, methods of point estimation, methods of interval estimation, significance testing for population means and variances and goodness of fit, linear regression, analysis of variance. Prerequisite: MACS 434 3 hours lecture, 3 semester hours

MACS 440. PARALLEL COMPUTING FOR SCIENTISTS AND ENGINEERS (I) This course is designed to introduce the field of parallel computing to all scientists and engineers. The students will be taught how to solve scientific problems. They will be introduced to various software and hardware issues related to high performance computing. Prerequisite: Programming experience in C++, consent of instructor. 3 hours lecture; 3 semester hours.

MACS441. COMPUTER GRAPHICS (I,II) Data structures suitable for the representation of structures, maps, threedimensional plots. Algorithms required for windowing, color plots, hidden surface and line, perspective drawings. Survey of graphics software and hardware systems. Prerequisite: MACS 262. 3 hours lecture, 3 semester hours.

MACS442. OPERATING SYSTEMS (I,II) Covers the basic concepts and functionality of batch, timesharing and single-user operating system components, file systems, processes, protection and scheduling. Representative operating systems are studied in detail. Actual operating system components are programmed on a representative processor. This course provides insight into the internal structure of operating systems; emphasis is on concepts and techniques which are valid for all computers. Prerequisite: MACS262, MACS 341. 3 hours lecture; 3 semester hours.

MACS443. ADVANCED PROGRAMMING CONCEPTS USING JAVA. (I,II) This course will quickly review programming constructs using the syntax and semantics of the Java programming language. It will compare the constructs of Java with other languages and discuss program design and implementation. Object oriented programming

concepts will be reviewed and applications, applets, servlets, graphical user interfaces, threading, exception handling, JDBC, and networking as implemented in Java will be discussed. The basics of the Java Virtual Machine will be presented. Prerequisites: MACS 261, MACS 262. 3 hours lecture, 3 semester hours

MACS454. COMPLEX ANALYSIS (I) The complex plane. Analytic functions, harmonic functions. Mapping by elementary functions. Complex integration, power series, calculus of residues. Conformal mapping. Prerequisite: MACS 315. 3 hours lecture, 3 semester hours.

MACS455. PARTIAL DIFFERENTIAL EQUATIONS (II) Linear partial differential equations, with emphasis on the classical second-order equations: wave equation, heat equation, Laplace's equation. Separation of variables, Fourier methods, Sturm-Liouville problems. Prerequisite: MACS315. 3 hours lecture; 3 semester hours.

MACS461. SENIOR SEMINAR I (I) Students present topics orally and write research papers using undergraduate mathematical and computer sciences techniques, emphasizing critical analysis of assumptions and models. Prerequisite: Consent of Department Head. 1 hour seminar; 1 semester hour.

MACS462. SENIOR SEMINAR II (II) Students present topics orally and write research papers using undergraduate mathematical and computer sciences techniques, emphasizing critical analysis of assumptions and models. Prerequisite: Consent of Department Head. 1 hour seminar; 1 semester hour.

MACS471. COMPUTER NETWORKS (I,II) This introduction to computer networks covers the fundamentals of computer communications, using TCP/IP standardized protocols as the main case study. Topics include physical topologies, switching, error detection and correction, routing, congestion control, and connection management for global networks (such as the Internet) and local area networks (such as the Ethernet). In addition, network programming and applications are considered. Prerequisite: MACS442 or permission of instructor. 3 hours lecture, 3 semester hours.

MACS491. UNDERGRADUATE RESEARCH (I) Individual investigation under the direction of a department faculty member. Written report required for credit. Prerequisite: Consent of Department Head. 1 to 3 semester hours, no more than 6 in a degree program.

MACS492. UNDERGRADUATE RESEARCH (II) Individual investigation under the direction of a department faculty member. Written report required for credit. Prerequisite: Consent of Department Head. 1 to 3 semester hours, no more than 6 in a degree program.

MACS498. SPECIAL TOPICS (I,II,S) Selected topics chosen from special interests of instructor and students. Prerequisite: Consent of Department Head. 1 to 3 semester hours.

MACS499. INDEPENDENT STUDY (I,II,S) Individual research or special problem projects supervised by a faculty member; also, given agreement on a subject matter, content, and credit hours. Prerequisite: Independent Study form must be completed and submitted to the Registrar. Variable Credit: 1 to 6 credit hours.

#### **Graduate Courses**

500-level courses are open to qualified seniors with the permission of the department and Dean of Graduate School.

MACS500. LINEAR VECTOR SPACES (I) Finite dimensional vector spaces and subspaces: dimension, dual bases, annihilators. Linear transformations, matrices, projections, change of basis, similarity. Determinants, eigenvalues, multiplicity. Jordan form. Inner products and inner product spaces with orthogonality and completeness. Prerequisite: MACS401. 3 hours lecture; 3 semester hours.

MACS502. REAL AND ABSTRACT ANALYSIS (II) Introduction to metric and topological spaces. Lebesgue measure and measurable functions and sets. Types of convergence, Lebesgue integration and its relation to other integrals. Integral convergence theorems. Absolute continuity and related concepts. Prerequisite: MACS401. 3 hours lecture; 3 semester hours.

MACS503. FUNCTIONAL ANALYSIS (I) Normed linear spaces, linear operators on normed linear spaces, Banach spaces, inner product and Hilbert spaces, orthonormal bases, duality, orthogonality, adjoint of a linear operator, spectral analysis of linear operators. Prerequisite: MACS502. 3 hours lecture; 3 semester hours.

MACS506. COMPLEX ANALYSIS II (II) Analytic functions. Conformal mapping and applications. Analytic continuation. Schlicht functions. Approximation theorems in the complex domain. Prerequisite: MACS454. 3 hours lecture; 3 semester hours.

MACS510. ORDINARY DIFFERENTIAL EQUATIONS AND DYNAMICAL SYSTEMS (I) Topics to be covered: basic existence and uniqueness theory, systems of equations, stability, differential inequalities, Poincare-Bendixon theory, linearization. Other topics from: Hamiltonian systems, periodic and almost periodic systems, integral manifolds, Lyapunov functions, bifurcations, homoclinic points and chaos theory. Prerequisite: MACS315 and MACS332 or equivalent. 3 hours lecture; 3 semester hours.

MACS514. APPLIED MATHEMATICS I (I) The major theme in this course is various non-numerical techniques for

dealing with partial differential equations which arise in science and engineering problems. Topics include transform techniques, Green's functions and partial differential equations. Stress is on applications to boundary value problems and wave theory. Prerequisite: MACS454 and MACS455 or equivalent. 3 hours lecture; 3 semester hours.

MACS515. APPLIED MATHEMATICS II (II) Topics include integral equations, applied complex variables, an introduction to asymptotics, linear spaces and the calculus of variations. Stress is on applications to boundary value problems and wave theory, with additional applications to engineering and physical problems. Prerequisite: MACS514. 3 hours lecture; 3 semester hours.

MACS530. STATISTICAL METHODS I (I, S) Introduction to probability, random variables, and discrete and continuous probability models. Elementary simulation. Data summarization and analysis. Confidence intervals and hypothesis testing for means and variances. Chi square tests. Distribution-free techniques and regression analysis. Intended primarily for graduate students in departments other than Mathematics. Prerequisite: MACS213 or equivalent. 3 hours lecture; 3 semester hours.

MACS531. STATISTICAL METHODS II (II, S) Continuation of MCSN530. Multiple regression and trend surface analysis. Analysis of variance. Experimental design (latin squares, factorial designs, confounding, fractional replication, etc.) Nonparametric analysis of variance. Topics selected from multivariate analysis, sequential analysis or time series analysis. Prerequisite: MACS323 or 530 or 535. 3 hours lecture; 3 semester hours.

MACS534. MATHEMATICAL STATISTICS I (I) The basics of probability, fundamental discrete, and continuous probability distributions, sampling distributions, including order statistics, and basic limit theorems, including the continuity theorem and the central limit theorem, are covered. Prerequisite: Consent of department. 3 hours lecture; 3 semester hours.

MACS535. MATHEMATICAL STATISTICS II (II) The basics of hypothesis testing using likelihood ration, point and interval estimation, including consistency, efficiency, and sufficient statistics, and some nonparametric methods are presented. Prerequisite: MACS534 or equivalent. 3 hours lecture; 3 semester hours.

MACS538. APPLIED MULTIVARIATE ANALYSIS (II) An introduction to the theory and applications of multivariate statistical analysis with an emphasis on its usage as an exploratory technique. Topics covered include: inference about mean(s) and co-variances, discriminant analysis, principal component analysis, canonical correlation analysis, and factor analysis. Computer programs illustrate the method. Prerequisite: MACS534 or 530 or 535. 3 hours lecture; 3 semester hours.

MACS540. STOCHASTIC PROCESSES (II) Poisson processes, renewal theory, and Markov chains are studied and applied to the theory of queues. Offered in even-numbered years. Prerequisite: MACS534 or equivalent. 3 hours lecture; 3 semester hours.

MACS541. QUEUEING THEORY Structure and techniques for the basic theory. Poisson and non-Poisson with various input and output distributions. Applications and renewal theory. Offered on demand. Prerequisite: MAGN540 or consent of department. 3 hours lecture; 3 semester hours.

MACS542. SIMULATION (I) Advanced study of simulation techniques, random number, and variate generation. Monte Carlo techniques, simulation languages, simulation experimental design, variance reduction, and other methods of increasing efficiency, practice on actual problems. Offered every other year. Prerequisite: MACS530. 3 hours lecture; 3 semester hours.

MACS544. STATISTICAL QUALITY CONTROL This course is designed to build upon the knowledge of probability and statistics gained in MACS530, MACS323, or the equivalent. The focus is application of that knowledge to problems of quality control in an industrial setting. The main goals of the course are introduction of the tools and language of statistical quality control and statistical process control, and to develop skill in their application. Topics to be covered include control charting by variables and attributes, acceptance sampling, process capability, and economic design of quality control programs. Prerequisites: MACS323 or MACS530 or equivalent. 3 hours lecture; 3 semester hours

MACS545. TIME SERIES Data are modeled and the model is used to forecast future values. The Box-Jenkins approach is used to determine the model form, estimate parameters, check for fit, and forecast. Economic and physical data are studied. Computer programs illustrate the methods. Seasonal and multidimensional transfer function models generalize the techniques. Taught on demand. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MACS547. SPECTRAL ANALYSIS Frequency domain description of data are considered. The important cycles present in data are identified. The statistical problems in estimation are approached by windowing. Physical and economic data are analyzed. Taught on demand. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MACS550. NUMERICAL SOLUTION OF PARTIAL DIFFERENTIAL EQUATIONS (II) Numerical methods for solving partial differential equations. Explicit and implicit finite difference methods; stability, convergence, and consistency. Alternating direction implicit (ADI) methods. Weighted residual and finite element methods. Prerequisite:

MACS315, MACS332, or consent of instructor. 3 hours lecture; 3 semester hours.

MACS551. COMPUTATIONAL LINEAR ALGEBRA (II) Numerical analysis of algorithms for solving linear systems of equations, least squares methods, the symmetric eigenproblem, singular value decomposition, conjugate gradient iteration. Modification of algorithms to fit the architecture. Error analysis, existing software packages. Prerequisites: MACS332, MACS407, or consent of instructor. 3 hours lecture; 3 semester hours.

MACS556. MODELING WITH SYMBOLIC SOFTWARE (I) Case studies of various models from mathematics, the sciences and engineering through the use of the symbolic software package MATHEMATICA. Based on hands-on projects dealing with contemporary topics such as number theory, discrete mathematics, complex analysis, special functions, classical and quantum mechanics, relativity, dynamical systems, chaos and fractals, solitons, wavelets, chemical reactions, population dynamics, pollution models, electrical circuits, signal processing, optimization, control theory, and industrial mathematics. The course is designed for graduate students and scientists interested in modeling and using symbolic software as a programming language and a research tool. It is taught in a computer laboratory. Prerequisites: Senior undergraduates need consent of instructor 3 hours lecture; 3 semester hours

MACS561. THEORETICAL FOUNDATIONS OF COMPUTER SCIENCE (I) Mathematical foundations of computer science. Models of computation, including automata, pushdown automata and Turing machines. Language models, including alphabets, strings, regular expressions, grammars, and formal languages. Predicate logic. Complexity analysis. Prerequisite: MACS262, MACS358. 3 hours lecture; 3 semester hours.

MACS563. PARALLEL COMPUTING FOR SCIENTISTS AND ENGINEERS (I) Students are taught how to use parallel computing to solve complex scientific problems. They learn how to develop parallel programs, how to analyze their performance, and how to optimize program performance. The course covers the classification of parallel computers, shared memory versus distributed memory machines, software issues, and hardware issues in parallel computing. Students write programs for state of the art high performance supercomputers, which are accessed over the network. Prerequisite: Programming experience in C, consent of instructor. 3 hours lecture, 1 hour seminar; 4 semester hours

MACS565. DISTRIBUTED COMPUTING SYSTEMS (I) Introduction to the design and use of distributed computer systems based on networks of workstations and server computers. Topics include theory, applications, systems and case studies describing current approaches. Prerequisites: Undergraduate machine architecture or consent of instructor. 3 hours lecture; 3 semester hours

MACS566. ADVANCED DATABASE MANAGEMENT (II) Advanced issues in database management, with emphasis on their application to scientific data. Topics to be covered include: object-oriented database management, database rules, distributed databases, database management systems implementation, and management of scientific data. Each student develops a course project, as a vehicle for exploring and applying a database research issue chosen by the student. An object-oriented database management system is used in assignments. Prerequisite: MACS403 or equivalent 3 hours lecture; 3 semester hours

MACS567. ADVANCED OBJECT ORIENTED SOFTWARE ENGINEERING (I) Advanced software engineering concepts, with emphasis on how to develop object-oriented application programs. The entire software lifecycle is discussed: requirements analysis, program design, implementation, debugging and testing. Seamless program development is emphasized, in which the development process is an incremental refinement of a computer model of real-world objects. Examples in the course are from scientific application programs. The object-oriented use of the C++ language is taught and used in assignments. Prerequisite: Knowledge of C or C++. 3 hours lecture; 3 semester hours.

MACS570. NEURAL NETWORKS (II) This course explores the theory behind neural networks, and focuses on the application of this technology to real problems in areas as diverse as DNA pattern recognition, robot control, hazardous waste remediation, and forensics. For the prepared student, this course also facilitates a transition from doing coursework to producing publishable research. Skills required to understand, critique, and extend existing research are emphasized. An introductory series of lectures is followed by more in-depth study of current research topics. Depending on a student's background, the course project is either a literature survey or application or exploration of a neural network method of the student's choice. Prerequisite: MACS404. 3 hours lecture; 3 semester hours.

MACS571. ARTIFICIAL INTELLIGENCE (I) Artificial Intelligence (AI) is the subfield of computer science that studies how to automate tasks for which people currently exhibit superior performance over computers. Historically, AI has studied problems such as machine learning, language understanding, game playing, planning, robotics, and machine vision. AI techniques include those for uncertainty management, automated theorem proving, heuristic search, neural networks, and simulation of expert performance in specialized domains like medical diagnosis. This course provides an overview of the field of Artificial Intelligence. Particular attention will be paid to learning the LISP language for AI programming. Prerequisite: MACS262. 3 hours lecture;3 semester hours

MACS598. SPECIAL TOPICS IN MATHEMATICAL AND COMPUTER SCIENCES (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only

once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

MACS599. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

MACS610. ADVANCED TOPICS IN DIFFERENTIAL EQUATIONS (II) Topics from current research in ordinary and/or partial differential equations; for example, dynamical systems, advanced asymptotic analysis, nonlinear wave propagation, solitons. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MACS614. ADVANCED TOPICS IN APPLIED MATHEMATICS (I) Topics from current literature in applied mathematics; for example, wavelets and their applications, calculus of variations, advanced applied functional analysis, control theory. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MACS616. INTRODUCTION TO MULTI-DIMENSIONAL SEISMIC INVERSION (I) Introduction to high frequency inversion techniques. Emphasis on the application of this theory to produce a reflector map of the earth's interior and estimates of changes in earth parameters across those reflectors from data gathered in response to sources at the surface or in the interior of the earth. Extensions to elastic media are discussed, as well. Includes high frequency modeling of the propagation of acoustic and elastic waves. Prerequisites: partial differential equations, wave equation in the time or frequency domain, complex function theory, contour integration. Some knowledge of wave propagation: reflection, refraction, diffraction. 3 hours lecture; 3 semester hours

MACS650. ADVANCED TOPICS IN NUMERICAL ANALYSIS (II) Topics from the current literature in numerical analysis and/or computational mathematics; for example, advanced finite element method, sparse matrix algorithms, applications of approximation theory, software for initial value ODE's, numerical methods for integral equations. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours

MACS660. ADVANCED TOPICS IN COMPUTER SYSTEMS (II) Topics from the current literature in hardware and software computer systems; for example, user interfaces, object oriented software engineering, database management, computer architectures, supercomputing, parallel processing, distributed processing, and algorithms. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours

MACS691. GRADUATE SEMINAR (I) Presentation of latest research results by guest lecturers, staff, and advanced students. Prerequisite: Consent of department. 1 hour seminar; 1 semester hour.

MACS692. GRADUATE SEMINAR (II) Presentation of latest research results by guest lecturers, staff, and advanced students. Prerequisite: Consent of department. 1 hour seminar; 1 semester hour.

MACS693/GPGN551. WAVE PHENOMENA SEMINAR (I, II) Students will probe a range of current methodologies and issues in seismic data processing, with emphasis on underlying assumptions, implications of these assumptions, and implications that would follow from use of alternative assumptions. Such analysis should provide seed topics for ongoing and subsequent research. Topic areas include: Statistics estimation and compensation, deconvolution, multiple suppression, suppression of other noises, wavelet estimation, imaging and inversion, extraction of stratigraphic and lithologic information, and correlation of surface and borehole seismic data with well log data. Prerequisite: Consent of department. 1 hour seminar; 1 semester hour.

MACS698. SPECIAL TOPICS IN MATHEMATICAL AND COMPUTER SCIENCES (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

MACS699. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

MACS701. GRADUATE THESIS-MASTER OF SCIENCE (I, II) Preparation of the master's thesis under the supervision of the graduate student's advisory committee. 6 semester hours upon completion of thesis. Required of all candidates for the degree of Master of Science.

MACS703. GRADUATE THESIS-DOCTOR OF PHILOSOPHY (I, II) Preparation of the doctor's thesis under the supervision of the graduate student's advisory committee. 30 semester hours upon completion of thesis.

MACS705. GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

MACS706. GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

# Metallurgical and Materials Engineering

JOHN J. MOORE, Trustees Professor and Department Head GLEN R. EDWARDS, Professor JOHN P. HAGER, Hazen Research Inc.Professor STEPHEN LIU, Professor GERARD P. MARTINS, Professor DAVID K. MATLOCK, Charles S. Fogarty Professor BRAJENDRA MISHRA, Professor DAVID L. OLSON, John H. Moore Distinguished Professor DENNIS W. READEY, Herman F. Coors Distinguished Professor JOHN G. SPEER, Professor PATRICK R. TAYLOR, George S. Ansell Distinguished Professor of Chemical Metallurgy CHESTER J. VANTYNE, FIERP Professor ROBERT H. FROST, Associate Professor HANS-JOACHIM KLEEBE, Associate Professor IVAR E. REIMANIS, Associate Professor STEVEN W. THOMPSON, Associate Professor KELLY T. MILLER, Assistant Professor FREDERICK J. FRAIKOR, Research Professor GEORGE S. ANSELL, President and Professor Emeritus W. REX BULL, Professor Emeritus GERALD L. DePOORTER, Associate Professor Emeritus GEORGE KRAUSS, University Professor Emeritus WILLIAM M. MUELLER, Vice President for Academic Affairs Emeritus and Professor Emeritus

# Degrees Offered:

Master of Engineering (Metallurgical and Materials Engineering) Master of Science (Metallurgical and Materials Engineering)

Doctor of Philosophy (Metallurgical and Materials Engineering)

# Program Description:

The program of study for the Master's or Doctor of Philosophy degrees in Metallurgical and Materials Engineering is selected by the student in consultation with her or his advisor, and with the approval of the Thesis Committee. The program can be tailored within the framework of the rules of the Graduate School to match the student's interests while maintaining the main theme of materials engineering and processing. There are three Areas of Specialization within the Department: Physical and Mechanical Metallurgy; Physicochemical Processing of Materials; and, Ceramic Engineering.

The Department is home to five research centers: the Advanced Coatings and Surface Engineering Laboratory, the Advanced Steel Processing and Products Research Center; the Colorado Center for Advanced Ceramics; the Center for Welding and Joining Research; and, the Kroll Institute for Extractive Metallurgy.

# Program Requirements:

The program requirements for the three graduate degrees offered by the Department are listed below:

Master of Engineering degree: Two tracks are available as follows:

- I. Undergraduate/graduate program\*: i) a minimum of 36 semester hours of acceptable course work; ii) case/independent study course work component cannot exceed 12 semester hours; and iii) submittal and presentation, and subsequent acceptance by the Graduate Advisor, of a report which presents the results of a case study or an engineering development. (\*See pp. 31–32, *Combined Undergraduate/Graduate Programs*.)
- II. Graduate Program: i) a minimum of 24 semester hours of acceptable course work; ii) case/independent study course-work cannot exceed 12 semester hours; and iii) submittal and presentation, and subsequent acceptance by the graduate advisor of a report, which presents the results of a case study or an engineering development.

**Master of Science** degree: i) a minimum of 24 semester hours of acceptable course work and 12 semester hours of research credit; and, ii) submittal and successful oral defense of a thesis, which presents the results of original scientific research or development.

**Doctor of Philosophy** degree: i) a minimum of 42 semester hours of acceptable course work, which may include course credits (to be approved by the Thesis Committee) presented for the Master's degree, provided that the degree was in Metallurgical and Materials Engineering or a similar field. However, at least 21 hours of acceptable course work must be taken at the Colorado School of Mines; ii) 30 semester hours of research credit; iii) a minimum of 12 semester hours of acceptable course work in a minor field of study; iv) a passing grade on written and oral examinations for the purpose of determining that adequate preparation and the ability to conduct high-quality,

independent research have been achieved; and, v) submittal and successful defense of a thesis, which presents the results of original scientific research or development.

Notes: a) The minor may include course work in departments outside the Metallurgical and Materials Engineering Department, or from one of the Areas of Specialization within the Department different from that selected by the student as his/her major option. The minor must be approved by the student's Doctoral Committee and the committee member delegated to represent the Minor Department.

b) The examinations under iv) are specific to the student's declared Area of Specialization, and consist of a written and oral component. The written examinations consist of a general-topics examination and an area-of-specialization examination. The oral examination consists of responses by the student to questions on the background, rationale and fundamentals related to the student's proposed research. A written document summarizing the student's proposed research is presented to the Examining Committee (different from the Thesis Committee) prior to this event. The student delivers an oral presentation, reviewing the document at the start of the (oral) examination. There is a standing schedule to offer the examinations during the last four to five weeks of the Spring and Fall semesters. However, intent to take the examinations must be declared within the first month of the intended semester.

Although there is no formal seminar-course requirement, graduate students, both Master and Doctoral candidates, as part of their professional development, are expected to attend the Department seminars scheduled on Thursdays during the Fall and Spring semesters.

#### Prerequisites:

The entering graduate-student in the Department of Metallurgical and Materials Engineering must have completed an undergraduate program equivalent to that required for the B.S. degree in: Metallurgical and Materials Engineering, Materials Science or a related field. This should have included a background in science fundamentals and engineering principles. A student who possesses this background but has not taken specific undergraduate-courses in Metallurgical and Materials Engineering, will be allowed to make up these course-deficiencies at the beginning of their program of study.

#### Fields of Research:

Synthesis, processing, and characterization of photovoltaic materials Optical phenomena of interfaces and composites High-Tc superconductors Dielectrics and piezoelectrics Glasses and crystallizable glasses for electronics Ferroelectrics and ferroelectric thin films Porous ceramics and ceramic fibers Combustion synthesis of advanced materials Welding and joining of metals and dissimilar materials including ceramics and composites Laser Processing of Materials Physical metallurgy Mechanical metallurgy Processing microstructure, and properties of advanced steels Oxidation and corrosion of metals and ceramics Interfacial phenomena Surface characterization of materials Composite materials Preparation of ceramic powders Pyro-, hydro-, and electro-metallurgy Processing of industrial wastes Plasma synthesis and processing Computer simulation techniques for design of new high-performance materials Thin film/coating, processing, and characterization Environmentally benign materials processes Semiconductor materials Powder metallurgy Aerospace structural materials Failure analysis and fracture mechanics of materials Forming of metals and other materials Fatigue of materials

# Description of Courses

### **Undergraduate Courses**

A maximum of nine hours of 400-level credits may, with the approval of the Thesis Committee, be applied towards

the course-work requirement for a Master's degree.

MTGN412/MLGN512.CERAMIC ENGINEERING (II) Application of engineering principles to nonmetallic and ceramic materials. Processing of raw materials and production of ceramic bodies, glazes, glasses, enamels, and cermets. Firing processes and reactions in glass bonded as well as mechanically bonded systems. Prerequisite: MTGN348. 3 hours lecture; 3 semester hours.

MTGN414/MLGN544. PROCESSING OF CERAMICS (II) Principles of ceramic processing and the relationship between processing and microstructure. Raw materials and raw materials preparation, forming and fabrication, thermal processing, and finishing of ceramic materials will be covered. Principles will be illustrated by case studies on specific ceramic materials. A project to design a ceramic fabrication process is required. Field trips to local ceramic manufacturing operations are included. Prerequisites: MTGN311, MTGN331, and MTGN412/MLGN512 or consent of the instructor. 3 hours lecture; 3 semester hours.

MTGN415/MLGN515. ELECTRICAL PROPERTIES AND APPLICATIONS OF MATERIALS (II) Survey of the electrical properties of materials, and the applications of materials as electrical circuit components. The effects of chemistry, processing, and microstructure on the electrical properties will be discussed, along with the functions, performance requirements, and testing methods of materials for each type of circuit component. The general topics covered are conductors, resistors, insulators, capacitors, energy convertors, magnetic materials, and integrated circuits. Prerequisite: PHGN200, MTGN311 or MLGN501, MTGN412/MLGN512, or consent of instructor. 3 hours lecture; 3 semester hours.

MTGN416/MLGN516. PROPERTIES OF CERAMICS (II) Survey of the properties of ceramic materials and how these properties are determined by the chemical structure (composition), crystal structure, and the microstructure of crystalline ceramics and glasses. Thermal, optical, and mechanical properties of single-phase and multiphase ceramics, including composites, are covered. Prerequisites: PHGN200, MTGN311 or MLGN501, MTGN412 or consent of instructor. 3 hours lecture, 3 semester hours.

MTGN417. REFRACTORY MATERIALS (I) Refractory materials in metallurgical construction. Oxide phase diagrams to explain the behavior of metallurgical slags in contact with materials of construction. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN419/MLGN519. NON-CRYSTALLINE MATERIALS (I) An introduction to the principles of glass scienceand-engineering and non-crystalline materials in general. Glass formation, structure, crystallization, and properties will be covered, along with a survey of commercial glass compositions, manufacturing processes, and applications. Prerequisites: MTGN311 or MLGN501, MTGN412/MLGN512, or consent of instructor. 3 hours lecture; 3 semester hours.

MTGN422. PROCESS ANALYSIS AND DEVELOPMENT (II) Aspects of process development, plant design, and management. Prerequisite: MTGN331. Co-requisite: MTGN424 or consent of instructor. 2 hours lecture; 2 semester hours.

MTGN424. PROCESS ANALYSIS AND DEVELOPMENT LABORATORY (II) Projects designed to supplement the lectures in MTGN422. Prerequisite: MTGN422 or consent of instructor. 3 hours lab; 1 semester hour.

MTGN429. METALLURGICAL ENVIRONMENT (I) This course covers studies of the interface between metallurgical process engineering and environmental engineering areas. Wastes, effluents and their point sources in metallurgical processes such as mineral concentration, value extraction and process metallurgy are studied in context. Fundamentals of metallurgical unit operations and unit processes with those applicable to waste and effluent control, disposal and materials recycling are covered. Engineering design and engineering cost components are also included for some examples chosen. The ratio of fundamentals to applications coverage is about 1:1. Prerequisites: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN430. PHYSICAL CHEMISTRY OF IRON AND STEELMAKING (I) Physical chemistry principles of blast furnace and direct reduction production of iron and refining of iron to steel. Discussion of raw materials, productivity, impurity removal, deoxidation, alloy additions, and ladle metallurgy. Prerequisite: MTGN334. 3 hours lecture; 3 semester hours.

MTGN431. HYDRO- AND ELECTROMETALLURGY (I) Physical and chemical principles involved in the extraction and refining of metals by hydro- and electrometallurgical techniques. Discussion of unit processes in hydrometallurgy, electrowinning, and electrorefining. Analysis of integrated flowsheets for the recovery of nonferrous metals. Prerequisite: MTGN334, MTGN351, MTGN461, MTGN352. Co-requisite: MTGN433 or consent of instructor. 2 hours lecture; 2 semester hours.

MTGN432. PYROMETALLURGY (II) Extraction and refining of metals including emerging practices. Modifications driven by environmental regulations and by energy minimization. Analysis and design of processes and the impact of economic considerations. Prerequisite: MTGN334. 3 hours lecture; 3 semester hours.

MTGN433. HYDRO- AND ELECTROMETALLURGY LABORATORY (I) Experiments designed to supplement the lectures in MTGN431. Co-requisite: MTGN431 or consent of instructor.

MTGN434. DESIGN AND ECONOMICS OF METALLURGICAL PLANTS (II) Design of metallurgical processing systems. Methods for estimating process costs and profitability. Performance, selection, and design of process equipment. Integration of process units into a working plant and its economics, construction, and operation. Market research and surveys. Prerequisite: MTGN351 or consent of instructor. 3 hours lecture; 3 semester hours.

MTGN436. CONTROL AND INSTRUMENTATION OF METALLURGICAL PROCESSES (II) Analysis of processes for metal extraction and refining using classical and direct-search optimization methods and classical process control with the aid of chemical functions and thermodynamic transfer operations. Examples from processes in physicochemical and physical metallurgy. Prerequisite: MTGN438 or consent of instructor. 2 hours lecture; 2 semester hours.

MTGN438. CONTROL AND INSTRUMENTATION OF METALLURGICAL PROCESSES LABORATORY (II) Experiments designed to supplement the lectures in MTGN436. Prerequisite: MTGN436 or consent of instructor. 3 hours lab; 1 semester hour.

MTGN442. ALLOY AND PHASE STABILITY (II) Phase equilibrium of solid solutions, primary and intermediate phases, binary and ternary phase equilibrium diagrams, multicomponent systems. Phase transformations in ferrous alloys, hardenability, heat treatment, surface modification, alloying of steel, precipitation alloys and alloy design for cast irons, stainless steels, and tool steels. Prerequisite: MTGN348 or consent of instructor. 3 hours lecture; 3 semester hours.

MTGN445/MLGN505. MECHANICAL PROPERTIES OF MATERIALS (I) Mechanical properties and relationships. Plastic deformation of crystalline materials. Relationships of microstructures to mechanical strength. Fracture, creep, and fatigue. Laboratory sessions devoted to advanced mechanical-testing techniques to illustrate the application of the fundamentals presented in the lectures. Prerequisite: MTGN348. 3 hours lecture, 3 hours lab; 4 semester hours.

MTGN450/MLGN550. STATISTICAL PROCESS CONTROL AND DESIGN OF EXPERIMENTS (I) An introduction to statistical process control, process capability analysis and experimental design techniques. Statistical process control theory and techniques will be developed and applied to control charts for variables and attributes involved in process control and evaluation. Process capability concepts will be developed and applied for the evaluation of manufacturing processes. The theory and application of designed experiments will be developed and applied for full factorial experiments, fractional factorial experiments, screening experiments, multilevel experiments and mixture experiments. Analysis of designed experiments will be carried out by graphical and statistical techniques. Computer software will be utilized for statistical process control and for the design and analysis of experiments. Prerequisite: Consent of Instructor. 3 hours lecture, 3 semester hours

MTGN451. CORROSION ENGINEERING (II) Principles of electrochemistry. Corrosion mechanisms. Methods of corrosion protection including cathodic and anodic protection and coatings. Examples, from various industries, of corrosion problems and solutions. Prerequisite: MTGN351. 3 hours lecture; 3 semester hours

MTGN452. CERAMIC AND METAL MATRIX COMPOSITES Introduction to the synthesis, processing, structure, properties and performance of ceramic and metal matrix composites. Survey of various types of composites, and correlation between processing, structural architecture and properties. Prerequisites: MTGN311, MTGN331, MTGN348, MTGN351. 3 hours lecture; 3 semester hours

MTGN453. PRINCIPLES OF INTEGRATED CIRCUIT PROCESSING (I) An introduction to the electrical conductivity of semiconductor materials; qualitative discussion of active semiconductor devices; discussion of the steps in integrated circuit fabrication; detailed investigation of the materials science and engineering principles involved in the various steps of VLSI device fabrication; a presentation of device packaging techniques and the processes and principles involved. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN456. ELECTRON MICROSCOPY (II) Introduction to electron optics and the design and application of transmission and scanning electron microscopes. Interpretation of images produced by various contrast mechanisms. Electron diffraction analysis and the indexing of electron diffraction patterns. Prerequisite: MTGN311 or consent of instructor. Co-requisite: MTGN458. 2 hours lecture; 2 semester hours.

MTGN458. ELECTRON MICROSCOPY LABORATORY (II) Laboratory exercises to illustrate specimen preparation techniques, microscope operation, and the interpretation of images produced from a variety of specimens, and to supplement the lectures in MTGN456. Co-requisite: MTGN456. 3 hours lab; 1 semester hour.

MTGN461.TRANSPORT PHENOMENA AND REACTOR DESIGN FOR METALLURGICAL-AND-MATERIALS ENGINEERS (I) Introduction to the conserved-quantities: momentum, heat, and mass transfer, and application of chemical kinetics to elementary reactor-design. Examples from materials processing and process metallurgy. Molecular transport properties: viscosity, thermal conductivity, and mass diffusivity of materials encountered during processing operations. Uni-directional transport: problem formulation based on the required balance of the conserved-quantity applied to a control-volume. Prediction of velocity, temperature and concentration profiles. Equations of change: continuity, motion, and energy. Transport with two independent variables (unsteady-state behavior). Interphase transport: dimensionless correlations - friction factor, heat, and mass transfer coefficients. Elementary concepts of radiation heat-transfer. Flow behavior in packed beds. Design equations for: Continuous-Flow/Batch Reactors with Uniform Dispersion and Plug Flow Reactors. Digital computer methods for the design of metallurgical systems. Laboratory sessions devoted to: Tutorials/Demonstrations to facilitate the understanding of concepts related to selected topics; and, Projects with the primary focus on the operating principles and use of modern electronic-instrumentation for measurements on lab-scale systems in conjunction with correlation and prediction strategies for analysis of results. Prerequisites: MACS315, MTGN351 and MTGN352. 2 hours lecture, 3 hours lab; 3 semester hours.

MTGN463. POLYMER ENGINEERING (I) Introduction to the structure and properties of polymeric materials, their deformation and failure mechanisms, and the design and fabrication of polymeric end items. The molecular and crystallographic structures of polymers will be developed and related to the elastic, viscoelastic, yield and fracture properties of polymeric solids and reinforced polymer composites. Emphasis on forming and joining techniques for end item fabrication including: extrusion, injection molding, reaction injection molding, thermoforming, and blow molding. The design of end items will be considered in relation to: materials selection, manufacturing engineering, properties, and applications. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN464. FORGING AND FORMING (II) Introduction to plasticity. Survey and analysis of working operations of forging, extrusion, rolling, wire drawing and sheet metal forming. Metallurgical structure evolution during working. Prerequisites: EGGN320 and MTGN348 or EGGN390. 2 hours lecture; 3 hours lab, 3 semester hours.

MTGN466. DESIGN: SELECTION AND USE OF MATERIALS (II) Selection of alloys for specific applications, designing for corrosion resistant service, concept of passivity, designing for wear resistant service, designing for high temperature service and designing for high strength/weight applications. Introduction to the aluminum, copper, nickel, cobalt, stainless steel, cast irons, titanium and refractory metal alloy-systems. Coating science and selection. Prerequisite: MTGN348. 1 hour lecture, 6 hours lab; 3 semester hours.

MTGN475. METALLURGY OF WELDING (I) Introduction to welding processes Dthermal aspects; metallurgical evaluation of resulting microstructures; attendant phase transformations; selection of filler metals; stresses; stress relief and annealing; preheating and post heating; difficulties and defects; welding ferrous and nonferrous alloys; and, welding tests. Prerequisite: MTGN348. Co-requisite: MTGN477. 2 hours lecture; 2 semester hours.

MTGN477. METALLURGY OF WELDING LABORATORY (I) Experiments designed to supplement the lectures in MTGN475. Prerequisite: MTGN475. 3 hours lab; 1 semester hour.

MTGN498. SPECIAL TOPICS IN METALLURGICAL AND MATERIALS ENGINEERING (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Consent of Instructor. 1 to 3 semester hours.

MTGN499. INDEPENDENT STUDY (I, II) Independent advanced-work leading to a comprehensive report. This work may take the form of conferences, library, and laboratory work. Choice of problem is arranged between student and a specific Department faculty-member. Prerequisite: Selection of topic with consent of faculty supervisor; "Independent Study Form" must be completed and submitted to Registrar. 1 to 3 semester hours for each of two semesters.

## **Graduate Courses**

Most courses are offered once every two years. However, those courses offered for which fewer than five students have registered may be cancelled that semester. Courses at the 500-level are open to qualified seniors with approval of the Department and the Dean of the Graduate School. Courses at the 600-level are open only to graduate students in good standing. A two-year course-schedule is available in the Department office.

MTGN511. SPECIAL METALLURGICAL AND MATERIALS ENGINEERING PROBLEMS (I) Independent advanced work, not leading to a thesis. This may take the form of conferences, library, and laboratory work. Selection of assignment is arranged between student and a specific Department faculty-member. Prerequisite: Selection of topic with consent of faculty supervisor. 1 to 3 semester hours.

MTGN512. SPECIAL METALLURGICAL AND MATERIALS ENGINEERING PROBLEMS (II) Continuation of MTGN511. Prerequisite: Selection of topic with consent of faculty supervisor. 1 to 3 semester hours.

MTGN514. DEFECT CHEMISTRY AND TRANSPORT PROCESSES IN CERAMIC SYSTEMS (I) Ceramic materials science in the area of structural imperfections, their chemistry, and their relation to mass and charge transport; defects and diffusion, sintering, and grain growth with particular emphasis on the relation of fundamental transport phenomena to sintering and microstructure development and control. Prerequisites: DCGN209 or MTGN351; MT311 or consent of instructor. 3 hours lecture; 3 semester hours. (Fall of odd years only.)

MTGN516. MICROSTRUCTURE OF CERAMIC SYSTEMS (II) Analysis of the chemical and physical processes

controlling microstructure development in ceramic systems. Development of the glassy phase in ceramic systems and the resulting properties. Relationship of microstructure to chemical, electrical, and mechanical properties of ceramics. Application to strengthening and toughening in ceramic composite system. Prerequisite: Graduate status or consent of instructor. 3 hours lecture; 3 semester hours. (Spring of even years only.)

MTGN517. REFRACTORIES (I) The manufacture, testing, and use of basic, neutral, acid, and specialty refractories are considered. Special emphasis is placed on the relationship between physical properties of the various refractories and their uses in the metallurgical industry. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN518/MLGN518. PHASE EQUILIBRIA IN CERAMIC SYSTEMS (II) Application of one to four component oxide diagrams to ceramic engineering problems. Emphasis on refractories and glasses and their interaction with metallic systems. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of odd years only.)

MTGN523/MLGN523. APPLIED SURFACE AND SOLUTION CHEMISTRY (II) Solution and surface chemistry of importance in mineral and metallurgical operations. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of odd years only.)

MTGN526/MLGN526. GEL SCIENCE AND TECHNOLOGY An introduction to the science and technology of particulate and polymeric gels, emphasizing inorganic systems. Interparticle forces. Aggregation, network formation, percolation, and the gel transition. Gel structure, rheology, and mechanical properties. Application to solid-liquid separation operations (filtration, centrifugation, sedimentation) and to ceramics processing. Prerequisite: Graduate level status or consent of instructor. 3 hours lecture; 3 semester hours. (Spring of odd years only.)

MTGN527/ESGN562. SOLID WASTE MINIMIZATION AND RECYCLING (II) Industrial case-studies, on the application of engineering principles to minimize waste formation and to meet solid waste recycling challenges. Proven and emerging solutions to solid waste environmental problems, especially those associated with metals. Prerequisites: ESGN500 and ESGN504 or consent of instructor. 3 hours lecture; 3 semester hours.

MTGN529. METALLURGICAL ENVIRONMENT (I) Effluents, wastes, and their point sources associated with metallurgical processes, such as mineral concentration and values extraction—providing for an interface between metallurgical process engineering and the environmental-engineering areas. Fundamentals of metallurgical unit operations and unit processes, applied to waste and effluents control, recycling, and waste disposal. Examples which incorporate engineering design and cost components are included. Prerequisites: MTGN331 or consent of instructor. 3 hours lecture; 3 semester hours.

MTGN530. ADVANCED IRON AND STEELMAKING (I) Physicochemical principles of gas-slag-metal reactions applied to the reduction of iron ore concentrates and to the refining of liquid iron to steel. The role of these reactions in reactor design—blast furnace and direct iron smelting furnace, pneumatic steelmaking furnace, refining slags, deoxidation and degassing, ladle metallurgy, alloying, and continuous casting of steel. Prerequisite: DCGN209 or MTGN351 or consent of instructor. 3 hours lecture; 3 semester hours. (Fall of even years only.)

MTGN531. THERMODYNAMICS OF METALLURGICAL AND MATERIALS PROCESSING (I) Application of thermodynamics to the processing of metals and materials, with emphasis on the use of thermodynamics in the development and optimization of processing systems. Focus areas will include entropy and enthalpy, reaction equilibrium, solution thermodynamics, methods for analysis and correlation of thermodynamics data, thermodynamic analysis of phase diagrams, thermodynamics of surfaces, thermodynamics of defect structures, and irreversible thermodynamics. Attention will be given to experimental methods for the measurement of thermodynamic quantities. Prerequisite: MTGN351 or consent of instructor. 3 hours lecture; 3 semester hours.

MTGN534. CASE STUDIES IN PROCESS DEVELOPMENT A study of the steps required for development of a mineral recovery process. Technical, economic, and human factors involved in bringing a process concept into commercial production. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN535. PYROMETALLURGICAL PROCESSES (II) The detailed study of a selected few processes, illustrating the application of the principles of physical chemistry (both thermodynamics and kinetics) and chemical engineering (heat and mass transfer, fluid flow, plant design, fuel technology, etc.) to practice process development. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN536. OPTIMIZATION AND CONTROL OF METALLURGICAL SYSTEMS Application of modern optimization and control theory to the analysis of specific systems in extractive metallurgy and mineral processing. Mathematical modeling, linear control analysis, dynamic response, and indirect optimum seeking techniques applied to the process analysis of grinding, screening, filtration, leaching, precipitation of metals from solution, and blast furnace reduction of metals. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN537. ELECTROMETALLURGY (II) Electrochemical nature of metallurgical processes. Kinetics of electrode reactions. Electrochemical oxidation and reduction. Complex electrode reactions. Mixed potential systems. Cell design and optimization of electrometallurgical processes. Batteries and fuel cells. Some aspects of corrosion. Prerequisite:

Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of even years only.)

MTGN538. HYDROMETALLURGY (II) Kinetics of liquid-solid reactions. Theory of uniformly accessible surfaces. Hydrometallurgy of sulfide and oxides. Cementation and hydrogen reduction. Ion exchange and solvent extraction. Physicochemical phenomena at high pressures. Microbiological metallurgy. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of odd years only.)

MTGN539. PRINCIPLES OF MATERIALS PROCESSING REACTOR DESIGN (II) Review of reactor types and idealized design equations for isothermal conditions. Residence time functions for nonreacting and reacting species and its importance to process control. Selection of reactor type for a given application. Reversible and irreversible reactions in CSTR's under nonisothermal conditions. Heat and mass transfer considerations and kinetics of gas-solid reactions applied to fluo-solids type reactors. Reactions in packed beds. Scale up and design of experiments. Brief introduction into drying, crystallization, and bacterial processes. Examples will be taken from current metallurgical practice. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of odd years only.)

MTGN541. INTRODUCTORY PHYSICS OF METALS (I) The electron theory of metals. Classical and quantummechanical free electron theory. Electrical and thermal conductivity, thermoelectric effects, theory of magnetism, specific heat, diffusion, and reaction rates. Prerequisite: MTGN445. 3 hours lecture; 3 semester hours.

MTGN542. ALLOYING THEORY, STRUCTURE, AND PHASE STABILITY (II) Empirical rules and theories relating to alloy formation. Various alloy phases and constituents which result when metals are alloyed and examined in detail. Current information on solid solutions, intermetallic compounds, eutectics, liquid immiscibility. Prerequisite: MTGN445 or consent of instructor. 3 hours lecture; 3 semester hours.

MTGN543. THEORY OF DISLOCATIONS (I) Stress field around dislocation, forces on dislocations, dislocation reactions, dislocation multiplication, image forces, interaction with point defects, interpretation of macroscopic behavior in light of dislocation mechanisms. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Fall of odd years only.)

MTGN544. FORGING AND DEFORMATION MODELING (I) An examination of the forging process for the fabrication of metal components. Techniques used to model deformation processes including slab equilibrium, slip line, upper bound and finite element methods. Application of these techniques to specific aspects of forging and metal forming processes.. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Fall of odd years only.)

MTGN545. FATIGUE AND FRACTURE (I) Basic fracture mechanics as applied to engineering material, S-N curves, the Goodman diagram, stress concentrations, residual stress effects, effect of material properties on mechanisms of crack propagation. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Fall of odd years only.)

MTGN546. CREEP AND HIGH TEMPERATURE MATERIALS (II) Mathematical description of creep process. Mathematical methods of extrapolation of creep data. Micromechanisms of creep deformation, including dislocation glide and grain boundary sliding. Study of various high temperature materials, including iron, nickel, and cobalt base alloys and refractory metals, and ceramics. Emphasis on phase transformations and microstructure-property relationships. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of odd years only.)

MTGN547. PHASE EQUILIBRIUM IN MATERIALS SYSTEMS (I) Phase equilibrium of uniary, binary, ternary, and multicomponent systems, microstructure interpretation, pressure-temperature diagrams, determination of phase diagrams. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN548. TRANSFORMATIONS IN METALS (I) Surface and interfacial phenomena, order of transformation, grain growth, recovery, recrystallization, solidification, phase transformation in solids, precipitation hardening, spinoidal decomposition, martensitic transformation, gas metal reactions. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Fall of odd years only.)

MTGN549. CURRENT DEVELOPMENTS IN FERROUS ALLOYS (I) Development and review of solid state transformations and strengthening mechanisms in ferrous alloys. The application of these principles to the development of new alloys and processes such as high strength low alloy steels, high temperature alloys, maraging steels, and case hardening processes. Prerequisite: MTGN348. 3 hours lecture; 3 semester hours.

MTGN551. ADVANCED CORROSION ENGINEERING (I) Advanced topics in corrosion engineering. Case studies and industrial application. Special forms of corrosion. Advanced measurement technique. Prerequisite: MTGN451. 3 hours lecture; 3 semester hours. (Fall of even years only.)

MTGN552/MLGN552. INORGANIC MATRIX COMPOSITES Introduction to the processing, structure, properties and applications of metal matrix and ceramic matrix composites. Importance of structure and properties of both the matrix and the reinforcement and the types of reinforcement utilized—particulate, short fiber, continuous fiber, and laminates. Emphasis on the development of mechanical properties through control of synthesis and processing parameters. Other physical properties such as electrical and thermal will also be examined. Prerequisite/Co-requisite\*: MTGN311, MTGN348, MTGN351, MTGN352, MTGN445/ML505\*; or, consent of instructor. 3 hours lecture; 3

semester hours. (Summer of even years only.)

MTGN553. STRENGTHENING MECHANISMS(II) Strain hardening in polycrystalline materials, dislocation interactions, effect of grain boundaries on strength, solid solution hardening, martensitic transformations, precipitation hardening, point defects. Prerequisite: MTGN543 or concurrent enrollment. 3 hours lecture; 3 semester hours. (Spring of even years only.)

MTGN554. OXIDATION OF METALS (II) Kinetics of oxidation. The nature of the oxide film. Transport in oxides. Mechanisms of oxidation. The protection of high- temperature metal systems. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of even years only.)

MTGN555/MLGN504. SOLID STATE THERMODYNAMICS (I) Thermodynamics as applied to solid state reactions, binary and ternary phase diagrams, point, line and planar defects, interfaces, and electrochemical concepts. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN556/MLGN506. TRANSPORT IN SOLIDS (I) Thermal and electrical conductivity. Solid state diffusion in metals and metal systems. Kinetics of metallurgical reactions in the solid state. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of even years only.)

MTGN557. SOLIDIFICATION (I) Heat flow and fluid flow in solidification, thermodynamics of solidification, nucleation and interface kinetics, grain refining, crystal and grain growth, constitutional supercooling, eutectic growth, solidification of castings and ingots, segregation, and porosity. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Fall of odd years only.)

MTGN558. MANAGEMENT OF MANUFACTURING PROCESSES Theory and practice of the management of manufacturing operations. Topics include inventory control models; factory dynamics and flow-through manufacturing processes; application of Little's Queueing Law to relate cycle time, throughput and work-in-process; influence of variability on utilization and process flow; bottleneck planning and the influence of bottleneck constraints on cycle time, throughput and work-in-process; batching laws; application of queueing network theory for process analysis and optimization; shop-floor control and constant work-in-process control systems. Application of the principles of manufacturing management to manufacturing processes such as casting and molding, forming, machining and finishing, joining, coating, electronic manufacturing, inspection and quality control, logistic processes, and service processes. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN559. SIMULATION OF MANUFACTURING AND SERVICE PROCESSES An introduction to the theory and practice of dynamic simulation of queueing systems such as those encountered in manufacturing systems and service operations. The topics include generation of random numbers and random variates, discrete and continuous statistical distributions used for simulation, simulation dynamics, queueing systems, statistical analysis of simulation output, entity transfer, conveyors, batching, statistical analysis of simulation output, and termination of simulation models. A commercial computer based simulation package will be used to provide the experience and background necessary to build and analyze simulation models of manufacturing and service operations such as ferrous and nonferrous alloy production, ceramic materials production, casting and molding, forming, machining and finishing, joining, coating, electronic manufacturing, inspection and quality control, logistic processes, and service processes. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

MTGN560. ANALYSIS OF METALLURGICAL FAILURES (II) Applications of the principles of physical and mechanical metallurgy to the analysis of metallurgical failures. Nondestructive testing. Fractography. Case study analysis. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of odd years only.)

MTGN561. PHYSICAL METALLURGY OF ALLOYS FOR AEROSPACE (I) Review of current developments in aerospace materials with particular attention paid to titanium alloys, aluminum alloys, and metal-matrix composites. Emphasis is on phase equilibria, phase transformations, and microstructure-property relationships. Concepts of innovative processing and microstructural alloy design are included where appropriate. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Fall of even years only.)

MTGN564 CONSTITUTIVE MODELING OF MATERIAL BEHAVIOR (I) Examination of various constitutive models which are used to characterize material behavior. Models for elastic behavior, strain hardening, strain-rate hardening, creep, viscoplastic, cyclical hardening and nonisothermal behavior will be discussed. Experimental methods and data analysis to determine various constitutive parameters will be described. The use of these models in computer codes (especially finite element analyses) will be presented. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Fall of even years only.)

MTGN565 MECHANICAL PROPERTIES OF CERAMICS AND COMPOSITES (I) Mechanical properties of ceramics and ceramic-based composites; brittle fracture of solids; toughening mechanisms in composites; fatigue, high temperature mechanical behavior, including fracture, creep deformation. Prerequisites: MTGN445 or MLGN505, or consent of instructor. 3 hours lecture; 3 semester hours. (Fall of even years only.)

MTGN/MLGN 570 BIOCOMPATIBILITY OF MATERIALS Introduction to the diversity of biomaterials and applications through examination of the physiologic environment in conjunction with compositional and structural requirements of tissues and organs. Appropriate domains and applications of metals, ceramics and polymers, including implants, sensors, drug delivery, laboratory automation, and tissue engineering are presented. Prerequisites: ESGN 301 or equivalent, or instructor consent. 3 hours lecture; 3 semester hours

MTGN571. METALLURGICAL AND MATERIALS ENGINEERING LABORATORY Basic instruction in advanced equipment and techniques in the field of extraction, mechanical or physical metallurgy. Prerequisite: Selection and consent of faculty instructor. 3 to 9 lab hours; 1 to 3 semester hours.

MTGN580. ADVANCED WELDING METALLURGY (II) Weldability, defects, phase transformations, heat flow, preheat treatment, post-heat treatment, heat affected zone, microstructure, and properties. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of even years only.)

MTGN581. WELDING HEAT SOURCES AND INTERACTIVE CONTROLS (I) The science of welding heat sources including gas tungsten arc, gas metal arc, electron beam and laser. The interaction of the heat source with the workpiece will be explored and special emphasis will be given to using this knowledge for automatic control of the welding process. Prerequisite: Graduate status or consent of instructor. 3 hours lecture; 3 semester hours. (Fall of odd years only.)

MTGN582. MECHANICAL PROPERTIES OF WELDED JOINTS (II) Mechanical metallurgy of heterogeneous systems, shrinkage, distortion, cracking, residual stresses, mechanical testing of joints, size effects, joint design, transition temperature, fracture. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of odd years only.)

MTGN583. PRINCIPLES OF NON-DESTRUCTIVE TESTING AND EVALUATION (I) Introduction to testing methods; basic physical principles of acoustics, radiography, and electromagnetism; statistical and risk analysis; fracture mechanics concepts; design decision making, limitations and applications of processes; fitness-for- service evaluations. Prerequisite: Graduate status or consent of instructor. 3 hours lecture; 3 semester hours. (Fall of odd years only.)

MTGN584. NON-FUSION JOINING PROCESSES (II) Joining processes for which the base materials are not melted. Brazing, soldering, diffusion bonding, explosive bonding, and adhesive bonding processes. Theoretical aspects of these processes, as well as the influence of process parameters. Special emphasis to the joining of dissimilar materials using these processes. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours. (Spring of odd years only.)

MTGN586. DESIGN OF WELDED STRUCTURES AND ASSEMBLIES Introduction to the concepts and analytical practice of designing weldments. Designing for impact, fatigue, and torsional loading. Designing of weldments using overmatching and undermatching criteria. Analysis of combined stresses. Designing of compression members, column bases and splices. Designing of built-up columns, welded plate cylinders, beam-to-column connections, and trusses. Designing for tubular construction. Weld distortion and residual stresses. Joint design. Process consideration in weld design. Welding codes and specifications. Estimation of welding costs. Prerequisite/Co-requisite: MACS315 or equivalent, EGGN320 or equivalent, MTGN475 or consent of instructor. 3 hours lecture; 3 semester hours. (Summer of odd years only.)

MTGN587. PHYSICAL PHENOMENA OF WELDING AND JOINING PROCESSES (I) Introduction to arc physics, fluid flow in the plasma, behavior of high pressure plasma, cathodic and anodic phenomena, energy generation and temperature distribution in the plasma, arc stability, metal transfer across arc, electron beam welding processes, keyhole phenomena. Ohmic welding processes, high frequency welding, weld pool phenomena. Development of relationships between physics concepts and the behavior of specific welding and joining processes. Prerequisite/Co-requisite: PHGN300, MACS315, MTGN475, or consent of instructor. 3 hours lecture; 3 semester hours. (Fall of even years only.)

MTGN591. PHYSICAL PHENOMENA OF COATING PROCESSES (I) Introduction to plasma physics, behavior of low pressure plasma, cathodic and anodic phenomena, glow discharge phenomena, glow discharge sputtering, magnetron plasma deposition, ion beam deposition, cathodic arc evaporation, electron beam and laser coating processes. Development of relationships between physics concepts and the behavior of specific coating processes. Prerequisite/Co-requisite: PHGN300, MACS315, or consent of instructor. 3 hours lecture; 3 semester hours. (Fall of odd years only.)

MTGN598. SPECIAL TOPICS IN METALLURGICAL AND MATERIALS ENGINEERING (I,II) Pilot course or special topics course. Topics chosen according to special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Consent of instructor. Variable hours lecture/lab; 1 to 6 semester hours.

MTGN599. INDEPENDENT STUDY (I,II) Individual research or special problem projects supervised by a faculty member. Student and instructor to agree on subject matter, content, and credit hours. Prerequisite: 'Independent Study' Form must be completed and submitted to the Registrar. 1 to 3 semester hours for each of two semesters.

MTGN631. TRANSPORT PHENOMENA IN METALLURGICAL AND MATERIALS SYSTEMS Physical principles of mass, momentum, and energy transport. Application to the analysis of extraction metallurgy and other physicochemical processes. Prerequisite: MACS315 or equivalent, or consent of instructor. 3 hours lecture; 3 semester hours.

MTGN671 ADVANCED MATERIALS LABORATORY (I) Experimental and analytical research in the fields of production, mechanical, chemical, and/or physical metallurgy. Prerequisite: Consent of instructor. 1 to 3 semester hours; 3 semester hours.

MTGN672. ADVANCED MATERIALS LABORATORY (II) Continuation of MTGN671. 1 to 3 semester hours.

MTGN696/MLGN696. VAPOR DEPOSITION PROCESSES (II) Introduction to the fundamental physics and chemistry underlying the control of deposition processes for thin films for a variety of applications—wear resistance, corrosion/oxidation resistance, decorative coatings, electronic and magnetic. Emphasis on the vapor deposition process variables rather than the structure and properties of the thin films. Prerequisites: MTGN351, MTGN461, or equivalent courses or consent of instructor. 3 hours lecture; 3 semester hours. (Summer of odd years only.)

MTGN697. MICROSTRUCTURAL EVOLUTION OF COATINGS AND THIN FILMS (I) Introduction to aqueous and non-aqueous chemistry for the preparation of an effective electrolyte; for interpretation of electrochemical principles associated with electrodeposition; surface science to describe surface structure and transport; interphasial structure including space charge and double layer concepts; nucleation concepts applied to electrodeposition; electrocrystallization including growth concepts; factors affecting morphology and kinetics; co-deposition of non-Brownian particles; pulse electrodeposition; electrodeposition parameters and control; physical metallurgy of electrodeposits; and, principles associated with vacuum evaporation and sputter deposition. Factors affecting microstructural evolution of vacuum and sputtered deposits; nucleation of vapor and sputtered deposits; modeling of matter-energy interactions during co-deposition; and, Thornton's model for coating growth. Prerequisite/co-requisite: MACS315, MTGN351, MTGN352, or consent of instructor. 3 hours lecture; 3 semester hours. (Summer of even years only.)

MTGN698. SPECIAL TOPICS IN METALLURGICAL AND MATERIALS ENGINEERING (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Consent of instructor. 1 to 3 semester hours per semester.

MTGN699. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member. Student and instructor to agree on subject matter, content, and credit hours. Prerequisite: 'Independent Study' Form must be completed and submitted to the Registrar. 1 to 3 semester hours for each of two semesters.

MTGN701. GRADUATE THESIS-MASTER OF SCIENCE (I, II) Master's thesis supervision by student's advisor in collaboration with the Thesis Committee.

MTGN703. GRADUATE THESIS-DOCTOR OF PHILOSOPHY (I, II) Doctoral thesis supervision by student's advisor in collaboration with the Thesis Committee.

MTGN704. GRADUATE RESEARCH CREDIT: MASTER OF ENGINEERING Engineering design credit hours required for completion of the degree Master of Engineering - thesis. Engineering design under the direct supervision of the graduate student's faculty advisor.

MTGN705. GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research under the direct supervision of the graduate student's faculty advisor.

MTGN706. GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research under the direct supervision of the graduate student's faculty advisor.

# **Mining Engineering**

TIBOR G. ROZGONYI, Professor and Department Head M.U. OZBAY, Professor LEVENT OZDEMIR, Professor and Director of Earth Mechanics Institute BAKI YARAR, Professor KADRI DAGDELEN, Associate Professor MATTHEW J. HREBAR, III, Associate Professor Emeritus MASAMI NAKAGAWA, Associate Professor D. SCOTT KIEFFER, Assistant Professor MARK KUCHTA, Assistant Professor MIKLOS D. G. SALAMON, Professor Emeritus

# Degrees Offered:

Master of Engineering (Engineer of Mines)

Master of Science (Mining and Earth Systems Engineering)

Doctor of Philosophy (Mining and Earth Systems Engineering)

# Program Description:

The program has two distinctive, but inherently interwoven specialties.

The **Mining Engineering** area or specialty is predominantly for mining engineers and it is directed towards the traditional mining engineering fields. Graduate work is normally centered around subject areas such as mine planning and development and computer aided mine design, rock mechanics, operations research applied to the mineral industry, mine mechanization, mine evaluation, finance and management and similar mining engineering topics.

The **Earth Systems Engineering** area or specialty is designed to be distinctly interdisciplinary by merging the mining engineering fundamentals with civil, geotechnical, environmental or other engineering into advanced study tracks in earth (rock) systems, rock mechanics and earth (rock) structural systems, underground excavation, and construction systems. This specialty is open for engineers with different sub-disciplinary backgrounds, but interested in working and/or considering performing research in mining, tunneling, excavation and underground construction areas.

Graduate work is normally centered around subject areas such as site characterization, environmental aspects, underground construction and tunneling (including microtunneling), excavation methods and equipment, mechnization of mines and underground construction, environmental and management aspects, modeling and design in geoengineering.

# Program Requirements:

The Master of Science degree in Mining and Earth Systems Engineering has two options available. Master of Science - Thesis and Master of Science - Non-Thesis. Thesis Option requires a minimum of 24 semester credit hours of course work and 12 semester credits of research, approved by student's graduate committee, plus a master's thesis. The Master of Science - Non-Thesis option must complete a minimum of 36 credit hours of course work of which 6 credit hours may be applied towards the analytical report writing, if required.

The Master of Engineering degree (Engineer of Mines) in Mining Engineering includes all the requirements for the M.S. degree, with the sole exception that an "engineering report" is required rather than a Master's Thesis.

The Doctor of Philosophy degree in Mining and Earth Systems Engineering requires a total of 72 credit hours, beyond the bachelor's degree of which research shall be no fewer than 24 credit hours. The usual departmental requirement is a minimum of 48 credit hours of course work and 24 credit hours for research. The thesis must be successfully defended before a doctoral committee.

# Prerequisites:

Students entering a graduate program for the master's or doctor's degree are expected to have had much the same undergraduate training as that required at Colorado School of Mines in mining, if they are interested in the traditional mining specialty. Students interested in the Earth Systems engineering specialty with different engineering subdisciplinary background may also require special mining engineering subjects depending upon their graduate program. Deficiencies if any, will be determined by the Department of Mining Engineering on the basis of students' education, experience, and graduate study.

For specific information on prerequisites, students are encouraged to refer to a copy of the Mining Engineering Department's Departmental Guidelines and Regulations for Graduate Students, available from the Mining Engineering Department.

# Required Curriculum:

All graduate students are required to complete three core courses during their first academic year of study at CSM, depending upon their specialty and background.

These courses are:

MNGN505 - Rock Mechanics in Mining

MNGN512 - Surface Mine Design

MNGN516 - Underground Mining

Advanced Soil Mechanics (new, to be advised)

Underground Excavation (new, to be advised)

Fundamentals of Engineering Geology (new, to be advised)

In addition, all full-time graduate students are required to register for and attend MNGN625 - Graduate Mining Seminar each semester while in residence, except in the case of scheduling conflicts with other course(s) approved by the thesis advisor.

# Fields of Research:

The Mining Engineering Department focuses on the following fundamental areas: Geomechanics, Rock Mechanics and Stability of Underground Openings Computerized Mine Design and Related Applications (including Geostatistical Modeling) Advanced Integrated Mining Systems Incorporating Mine Mechanization and Mechanical Mining Systems Underground Excavation (Tunneling) and Construction Site Characterization and Geotechnical Investigations, Modeling and Design in Geoengineering. Rock Fragmentation Mineral Processing, Communition, Separation Technology Bulk Material Handling Description of Courses

MNGN404. TUNNELING (I) Modern tunneling techniques. Emphasis on evaluation of ground conditions, estimation of support requirements, methods of tunnel driving and boring, design systems and equipment, and safety. Prerequisite: MNGN210, 314. 3 hours lecture; 3 semester hours.

MNGN405. ROCK MECHANICS IN MINING (I) The course deals with the rock mechanics aspect of design of mine layouts developed in both underground and surface. Underground mining sections include design of coal and hard rock pillars, mine layout design for tabular and massive ore bodies, assessment of caving characteristics or ore bodies, performance and application of backfill, and phenomenon of rock burst and its alleviation. Surface mining portion covers rock mass characterization, failure modes of slopes excavated in rock masses, probabilistic and deterministic approaches to design of slopes, and remedial measures for slope stability problems. Prerequisite: MN321 or equivalent. 3 hours lecture; 3 semester hours

MNGN406. DESIGN AND SUPPORT OF UNDERGROUND EXCAVATIONS Design of underground excavations and support. Analysis of stress and rock mass deformations around excavations using analytical and numerical methods. Collections, preparation, and evaluation of in situ and laboratory data for excavation design. Use of rock mass rating systems for site characterization and excavation design. Study of support types and selection of support for underground excavations. Use of numerical models for design of shafts, tunnels and large chambers. Prerequisite: Instructor's consent. 3 hours lecture; 3 semester hours. Offered in odd years.

MNGN407. ROCK FRAGMENTATION (II) Theory and application of rock drilling, rock boring, explosives, blasting, and mechanical rock breakage. Design of blasting rounds, applications to surface and underground excavation. Prerequisite: EGGN320 or concurrent enrollment. 3 hours lecture; 3 semester hours. Offered in odd years.

MNGN414. MINE PLANT DESIGN (I) Analysis of mine plant elements with emphasis on design. Materials handling systems, dewatering, hoisting, compressed air, and other power systems. Prerequisite: EGGN351, DCGN381 or EGGN384. 2 hours lecture, 3 hours lab; 3 semester hours.

MNGN421. DESIGN OF UNDERGROUND EXCAVATIONS (II) Design of underground openings in competent and broken ground using rock mechanics principles. Rock bolting design and other ground support methods. Coal, evaporite, metallic and nonmetallic deposits included. Prerequisite: SYGN101, credit or concurrent enrollment in EGGN320. 3 hours lecture; 3 semester hours.

MNGN423. SELECTED TOPICS (I, II) Special topics in mining engineering. Prerequisite: Approval of instructor. 1 to 3 semester hours.

MNGN424. MINE VENTILATION (II) Fundamentals of mine ventilation, including control of gas, dust, temperature, and humidity; stressing analysis and design of systems. Prerequisite: EGGN351, 371 and MNGN314. 2 hours lecture, 3 hours lab; 3 semester hours.

MNGN427. MINE VALUATION (II) Course emphasis is on the business aspects of mining. Topics include time valuation of money and interest formulas, cash flow, investment criteria, tax considerations, risk and sensitivity analysis, escalation and inflation and cost of capital. Calculation procedures are illustrated by case studies. Computer programs are used. Prerequisite: Senior in Mining, graduate status or consent of instructor. 2 hours lecture; 2 semester hours.

MNGN428. MINING ENGINEERING EVALUATION AND DESIGN REPORT I (I) Preparation of phase I engineering report based on coordination of all previous work. Includes mineral deposit selection, geologic description, mining method selection, ore reserve determination, and permit process outline. Emphasis is on detailed mine design and cost analysis evaluation in preparation for MNGN429. 3 hours lab; 1 semester hour.

MNGN429. MINING ENGINEERING EVALUATION AND DESIGN REPORT II (II) Preparation of formal engineering report based on all course work in the mining option. Emphasis is on mine design, equipment selection, production scheduling and evaluation. Prerequisite: MNGN427, 428. 3 hours lab; 2 semester hours.

MNGN431. MINING AND METALLURGICAL ENVIRONMENT This course covers studies of the interface

between mining and metallurgical process engineering and environmental engineering areas. Wastes, effluents and their point sources in mining and metallurgical processes such as mineral concentration, value extraction and process metallurgy are studied in context. Fundamentals of unit operations and unit processes with those applicable to waste and effluent control, disposal and materials recycling are covered. Engineering design and engineering cost components are also included for some examples chosen. The ratio of fundamentals to applications coverage is about 1:1. Prerequisite: consent of instructor. 3 hours lecture; 3 semester hours.

MNGN433. MINE SYSTEMS ANALYSIS I (II) Application of statistics, systems analysis, and operations research techniques to mineral industry problems. Laboratory work using computer techniques to improve efficiency of mining operations. Prerequisite: MACS323 or equivalent course in statistics; senior or graduate status. 2 hours lecture, 3 hours lab; 3 semester hours.

MNGN434. PROCESS ANALYSIS Projects to accompany the lectures in MNGN422. Prerequisite: MNGN422 or consent of instructor. 3 hours lab; 1 semester hour.

MNGN436. UNDERGROUND COAL MINE DESIGN (II) Design of an underground coal mine based on an actual coal reserve. This course shall utilize all previous course material in the actual design of an underground coal mine. Ventilation, materials handling, electrical transmission and distribution, fluid mechanics, equipment selection and application, mine plant design. Information from all basic mining survey courses will be used. Prerequisite: MNGN316, 321, 414, EGGN329 and DCGN381 or EGGN384. Concurrent enrollment with the consent of instructor permitted. 3 hours lecture, 3 hours lab; 3 semester hours.

MNGN438. INTRODUCTION TO GEOSTATISTICS (I) Introduction to the application and theory of geostatistics in the mining industry. Review of elementary statistics and traditional estimations techniques. Variograms, estimation variance, block variance, kriging, and geostatistical concepts are presented. Prerequisite: MACS323 or equivalent. 1 hour lecture, 3 hours lab; 2 semester hours.

MNGN440. EQUIPMENT REPLACEMENT ANALYSIS (I) Introduction to the fundamentals of classical equipment replacement theory. Emphasis on new, practical approaches to equipment replacement decision making. Topics include: operating and maintenance costs, obsolescence factors, technological changes, salvage, capital investments, minimal average annual costs, optimum economic life, infinite and finite planning horizons, replacement cycles, replacement vs. expansion, maximization of returns from equipment replacement expenditures. Prerequisite: MNGN427, senior or graduate status. 2 hours lecture; 2 semester hours.

MNGN445. OPEN PIT SLOPE DESIGN (II) Introduction to the analysis and design of optimal pit slopes. Topics include: economic aspects of slope angles, rock mass classification and strength determinations, geologic structural parameters, properties of fracture sets, data collection techniques, hydrologic factors, methods of analysis, macrofab analysis, wedge intersections, monitoring and maintenance of final pit slopes, classification of slides. Prerequisite: MNGN321, GEOL308 or 309. 2 hours lecture; 2 semester hours.

MNGN446. SLOPE DESIGN LABORATORY (II) Laboratory and field exercise in slope analysis and design. Collection of data and specimens in the field for laboratory determination of physical properties for determination of slope angle stability. Application of computer software to slope stability determination for hard and soft rock environments. Prerequisite: MNGN321 and credit or concurrent registration in MNGN445. 3 hours lab; 1 semester hour.

MNGN460 INDUSTRIAL MINERALS PRODUCTION (II) This course describes the engineering principles and practices associated with quarry mining operations related to the cement and aggregate industries. The course will cover resource definition, quarry planning and design, extraction, and processing of minerals for cement and aggregate production. Permitting issues and reclamation, particle sizing and environmental practices, will be studied in depth. Prerequisite: MNGN312, MNGN318, MNGN322, MNGN323, or consent of instructor. 3 hours lecture; 3 semester hours.

MNGN482. MINE MANAGEMENT (II) Basic principles of successful mine management, supervision, administrative policies, industrial and human engineering. Prerequisite: Senior or graduate status or consent of instructor. 2 hours lecture; 2 semester hours. Offered in odd years.

MNGN498. SPECIAL TOPICS IN MINING ENGINEERING (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

MNGN499. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

### **Graduate Courses**

500-level courses are open to qualified seniors with permission of the department and Dean of the Graduate School.

600-level courses are open only to students enrolled in the Graduate School.

MNGN501. REGULATORY MINING LAWS AND CONTRACTS (I) Basic fundamentals of engineering law, regulations of federal and state laws pertaining to the mineral industry and environment control. Basic concepts of mining contracts. Offered in even numbered years. Prerequisite: Senior or graduate status. 3 hours lecture; 3 semester hours. Offered in even years.

MNGN505. ROCK MECHANICS IN MINING (I) The course deals with the rock mechanics aspect of design of mine layouts developed in both underground and surface. Underground mining sections include design of coal and hard rock pillars, mine layout design for tabular and massive ore bodies, assessment of caving characteristics or ore bodies, performance and application of backfill, and phenomenon of rock burst and its alleviation. Surface mining portion covers rock mass characterization, failure modes of slopes excavated in rock masses, probabilistic and deterministic approaches to design of slopes, and remedial measures for slope stability problems. Prerequisite: MN321 or equivalent. 3 hours lecture; 3 semester hours

MNGN506. DESIGN AND SUPPORT OF UNDERGROUND EXCAVATIONS Design of underground excavations and support. Analysis of stress and rock mass deformations around excavations using analytical and numerical methods. Collections, preparation, and evaluation of in situ and laboratory data for excavation design. Use of rock mass rating systems for site characterization and excavation design. Study of support types and selection of support for underground excavations. Use of numerical models for design of shafts, tunnels and large chambers. Prerequisite: Instructor's consent. 3 hours lecture; 3 semester hours. Offered in odd years.

MNGN507. ADVANCED DRILLING AND BLASTING (I) An advanced study of the theories of rock penetration including percussion, rotary, and rotary percussion drilling. Rock fragmentation including explosives and the theories of blasting rock. Application of theory to drilling and blasting practice at mines, pits, and quarries. Prerequisite: MNGN407. 3 hours lecture; 3 semester hours. Offered in odd years.

MNGN508. ADVANCED ROCK MECHANICS Analytical and numerical modeling analysis of stresses and displacements induced around engineering excavations in rock. In-situ stress. Rock failure criteria. Complete load deformation behavior of rocks. Measurement and monitoring techniques in rock mechanics. Principles of design of excavation in rocks. Analytical, numerical modeling and empirical design methods. Probabilistic and deterministic approaches to rock engineering designs. Excavation design examples for shafts, tunnels, large chambers and mine pillars. Seismic loading of structures in rock. Phenomenon of rock burst and its alleviation. Prerequisite: MNGN321 or professor's consent. 3 hours lecture; 3 semester hours.

MNGN511. MINING INVESTIGATIONS (I, II) Investigational problems associated with any important aspect of mining. Choice of problem is arranged between student and instructor. Prerequisite: Consent of instructor. Lecture, consultation, lab, and assigned reading; 2 to 4 semester hours.

MNGN512. SURFACE MINE DESIGN Analysis of elements of surface mine operation and design of surface mining system components with emphasis on minimization of adverse environmental impact and maximization of efficient use of mineral resources. Ore estimates, unit operations, equipment selection, final pit determinations, short- and long-range planning, road layouts, dump planning, and cost estimation.. Prerequisite: MNGN210. 3 hours lecture; 3 semester hours.

MNGN513 ADVANCED SURFACE MINE DESIGN (II) This course introduces students to alternative open pit planning and design concepts. Course emphasis is on optimization aspects of open pit mine design. Topics include 3-D ultimate pit limit algorithms and their applications; computer aided haul road and dump designs; heuristic long- and short-term pit scheduling techniques; parametrization concepts; mathematical optimization for sequencing and scheduling; ore control and truck dispatching. Design procedures are illustrated by case studies using various computer programs. Prerequisite: MNGN308, MNGN312, or consent of instructor. 3 hours lecture; 3 semester hours.

MNGN514. MINING ROBOTICS (I) Fundamentals of robotics as applied to the mining industry. The focus is on mobile robotic vehicles. Topics covered are mining applications, introduction and history of mobile robotics, sensors, including vision, problems of sensing variations in rock properties, problems of representing human knowledge in control systems, machine condition diagnostics, kinematics, and path finding. Prerequisite: MACS404 or consent of instructor. 3 hours lecture; 3 semester hours. Offered in odd years.

MNGN515. MINE MECHANIZATION AND AUTOMATION. This course will provide an in-depth study of the current state of the art and future trends in mine mechanization and mine automation systems for both surface and underground mining, review the infrastructure required to support mine automation, and analyze the potential economic and health and safety benefits. Prerequisite: MNGN312, MNGN314, MNGN316, or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours. Fall of odd years.

MNGN516. UNDERGROUND MINE DESIGN Selection, design, and development of most suitable underground mining methods based upon the physical and the geological properties of mineral deposits (metallics and nonmetallics), conservation considerations, and associated environmental impacts. Reserve estimates, development and production planning, engineering drawings for development and extraction, underground haulage systems, and cost estimates.

Prerequisite: MNGN210. 2 hours lecture, 3 hours lab; 3 semester hours.

MNGN517. ADVANCED UNDERGROUND MINING (II) Review and evaluation of new developments in advanced underground mining systems to achieve improved productivity and reduced costs. The major topics covered include: mechanical excavation techniques for mine development and production, new haulage and vertical conveyance systems, advanced ground support and roof control methods, mine automation and monitoring, new mining systems and future trends in automated, high productivity mining schemes. Prerequisite: Underground Mine Design (e.g., MNGN314). 3 hours lecture; 3 semester hours.

MNGN518. ADVANCED BULK UNDERGROUND MINING TECHNIQUES This course will provide advanced knowledge and understanding of the current state-of-the-art in design, development, and production in underground hard rock mining using bulk-mining methods. Design and layout of sublevel caving, block caving, open stoping and blasthole stoping systems. Equipment selection, production scheduling, ventilation design, and mining costs. Prerequisites: MNGN314, MNGN516, or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours. Spring of odd years.

MNGN519. ADVANCED SURFACE COAL MINE DESIGN (II) Review of current manual and computer methods of reserve estimation, mine design, equipment selection, and mine planning and scheduling. Course includes design of a surface coal mine for a given case study and comparison of manual and computer results. Prerequisite: MNGN312, 316, 427. 2 hours lecture, 3 hours lab; 3 semester hours. Offered in odd years.

MNGN520. ROCK MECHANICS IN UNDERGROUND COAL MINING (I) Rock mechanics consideration in the design of room-and-pillar, longwall, and shortwall coal mining systems. Evaluation of bump and outburst conditions and remedial measures. Methane drainage systems. Surface subsidence evaluation. Prerequisite: MNGN321. 3 hours lecture; 3 semester hours. Offered in odd years.

MNGN422/522. FLOTATION Science and engineering governing the practice of mineral concentration by flotation. Interfacial phenomena, flotation reagents, mineral-reagent interactions, and zeta-potential are covered. Flotation circuit design and evaluation as well as tailings handling are also covered. The course also includes laboratory demonstrations of some fundamental concepts. 3 hours lecture; 3 semester hours.

MNGN523. SELECTED TOPICS (I, II) Special topics in mining engineering, incorporating lectures, laboratory work or independent study, depending on needs. This course may be repeated for additional credit only if subject material is different. Prerequisite: Consent of instructor. 2 to 4 semester hours.

MNGN525. INTRODUCTION TO NUMERICAL TECHNIQUES IN ROCK MECHANICS (I) Principles of stress and infinitesimal strain analysis are summarized, linear constitutive laws and energy methods are reviewed. Continuous and laminated models of stratified rock masses are introduced. The general concepts of the boundary element and finite element methods are discussed. Emphasis is placed on the boundary element approach with displacement discontinuities, because of its relevance to the modeling of the extraction of tabular mineral bodies and to the mobilization of faults, joints, etc. Several practical problems, selected from rock mechanics and subsidence engineering practices, are treated to demonstrate applications of the techniques. Prerequisite: MNGN321, EGGN320, or equivalent courses, MACS455 or consent of instructor. 3 hours lecture; 3 semester hours. Offered in even years.

MNGN526. MODELING AND MEASURING IN GEOMECHANICS (II) Introduction to instruments and instrumentation systems used for making field measurements (stress, convergence, deformation, load, etc.) in geomechanics. Techniques for determining rock mass strength and deformability. Design of field measurement programs. Interpretation of field data. Development of predictive models using field data. Introduction to various numerical techniques (boundary element, finite element, FLAC, etc.) for modeling the behavior of rock structures. Demonstration of concepts using various case studies. Prerequisite: Graduate standing or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours. Offered in odd years.

MNGN527. THEORY OF PLATES AND SHELLS Classical methods for the analysis of stresses in plate type structure are presented first. The stiffness matrices for plate element will be developed and used in the finite element method of analysis. Membrane and bending stresses in shells are derived. Application of the theory to tunnels, pipes, pressures vessels, and domes, etc., will be included. Prerequisites: EGGN320. 3 hours lecture; 3 credit hours.

MNGN528. MINING GEOLOGY (I) Role of geology and the geologist in the development and production stages of a mining operation. Topics addressed: mining operation sequence, mine mapping, drilling, sampling, reserve estimation, economic evaluation, permitting, support functions. Field trips, mine mapping, data evaluation, exercises and term project. Prerequisite: GEGN401 or GEGN405 or permission of instructors. 2 hours lecture/seminar, 3 hours laboratory: 3 semester hours. Offered in even years.

MNGN530. INTRODUCTION TO MICRO COMPUTERS IN MINING (I) General overview of the use of PC based micro computers and software applications in the mining industry. Topics include the use of: database, CAD, spreadsheets, computer graphics, data acquisition, and remote communications as applied in the mining industry. Prerequisite: Any course in computer programming. 2 hours lecture, 3 hours lab; 3 semester hours.

MNGN536. OPERATIONS RESEARCH TECHNIQUES IN THE MINERAL INDUSTRY Analysis of exploration,

mining, and metallurgy systems using statistical analysis. Monte Carlo methods, simulation, linear programming, and computer methods. Prerequisite: MNGN433 or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours. Offered in even years.

MNGN538. GEOSTATISTICAL ORE RESERVE ESTIMATION (I) Introduction to the application and theory of geostatistics in the mining industry. Review of elementary statistics and traditional ore reserve calculation techniques. Presentation of fundamental geostatistical concepts, including: variogram, estimation variance, block variance, kriging, geostatistical simulation. Emphasis on the practical aspects of geostatistical modeling in mining. Prerequisite: MACS323 or equivalent course in statistics; graduate or senior status. 3 hours lecture; 3 semester hours.

MNGN539. ADVANCED MINING GEOSTATISTICS (II) Advanced study of the theory and application of geostatistics in mining engineering. Presentation of state-of-the-art geostatistical concepts, including: robust estimation, nonlinear geostatistics, disjunctive kriging, geostatistical simulation, computational aspects. This course includes presentations by many guest lecturers from the mining industry. Emphasis on the development and application of advanced geostatistical techniques to difficult problems in the mining industry today. Prerequisite: MACS323 or equivalent and approval of department. 3 hours lecture; 3 semester hours. Offered in odd years.

MNGN549/EGES549. MARINE MINING SYSTEMS (I) Define interdisciplinary marine mining systems and operational requirements for the exploration survey, sea floor mining, hoisting, and transport. Describe and design components of deep-ocean, manganese-nodule mining systems and other marine mineral extraction methods. Analyze dynamics and remote control of the marine mining systems interactions and system components. Describe the current state-of-the-art technology, operational practice, trade-offs of the system design and risk. Prerequisite: EGGN351, EGGN320, GEOC408 or consent of instructor. 3 hours lecture; 3 semester hours. Offered alternate even years.

MNGN550. NEW TECHNIQUES IN MINING (II) Review of various experimental mining procedures, including a critical evaluation of their potential applications. Mining methods covered include deep sea nodule mining, in situ gassification of coal, in situ retorting of oil shale, solution mining of soluble minerals, in situ leaching of metals, geothermal power generation, oil mining, nuclear fragmentation, slope caving, electro-thermal rock penetration and fragmentation. Prerequisite: Graduate standing or consent of instructor. 3 hours lecture; 3 semester hours. Offered in even years.

MNGN452/MNGN552. SOLUTION MINING AND PROCESSING OF ORES Theory and application of advanced methods of extracting and processing of minerals, underground or in situ, to recover solutions and concentrates of value-materials, by minimization of the traditional surface processing and disposal of tailings to minimize environmental impacts. Prerequisites: Senior or graduate status; instructor's consent 3 hours lecture; 3 semester hours. Offered in spring.

MNGN585. MINING ECONOMICS (I) Advanced study in mine valuation with emphasis on revenue and cost aspects. Topics include price and contract consideration in coal, metal and other commodities; mine capital and operating cost estimation and indexing; and other topics of current interest. Prerequisite: MNGN427 or EBGN504 or equivalent. 3 hours lecture; 3 semester hours. Offered in even years.

MNGN590. MECHANICAL EXCAVATION IN MINING (II) This course provides a comprehensive review of the existing and emerging mechanical excavation technologies for mine development and production in surface and underground mining. The major topics covered in the course include: history and development of mechanical excavators, theory and principles of mechanical rock fragmentation, design and performance of rock cutting tools, design and operational characteristics of mechanical excavators (e.g. continuous miners, roadheaders, tunnel boring machines, raise drills, shaft borers, impact miners, slotters), applications to mine development and production, performance prediction and geotechnical investigations, costs versus conventional methods, new mine designs for applying mechanical excavators, case histories, future trends and anticipated developments and novel rock fragmentation methods including water jets, lasers, microwaves, electron beams, penetrators, electrical discharge and sonic rock breakers. Prerequisite: Senior or graduate status. 3 hours lecture; 3 semester hours. Offered in odd years.

MNGN598. SPECIAL TOPICS IN MINING ENGINEERING (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

MNGN599. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

MNGN625. GRADUATE MINING SEMINAR (I, II) Discussions presented by graduate students, staff, and visiting lecturers on research and development topics of general interest. Required of all graduate students in mining engineering every semester during residence. 1 semester hour upon completion of thesis or residence.

MNGN698. SPECIAL TOPICS IN MINING ENGINEERING (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

MNGN699. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

MNGN700. GRADUATE ENGINEERING REPORT-MASTER OF ENGINEERING (I, II) Laboratory, field, and library work for the Master of Engineering report under supervision of the student's advisory committee. Required of candidates for the degree of Master of Engineering. 6 semester hours upon completion of report.

MNGN701. GRADUATE THESIS-MASTER OF SCIENCE (I, II) Laboratory, field, or library work on an original investigation for the master's thesis under supervision of the graduate student's advisory committee. 6 semester hours upon completion of thesis.

MNGN703. GRADUATE THESIS-DOCTOR OF PHILOSOPHY (I, II) Preparation of the doctoral thesis conducted under supervision of the graduate student's advisory committee. 30 semester hours.

MNGN704 GRADUATE RESEARCH CREDIT: MASTER OF ENGINEERING Engineering design credit hours required for completion of the degree Master of Engineering - thesis. Engineering design must be carried out under the direct supervision of the graduate student's faculty advisor.

MNGN705 GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

MNGN706 GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

GOGN501. SITE INVESTIGATION AND CHARACTERIZATION An applications oriented course covering: geological data collection, geophysical methods for site investigation; hydrological data collection; materials properties determination; and various engineering classification systems. Presentation of data in a format suitable for subsequent engineering design will be emphasized. Prerequisite: Introductory courses in geology, rock mechanics, and soil mechanics. 3 hours lecture; 3 semester hours.

GOGN502. SOLID MECHANICS APPLIED TO ROCKS An introduction to the deformation and failure of rocks and rock masses and to the flow of groundwater. Principles of displacement, strain and stress, together with the equations of equilibrium are discussed. Elastic and plastic constitutive laws, with and without time dependence, are introduced. Concepts of strain hardening and softening are summarized. Energy principles, energy changes caused by underground excavations, stable and unstable equilibria are defined. Failure criteria for intact rock and rock masses are explained. Principles of numerical techniques are discussed and illustrated. Basic laws and modeling of groundwater flows are introduced. Prerequisite: Introductory Rock Mechanics. 3 hours lecture; 3 semester hours.

GOGN503. CHARACTERIZATION AND MODELING LABORATORY An applications oriented course covering: Advanced rock testing procedures; dynamic rock properties determination; on-site measurements; and various rock mass modeling approaches. Presentation of data in a format suitable for subsequent engineering design will be emphasized. Prerequisite: Introductory courses in geology, rock mechanics, and soil mechanics. 3 hours lecture; 3 semester hours.

GOGN504. SURFACE STRUCTURES IN EARTH MATERIALS Principles involved in the design and construction of surface structures involving earth materials. Slopes and cuts. Retaining walls. Tailing dams. Leach dumps. Foundations. Piles and piers. Extensive use of case examples. Prerequisites: GOGN501, GOGN502, GOGN503. 3 hours lecture; 3 semester hours.

GOGN505. UNDERGROUND EXCAVATION IN ROCK Components of stress, stress distributions, underground excavation failure mechanisms, optimum orientation and shape of excavations, excavation stability, excavation support design, ground treatment and rock pre-reinforcement, drill and blast excavations, mechanical excavation, material haulage, ventilation and power supply, labor requirements and training, scheduling and costing of underground excavations, and case histories. Prerequisites: GOGN501, GOGN502, GOGN503. 3 hours lecture; 3 semester hours.

GOGN506. EXCAVATION PROJECT MANAGEMENT Normal project initiation, design procedures, project financing, permitting and environmental impacts, preparation of plans and specifications, contract award, notice to proceed and legal requirements. Construction alternatives, contract types, standard contract language, bidding and estimating and contract awarding procedures. Construction inspection and control methods and completion procedures. Conflict resolution, administrative redress, arbitration and litigation. Time and tonnage based incentive programs. The role of experts. Prerequisite: College-level in Microeconomics or Engineering Economy. Degree in Engineering. 2 hours lecture; 2 semester hours.

GOGN625. GEO-ENGINEERING SEMINAR Discussions presented by graduate students, staff, and visiting lectures on research and development topics of general interest. Required of all graduate students in Geo-Engineering every semester, during residence. Prerequisite: Enrollment in Geo-Engineering Program. 1 semester hour upon completion of thesis or residence.

# **Petroleum Engineering**

CRAIG W. VAN KIRK, Professor and Department Head JOHN R. FANCHI, Professor ERDAL OZKAN, Professor RICHARD L. CHRISTIANSEN, Associate Professor ALFRED W. EUSTES III, Associate Professor RAMONA M. GRAVES, Associate Professor TURHAN YILDIZ, Associate Professor MARK G. MILLER, Assistant Research Professor BILLY J. MITCHELL, Professor Emeritus HOSSEIN KAZEMI, Research Professor

#### Degrees Offered:

Master of Engineering (Petroleum Engineering)

Master of Science (Petroleum Engineering)

Doctor of Philosophy (Petroleum Engineering)

# Program Description:

The Petroleum Engineering Department offers students a choice of a Master of Science (MS) degree or a Master of Engineering (ME) degree. For the MS degree, a thesis is required in addition to course work. For the ME degree, no thesis is required, but the course work requirement is greater than that for the MS degree. After admission to the graduate program, students may change from ME to MS, or vice versa, according to their needs and interests.

Applications from students having an ME or MS in Petroleum Engineering, or in another discipline, will be considered for admission to the Doctor of Philosophy (Ph.D.) program. To obtain the Ph.D. degree, a student must demonstrate unusual competence, creativity, and dedication in the degree field. In addition to extensive course work, a dissertation is required for the Ph.D. degree.

#### Program Requirements:

Master of Engineering	Minimum 36 hours of course credit
Master of Science	Minimum 36 hours, of which no less than 12 credit hours earned by research and 24 credit
	hours by course work
Doctor of Philosophy	Minimum 90 credit hours beyond the bachelor's degree of which no less than 30 credit
	hours earned by research, or minimum 54 credit hours beyond the Master's degree of which
	no less than 30 credit hours earned by research

Candidates for the non-thesis Master of Engineering degree must complete a minimum of 36 hours of graduate course credit. At least 27 of the credit hours must be from the Petroleum Engineering Department. Up to 12 graduate credit hours can be transferred from another institution, and up to 9 credit hours of senior-level courses may be applied to the degree. All courses must be approved by the department head. No graduate committee is required. No more than six credit hours can be earned through independent study.

Candidates for the Master of Science degree must complete at least 24 graduate credit hours of course work, approved by the candidate's graduate committee, and a minimum of 12 hours of research credit. At least 15 of the course credit hours must be from the Petroleum Engineering Department. Up to 9 credit hours may be transferred from another institution. Up to 9 credit hours of senior-level courses may be applied to the degree. All courses must be approved by the department head. For the MS degree, the student must demonstrate ability to observe, analyze, and report original scientific research. For other requirements, refer to the general directions of the Graduate School in this bulletin.

A candidate for the Ph.D. must complete at least 60 hours of course credit and a minimum of 30 credit hours of research beyond Bachelor's degree or at least 24 hours of course credit and a minimum of 30 credit hours of research beyond Master's degree. The credit hours to be counted toward a Ph.D. are dependent upon approval of the student's graduate committee. Students who enter the Ph.D. program with a bachelor's degree may transfer up to 24 graduate credit hours from another institution with the approval of a graduate advisor from the Petroleum Engineering Department and the department head. Students who enter the Ph.D. program with a master's degree may transfer up to 36 credit hours of course and research work from another institution upon approval by a graduate advisor from the Petroleum Engineering Department and the department head. Ph.D. students must complete a minimum of 12 credit hours of their required course credit in a minor program of study. The student's faculty advisor, thesis committee, and the department head must approve the course selection. The Ph.D. students are also required to demonstrate proficiency in a second language other than English. Full-time Ph.D. students must satisfy the following requirements for admission to candidacy within the first two calendar years after enrolling as a regular degree student:

- i) have a thesis committee appointment form on file,
- ii) complete all prerequisite and core courses successfully,
- iii) demonstrate adequate preparation for and satisfactory ability to conduct doctoral research by successfully completing a series of written and/or oral examinations and fulfilling the other requirements of their graduate committees.

Failure to fulfill these requirements within the time limits specified above may result in immediate discretionary dismissal from the Ph.D. program according to the procedure outlined in the section of this Bulletin titled "General Regulations—Unsatisfactory Academic Performance—Unsatisfactory Academic Progress Resulting in Probation or Discretionary Dismissal." For other requirements, refer to the general directions of the Graduate School in this bulletin.

#### Required Curriculum:

A student in the graduate program selects course work by consultation with the Faculty Advisor and with the approval of the graduate committee. Course work is tailored to the needs and interests of the student.

All PE graduate students must take PE681 in the Fall semester and PE682 in the Spring semester for credit during their graduate programs. Also, students who do not have a BS degree in PE must take PE514 and other deficiency courses as required by the department as soon as possible in their graduate programs.

#### Fields of Research:

Current research topics include

Formation evaluation Well test analysis Horizontal and multilateral wells Reservoir characterization and simulation Remediation of contaminated soils and aquifers Oil recovery processes Rock and fluid properties Completion and stimulation of wells Economics and management Natural gas engineering Coalbed methane Geothermal energy Phase behavior Artificial lift Rock mechanics Drilling mechanics Laser technology in penetrating rocks Directional drilling Extraterrestrial drilling Ice coring and drilling Bit vibration analysis Tubular buckling and stability Wave propagation in drilling tubular

Research projects may involve professors and graduate students from other disciplines–Geology, Geophysics, Chemical Engineering, Mechanical Engineering, and others –in addition to Petroleum Engineering. Projects often include off-campus laboratories, institutes, and other resources.

#### Special Features:

In an exchange program with the Petroleum Engineering Department of the University of Leoben, Austria (ULA), a student can spend one semester in Austria during graduate studies and receive full transfer of credit back to CSM.

The Petroleum Engineering Department is located in a recently renovated structure in the foothills west of Denver. The laboratory wing, completed in late 1993, has 20,000 square feet of space, with about \$2 million of equipment acquired in recent years.

The Petroleum Engineering Department enjoys strong association with the Geology and Geophysics Departments at CSM. Courses that integrate the faculty and interests of the three departments are taught at the undergraduate and graduate levels.

The department is close to oil and gas field operations, oil companies and laboratories, and geologic outcrops of producing formations. There are many opportunities for summer and part-time employment in the oil and gas industry in the Denver metropolitan region.

Each summer some graduate students assist with the field session for undergraduate students. In the past, the field session students have visited oil and gas operations in Europe, Alaska, Canada, Southern California, and the Gulf Coast.

The Petroleum Engineering Department encourages student involvement with the Society of Petroleum Engineers and the American Association of Drilling Engineers. The department provides financial support for students attending the SPE Annual Technical Conference and Exhibition.

## Description of Courses

PEGN408/EGES408. INTRODUCTION TO OFFSHORE TECHNOLOGY (II) Introduction to offshore technology for exploration, drilling, production and transportation of petroleum in the ocean. Practical analysis methods for determining environmental forces, hydrodynamics, structural responses, and pipe flows for the design of platform, riser, subsea completion and pipeline systems, including environment-hydrodynamic-structure interactions. System design parameters. Industry practice and the current state-of-the-art technology for deep ocean drilling. Prerequisite: MACS315 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN411. MECHANICS OF PETROLEUM PRODUCTION (II) Nodal analysis for pipe and formation deliverability including single and multiphase flow. Natural flow and design of artificial lift methods including gas lift, sucker rod pumps, electrical submersible pumps, and hydraulic pumps. Prerequisite: PEGN308, PEGN310, PEGN311, and EGGN351. 3 hours lecture; 3 semester hours.

PEGN413. GAS MEASUREMENT AND FORMATION EVALUATION LAB (I) This lab investigates the properties of a gas such as vapor pressure, dew point pressure, and field methods of measuring gas volumes. The application of well logging and formation evaluation concepts are also investigated. Prerequisites: PEGN308, PEGN310, and PEGN419. 6 hours lab; 2 semester hours.

PEGN414. WELL TEST ANALYSIS AND DESIGN (II) Solutions to the diffusivity equation. Transient well testing: build-up, drawdown, multi-rate test analyses for oil and gas. Flow tests and well deliverabilities. Type curve analysis. Superposition, active and interference tests. Well test design. 3 hours lecture; 3 semester hours.

PEGN419. WELL LOG ANALYSIS AND FORMATION EVALUATION (I) An introduction to well logging methods, including the relationship between measured properties and reservoir properties. Analysis of log suites for reservoir size and content. Graphical and analytical methods will be developed to allow the student to better visualize the reservoir, its contents, and its potential for production. Use of the computer as a tool to handle data, create graphs and log traces, and make computations of reservoir parameters is required. Prerequisites: PEGN308 and PEGN315, concurrent enrollment in GEOL315. 2 hours lecture, 3 hours lab; 3 semester hours.

PEGN422. ECONOMICS AND EVALUATION OF OIL AND GAS PROJECTS (I) Project economics for oil and gas projects under conditions of certainty and uncertainty. Topics include time value of money concepts, discount rate assumptions, measures of project profitability, costs, state and local taxes, federal income taxes, expected value concept, decision trees, bayesian analysis, the decision to purchase imperfect information, gambler's ruin, and monte carlo simulation techniques. Prerequisite: MACS323. 3 hours lecture; 3 semester hours.

PEGN423. PETROLEUM RESERVOIR ENGINEERING I (I) Data requirements for reservoir engineering studies. Material balance calculations for normal gas, retrograde gas condensate, solution-gas and gas-cap reservoirs with or without water drive. Primary reservoir performance. Forecasting future recoveries by incremental material balance. Prerequisites: PEGN316, PEGN419 and MACS315 (MACS315 only for non PEGN majors). 3 hours lecture; 3 semester hours.

PEGN424. PETROLEUM RESERVOIR ENGINEERING II (II) Reservoir engineering aspects of supplemental recovery processes. Introduction to liquid-liquid displacement processes, gas-liquid displacement processes, and thermal recovery processes. Introduction to numerical reservoir simulation, history matching and forecasting. Prerequisite: PEGN423. 3 hours lecture; 3 semester hours.

PEGN426. WELL COMPLETION AND STIMULATION (I) Completion parameters; design for well conditions. Perforating, sand control, skin damage associated with completions and well productivity. Fluid types and properties; characterization of compatibilities. Stimulation techniques: acidizing and fracturing. Selection of proppants and fluids; types, placement and compatibilities. Estimation of rates, volumes and fracture dimensions. Reservoir considerations in fracture propagation and design. Prerequisite: PEGN361, PEGN411 and MACS315. 3 hours lecture; 3 semester hours.

PEGN428. ADVANCED DRILLING ENGINEERING (II) Rotary drilling systems with emphasis on design of drilling programs, directional and horizontal well planning, bit selection, bottom hole assembly and drillstring design. This **elective** course is **recommended** for petroleum engineering majors interested in drilling. Prerequisite: PEGN311, PEGN361. 3 hours lecture; 3 semester hours.

PEGN439/GEGN439/GPGN439. MULTIDISCIPLINARY PETROLEUM DESIGN (II) This is a multidisciplinary design course that integrates fundamentals and design concepts in geology, geophysics, and petroleum engineering.

Students work in integrated teams consisting of students from each of the disciplines. Multiple open-ended design problems in oil and gas exploration and field development are assigned. Several written and oral presentations are made throughout the semester. Project economics including risk analysis are an integral part of the course. Prerequisites: PE Majors: GEOL308, PEGN316, PEGN422, PEGN423. Concurrent enrollment in PEGN414 and PEGN424; GE Majors: GEOL308 or GEOL309, GEGN316, GEGN438; GP Majors: GPGN302 and GPGN303. 2 hours lecture; 3 hours lab; 3 semester hours.

PEGN481. PETROLEUM SEMINAR (I) Written and oral presentations by each student on current petroleum topics. Prerequisite: Consent of instructor. 2 hours lecture; 2 semester hours.

PEGN498. SPECIAL TOPICS (I, II) Group study of any topic in the field of, or closely related to, petroleum engineering. By consent of instructor. Hours per week and credit to be determined at time of registration.

#### **Graduate Courses**

The 500-level courses are open to qualified seniors with permission of the department and the Dean of Graduate School. The 600-level courses are open only to students enrolled in Graduate School. Certain courses may vary from year to year, depending upon the number of students and their particular needs.

PEGN501. APPLICATIONS OF NUMERICAL METHODS TO PETROLEUM ENGINEERING The course will solve problems of interest in Petroleum Engineering through the use of spreadsheets on personal computers and structured FORTRAN programming on PCs or mainframes. Numerical techniques will include methods for numerical quadrature, differentiation, interpolation, solution of linear and non-linear ordinary differential equations, curve fitting and direct or iterative methods for solving simultaneous equations. Prerequisites: PEGN414 and PEGN424 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN502. ADVANCED DRILLING FLUIDS The physical properties and purpose of drilling fluids are investigated. Emphasis is placed on drilling fluid design, clay chemistry, design, and testing; and solids control. Prerequisite: PEGN311 or consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

PEGN503/GEGN503/GPGN503. INTEGRATED EXPLORATION AND DEVELOPMENT Students work alone and in teams to study reservoirs from fluvial-deltaic and valley fill depositional environments. This is a multidisciplinary course that shows students how to characterize and model subsurface reservoir performance by integrating data, methods and concepts from geology, geophysics and petroleum engineering. Activities and topics include field trips to surface outcrops, well logs, borehole cores, seismograms, reservoir modeling of field performance, written exercises and oral team presentations. Prerequisite: Consent of instructor. 2 hours lecture, 3 hours lab; 3 semester hours.

PEGN504/GEGN504/GPGN504. INTEGRATED EXPLORATION AND DEVELOPMENT Students work in multidisciplinary teams to study practical problems and case studies in integrated subsurface exploration and development. The course addresses emerging technologies and timely topics. Activities include field trips, 3D computer modeling, written exercises and oral team presentations. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

PEGN505. HORIZONTAL WELLS: RESERVOIR AND PRODUCTION ASPECTS This course covers the fundamental concepts of horizontal well reservoir and production engineering with special emphasis on the new developments. Each topic covered highlights the concepts that are generic to horizontal wells and draws attention to the pitfalls of applying conventional concepts to horizontal wells without critical evaluation. There is no set prerequisite for the course but basic knowledge on general reservoir engineering concepts is useful. 3 hours lecture; 3 semester hours.

PEGN506. ENHANCED OIL RECOVERY METHODS (II) Enhanced oil recovery (EOR) methods are reviewed from both the qualitative and quantitative standpoint. Recovery mechanisms and design procedures for the various EOR processes are discussed. In addition to lectures, problems on actual field design procedures will be covered. Field case histories will be reviewed. Prerequisite: PEGN424 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN507. INTEGRATED FIELD PROCESSING (II) Integrated design of production facilities covering multistage separation of oil, gas, and water, multiphase flow, oil skimmers, natural gas dehydration, compression, crude stabilization, petroleum fluid storage, and vapor recovery. Prerequisite: PEGN411 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN508. ADVANCED ROCK PROPERTIES (I) Application of rock mechanics and rock properties to reservoir engineering, well logging, well completion and well stimulation. Topics covered include: capillary pressure, relative permeability, velocity effects on Darcy's Law, elastic/mechanical rock properties, subsidence, reservoir compaction, and sand control. Prerequisite: PEGN423 and PEGN426 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN511. PHASE BEHAVIOR IN THE OIL AND GAS INDUSTRY Essentials of thermodynamics for understanding phase behavior. Modeling of phase behavior of single and multi-component systems with equations of state and other appropriate solution models in spreadsheets and commercial PVT software. Special focus on paraffins, asphaltenes, natural gas hydrates, and mineral deposition. Prerequisite: ChEN357 or equivalent, or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN512. ADVANCED GAS ENGINEERING (I) The physical properties and phase behavior of gas and gas condensates will be discussed. Flow through tubing and pipelines as well as through porous media is covered. Reserve calculations for normally pressured, abnormally pressured and water drive reservoirs is presented. Both stabilized and isochronal deliverability testing of gas wells will be illustrated. Finally, gas storage, to meet peak load demand is also covered. Prerequisite: PEGN423 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN513. RESERVOIR SIMULATION I (I) Mathematics for petroleum engineering calculations. Development of fluid flow equations pertinent to petroleum production. Solutions to diffusivity equations. Numerical reservoir simulation by finite differences and finite element methods. Prerequisite: PEGN424 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN514. PETROLEUM TESTING TECHNIQUES (I) Investigation of basic physical properties of petroleum reservoir rocks and fluids. Review of recommended practices for testing drilling fluids and oil well cements. Emphasis is placed on the accuracy and calibration of test equipment. Quality report writing is stressed. Prerequisite: Graduate status. 3 hours lab; 1 semester hour. Required for students who do not have a B.S. in PE.

PEGN519. ADVANCED FORMATION EVALUATION (I) A detailed review of wireline well logging and evaluation methods stressing the capability of the measurements to determine normal and special reservoir rock parameters related to reservoir and production problems. Computers for log processing of single and multiple wells. Utilization of well logs and geology in evaluating well performance before, during, and after production of hydrocarbons. The sensitivity of formation evaluation parameters in the volumetric determination of petroleum in reservoirs. Prerequisite: PEGN419 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN522. ADVANCED WELL DESIGN (I) Basic applications of rock mechanics to petroleum engineering problems. Hydraulic fracturing; acid fracturing, fracturing simulators; fracturing diagnostics; sandstone acidizing; sand control, and well bore stability. Different theories of formation failure, measurement of mechanical properties. Review of recent advances and research areas. Prerequisite: PEGN426 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN523. ADVANCED ECONOMIC ANALYSIS OF OIL AND GAS PROJECTS (I) Determination of present value of oil properties. Determination of severance, ad valorem, windfall profit, and federal income taxes. Analysis of profitability indicators. Application of decision tree theory and Monte Carlo methods to oil and gas properties. Economic criteria for equipment selection. Prerequisite: PEGN422 or EBGN504 or ChEN504 or MNGN427 or ChEN421 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN524. PETROLEUM ECONOMICS AND MANAGEMENT (II) Business applications in the petroleum industry are the central focus. Topics covered are: fundamentals of accounting, oil and gas accounting, strategic planning, oil and gas taxation, oil field deals, negotiations, and the formation of secondary units. The concepts are covered by forming companies that prepare proforma financial statements, make deals, drill for oil and gas, keep accounting records, and negotiate the participation formula for a secondary unit. Prerequisite: PEGN422 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN538/EGES538. INTRODUCTION TO OFFSHORE TECHNOLOGY (II) Introduction to offshore engineering technology for exploration drilling, production and transportation of petroleum in the ocean. Practical analysis methods for determining environmental forces, structural response, and pipe flow for the design of platforms, risers, subsea completion and pipeline systems, including environment-hydrodynamic-structure interactions. System design parameters. Industrial practice and state-of-the-art technology for deep ocean drilling. Prerequisite MACS315 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN541. APPLIED RESERVOIR SIMULATION Concepts of reservoir simulation within the context of reservoir management will be discussed. Course participants will learn how to use available flow simulators to achieve reservoir management objectives. They will apply the concepts to an open-ended engineering design problem. Prerequisites: PEGN424 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN542. INTEGRATED RESERVOIR CHARACTERIZATION The course introduces integrated reservoir characterization from a petroleum engineering perspective. Reservoir characterization helps quantify properties that influence flow characteristics. Students will learn to assess and integrate data sources into a comprehensive reservoir model. Prerequisites: PEGN424 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN550. MODERN RESERVOIR SIMULATORS Students will learn to run reservoir simulation software using a variety of reservoir engineering examples. The course will focus on the capabilities and operational features of simulators. Students will learn to use pre- and post-processors, fluid property analysis software, black oil and gas reservoir models, and compositional models. 3 hours lecture; 3 semester hours.

PEGN594. DIRECTIONAL AND HORIZONTAL DRILLING Application of directional control and planning to drilling. Major topics covered include: Review of procedures for the drilling of directional wells. Section and horizontal view preparation. Two and three dimensional directional planning. Collision diagrams. Surveying and trajectory calculations. Surface and down hole equipment. Common rig operating procedures, and horizontal drilling techniques. Prerequisites: PEGN311 or equivalent, or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN595. DRILLING OPERATIONS Lectures, seminars, and technical problems with emphasis on well planning, rotary rig supervision, and field practices for execution of the plan. This course makes extensive use of the drilling rig simulator. Prerequisite: PEGN311, or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN596. ADVANCED WELL CONTROL Principles and procedures of pressure control are taught with the aid of a full-scale drilling simulator. Specifications and design of blowout control equipment for onshore and offshore drilling operations, gaining control of kicks, abnormal pressure detection, well planning for wells containing abnormal pressures, and kick circulation removal methods are taught. Students receive hands-on training with the simulator and its peripheral equipment. Prerequisite: PEGN311 or consent of instructor. 2 hours lecture, 3 hours simulator; 3 semester hours.

PEGN597. TUBULAR DESIGN Fundamentals of tubulars (casing, tubing, and drill pipe) design applied to drilling. Major topics covered include: Dogleg running loads. Directional hole considerations. Design criteria development. Effects of formation pressures. Stability loads after cementing. Effects of temperature, pressure, mud weights, and cement. Helical bending of tubing. Fishing loads. Micro-annulus problem. Strengths of API tubulars. Abrasive wear while rotating drill pipe. How to design for hydrogen sulfide and fatigue corrosion. Connection selection. Common rig operating procedures. Prerequisite: PEGN311, PEGN361 or equivalent, or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN598. SPECIAL TOPICS IN PETROLEUM ENGINEERING (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

PEGN599. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

PEGN601. APPLIED MATHEMATICS OF FLUID FLOW IN POROUS MEDIA This course is intended to expose petroleum-engineering students to the special mathematical techniques used to solve transient flow problems in porous media. Bessel's equation and functions, Laplace and Fourier transformations, the method of sources and sinks, Green's functions, and boundary integral techniques are covered. Numerical evaluation of various reservoir engineering solutions, numerical Laplace transformation and inverse transformation are also discussed. 3 hours lecture; 3 semester hours.

PEGN603. DRILLING MODELS (II) Analytical models of physical phenomena encountered in drilling. Casing and drilling failure from bending, fatigue, doglegs, temperature, stretch; mud filtration; corrosion; wellhead loads; and buoyancy of tubular goods. Bit weight and rotary speed optimization. Prerequisite: PEGN311, PEGN361, or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN604. INTEGRATED FLOW MODELING Students will study the formulation, development and application of a reservoir flow simulator that includes traditional fluid flow equations and a petrophysical model. The course will discuss properties of porous media within the context of reservoir modeling, and present the mathematics needed to understand and apply the simulator. Simulator applications will be interspersed throughout the course. 3 hours lecture; 3 semester hours.

PEGN605. WELL TESTING AND EVALUATION (II) Various well testing procedures and interpretation techniques for individual wells or groups of wells. Application of these techniques to field development, analysis of well problems, secondary recovery, and reservoir studies. Productivity, gas well testing, pressure buildup and drawdown, well interference, fractured wells, type curve matching, and short-term testing. Prerequisite: PEGN426 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN606. ADVANCED RESERVOIR ENGINEERING (II) A review of depletion type, gas-cap, and volatile oil reservoirs. Lectures and supervised studies on gravity segregation, moving gas-oil front, individual well performance analysis, history matching, performance prediction, and development planning. Prerequisite: PEGN423 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN607. PARTIAL WATER DRIVE RESERVOIRS (I) The hydrodynamic factors which influence underground water movement, particularly with respect to petroleum reservoirs. Evaluation of oil and gas reservoirs in major water containing formations. Prerequisite: PEGN424 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN608. FLUID DISPLACEMENT IN POROUS MEDIA (II) The factors involved in multiphase fluid flow in

porous media. The micro- and macroscopic movement of various fluid combinations. Performance of various displacement tests on cores in the laboratory. Prerequisite: PEGN423 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN614. RESERVOIR SIMULATION II (II) Current techniques for conducting reservoir simulation studies of petroleum reservoirs. Methods for discretizing reservoirs, fluid, and production data. Techniques involved in model equilibration, history matching, and predictions. Black-oil and compositional models. Single-well and field-wide models including 3-dimensional and 3-phase flow. Prerequisite: PEGN513 or consent of instructor. 3 hours lecture; 3 semester hours.

PEGN681. PETROLEUM ENGINEERING SEMINAR (I) Comprehensive reviews of current petroleum engineering literature, ethics, and selected topics as related to research. 2 hours seminar; 1 semester hour. Required of all candidates for advanced degree in petroleum engineering.

PEGN682. PETROLEUM ENGINEERING SEMINAR (II) Comprehensive reviews of current petroleum engineering literature, ethics, and selected topics as related to professionalism. 2 hours seminar; 1 semester hour. Required of all candidates for advanced degree in petroleum engineering.

PEGN698. SPECIAL TOPICS IN PETROLEUM ENGINEERING (I, II) Pilot course or special topics course. Topics chosen from special interests of instructor(s) and student(s). Usually the course is offered only once. Prerequisite: Instructor consent. Variable credit; 1 to 6 credit hours.

PEGN699. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member, also, when a student and instructor agree on a subject matter, content, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

PEGN701. GRADUATE THESIS-MASTER OF SCIENCE (I, II) Laboratory, field, and library work for the master's thesis under supervision of the graduate student's advisory committee.

PEGN703. GRADUATE THESIS-DOCTOR OF PHILOSOPHY (I, II) Investigations for Doctor of Philosophy thesis under direction of the student's advisory committee.

PEGN705. GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

PEGN706. GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

# Physics

JAMES A. McNEIL, Professor and Department Head F. EDWARD CECIL, Professor REUBEN T. COLLINS, Professor THOMAS E. FURTAK, Professor FRANK V. KOWALSKI, Professor FRANKLIN D. SCHOWENGERDT, Professor JEFF A. SOUIER, Professor JOHN U. TREFNY, Professor and President TIMOTHY R. OHNO. Associate Professor DAVID M. WOOD, Associate Professor CHARLES G. DURFEE, Assistant Professor UWE GREIFE, Assistant Professor MARIËT A. HOESTEE. Assistant Professor ELI A. SUTTER, Assistant Professor PETER W. SUTTER, Assistant Professor TODD G. RUSKELL, Lecturer P. DAVID FLAMMER, Instructor BRUCE H. MEEVES, Instructor JAMES T. BROWN, Professor Emeritus DON L. WILLIAMSON, Professor Emeritus F. RICHARD YEATTS, Professor Emeritus WILLIAM B. LAW, Associate Professor Emeritus ARTHUR Y. SAKAKURA, Associate Professor Emeritus ROBERT F. HOLUB, Research Professor VIKTOR KAYDANOV, Research Professor JAMES E. BERNARD, Research Associate Professor

#### Degrees Offered:

Master of Science (Applied Physics)

Doctor of Philosophy (Applied Physics)

#### Program Description:

The Physics Department at CSM offers a full program of instruction and research leading to the M.S. or Ph.D. in applied physics.

Graduate students are given a solid background in the fundamentals of classical and modern physics at an advanced level and are encouraged early in their studies to learn about the research interests of the faculty so that a thesis topic can be identified.

#### Program Requirements:

Students entering graduate programs in Applied Physics will select an initial program in consultation with the departmental graduate student advising committee until such time as a research field has been chosen and a thesis committee appointed. The following are requirements for the M.S. and Ph.D. degrees:

Master's: 24 semester hours of course work in an approved program plus 12 semester hours of research credit, with a satisfactory thesis. Fifteen semester hours of course work plus thesis must be taken in residence.

Doctorate: 34 semester hours of course work in an approved program plus 38 semester hours of research credit, with a satisfactory thesis. 12 semester hours of course work will be in an approved minor as specified in the general requirements of the graduate school. Possible minors include specialty programs in Optical Science and Engineering, Photovoltaics and Electronic Materials, and Nuclear Physics and Astrophysics in addition to minors in other degree programs on the CSM campus.

To demonstrate adequate preparation for the Ph.D. degree in Applied Physics each student must pass the physics graduate core courses with an average grade of "B" or better. Students not achieving this standard must pass oral examinations covering the areas of weakness identified in the core courses or retake the respective course with a grade of "B" or better within one year. This process is part of the requirement for admission to candidacy, which full time Ph.D. students must complete within two calendar years of admission, as described in the campus wide graduate degree requirements section of this bulletin. Other degree requirements, time limits, and procedural details can be found in the Physics Department Graduate Policy Manual.

#### Prerequisites:

The Graduate School of the Colorado School of Mines is open to graduates from four-year programs at accredited colleges or universities. Admission to the Physics Department M.S. and Ph.D. programs is competitive, based on an evaluation of undergraduate performance, standardized test scores, and references. The undergraduate course of study of each applicant is evaluated according to the requirements of the Physics Department. A student may not be a candidate for a graduate and an undergraduate degree at the same time.

#### Required Curriculum:

## Master of Science, Applied Physics

Core Courses

PHGN505 Classical Mechanics I PHGN507 Electromagnetic Theory I PHGN520 Quantum Mechanics I PHGN521 Quantum Mechanics II PHGN530 Statistical Mechanics Electives – 9 hours. Graduate Seminar\* - 2 hours. Master's Thesis **Doctor of Philosophy, Applied Physics** Core Courses PHGN505 Classical Mechanics I PHGN507 Electromagnetic Theory I PHGN511 Mathematical Physics I PHGN520 Quantum Mechanics I PHGN521 Quantum Mechanics II PHGN530 Statistical Mechanics

Graduate Seminar\* - 4 hours.

12 hour minor: as specified in the general requirements for the graduate school and discussed above under program requirements.

Doctoral Thesis.

\*Graduate Seminar: Each full-time graduate student (M.S. and Ph.D.) will register for Graduate Seminar each semester, for a total of 2 semester hours credit for the M.S. and 4 semester hours credit for the Ph.D.

## Fields of Research:

Applied Optics: lasers, ultrafast optics and x-ray generation, spectroscopy, near-field and multi-photon microscopy, non-linear optics.

Nuclear: low energy reactions, nuclear astrophysics, nuclear theory, fusion plasma diagnostics.

- Electronic Materials: photovoltaics, nanostructures and quantum dots, thin film semiconductors, transparent conductors, amorphous materials, magnetic materials.
- Solid State: x-ray diffraction, Raman spectroscopy, electron microscopy, self assembled systems, condensed matter theory.

Surface and Interfaces: x-ray photoelectron spectroscopy, Auger spectroscopy, scanning probe microscopies.

### Description of Courses

## Senior Level

PHGN402. GREAT PHYSICISTS (II) The lives, times, and scientific contributions of key, historical physicists are explored in an informal seminar format. Each week a member of the faculty will lead discussions about one or more different scientists who have figured significantly in the development of the discipline. Prerequisite: None. 1 hour lecture; 1 semester hour.

PHGN404. PHYSICS OF THE ENVIRONMENT An examination of several environmental issues in terms of the fundamental underlying principles of physics including energy conservation, conversion and generation; solar energy; nuclear power and weapons, radioactivity and radiation effects; aspects of air, noise, and thermal pollution. Prerequisite: PHGN200/210 or consent of instructor. 3 hours lecture; 3 semester hours.

PHGN412. MATHEMATICAL PHYSICS Mathematical techniques applied to the equations of physics; complex variables, partial differential equations, special functions, finite and infinite-dimensional vector spaces. Green's functions. Transforms; computer algebra. Prerequisite: MACS347. 3 hours lecture; 3 semester hours.

PHGN419. PRINCIPLES OF SOLAR ENERGY SYSTEMS(II) Theory and techniques of insolation measurement. Absorptive and radiative properties of surfaces. Optical properties of materials and surfaces. Principles of photovoltaic devices. Optics of collector systems. Solar energy conversion techniques: heating and cooling of buildings, solar thermal (power and process heat), wind energy, ocean thermal, and photovoltaic. Prerequisite: PHGN300/310 3 hours lecture; 3 semester hours

PHGN420. QUANTUM MECHANICS (I) Schroedinger equation, uncertainty, change of representation, onedimensional problems, axioms for state vectors and operators, matrix mechanics, uncertainty relations, timeindependent perturbation theory, time-dependent perturbations, harmonic oscillator, angular momentum. Prerequisite: PHGN320, PHGN350, PHGN361. 3 hours lecture; 3 semester hours.

PHGN421. ATOMIC PHYSICS (II) Introduction to the fundamental properties and structure of atoms. Applications to hydrogen-like atoms, fine-structure, multielectron atoms, and atomic spectra. Prerequisite: PHGN320. 3 hours lecture; 3 semester hours.

PHGN422. NUCLEAR PHYSICS Introduction to subatomic (particle and nuclear) phenomena. Characterization and systematics of particle and nuclear states; symmetries; introduction and systematics of the electromagnetic, weak, and strong interactions; systematics of radioactivity; liquid drop and shell models; nuclear technology. Prerequisite: PHGN320. 3 hours lecture; 3 semester hours.

PHGN423. DIRECT ENERGY CONVERSION Review of basic physical principles; types of power generation treated include fission, fusion, magnetohydrodynamic, thermoelectric, thermionic, fuel cells, photovoltaic, electrohydrodynamic, piezoelectrics. Prerequisite: PHGN300/310. 3 hours lecture; 3 semester hours.

PHGN424. ASTROPHYSICS (I) A survey of fundamental aspects of astrophysical phenomena, concentrating on measurements of basic stellar properties such as distance, luminosity, spectral classification, mass, and radii. Simple models of stellar structure evolution and the associated nuclear processes as sources of energy and nucleosynthesis. Introduction to cosmology and physics of standard big-bang models. Prerequisite: PHGN320. 3 hours lecture; 3 semester hours.

PHGN435/ChEN435. INTERDISCIPLINARY MICROELECTRONICS PROCESSING LABORATORY (I) Application of science and engineering principles to the design, fabrication, and testing of microelectronic devices. Emphasis on specific unit operations and the interrelation among processing steps. Prerequisites: Senior standing in PHGN, ChEN, MTGN, or EGGN. Prerequisite: Consent of instructor. 1.5 hours lecture, 4 hours lab; 3 semester hours.

PHGN440/MLGN502. SOLID STATE PHYSICS (II) An elementary study of the properties of solids including crystalline structure and its determination, lattice vibrations, electrons in metals, and semiconductors. (Graduate students in physics may register only for PHGN440.) Prerequisite: PHGN320. 3 hours lecture; 3 semester hours.

PHGN441/MLGN522. SOLID STATE PHYSICS APPLICATION AND PHENOMENA Continuation of PHGN440/MLGN502 with an emphasis on applications of the principles of solid state physics to practical properties of materials including: optical properties, superconductivity, dielectric properties, magnetism, noncrystalline structure, and interfaces. (Graduate students in physics may register only for PHGN441.) Prerequisite: PHGN440/MLGN501 or equivalent by instructor's permission. 3 hours lecture; 3 semester hours.

PHGN450. COMPUTATIONAL PHYSICS (I) Introduction to numerical methods for analyzing advanced physics problems. Topics covered include finite element methods, analysis of scaling, efficiency, errors, and stability, as well as a survey of numerical algorithms and packages for analyzing algebraic, differential, and matrix systems. The numerical methods are introduced and developed in the analysis of advanced physics problems taken from classical physics, astrophysics, electromagnetism, solid state, and nuclear physics. Prerequisites: Introductory-level knowledge of C, Fortran or Basic; PHGN311. 3 hours lecture; 3 semester hours.

PHGN460. PLASMA PHYSICS Review of Maxwell's equations; charged-particle orbit in given electromagnetic fields; macroscopic behavior of plasma, distribution functions; diffusion theory; kinetic equations of plasma; plasma oscillations and waves, conductivity, magnetohydrodynamics, stability theory; Alven waves, plasma confinement. Prerequisite: PHGN300/310. 3 hours lecture; 3 semester hours.

PHGN462. ELECTROMAGNETIC WAVES AND OPTICAL PHYSICS (I) Solutions to the electromagnetic wave equation and polarization; applications in optics: imaging, lasers, resonators and wavelengths. Prerequisite: PHGN361. 3 hours lecture; 3 semester hours.

PHGN471. SENIOR DESIGN (I) The first of a two-semester program covering the full spectrum of experimental design, drawing on the student's previous course work. At the beginning of the first semester, the student selects a research project in consultation with the course coordinator and the faculty supervisor. The objectives of the project are given to the student in broad outline form. The student then designs the entire project, including any or all of the following elements as appropriate: literature search, specialized apparatus, block-diagram electronics, computer data acquisition and/or analysis, sample materials, and measurement and/or analysis sequences. The course culminates in a senior thesis. Supplementary lectures are given on techniques of physics research and experimental design. Prerequisite: PHGN384 and PHGN326. 1 hour lecture, 6 hours lab; 3 semester hours.

PHGN472. SENIOR DESIGN (II) Continuation of PHGN471. Prerequisite: PHGN384 and PHGN326. 1 hour lecture, 6 hours lab; 3 semester hours.

PHGN498. SPECIAL TOPICS (I, II) Pilot course or special topics course. Prerequisites: Consent of instructor. Credit to be determined by instructor, maximum of 6 credit hours.

PHGN499. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member; student and instructor agree on a subject matter, content, deliverables, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

## **Graduate Courses**

500-level courses are open to qualified seniors with the permission of the department and the Dean of the Graduate School.

PHGN501. GRADUATE SEMINAR (I) Graduate students will attend the weekly Physics Colloquium and, in addition, attend a weekly, one-hour, student-based seminar coordinated by a faculty member. Students will be responsible for presentations during this weekly seminar. 1 hour seminar; 1 semester hour.

PHGN502. GRADUATE SEMINAR (II) Graduate students will attend the weekly Physics Colloquium and, in addition, attend a weekly, one-hour, student-based seminar coordinated by a faculty member. Students will be responsible for presentations during this weekly seminar. 1 hour seminar; 1 semester hour.

PHGN504. RADIATION DETECTION AND MEASUREMENT Physical principles and methodology of the instrumentation used in the detection and measurement of ionizing radiation. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

PHGN505. CLASSICAL MECHANICS I (I) Review of Lagrangian and Hamiltonian formulations in the dynamics of particles and rigid bodies; kinetic theory; coupled oscillations and continuum mechanics; fluid mechanics. Prerequisite: PHGN350 or equivalent. 3 hours lecture; 3 semester hours.

PHGN507. ELECTROMAGNETIC THEORY I (II) To provide a strong background in electromagnetic theory. Electrostatics, magnetostatics, dynamical Maxwell equations, wave phenomena. Prerequisite: PHGN462 or equivalent.

3 hours lecture; 3 semester hours.

PHGN511. MATHEMATICAL PHYSICS II Review of complex variable and finite and infinite-dimensional linear vector spaces. Sturm-Liouville problem, integral equations, computer algebra. Prerequisite: PHGN311 or equivalent. 3 hours lecture; 3 semester hours.

PHGN520. QUANTUM MECHANICS I (I) Schroedinger equation, uncertainty, change of representation, onedimensional problems, axioms for state vectors and operators, matrix mechanics, uncertainty relations, timeindependent perturbation theory, time-dependent perturbations, harmonic oscillator, angular momentum; semiclassical methods, variational methods, two-level system, sudden and adiabatic changes, applications. Prerequisite: PHGN420 or equivalent. 3 hours lecture; 3 semester hours.

PHGN521. QUANTUM MECHANICS II (II) Review of angular momentum, central potentials and applications. Spin; rotations in quantum mechanics. Formal scattering theory, Born series, partial wave analysis. Additional of angular momenta, Wigner-Eckart theorem, selection rules, identical particles. Prerequisite: PHGN520. 3 hours lecture; 3 semester hours.

PHGN525/MLGN525. SURFACE PHYSICS (I) Solid state physics focusing on the structural and electronic nature of the outer few atomic layers and the gas-surface interactions. Detailed explanations of many surface analysis techniques are provided, highlighting the application of these techniques to current problems, particularly electronic materials. Prerequisite: MLGN502 or equivalent, or consent of instructor. 3 hours lecture; 3 semester hours.

PHGN530. STATISTICAL MECHANICS (II) Review of thermodynamics; equilibrium and stability; statistical operator and ensembles; ideal systems; phase transitions; non-equilibrium systems. Prerequisite: PHGN341or equivalent and PHGN520. Co-requisite: PHGN521. 3 hours lecture; 3 semester hours.

PHGN535/ChEN535/MLGN535. INTERDISCIPLINARY SILICON PROCESSING LABORATORY (II) Explores the application of science and engineering principles to the fabrication and testing of microelectronic devices with emphasis on specific unit operations and interrelation among processing steps. Teams work together to fabricate, test, and optimize simple devices. Prerequisite: Consent of instructor. 1 hour lecture, 4 hours lab; 3 semester hours.

PHGN542. SOLID STATE DEVICES (I) An overview of the physical principles involved in the fabrication, characterization, and operation of solid state devices. Topics will include: p-n junction devices (e.g., LEDs, solar cells, lasers, particle detectors); junction transistor devices (e.g., FETs, thyristors, switches); surface- and interface-controlled devices (e.g., MOSFETs, CSDs, Schottky barrier devices); other devices such as infrared detectors, recording and display devices, thermoelectric devices, Josephson junctions, electroluminescent and electrochromic panels. Prerequisite: PHGN440. 3 hours lecture; 3 semester hours. Offered every other year in alternation with PHGN544.

PHGN544. THEORY AND OPERATION OF PHOTOVOLTAIC DEVICES (I) A thorough treatment of photovoltaic device operation and theory. Material and device parameters as related to the generation of photocurrents and photovoltages in solar cells. Physics of various solar cell types: homojunctions, heterojunctions, Schottky barriers, MIS, SIS, electrochemical. Environmental effects and device production. Important measurement techniques. Discussion of research topics from the current literature. Prerequisite: PHGN440 or consent of instructor. 3 hours lecture; 3 semester hours. Offered every other year in alternation with PHGN542.

PHGN560. FIBER OPTIC COMMUNICATION Introduction to the theory and techniques of optical communications. Topics include fiber optics, transmitters, receivers, amplifiers, multichannel system design, dispersion compensation and soliton communications. Prerequisite: PHGN462 or equivalent. 3 hours lecture; 3 semester hours.

PHGN566. MODERN OPTICAL ENGINEERING Provides students with a comprehensive working knowledge of optical system design that is sufficient to address optical problems found in their respective disciplines. Topics include paraxial optics, imaging, aberration analysis, use of commercial ray tracing and optimization, diffraction, linear systems and optical transfer functions, detectors, and optical system examples. Prerequisite: PHGN462 or consent of instructor. 3 hours lecture; 3 semester hours.

PHGN580. QUANTUM OPTICS Theory and application of the following: Gaussian beams, optical cavities and wave guides, atomic radiation, detection of radiation, laser oscillation, nonlinear optics. Prerequisite: PHGN420 and PHGN462. 3 hours lecture; 3 semester hours.

PHGN598. SPECIAL TOPICS (I, II) Pilot course or special topics course. Prerequisites: Consent of department. Credit to be determined by instructor, maximum of 6 credit hours.

PHGN599. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member; student and instructor agree on a subject matter, content, deliverables, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

PHGN601. ADVANCED GRADUATE SEMINAR (I) Graduate students will attend the weekly Physics Colloquium and, in addition, attend a weekly, one-hour, student-based seminar coordinated by a faculty member. Students will be responsible for presentations during this weekly seminar. Prerequisite: credit in PHGN501 and PHGN502. 1 hour

seminar; 1 semester hour.

PHGN602. ADVANCED GRADUATE SEMINAR (II) Graduate students will attend the weekly Physics Colloquium and, in addition, attend a weekly, one-hour, student-based seminar coordinated by a faculty member. Students will be responsible for presentations during this weekly seminar. Prerequisite: credit in PHGN501 and PHGN502. 1 hour seminar; 1 semester hour.

PHGN606. CLASSICAL MECHANICS II Continuation of PHGN505. Selected topics from elasticity, plasticity, and fluid mechanics including the thermal and electromagnetic interaction. Theories of interacting fields. Prerequisite: PHGN505. 3 hours lecture; 3 semester hours.

PHGN608. ELECTROMAGNETIC THEORY II Spherical, cylindrical, and guided waves; relativistic 4- dimensional formulation of electromagnetic theory. Prerequisite: PHGN507. 3 hours lecture; 3 semester hours.

PHGN612. MATHEMATICAL PHYSICS II Continuation of PHGN511. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

PHGN622. QUANTUM MECHANICS III Continuation of PHGN521. Introduction to the techniques of quantized fields with applications to quantum electrodynamics and the non-relativistic many-body problem. Prerequisite: PHGN521. 3 hours lecture; 3 semester hours.

PHGN623. NUCLEAR STRUCTURE AND REACTIONS The fundamental physics principles and quantum mechanical models and methods underlying nuclear structure, transitions, and scattering reactions. Prerequisite: PHGN521 or consent of instructor. 3 hours lecture; 3 semester hours.

PHGN624. NUCLEAR ASTROPHYSICS The physical principles and research methods used to understand nucleosynthesis and energy generation in the universe. Prerequisite: Consent of instructor. 3 hours lecture; 3 semester hours.

PHGN631. TOPICS IN STATISTICAL MECHANICS Continuation of PHGN530. Interacting systems; disordered systems; phase transitions; Green functions for many-body systems; scaling and renormalization in critical phenomena. Prerequisite: PHGN530 and PHGN622. 3 hours lecture; 3 semester hours.

PHGN640/MLGN607. CONDENSED MATTER I (I) Principles and applications of the quantum theory of electrons in solids: structure and symmetry; electron states and excitations in metals; transport properties. Prerequisite: PHGN520 and PHGN440/MLGN502 or consent of instructor. 3 hours lecture; 3 semester hours.

PHGN641/MLGN648. CONDENSED MATTER II (II) Principles and applications of the quantum theory of electrons and phonons in solids: phonon states in solids; transport properties; electron states and excitations in semiconductors and insulators; magnetism; superconductivity. Prerequisite: PHGN640/MLGN607 or consent of instructor. 3 hours lecture; 3 semester hours.

PHGN698. SPECIAL TOPICS (I, II) Pilot course or special topics course. Prerequisites: Consent of department. Credit to be determined by instructor, maximum of 6 credit hours.

PHGN699. INDEPENDENT STUDY (I, II) Individual research or special problem projects supervised by a faculty member; student and instructor agree on a subject matter, content, deliverables, and credit hours. Prerequisite: 'Independent Study' form must be completed and submitted to the Registrar. Variable credit; 1 to 6 credit hours.

PHGN701. GRADUATE THESIS-MASTER OF SCIENCE (I, II, S) Preparation of master's thesis under supervision of the graduate student's advisory committee. Required of all candidates for the degree of Master of Science. 6 semester hours upon completion of thesis.

PHGN703. GRADUATE THESIS-DOCTOR OF PHILOSOPHY (I, II, S) Conducted under the supervision of student's doctoral committee. Required of candidates for the degree of Doctor of Philosophy. 30 semester hours credit

PHGN705. GRADUATE RESEARCH CREDIT: MASTER OF SCIENCE Research credit hours required for completion of the degree Master of Science - thesis. Research must be carried out under the direct supervision of the graduate student's faculty advisor.

PHGN706. GRADUATE RESEARCH CREDIT: DOCTOR OF PHILOSOPHY Research credit hours required for completion of the degree Doctor of Philosophy. Research must be carried out under direct supervision of the graduate student's faculty advisor.

# **Centers and Institutes**

Advanced Coatings and Surface Engineering Laboratory

The Advanced Coating and Surface Engineering Laboratory (ACSEL) is a multi-disciplinary laboratory that serves as a focal point for industry- driven research and education in advanced thin films and coating systems, surface engineering, tribology, electronic, optical and magnetic materials. The laboratory is supported by an industrial consortium that holds semi-annual meetings designed to maximize interaction between participants, evaluate the research conducted by graduate students and faculty, and provide direction and guidance for future activities. ACSEL provides opportunities for CSM faculty and graduate students to visit and work in sponsor facilities, participate in technical meetings with sponsors, and for CSM graduates to gain employment with sponsors.

# Advanced Control of Energy and Power Systems

The Advanced Control of Energy and Power Systems Center (ACEPS), based in the Engineering Division, features a unique partnership consisting of industry, the National Science Foundation (NSF), the Department of Energy (DOE), the Electric Power Research Institute (EPRI), Colorado School of Mines (CSM) and twelve other universities. The mission of ACEPS is to conduct fundamental and applied research supporting the technical advancement of the electric utility industry, their customers, and component suppliers in the field of electric power systems with special emphasis on the advanced/intelligent control and power quality in the generation, transmission, distribution, and utilization; using such research as a means of advancing graduate education.

Center research projects focus on the development of an intelligent energy system that will employ advanced power electronics, enhanced computer and communications systems, new smart sensor and actuators, and smart interactive utility/customer interface systems. Examples include development of intelligent substations, impact of highly varying loads, e.g. arc furnaces, on power quality, localized and adaptive monitoring systems for transmission and distribution networks, and intelligent automatic generation control for transient loads.

Due to the strong interest shown by other institutions and national and international utilities, ACEPS has been transformed into an NSF Mega-Center which includes twelve other universities and more than thirty industrial members. With this expansion, and given the electric power deregulation phase, the power center has become a key national resource for the Research & Development (R&D) needs of this major industrial sector.

## Advanced Steel Processing and Products Research Center

The Advanced Steel Processing and Products Research Center (ASPPRC) at Colorado School of Mines was established in 1984. The Center is a unique partnership between industry, the National Science Foundation (NSF), and Colorado School of Mines, and is devoted to building excellence in research and education in the ferrous metallurgy branch of materials science and engineering. Objectives of ASPPRC are to perform research of direct benefit to the users and producers of steels, to educate graduate students within the context of research programs of major theoretical and practical interest to the steel-using and steel-producing industries, to stimulate undergraduate education in ferrous metallurgy, and to develop a forum to stimulate advances in the processing, quality and application of steel.

Research programs consist of several projects, each of which is a graduate student thesis. Small groups of students and faculty are involved in each of the research programs. Sponsor representatives are encouraged to participate on the graduate student committees.

The Center was established with a five-year grant of \$575,000 from the National Science Foundation, and is now self-sufficient, primarily as a result of industry support.

# Center for Automation, Robotics and Distributed Intelligence

The Center for Automation, Robotics and Distributed Intelligence (CARDI) focuses on the study and application of advanced engineering and computer science research in neural networks, robotics, data mining, image processing, signal processing, sensor fusion, information technology, distributed networks, sensor and actuator development and artificial intelligence to problems in environment, energy, natural resources, materials, transportation, information, communications and medicine. CARDI concentrates on problems which are not amenable to traditional solutions within a single discipline, but rather require a multi-disciplinary systems approach to integrate technologies. The systems require closed loop controllers that incorporate artificial intelligence and machine learning techniques to reason autonomously or in cooperation with a human supervisor.

Established in 1994, CARDI includes faculty from the Division of Engineering, departments of Mathematical and Computer Science, Geophysics, Metallurgical and Materials Engineering, and Environmental Science and Engineering. Research is sponsored by industry, federal agencies, state agencies, and joint government-industry initiatives. Interaction with industry enables CARDI to identify technical needs that require research, to cooperatively develop solutions, and to generate innovative mechanisms for the technology transfer. Enthusiastic and motivated students are encouraged to join CARDI for education and research in the area of robotics and intelligent systems.

# **Center for Combustion and Environmental Research**

The Center for Combustion and Environmental Research (CCER) is an interdisciplinary research and educational unit specializing in the chemistry and physics of exothermic reacting flows. Specific research projects are varied, but they fall into five core areas: detailed combustion chemical kinetic modeling and experiment; combustion flow-field modeling and experiment; combustion spray and aerosol modeling and experiment; optical sensing techniques in combustion; and combustion emissions remediation.

Collaborative projects involve CSM's Engineering Division and Chemical Engineering and Petroleum Refining Department, and often include faculty and students from other universities. Interaction with federal and industrial sponsors not only helps to guide the Center's program, but offers students opportunities after graduation.

# Center for Commercial Applications of Combustion in Space

The Center for Commercial Applications of Combustion in Space (CCACS) is a NASA/Industry/ University space commercialization center based at the Colorado School of Mines. The mission of the Center is to assist industry in developing commercial products by conducting combustion research which takes advantage of the unique properties of space.

The Center operates under the auspices of NASA's Office of Space Product Development (OSPD), whose mission is to provide access to space for commercial research and development activities by private industry. The focus of CCACS is on products and processes in which combustion plays a key role and which can benefit from knowledge to be gained through experiments conducted in space. Examples include combustors, fire suppression and safety, combustion synthesis of advanced materials and sensors and controls. The Center involves faculty and students from the departments of Chemical Engineering, Engineering, Metallurgical and Materials Engineering, and Physics. For further information, contact CCACS Director F.D. Schowengerdt, Physics Department, CSM, (303) 384-2091.

# **Center for Engineering Education**

The CSM Center for Engineering Education marries educational research with assessment, outreach and teaching. The Center serves as a focal point for educational research conducted by CSM faculty. Successfully educating tomorrow's scientists and engineers requires that we look at student learning as a system. The principles of cognitive psychology and educational psychology provide the best explanation of how this learning system works. Education will be most effective when educational research, informed by the principles of cognitive and educational psychology, along with the application of that research, and teaching, are linked and interrelated.

The primary goals of the Center for Engineering Education are

- To conduct world-class research on teaching and learning in science and engineering.
- To use the results of that research to continually improve instruction at the Colorado School of Mines to better support the learning process of our students.
- To support the educational needs of science and engineering instructors at the pre-college, college, graduate and professional development levels.

## Center for Environmental Risk Assessment

The mission of the Center for Environmental Risk Assessment (CERA) at CSM is to unify and enhance environmental risk assessment research and educational activities at CSM. By bringing diverse, inter-disciplinary expertise to bear on problems in environmental risk assessment, CERA facilitates the development of significantly improved, scientifically-based approaches for estimating human and ecological risks and for using the results of such assessments. Education and research programs within CERA integrate faculty and students from the departments of Chemical Engineering and Petroleum Refining, Environmental Sciences and Engineering, Chemistry and Geochemistry, Economics and Business, Mathematics and Computer Science, and Geology and Geological Engineering.

# Center for Intelligent Biomedical Devices and Musculoskeletal Systems

The multi-institutional Center for Intelligent Biomedical Devices and Musculoskeletal systems (IBDMS) integrates programs and expertise from CSM, Rocky Mountain Musculoskeletal Research Laboratories (RMMRL), University of Colorado Health Sciences Center and the Colorado VA Research Center. Established at CSM as a National Science Foundation (NSF) Industry/University Cooperative Research Center, IBDMS is also supported by industry and State organizations.

IBDMS has become an international center for the development of Bionic Orthopaedics, sports medicine, human sensory augmentation, and smart orthoses. Through the efforts of this center, new major and minor programs in bioengineering and biotechnology are being established at both the CSM graduate and undergraduate levels.

With its Industrial Advisory Board (IAB), IBDMS seeks to establish educational programs, short- and long-term basic and applied research efforts that would enhance the competitive position of Colorado and U.S. bio-industry in the international markets. IBDMS focuses the work of diverse engineering, materials and medicine disciplines. Its graduates are a new generation of students with an integrated engineering and medicine systems view, with increasing opportunities available in the biosciences.

# Center for Research on Hydrates and Other Solids

The Center for Research on Hydrates and Other Solids is sponsored by a consortium of fifteen industrial and government entities. The center focuses on research and education involving solids in hydrocarbon and aqueous fluids which affect exploration, production and processing of gas and oil.

Involving over twenty students and faculty from five departments, the center provides a unique combination of expertise that has enabled CSM to achieve international prominence in the area of solids. CSM participants interact on an on-going basis with sponsors, including frequent visits to their facilities. For students, this interaction often continues beyond graduation, with opportunities for employment at sponsoring industries.

## Center for Solar and Electronic Materials

The Center for Solar and Electronic Materials (CSEM) was established in 1995 to focus, support, and extend growing activity in the area of electronic materials for solar and related applications. CSEM facilitates interdisciplinary collaborations across the CSM campus; fosters interactions with national laboratories, industries, public utilities, and other universities; and serves to guide and strengthen the electronic materials curriculum.

CSEM draws from expertise in the departments of Physics, Metallurgical and Materials Engineering, Chemical Engineering, Chemistry and Geochemistry, and from the Division of Engineering. The largest research activity is directed at the photovoltaic industry. CSEM also supports research in thin film materials, polymeric devices, electrophotography, encapsulants, electronic materials processing, and systems issues associated with electronic materials and devices.

Graduate students in materials science and the above-mentioned departments can pursue research on center-related projects. Undergraduates are involved through engineering design courses and summer research. Close proximity to the National Renewable Energy Lab and several local photovoltaic companies provides a unique opportunity for students to work with industry and government labs as they attempt to solve real world problems. External contacts also provide guidance in targeting the educational curriculum toward the needs of the electronic materials industry.

# **Center for Wave Phenomena**

With sponsorship for its research by 28 companies in the worldwide oil exploration industry, this interdisciplinary program, including faculty and students from the Mathematical and Computer Sciences and Geophysics Departments, is engaged in a coordinated and integrated program of research in inverse problems and problems of seismic data processing and inversion. Its methods have applications to seismic exploration, global seismology, ocean sound-speed profiling, and nondestructive testing and evaluation, among other areas. Extensive use is made of analytical techniques, especially asymptotic methods and computational techniques. Methodology is developed through computer implementation, based on the philosophy that the ultimate test of an inverse method is its application to field or experimental data. Thus, the group starts from a physical problem, develops a mathematical model that adequately represents the physics, derives an approximate solution technique, generates a computer code to implement the method, tests on synthetic data, and, finally, tests on field data.

## Center for Welding, Joining and Coatings Research

The Center for Welding, Joining and Coatings Research (CWJCR) is an interdisciplinary organization with researchers and faculty from the Metallurgical and Materials Engineering Department and the Engineering Division. The goal of CWJCR is to promote education and research, and to advance understanding of the metallurgical and processing aspects of welding, joining and coating processes. Current center activities include: education, research, conferences, short courses, seminars, information source and transfer, and industrial consortia. The Center receives significant support from industry, national laboratories and government entities.

The Center for Welding, Joining and Coatings Research strives to provide numerous opportunities that directly contribute to the student's professional growth. Some of the opportunities include:

Direct involvement in the projects that constitute the Center's research program.

Interaction with internationally renowned visiting scholars.

Industrial collaborations that provide equipment, materials and services.

Research experience at industrial plants or national laboratories.

- Professional experience and exposure before nationally recognized organizations through student presentations of university research.
- Direct involvement in national welding, materials, and engineering professional societies.

## **Colorado Advanced Materials Institute**

With a mission to coordinate and foster research in materials science and engineering leading to economic development, CAMI was established in 1984 by the State of Colorado at CSM. Located at CSM, the Institute functions as a consortium of state government, research universities (CSM, CU, CSU, and DU), and private industries.

CAMI is funded by the Colorado Commission on Higher Education and has several programs aimed at promoting effective partnerships between Colorado industry and universities. CAMI's Seed Grant program provides grants to faculty for exploratory work on materials technology problems of interest to industry in the state. These seed grants

enable investigators to develop subsequent proposals for additional funding from federal and industry sources, thus leveraging the state investment.

The Institute also sponsored an Entrepreneur's Technology Assistance Program that enabled start-up technologybased companies to use the unique expertise and equipment available at the research universities. These grants to university/small business teams were designed to help the entrepreneur develop his new technology into a commercial product or service. Currently CAMI has a similar program, the Colorado Tire Recycle Technology Assistance (Tire-Tap), which promotes development of new technologies focused on recycling the huge amount of scrap tires rapidly accumulating in the state.

CAMI grants are solicited annually with a Request For Proposals (RFP) and subsequently awarded on a competitive basis with reviews from a board of experts from Colorado Corporations, small business, academia, venture capitalists, business incubators and government leaders. These programs all provide an excellent opportunity for undergraduate and graduate students to work on real problems of immediate concern to industry.

## **Colorado Center for Advanced Ceramics**

The Colorado Center for Advanced Ceramics (CCAC) is developing the fundamental knowledge that is leading to important technological developments in advanced ceramics and composite materials. Established at CSM in April 1988 as a joint effort between CSM and the Coors Ceramics Company (now CoorsTek), the Center is dedicated to excellence in research and graduate education in high technology ceramic and composite materials. The goal of the Center is to translate advances in materials science into new and improved ceramic fabrication processes and ceramic and composite materials. Current research projects cover a broad spectrum of materials and phenomena including porous ceramics and metals for filters; nano-scale powder preparation and mechanics; ceramic-metal composites; fuel cell, solar cell and battery materials; high temperature gas and plasma corrosion; glass fiber forming; and mechanical properties of thin films. Current projects are supported by both industry and government and several students are performing their research through a collaboration with the National Renewable Energy Laboratory located in Golden. Each project involves research leading to a graduate thesis of a student.

# Colorado Institute for Fuels and Energy Research

The Colorado Institute for Fuels and Energy Research (CIFER) is an interdisciplinary research institute involving faculty and students from several academic departments at the Colorado School of Mines. CIFER originally was formed to assist industry, State and Federal governments in developing and implementing clean air policy for the benefit of the U.S. and particularly for high altitude communities through the development of newer, cleaner burning fuels and the technology to properly use fuels.

The overall objective of CIFER is to enhance air quality through research, development and education. Its specific strengths are in fuels science, catalysis, materials, combustion science, thermodynamics and analytical chemistry.

# Colorado Institute for Macromolecular Science and Engineering

The Colorado Institute for Macromolecular Science and Engineering (CIMSE) was established in 1999 by an interdisciplinary team of faculty from several CSM departments. It is sponsored by the National Science Foundation, the Environmental Protection Agency, and the Department of Energy.

The mission of the Institute is to enhance the training and research capabilities of CSM in the area of polymeric and other complex materials as well as to promote education in the areas of materials, energy, and the environment.

Fourteen CSM faculty members from eight departments are involved with the Institute's research. The research volume is more than \$1 million and supports around 15 full-time graduate students in polymers, colloids and complex fluids. Current research projects include plastics from renewable resources, computer simulation of polymers, novel synthetic methods, and the development of new processing strategies from polymer materials.

CIMSE works to improve the educational experience of undergraduate and graduate students in polymers and complex fluids as well as maintain state-of-the-art lab facilities. Currently CSM has the largest polymeric materials effort in the State of Colorado. Materials are a dominant theme at CSM, and CIMSE will play an important role in ensuring that our students remain competitive in the workforce.

## **Energy and Minerals Field Institute**

The Energy and Minerals Field Institute is an educational activity serving Colorado School of Mines students and external audiences. The goal of the Institute is to provide better understanding of complex regional issues surrounding development of western energy and mineral resources by providing firsthand experience that cannot be duplicated in the classroom. The Institute conducts field programs for educators, the media, government officials, industry, and the financial community. The Institute also hosts conferences and seminars throughout the year dealing with issues specific to western resources development. Students involved in Institute programs are afforded a unique opportunity to learn about the technological, economic, environmental, and policy aspects of resource development.

# Excavation Engineering and Earth Mechanics Institute

The Excavation Engineering and Earth Mechanics Institute (EMI), established in 1974, combines education and research for the development of improved excavation technology. By emphasizing a joint effort among research, academic, and industrial concerns, EMI contributes to the research, development and testing of new methods and equipment, thus facilitating the rapid application of economically feasible new technologies.

Current research projects are being conducted throughout the world in the areas of tunnel, raise and shaft boring, rock mechanics, micro-seismic detection, machine instrumentation and robotics, rock fragmentation and drilling, materials handling systems, innovative mining methods, and mine design and economics analysis relating to energy and non-fuel minerals development and production. EMI has been a pioneer in the development of special applications software and hardware systems and has amassed extensive databases and specialized computer programs. Outreach activities for the Institute include the offering of short courses to the industry, and sponsorship and participation in major international conferences in tunneling, shaft drilling, raise boring and mine mechanization.

The full-time team at EMI consists of scientists, engineers, and support staff. Graduate students pursue their thesis work on Institute projects, while undergraduate students are employed in research.

# International Ground Water Modeling Center

The International Ground Water Modeling Center (IGWMC) is an information, education, and research center for ground-water modeling established at Holcomb Research Institute in 1978, and relocated to the Colorado School of Mines in 1991. Its mission is to provide an international focal point for ground-water professionals, managers, and educators in advancing the use of computer models in ground-water resource protection and management. IGWMC operates a clearinghouse for ground-water modeling software; organizes conferences, short courses and seminars; and provides technical advice and assistance related to ground-water. In support of its information and training activities, IGWMC conducts a program of applied research and development in ground-water modeling.

# **Petroleum Exploration and Production Center**

The Petroleum Exploration and Production Center (PEPC) is an interdisciplinary educational and research organization specializing in applied studies of petroleum reservoirs. The center integrates disciplines from within the Departments of Geology and Geological Engineering, Geophysics and Petroleum Engineering.

PEPC offers students and faculty the opportunity to participate in research areas including: improved techniques for exploration, drilling, completion, stimulation and reservoir evaluation techniques; characterization of stratigraphic architecture and flow behavior of petroleum reservoirs at multiple scales; evaluation of petroleum reserves and resources on a national and worldwide basis; and development and application of educational techniques to integrate the petroleum disciplines.

# **Reservoir Characterization Project**

The Reservoir Characterization Project (RCP), established in 1985 at Colorado School of Mines, is an industrysponsored research consortium. Its mission is to develop and apply 4-D, 9-C seismology and associated technologies for enhanced reservoir recovery. Each multi-year research phase focuses on a consortium partner's unique field location, where multicomponent seismic data are recorded, processed and interpreted to define reservoir heterogeneity and architecture. Each field study has resulted in the development and advancement of new 3- and 4-D multicomponent acquisition, processing, and interpretation technology, which has led to additional hydrocarbon recovery. Research currently focuses on dynamic reservoir characterization, which enables monitoring of the reservoir production process.

The Reservoir Characterization Project promotes interdisciplinary research and education among industry and students in the fields of Geophysics, Geology and Geological Engineering, and Petroleum Engineering.

# W.J. Kroll Institute for Extractive Metallurgy

A grant from the late W.J. Kroll, the inventor of the Kroll Process for the production of Titanium and Zirconium, enabled the establishment of an Institute for Extractive Metallurgy in the Department of Metallurgical and Materials Engineering. Today the primary focus of the Institute is the development of new technologies for the physical-chemical processing of materials. This includes the production and refining of metals, the processing of wastes and hazardous materials, the recycling of materials, and the synthesis of advanced materials. The Institute supports the education of students through the awarding of Fellowships and Research Assistantships, provides opportunities for Visiting Scholars, arranges for the teaching of short courses in subjects related to the mission of the Institute, and undertakes a wide range of sponsored research projects.

# **Directory of the School**

# **BOARD OF TRUSTEES**

JOHN K. COORS CoorsTek, Inc., 16000 Table Mountain Parkway, Golden, CO 80403

HUGH W. EVANS 768 Rockway Place, Boulder, CO 80303

KAREN OSTRANDER-KRUG Denton Wilde Sapte, Almaty 273 Furmanova, Almaty, Kazakhstan

F. STEVEN MOONEY Thompson Creek Metals Co., 945 W. Kenyon Ave., Englewood, CO 80110

MICHAEL S. NYIKOS 2285 El Rio Drive, Grand Junction, CO 81503

TERRANCE G. TSCHATSCHULA Aspen Petroleum Products, 5925 E. Evans Avenue, Suite 102B, Denver, CO 80222

DAVID. J. WAGNER David Wagner & Associates, P.C., 8400 E. Prentice Ave., Englewood, CO 80111

JUSTIN CARLSON Student Representative

# EMERITUS MEMBERS OF BOT

Ms. Sally Vance Allen Mr. Leo N. Bradley Mr. Joseph Coors, Sr. Mr. Joseph Coors, Jr. Mr. William K. Coors Mr. Frank Erisman Mr. Kenneth R. Fenwick Mr. Jack Grynberg Mr. Don K. Henderson Mr. Anthony L. Joseph Mr. J. Robert Maytag Mr. Terry P. McNulty Mr. Donald E. Miller Mr. Randy L. Parcel Mr. D. Monte Pascoe Mr. David Powell Mr. John A. Reeves, Sr. Mr. Fred R. Schwartzberg Mr. Ted P. Stockmar Mr. Charles E. Stott, Jr. Dr. John W Vanderwilt\* Mr. J. N. Warren Mr. James C. Wilson Mr. Russell L. Wood\*

# ADMINISTRATION

JOHN U. TREFNY, 1977-B.S., Fordham College; Ph.D., Rutgers University; President, Professor of Physics

NIGEL T. MIDDLETON, 1990-B.Sc., Ph.D., University of the Witwatersrand, Johannesburg; Vice President for Academic Affairs and Dean of Faculty; Professor of Engineering, P.E., S. Africa

HAROLD R. CHEUVRONT, 1976-84, 1985-B.S., M.A., West Virginia University; Ph.D., University of Northern Colorado; Vice President for Student Life and Dean of Students

ROBERT G. MOORE, 1995 -B.S., Northern Arizona University; M.P.A., University of Colorado; Vice President for Finance and Operations

PETER HAN, 1993-A.B., University of Chicago; M.B.A., University of Colorado; Vice President for Institutional Advancement

PHILLIP R. ROMIG, 1969-B.S., University of Notre Dame; M.S., Ph.D., Colorado School of Mines; Dean of the Office of Graduate Studies and Research, and Professor of Geophysics

BARBARA M. OLDS, 1982-B.A., Stanford University; M.A., Ph.D., University of Denver; Associate Vice President for Academic Affairs and Professor of Liberal Arts and International Studies

LINDA J. BALDWIN, 1994-B.S., Iowa State University; Continuing Education Program Coordinator

PAUL BARTOS, 2000-B.S., Wayne State University; M.S., Stanford University; Geology Museum Curator

GARY L. BAUGHMAN, 1984-B.S.Ch.E., Ohio University; M.S., Ph.D., Colorado School of Mines; Director of Special Programs and Continuing Education and Associate Research Professor

DAVID G. BEAUSANG, 1993-B.S., Colorado State University; Computing Support Specialist

JUDI A. BONACQUISTI, 1997-B.S., Colorado State University; Minority Engineering Program Director

RICHARD M. BOYD, 2000-B.S., Regis University; Director of Public Safety

STEVEN L. BRIDGEMAN, 1995-B.S., Colorado State University; Controller

ERLING A. BROSTUEN, 1990-Ph.B., University of North Dakota; Continuing Education Program Coordinator, Director of the Energy and Minerals Field Institute, and Director of the International Institute for Professional Advancement.

RONALD L. BRUMMETT, 1993-B.A., Metropolitan State College; M.A., University of Northern Colorado; M.B.A., University of Colorado Denver; Director of CSM Career Center and the Office for Student Development and Academic Services

TIMOTHY W. CAKE, 1994-B.S., Colorado State University; M.S., Regis University; Director of Plant Facilities

CAROL R. CHAPMAN, 1999-B.A., Wells College; M.P.A., University of Colorado; Special Assistant to the President

JULIE COAKLEY, 2001-B.S., University of Toledo; M.S., University of Toledo; Executive Assistant to the Vice President for Academic Affairs

KATHLEEN CONNER, 1996-B.S., Indiana State University; M.A., University of Colorado at Boulder; Director of Outdoor Recreation

HILLE L. DAIS, 1999-B.A., M.A., University of Minnesota; B.S., Metropolitan State College of Denver; Associate Vice President for Finance and Operations

MARY C. DALE, 1984-B.A., Southwestern College; M.A., University of Denver; Assistant for Collaborative Information Development and Support

MARY DAVIS, 1998-B.S., Metropolitan State College; M.Ed., University of Colorado; Associate Director of Financial Aid

THERESE DEEGAN-YOUNG, 1987-B.A., St. Louis University; M.A., University of Colorado; Student Development Center Counselor

LOUISA DULEY, 2000-B.S., Western State College; Internship Development Coordinator

RHONDA L. DVORNAK, 1994-B.S., Colorado School of Mines; Continuing Education Program Coordinator

ROBERT FERRITER, 1999-A.S., Pueblo Junior College; B.S., M.S., Colorado School of Mines; Director, Mine Safety and Health Program

MELODY A. FRANCISCO, 1988-89, 1991-B.S., Montana State University; Continuing Education Program Coordinator

ROBERT A. FRANCISCO, 1988-B.S., Montana State University; Director of Student Life

GEORGE FUNKEY, 1991-M.S., Michigan Technological University; Director of Information Services

LISA GOBERIS, 1998-B.S., University of Northern Colorado; Assistant Director of the Student Center

KATHLEEN GODEL-GENGENBACH, 1998-B.A., M.A., University of Denver; Ph.D., University of Colorado; Director, Office of International Programs

BRUCE P. GOETZ, 1980-84, 1987- B.A., Norwich University; M.S., M.B.A., Florida Institute of Technology; Director of Admissions

SHARON HART, 1999-B.S., Colorado School of Mines; M.A., University of Colorado; Director of Institutional Research

MICHAEL HAVILAND, 1961-B.A., Athenaeum of Ohio; M.A., University of Pittsburgh; Ph.D., University of Massachusetts; Director of the Office of International Programs

EVELYN JORDAL, 2001-Assistant to the Vice President for Student Life

MELVIN L. KIRK, 1995-B.S., M.A., University of Northern Colorado; Student Development Center Counselor

ROBERT KNECHT, 1977-P.E., M.S., Ph.D., Colorado School of Mines; Director of EPICS

ROGER A. KOESTER, 1989-B.A., Grinnell College; M.B.A., Drake University; Director of Financial Aid

DEBBY PAGE LANE, 1993-A.A.S. Front Range Community College; B.S., Metropolitan State College; M.P.A., University of Colorado Denver; Director of Human Resources

DAVID LARUE, 1998-Computer Support Specialist

DEBRA K. LASICH, 1999-B.S., Kearney State College; M.A., University of Nebraska; Executive Director of the Women in Science, Engineering, and Mathematics (WISEM) Program

VIRGINIA LEE, 1996-B.A., M.A., Ph.D., University of California at Irvine; Web Administrator

EDWARD R. LIBERATORE, 1991-B.A., Georgetown University; J.D., Washington College of Law; Director of Legal Services

CAIRN A. LINDLOFF, 1994-B.S., University of Nevada at Reno; M.Ed., University of South Carolina; Director of Student Activities and Greek Advisor

ROBERT A. MacPHERSON, 1988-B.S., United States Naval Academy; Radiation Safety Officer

A. EDWARD MANTZ, 1994-B.S., Colorado School of Mines; Director of Green Center

MICHAEL McGUIRE, 1999-Engineer of Mines, Colorado School of Mines; Program Coordinator, SPACE

LEAH K. McNEILL, 1997-B.A., University of Mississippi; M.A. University of South Carolina; Director of Public Relations

MARY MITTAG-MILLER, 1998-Director of the Office of Research Services

BARBARA MORGAN, 2001-B.S., Montana State University; M.S., University of Wyoming; Director of Residence Life

TRICIA DOUTHIT PAULSON, 1998-B.S., Colorado School of Mines; Assistant Director of Admissions

ROGER PIERCE, 2000-B.S., Wisconsin Institute of Technology; SPACE Program Coordinator

MARY POTT, 1983-B.S., Colorado School of Mines; Assistant Director of Admissions and Alumni Association Coordinator

JAMES L. PROUD, 1994-B.S., University of Wisconsin, Whitewater; M.A., California State Polytechnic University; Continuing Education Program Coordinator

CAROLYN L. REED, 1980-B.A., Regis University; Director of Marketing

MARIAN E. ROHRER, R,N, 1998-Director, Student Health Center

PHILLIP ROMIG III, 1999-B.A., Nebraska Wesleyan University; M.S., University of Nebraska; Network Engineer and Security Specialist

SYDNEY SANDROCK, 1995-Assistant to the Vice President for Finance and Operations

JAHI SIMBAI, 2000-B.S., M.B.A., University of Colorado at Boulder; Associate Director of Minority Engineering Program

SUSAN A. SMITH, 1995-B.S., Oklahoma State University; M.A., University of Tulsa; Registrar

RUTH A. STREVELER, 1994-B.A., Indiana University; M.S., Ohio State University; Ph.D., University of Hawaii Manoa; Director of Academic Services

ANNE STARK WALKER, 1999-B.S., Northwestern University; J.D., University of Denver; Staff Attorney

CAROL L. WARD, 1993-B.S., Ohio State University; M.A., Denver University; Computer Support Engineer

DEREK J. WILSON, 1982-B.S., University of Montana; Director of the Computing Center

A. WILLIAM YOUNG, 1974-B.S., North Carolina State University; M.S., University of Denver; Director of Enrollment Management and Associate Vice President for Student Life

EDWARD A. ZITT, 1991-Manager of Financial Computing

## EMERITI

GEORGE S. ANSELL, B.S., M.S., Ph.D., Rensselaer Polytechnic Institute; Emeritus President and Professor of Metallurgical Engineering, P.E.

THEODORE A. BICKART, B.E.S., M.S.E., D.Engr., The Johns Hopkins University; Emeritus President and Professor of Engineering

GUY T. McBRIDE, JR. B.S., University of Texas; D.Sc., Massachusetts Institute of Technology; Emeritus President, P.E.

JOHN F. ABEL, JR. E.M., M.Sc., E.Sc., Colorado School of Mines; Emeritus Professor of Mining Engineering

R. BRUCE ALLISON, B.S., State University of New York at Cortland; M.S., State University of New York at Albany; Emeritus Professor of Physical Education and Athletics WILLIAM R. ASTLE, B.A., State University of New York at New Paltz; M.A., Columbia University; M.A., University of Illinois; Emeritus Professor of Mathematical and Computer Sciences

HENRY A. BABCOCK, B.S., M.S., Ph.D., University of Colorado; Emeritus Professor of Civil Engineering, P.E.

RAMON E. BISQUE, B.S., St. Norbert's College; M.S. Chemistry, M.S. Geology, Ph.D., Iowa State College; Emeritus Professor of Chemistry and Geochemistry

NORMAN BLEISTEIN, B.S., Brooklyn College; M.S., Ph.D., New York University; Emeritus Professor of Mathematical and Computer Sciences

ARDEL J. BOES, B.A., St. Ambrose College; M.S., Ph.D., Purdue University; Professor of Mathematical and Computer Sciences

AUSTIN R. BROWN, B.A., Grinnell College; M.A., Ph.D., Yale University; Emeritus Professor of Mathematical and Computer Sciences

JAMES T. BROWN, B.A., Ph.D., University of Colorado; Emeritus Professor of Physics

W. REX BULL, B.Sc., App. Diploma in Mineral Dressing, Leeds University; Ph.D., University of Queensland; Emeritus Professor of Metallurgical and Materials Engineering

JERROLD J. BURNETT, A.S. in E.E., Arlington State College; B.A., Texas A&M University; M.S., Texas A&I College; Ph.D., University of Oklahoma; Emeritus Professor of Physics, P.E.

BETTY J. CANNON, B.A., M.A., University of Alabama; Ph.D., University of Colorado; Emeritus Associate Professor of Liberal Arts and International Studies

W. JOHN CIESLEWICZ, B.A., St. Francis College; M.A., M.S., University of Colorado; Emeritus Associate Professor of Slavic Studies and Foreign Languages

JOHN A. CORDES, B.A., J.D., M.A., University of Iowa; Ph.D., Colorado State University; Emeritus Associate Professor of Economics and Business

TIMOTHY A. CROSS, 1984-B.A., Oberlin College; M.S., University of Michigan; Ph.D., University of Southern California; Emeritus Associate Professor of Geology and Geological Engineering

STEPHEN R. DANIEL, 1966-Min. Eng.- Chem., M.S., Ph.D., Colorado School of Mines; Emeritus Professor of Chemistry and Geochemistry

GERALD L. DEPOORTER, B.S., University of Washington; M.S., Ph.D., University of California at Berkeley; Emeritus Associate Professor of Metallurgical and Materials Engineering

RICHARD H. DeVOTO, A.B., Dartmouth College; M.Sc., Thayer School of Engineering Dartmouth College; D.Sc., Colorado School of Mines; Emeritus Professor of Geology, P.E.

DONALD I. DICKINSON, B.A., Colorado State University; M.A., University of New Mexico; Emeritus Professor of Liberal Arts and International Studies

J. PATRICK DYER, B.P.E., Purdue University; Emeritus Associate Professor of Physical Education and Athletics

WILTON E. ECKLEY, A.B., Mount Union College; M.A., The Pennsylvania State University; Ph.D., Case Western Reserve University; Emeritus Professor of Liberal Arts and International Studies

KENNETH W. EDWARDS, B.S., University of Michigan; M.A., Dartmouth College; Ph.D., University of Colorado; Emeritus Professor of Chemistry and Geochemistry

JOHN C. EMERICK, 1980-B.S., University of Washington; M.A., Ph.D., University of Colorado; Emeritus Associate Professor of Environmental Science and Engineering

JOSEPH J. FINNEY, B.S., United States Merchant Marine Academy; M.S., University of New Mexico; Ph.D., University of Wisconsin; Emeritus Professor of Geology

EDWARD G. FISHER, B.S., M.A., University of Illinois; Emeritus Professor of English

DAVID E. FLETCHER, B.S., M.A., Colorado College; M.S.B.A., Ph.D., University of Denver; Emeritus Professor of Economics and Business

S. DALE FOREMAN, B.S., Texas Technological College; M.S., Ph.D., University of Colorado; Emeritus Professor of Civil Engineering, P.E.

JAMES H. GARY B.S., M.S., Virginia Polytechnic Institute; Ph.D., University of Florida; Emeritus Professor of Chemical Engineering and Petroleum Refining, P.E.

DONALD W. GENTRY, B.S., University of Illinois; M.S., University of Nevada; Ph.D., University of Arizona;

Professor of Mining Engineering, P.E.

JOHN O. GOLDEN, B.E., M.S., Vanderbilt University; Ph.D., Iowa State University; Emeriti Professor of Chemical Engineering and Petroleum Refining, P.E.

THOMAS L. T. GROSE, B.S., M.S., University of Washington; Ph.D., Stanford University; Emeritus Professor of Geology and Geological Engineering

C. RICHARD GROVES, B.S., M.S., Purdue University; Emeritus Professor of Engineering

RAYMOND R. GUTZMAN, A.B., Fort Hays State College; M.S., State University of Iowa; Emeritus Professor of Mathematical and Computer Sciences

FRANK A. HADSELL, B.S., M.S., University of Wyoming; D.Sc., Colorado School of Mines; Emeritus Professor of Geophysics

FRANK G. HAGIN, B.A., Bethany Nazarene College; M.A., Southern Methodist University; Ph.D., University of Colorado; Emeritus Professor of Mathematical and Computer Sciences

JOHN W. HANCOCK, A.B., Colorado State College; Emeritus Professor of Physical Education and Athletics

ROBERT C. HANSEN, E.M., Colorado School of Mines; M.S.M.E., Bradley University; Ph.D., University of Illinois; Emeritus Professor of Engineering, P.E.

PETER HARTLEY,, B.A., M.A., University of Colorado; Ph.D., University of New Mexico; Emeritus Associate Professor of Liberal Arts and International Studies

JOHN D. HAUN, A.B., Berea College; M.A., Ph.D., University of Wyoming; Emeritus Professor of Geology, P.E.

T. GRAHAM HEREFORD, B.A., Ph.D. University of Virginia; Emeritus Professor of Liberal Arts and International Studies

JOHN A. HOGAN, B.S., University of Cincinnati; M.A., Lehigh University; Professor of Liberal Arts and International Studies

MATTHEW J. HREBAR, III, B.S., The Pennsylvania State University; M.S., University of Arizona; Ph.D., Colorado School of Mines; Emeritus Associate Professor of Mining Engineering

WILLIAM A. HUSTRULID, B.S., M.S., Ph.D., University of Minnesota; Emeritus Professor of Mining Engineering

RICHARD W. HUTCHINSON, B.Sc., University of Western Ontario; M.Sc., Ph.D., University of Wisconsin; Charles Franklin Fogarty Professor in Economic Geology; Emeritus Professor of Geology and Geological Engineering

ABDELWAHID IBRAHIM, B.S., University of Cairo; M.S., University of Kansas; Ph.D., Michigan State University; Emeritus Associate Professor of Geophysics

GEORGE W. JOHNSON, B.A., University of Illinois; M.A., University of Chicago; Emeritus Professor of English

JAMES G. JOHNSTONE, Geol.E., Colorado School of Mines; M.S., Purdue University; (Professional Engineer); Emeritus Professor of Civil Engineering

THOMAS A. KELLY, B.S., C.E., University of Colorado; Emeritus Professor of Basic Engineering, P.E.

GEORGE H. KENNEDY, B.S., University of Oregon; M.S., Ph.D., Oregon State University; Emeritus Professor of Chemistry and Geochemistry

ARTHUR J. KIDNAY, P.R.E., D.Sc., Colorado School of Mines; M.S., University of Colorado; Emeritus Professor of Chemical Engineering and Petroleum Refining, P.E.

RONALD W. KLUSMAN, 1972-B.S., M.A., Ph.D., Indiana University; Emeritus Professor of Chemistry and Geochemistry

R. EDWARD KNIGHT. B.S., University of Tulsa; M.A., University of Denver; Emeritus Professor of Engineering

KENNETH E. KOLM, 1984-B.S., Lehigh University; M.S., Ph.D., University of Wyoming; Emeritus Associate Professor of Environmental Science and Engineering

GEORGE KRAUSS, B.S., Lehigh University; M.S., Sc.D., Massachusetts Institute of Technology; University Emeritus Professor of Metallurgical and Materials Engineering, P.E.

DONALD LANGMUIR, A.B., M.A., Ph.D., Harvard University; Emeritus Professor of Chemistry and Geochemistry and Emeritus Professor of Environmental Science & Engineering

WILLIAM B. LAW, B.Sc., University of Nevada; Ph.D., Ohio State University; Emeritus Associate Professor of Physics

KEENAN LEE, 1970-B.S., M.S., Louisiana State University; Ph.D., Stanford University; Emeritus Professor of Geology

FRED R. LEFFLER, B.S.E.E., University of Denver; M.S., Ph.D., Oregon State University; Emeritus Professor of Engineering, P.E.

V. ALLEN LONG, A.B., McPherson College; A.M., University of Nebraska; Ph.D., University of Colorado; Emeritus Professor of Physics

GEORGE B. LUCAS, B.S., Tulane University; Ph.D., Iowa State University; Emeritus Professor of Chemistry and Geochemistry

MAURICE W. MAJOR, B.A., Denison University; Ph.D., Columbia University; Emeritus Professor of Geophysics

DONALD C.B. MARSH, B.S., M.S., University of Arizona; Ph.D., University of Colorado; Emeritus Professor of Mathematical and Computer Sciences

SCOTT J. MARSHALL, B.S., University of Denver; Emeritus Associate Professor of Electrical Engineering, P.E.

JEAN P. MATHER, B.S.C., M.B.A., University of Denver; M.A., Princeton University; Emeritus Professor of Mineral Economics

FRANK S. MATHEWS, B.A., M.A., University of British Columbia; Ph.D., Oregon State University; Emeritus Professor of Physics

RUTH A. MAURER, B.S., M.S., Colorado State University; Ph.D., Colorado School of Mines; Emeritus Associate Professor of Mathematical and Computer Sciences

ROBERT S. McCANDLESS, B.A., Colorado State College; Emeritus Professor of Physical Education and Athletics

MICHAEL B. McGRATH, B.S.M.E., M.S., University of Notre Dame; Ph.D., University of Colorado; Emeritus Professor of Engineering

BILL J. MITCHELL, B.S., M.S., Ph.D., University of Oklahoma; Emeritus Professor of Petroleum Engineering

WILLIAM M. MUELLER, Met. E., M.S., D.Sc., Colorado School of Mines; Emeritus Vice President for Academic Affairs and Dean of Faculty and Emeritus Professor of Metallurgical Engineering, P.E.

KARL R. NELSON, 1974-Geol.E., M.S., Colorado School of Mines; Ph.D., University of Colorado; Emeritus Associate Professor of Engineering, P.E.

KARL R. NEWMAN, B.S., M.S., University of Michigan; Ph.D., University of Colorado; Emeritus Professor of Geology

GABRIEL M. NEUNZERT, B.S., M.Sc., Colorado School of Mines; (Professional Land Surveyor); Emeritus Associate Professor of Engineering

ROBERT W. PEARSON, P.E., Colorado School of Mines; Emeritus Associate Professor of Physical Education and Athletics and Head Soccer Coach

ANTON G. PEGIS, B.A., Western State College; M.A., Ph.D., University of Denver; Emeritus Professor of English

HARRY C. PETERSON, B.S.M.E., Colorado State University; M.S., Ph.D., Cornell University; Emeritus Professor of Engineering

ALFRED PETRICK, JR., A.B., B.S., M.S., Columbia University; M.B.A., University of Denver; Ph.D., University of Colorado; Emeritus Professor of Mineral Economics, P.E.

THOMAS PHILIPOSE, B.A., M.A., Presidency College-University of Madras; Ph.D., University of Denver; University Emeritus Professor of Liberal Arts and International Studies

STEVEN A. PRUESS, B.S., Iowa State University; M.S., Ph.D., Purdue University; Emeritus Professor of Mathematical and Computer Sciences

ODED RUDAWSKY, B.S., M.S., Ph.D., The Pennsylvania State University; Emeritus Professor of Mineral Economics

ARTHUR Y. SAKAKURA, B.S., M.S., Massachusetts Institute of Technology; Ph.D., University of Colorado; Emeritus Associate Professor of Physics

MIKLOS D. G. SALAMON, Dipl.Eng., Polytechnical University, Hungary; Ph.D., University of Durham, England; Emeritus Professor of Mining Engineering

MAYNARD SLAUGHTER, B.S., Ohio University; M.A., University of Missouri; Ph.D., University of Pittsburgh; Emeritus Professor of Chemistry and Geochemistry JOSEPH D. SNEED, 1980-B.A., Rice University; M.S., University of Illinois; Ph.D., Stanford University; Emeritus Professor of Liberal Arts and International Studies

CHARLES W. STARKS, Met.E., M.Met.E, Colorado School of Mines; Emeritus Associate Professor of Chemistry, P.E.

FRANKLIN J. STERMOLE, B.S., M.S., Ph.D., Iowa State University; Emeritus Professor of Chemical Engineering and Petroleum Refining/Mineral Economics, P.E.

ROBERT J. TAYLOR, BAE School of the Art Institute; M.A., University of Denver; Emeritus Associate Professor of Engineering

JOHN E. TILTON, 1985-B.A., Princeton University; M.A., Ph.D., Yale University; Coulter Professor of Mineral Economics; Emeritus Professor of Economics and Business

GUY H. TOWLE, Geol.E., Ph.D., Colorado School of Mines; Emeritus Associate Professor of Geophysics

FUN-DEN WANG, B.S., Taiwan Provincial Cheng-Kung University; M.S., Ph.D., University of Illinois at Urbana; Emeritus Professor of Mining Engineering

ROBERT J. WEIMER, B.A., M.A., University of Wyoming; Ph.D., Stanford University; Emeritus Professor of Geological Engineering, P.E.

J. EDWARD WHITE, B.A., M.A., University of Texas; Ph.D., Massachusetts Institute of Technology; Emeritus Professor of Geophysics, P.E.

WALTER W. WHITMAN, B.E., Ph.D., Cornell University; Emeritus Professor of Geophysics

RONALD V. WIEDENHOEFT, B.C.E., Cornell University; M.A., University of Wisconsin; Ph.D., Columbia University; Emeritus Professor of Liberal Arts and International Studies

THOMAS R. WILDEMAN, 1967-B.S., College of St. Thomas; Ph.D., University of Wisconsin; Emeritus Professor of Chemistry and Geochemistry

JOHN T. WILLIAMS, B.S., Hamline University; M.S., University of Minnesota; Ph.D., Iowa State College; Emeritus Professor of Chemistry and Geochemistry

DON L. WILLIAMSON, B.S., Lamar University; M.S., Ph.D., University of Washington; Emeritus Professor of Physics

ROBERT D. WITTERS, B.A., University of Colorado; Ph.D., Montana State College; Emeritus Professor of Chemistry and Geochemistry

F. RICHARD YEATTS, B.S., The Pennsylvania State University; M.S., Ph.D., University of Arizona; Emeritus Professor of Physics

VICTOR F. YESAVAGE, 1973-B.Ch.E., The Cooper Union; M.S.E., Ph.D., University of Michigan; Emeritus Professor of Chemical Engineering and Petroleum Refining

# PROFESSORS

ROBERT M. BALDWIN, 1975-B.S., M.S., Iowa State University; Ph.D., Colorado School of Mines; Professor of Chemical Engineering and Petroleum Refining

BERNARD BIALECKI, 1995-M.S., University of Warsaw, Poland; Ph.D., University of Utah; Professor of Mathematical and Computer Sciences

ANNETTE L. BUNGE, 1981-B.S., State University of New York at Buffalo; Ph.D., University of California at Berkeley; Professor of Chemical Engineering and Petroleum Refining

F. EDWARD CECIL, 1976-B.S., University of Maryland; M.A., Ph.D., Princeton University; Professor of Physics

JIN S. CHUNG, 1980-B.S.E., Seoul National University; M.S., University of California at Berkeley; Ph.D., University of Michigan at Ann Arbor; Professor of Engineering

REUBEN T. COLLINS, 1994-B.A., University of Northern Iowa; M.S., Ph.D., California Institute of Technology; Professor of Physics

CAROL DAHL, 1991-B.A., University of Wisconsin; Ph.D., University of Minnesota; Professor of Economics and Business

THOMAS L. DAVIS, 1980-B.E., University of Saskatchewan; M.Sc., University of Calgary; Ph.D., Colorado School of Mines; Professor of Geophysics

ANTHONY DEAN, 2000-B.S., Springhill College; A.M., Ph.D., Harvard University; William K. Coors Distinguished

Chair in Chemical Engineering and Professor of Chemical Engineering and Petroleum Refining

JOHN A. DeSANTO, 1983-B.S., M.A., Villanova University; M.S., Ph.D., University of Michigan; Professor of Mathematical and Computer Sciences

DEAN W. DICKERHOOF, 1961-B.S., University of Akron; M.S., Ph.D., University of Illinois; Professor of Chemistry and Geochemistry

GLEN R. EDWARDS, 1976-Met. Engr., Colorado School of Mines; M.S., University of New Mexico; Ph.D., Stanford University; Professor of Metallurgical and Materials Engineering

RODERICK G. EGGERT, 1986-A.B., Dartmouth College; M.S., Ph.D., The Pennsylvania State University; Professor of Economics and Business and Division Director

JAMES F. ELY, 1991-B.S., Butler University; Ph.D., Indiana University; Professor of Chemical Engineering and Petroleum Refining and Head of Department

GRAEME FAIRWEATHER, 1994-B.Sc., Ph.D., University of St. Andrews Scotland; Professor of Mathematical and Computer Sciences and Head of Department

JOHN R. FANCHI, 1998-B.S. University of Denver; M.S., University of Mississippi; Ph.D., University of Houston; Professor of Petroleum Engineering

THOMAS E. FURTAK, 1986-B.S., University of Nebraska; Ph.D., Iowa State University; Professor of Physics

JOAN P. GOSINK, 1991-B.S., Massachusetts Institute of Technology; M.S., Old Dominion University; Ph.D., University of California - Berkeley; Professor of Engineering and Division Director

D. VAUGHAN GRIFFITHS, 1994-B.Sc., Ph.D., D.Sc., University of Manchester; M.S., University of California Berkeley; Professor of Engineering, P.E.

JOHN P. HAGER, 1965-B.S., Montana School of Mines; M.S., Missouri School of Mines; Sc.D., Massachusetts Institute of Technology; Hazen Research Professor of Extractive Metallurgy; Professor of Metallurgical and Materials Engineering

WENDY J. HARRISON, 1988-B.S., Ph.D., University of Manchester; Professor of Geology and Geological Engineering

WILLY A. M. HEREMAN, 1989-B.S., M.S., Ph.D., State University of Ghent, Belgium; Professor of Mathematical and Computer Sciences

MURRAY W. HITZMAN, 1996-A.B., Dartmouth College; M.S., University of Washington; Ph.D., Stanford University; Charles Franklin Fogarty Distinguished Chair in Economic Geology; Professor of Geology and Geological Engineering and Head of Department

BRUCE D. HONEYMAN, 1992-B.S., M.S., Ph.D, Stanford University; Professor of Environmental Science and Engineering

NEIL F. HURLEY, 1996-B.S., University of Southern California; M.S., University of Wisconsin at Madison; Ph.D., University of Michigan; Charles Boettcher Distinguished Chair in Petroleum Geology; Professor of Geology and Geological Engineering

TISSA ILLANGASEKARE, 1998-B.Sc., University of Ceylon, Peradeniya; M. Eng., Asian Institute of Technology; Ph.D., Colorado State University; Professor and AMAX Distinguished Chair in Environmental Science and Engineering, P.E.

PAUL W. JAGODZINSKI, 2001-B.S., Polytechnic Institute of Brooklyn; Ph. D., Texas A&M; Professor of Chemistry and Geochemistry and Head of Department

ALEXANDER A. KAUFMAN, 1977-Ph.D., Institute of Physics of the Earth, Moscow; D.T.Sc., Siberian Branch Academy; Professor of Geophysics

MARVIN L. KAY, 1966-E.M., Colorado School of Mines; Professor of Physical Education and Athletics; Head of Department and Director of Athletics

ROBERT J. KEE, 1996-B.S., University of Idaho; M.S. Stanford University; Ph.D., University of California at Davis; George R. Brown Distinguished Professor of Engineering; Professor of Engineering

ROBERT H. KING, 1981-B.S., University of Utah; M.S., Ph.D., The Pennsylvania State University; Professor of Engineering

FRANK V. KOWALSKI, 1980-B.S., University of Puget Sound; Ph.D., Stanford University; Professor of Physics

RAGHU KRISHNAPURAM, 1997-B. Tech. Indian Institute of Technology; M.S., Louisiana State University; Ph.D.,

Carnegie Mellon; Professor of Mathematical and Computer Sciences

KENNETH L. LARNER, 1988-B.S., Colorado School of Mines; Ph.D., Massachusetts Institute of Technology; Charles Henry Green Professor of Exploration Geophysics; Professor of Geophysics

MARK A. LINNE, 1989-B.S., University of Minnesota; M.S., Ph.D., Stanford University; Professor of Engineering

STEPHEN LIU, 1987-B.S., M.S., Universitdade Federal de MG, Brazil; Ph.D., Colorado School of Mines; Professor of Metallurgical and Materials Engineering, CEng, U.K.

DONALD L. MACALADY, 1982-B.S., The Pennsylvania State University; Ph.D., University of Wisconsin at Madison; Professor of Chemistry and Geochemistry

PATRICK MacCARTHY, 1976-B.Sc., M.Sc., University College, Galway, Ireland; M.S., Northwestern University; Ph.D., University of Cincinnati; Professor of Chemistry and Geochemistry

PAUL A. MARTIN, 1999-B.S., University of Bristol; M.S., Ph.D., University of Manchester; Professor of Mathematical and Computer Sciences

GERARD P. MARTINS, 1969-B.Sc., University of London; Ph.D., State University of New York at Buffalo; Professor of Metallurgical and Materials Engineering

DAVID K. MATLOCK, 1972-B.S., University of Texas at Austin; M.S., Ph.D., Stanford University; Charles F. Fogarty Professor of Metallurgical Engineering sponsored by the ARMCO Foundation; Professor of Metallurgical and Materials Engineering, P.E.

JAMES A. McNEIL, 1986-B.S., Lafayette College; M.S., Ph.D., University of Maryland; Professor of Physics and Head of Department

NIGEL T. MIDDLETON, 1990-B.Sc., Ph.D., University of the Witwatersrand, Johannesburg; Vice President for Academic Affairs and Dean of Faculty; Professor of Engineering, P.E., S. Africa

RONALD L. MILLER, 1986-B.S., M.S., University of Wyoming; Ph.D., Colorado School of Mines; Professor of Chemical Engineering and Petroleum Refining

BRAJENDRA MISHRA, 1997-B. Tech. Indian Institute of Technology; M.S., Ph.D., University of Minnesota; Professor of Metallurgical and Materials Engineering

CARL MITCHAM, 1999-B.A., M.A., University of Colorado; Ph.D., Fordham University; Professor of Liberal Arts and International Studies

JOHN J. MOORE, 1989-B.Sc., University of Surrey, England; Ph.D., University of Birmingham, England; Trustees Professor of Metallurgical and Materials Engineering, and Head of Department

GRAHAM G. W. MUSTOE, 1987-B.S., M.Sc., University of Aston; Ph.D., University College Swansea; Professor of Engineering

BARBARA M. OLDS, 1984-B.A., Stanford University; M.A., Ph.D., University of Denver; Associate Vice President for Academic Affairs and Professor of Liberal Arts and International Studies

GARY R. OLHOEFT, 1994-B.S.E.E., M.S.E.E, Massachusetts Institute of Technology; Ph.D., University of Toronto; Professor of Geophysics

DAVID L. OLSON, 1972-B.S., Washington State University; Ph.D., Cornell University; John H. Moore Distinguished Professor of Physical Metallurgy; Professor of Metallurgical and Materials Engineering, P.E.

RICHARD OLSON, 2002-B.S., Harvey Mudd College; A.M., Ph.D., Harvard University; Hennebach Visiting Professor

UGUR OZBAY, 1998-B.S., Middle East Technical University of Ankara; M.S., Ph.D., University of the Witwatersrand; Professor of Mining Engineering

LEVENT OZDEMIR, 1977-B.S., M.S., Ph.D., Colorado School of Mines; Director of Excavation Engineering and Earth Mechanics Institute and Professor of Mining Engineering, P.E.

ERDAL OZKAN, 1998-B.S., M.Sc. Istanbul Technical University; Ph.D. University of Tulsa; Professor of Petroleum Engineering

EUL-SOO PANG, 1986-B.A., Marshall University; M.A., Ohio University; Ph.D., University of California at Berkeley; Professor of Liberal Arts and International Studies

MICHAEL J. PAVELICH, 1977-B.S., University of Notre Dame; Ph.D., State University of New York at Buffalo; Professor of Chemistry and Geochemistry

MAX PEETERS - 1998-M. Sc. Delft University; Western Atlas Int'l Distinguished Chair in Borehole

Geophysics/Petrophysics; Professor of Geophysics

EILEEN P. POETER, 1987-B.S., Lehigh University; M.S., Ph.D., Washington State University; Professor of Geology and Geological Engineering, P.E.

DENNIS W. READEY, 1989-B.S., University of Notre Dame; Sc.D., Massachusetts Institute of Technology; Herman F. Coors Distinguished Professor of Ceramic Engineering; Professor of Metallurgical and Materials Engineering

ALYN P. ROCKWOOD, 2001-B.Sc., M.Sc., Brigham Young University; Ph.D., Cambridge University; Professor of Mathematical and Computer Sciences

SAMUEL B. ROMBERGER, 1974-B.S., Ph.D., The Pennsylvania State University; Professor of Geology and Geological Engineering

PHILLIP R. ROMIG, 1969-B.S., University of Notre Dame; M.S., Ph.D., Colorado School of Mines; Dean of the Office of Graduate Studies and Research, and Professor of Geophysics

PHILIPPE ROSS, 1998-B.Sc., McGill University; M.Sc., McGill University; Ph.D., University of Waterloo; Professor of Environmental Science and Engineering and Division Director

TIBOR G. ROZGONYI, 1995-B.S., Eger Teachers College, Hungary; M.S., Ph.D., Technical University of Miskolc, Hungary; Professor of Mining Engineering and Head of Department

ARTHUR B. SACKS, 1993-B.A., Brooklyn College; M.A., Ph.D., University of Wisconsin-Madison; Professor of Liberal Arts and International Studies and Division Director

JOHN A. SCALES, 1992-B.S., University of Delaware; Ph.D., University of Colorado; Professor of Geophysics

FRANKLIN D. SCHOWENGERDT, 1973-B.S., M.S., Ph.D., University of Missouri at Rolla; Professor of Physics

PANKAJ K. SEN, 2000-B.S., Jadavpur University; M.E., Ph.D., Technical University of Nova Scotia. Professor of Engineering

RAHMAT A. SHOURESHI, 1994-B.S., Sharif University of Technology; M.S., Ph.D., Massachusetts Institute of Technology; Gerard August Dobelman Distinguished Professor of Engineering; Professor of Engineering

ROBERT SIEGRIST, 1997-B.S., M.S., Ph.D. University of Wisconsin; Professor of Environmental Science and Engineering and Interim Department Head, P.E., WI

E. DENDY SLOAN, JR., 1976-B.S.Ch.E., M.S., Ph.D., Clemson University; Weaver Distinguished Professor in Chemical Engineering and Petroleum Refining and Professor of Chemical Engineering and Petroleum Refining, P.E.

ROEL K. SNIEDER, 2000-Drs., Utrecht University; M.A., Princeton University; Ph.D., Utrecht University; W.M. Keck Foundation Distinguished Chair in Exploration Science and Professor of Geophysics

JOHN G. SPEER, 1997-B.S., Lehigh University; Ph.D., Oxford University; Professor of Metallurgical and Materials Engineering

JEFF SQUIER, 1992-B.S., M.S., Colorado School of Mines; Professor of Mathematical and Computer Sciences

PATRICK TAYLOR, 1978-B.S., Ph.D., Colorado School of Mines; George S. Ansell Distinguished Chair in Metallurgy and Professor of Metallurgy and Materials Engineering

JOHN U. TREFNY, 1977-B.S., Fordham College; Ph.D., Rutgers University; President, Professor of Physics

ILYA D. TSVANKIN, 1992-B.S., M.S., Ph.D., Moscow State University; Professor of Geophysics

A. KEITH TURNER, 1972-B.Sc., Queen's University, Kingston, Ontario; M.A., Columbia University; Ph.D., Purdue University; Professor of Geology and Geological Engineering, P.E.

CHESTER J. VAN TYNE, 1988-B.A., B.S., M.S., Ph.D., Lehigh University; FIERF Professor and Professor of Metallurgical and Materials Engineering, P.E., PA

CRAIG W. VAN KIRK, 1978-B.S., M.S., University of Southern California; Ph.D., Colorado School of Mines; Professor of Petroleum Engineering and Head of Department, P.E.

KENT J. VOORHEES, 1978-B.S., M.S., Ph.D., Utah State University; Professor of Chemistry and Geochemistry

JUNPING WANG, 1999-B.S., Hebei Teacher's University, Shijiazhuang, China; M.S., Institute of Systems Science, Academia Sinica, Beijing; M.S., Ph.D., University of Chicago; Professor of Mathematical and Computer Sciences

JOHN E. WARME, 1979-B.A., Augustana College; Ph.D., University of California at Los Angeles; Professor of Geology and Geological Engineering

RICHARD F. WENDLANDT, 1987-B.A., Dartmouth College; Ph.D., The Pennsylvania State University; Professor of Geology and Geological Engineering

ROBERT E. D. WOOLSEY, 1969-B.S., M.S., Ph.D., University of Texas at Austin; Professor of Economics and Business

BAKI YARAR, 1980-B.Sc., M.Sc., Middle East Technical University, Ankara; Ph.D., University of London; Professor of Metallurgical and Materials Engineering

TERENCE K. YOUNG, 1979-1982, 2000-B.A., Stanford University; M.S., Ph.D., Colorado School of Mines; Professor of Geophysics and Head of Department

# ASSOCIATE PROFESSORS

HUSSEIN AMERY, 1997-B.A., University of Calgary; M.A., Wilfrid Laurier University; Ph.D., McMaster University; Associate Professor of Liberal Arts and International Studies

BARBARA B. BATH, 1989-B.A., M.A., University of Kansas; Ph.D., American University; Associate Professor of Mathematical and Computer Sciences

JOHN R. BERGER, 1994-B.S., M. S., Ph.D., University of Maryland; Associate Professor of Engineering

THOMAS M. BOYD, 1993-B.S., M.S., Virginia Polytechnic Institute and State University; Ph.D., Columbia University; Associate Professor of Geophysics

TRACY KAY CAMP, 1998-B.A. Kalamazoo College; M.S. Michigan State University; Ph.D. College of William and Mary; Associate Professor of Mathematical and Computer Sciences

RICHARD L. CHRISTIANSEN, 1990-B.S.Ch.E., University of Utah; Ph.D.Ch.E., University of Wisconsin; Associate Professor of Petroleum Engineering

L. GRAHAM CLOSS, 1978-A.B., Colgate University; M.S., University of Vermont; Ph.D., Queen's University, Kingston, Ontario; Associate Professor of Geology and Geological Engineering, P.E.

RONALD R. H. COHEN, 1985-B.A., Temple University; Ph.D., University of Virginia; Associate Professor of Environmental Science and Engineering

SCOTT W. COWLEY, 1979-B.S., M.S., Utah State University; Ph.D., Southern Illinois University; Associate Professor of Chemistry and Geochemistry

JOHN B. CURTIS, 1990-B.A., M.S., Miami University; Ph.D., The Ohio State University; Associate Professor of Geology and Geological Engineering

KADRI DAGDELEN, 1992-B.S., M.S., Ph.D., Colorado School of Mines; Associate Professor of Mining Engineering

GRAHAM A. DAVIS, 1993-B.S., Queen's University at Kingston; M.B.A., University of Cape Town; Ph.D., The Pennsylvania State University; Associate Professor of Economics and Business

MAARTEN V. DeHOOP, 1997-B.Sc., M.Sc., State University of Utrecht; Ph.D., Delft University of Technology; Associate Professor of Mathematical and Computer Science

JEAN-PIERRE DELPLANQUE, 1998-Diploma, ENSEEIHT France; M.Sc., National Polytechnic Institute of Toulouse France; M.Sc., University of California Irvine; Ph.D., University of California Irvine; Associate Professor of Engineering

JOHN R. DORGAN, 1992-B.S., University of Massachusetts Amherst; Ph.D., University of California Berkeley; Associate Professor of Chemical Engineering and Petroleum Refining

MARK EBERHART, 1998 - B.S., M.S. University of Colorado; Ph.D. Massachusetts Institute of Technology; Associate Professor of Chemistry and Geochemistry

ALFRED W. EUSTES III, 1996-B.S., Louisiana Tech University; M.S., University of Colorado at Boulder; Ph.D., Colorado School of Mines; Associate Professor of Petroleum Engineering, P.E.

LINDA A. FIGUEROA, 1990-B.S., University of Southern California; M.S., Ph.D., University of Colorado; Associate Professor of Environmental Science and Engineering, P.E., CA

ROBERT H. FROST, 1977-Met.E. Ph.D., Colorado School of Mines; S.M., M.E., Massachusetts Institute of Technology; Associate Professor of Metallurgical and Materials Engineering

MICHAEL GARDNER, 2000-B.A., University of Colorado at Boulder; Ph.D., Colorado School of Mines; Associate Professor of Geology and Geological Engineering

RAMONA M. GRAVES, 1982-B.S., Kearney State College; Ph.D., Colorado School of Mines; Associate Professor of Petroleum Engineering

JERRY D. HIGGINS, 1986-B.S., Southwest Missouri State University; M.S., Ph.D., University of Missouri at Rolla; Associate Professor of Geology and Geological Engineering

WILLIAM A. HOFF, 1994-B.S., Illinois Institute of Technology; M.S., Ph.D., University of Illinois-Champaign/Urbana; Associate Professor of Engineering

GREGORY S. HOLDEN, 1978-B.S., University of Redlands; M.S., Washington State University; Ph.D., University of Wyoming; Associate Professor of Geology and Geological Engineering

JOHN D. HUMPHREY, 1991-B.S., University of Vermont; M.S., Ph.D., Brown University; Associate Professor of Geology and Geological Engineering

JAMES JESUDASON, 2002-B.A., Wesleyan University; M.A., Ph.D., Harvard University; Associate Professor of Liberal Arts and International Studies

PANOS KIOUSIS, 1999-Ph.D., Louisiana State University; Associate Professor of Engineering

DANIEL M. KNAUSS, 1996-B.S., The Pennsylvania State University; Ph.D., Virginia Polytechnic Institute and State University; Associate Professor of Chemistry and Geochemistry

YAOGUO LI, 1999-B.S., Wuhan College of Geology, China; Ph.D., University of British Columbia; Associate Professor of Geophysics

NING LU, 1997-B.S. Wuhan University of Technology; M.S., Ph.D. Johns Hopkins University; Associate Professor of Engineering

JUAN LUCENA, 2002-B.S., M.S., Rensselaer Polytechnics Institute; Ph.D., Virginia Tech; Principal Tutor, McBride Honors Program; Associate Professor of Liberal Arts and International Studies

MARK T. LUSK, 1994-B.S., United States Naval Academy; M.S., Colorado State University; Ph.D., California Institute of Technology; Associate Professor of Engineering

KEVIN W. MANDERNACK, 1996-B.S., University of Wisconsin Madison; Ph.D., University of California San Diego; Associate Professor of Chemistry and Geochemistry

DAVID W.M. MARR, 1995-B.S., University of California, Berkeley; M.S., Ph.D., Stanford University; Associate Professor of Chemical Engineering and Petroleum Refining

J. THOMAS McKINNON, 1991-B.S., Cornell University; Ph.D., Massachusetts Institute of Technology; Associate Professor of Chemical Engineering and Petroleum Refining

DINESH MEHTA, 2000-B.Tech., Indian Institute of Technology; M.S., University of Minnesota; Ph.D., University of Florida; Associate Professor of Mathematical and Computer Sciences

DAVID R. MUÑOZ, 1986-B.S.M.E., University of New Mexico; M.S.M.E., Ph.D., Purdue University; Associate Professor of Engineering

MASAMI NAKAGAWA, 1996-B.E., M.S., University of Minnesota; Ph.D., Cornell University; Associate Professor of Mining Engineering

WILLIAM C. NAVIDI, 1996-B.A., New College; M.A., Michigan State University; M.A., Ph.D., University of California at Berkeley; Associate Professor of Mathematical and Computer Sciences

ERIC P. NELSON, 1981-B.S., California State University at Northridge; M.A., Rice University; M.Phil., Ph.D., Columbia University; Associate Professor of Geology and Geological Engineering

KATHLEEN H. OCHS, 1980-B.A., University of Oregon; M.A.T., Wesleyan University; M.A., Ph.D., University of Toronto; Associate Professor of Liberal Arts and International Studies

TIMOTHY R. OHNO, 1992-B.S., University of Alberta; Ph.D., University of Maryland; Associate Professor of Physics

LAURA J. PANG, 1985-B.A., University of Colorado; M.A., Ph.D., Vanderbilt University; Associate Professor of Liberal Arts and International Studies

TERENCE E. PARKER, 1994-B.S., M.S., Stanford University; Ph.D., University of California Berkeley; Associate Professor of Engineering

IVAR E. REIMANIS, 1994-B.S., Cornell University; M.S., University of California Berkeley; Ph.D., University of California Santa Barbara; Associate Professor of Metallurgical and Materials Engineering

PAUL M. SANTI, 2001-B.S., Duke University; M.S., Texas A&M University; Ph.D., Colorado School of Mines; Associate Professor of Geology and Geological Engineering

E. CRAIG SIMMONS, 1977-B.S., University of Kansas; M.S., Ph.D., State University of New York at Stony Brook; Associate Professor of Chemistry and Geochemistry

MARCELO G. SIMOES, 2000-B.E., M.S., Ph.D., University of Sao Paulo; Associate Professor of Engineering

CATHERINE A. SKOKAN, 1982-B.S., M.S., Ph.D., Colorado School of Mines; Associate Professor of Engineering

STEVEN W. THOMPSON, 1989-B.S., Ph.D., The Pennsylvania State University; Associate Professor of Metallurgical and Materials Engineering

BRUCE TRUDGILL, 1986-B.S., University of Wales; Ph.D., Imperial College; Associate Professor of Geology and Geological Engineering

ROBERT G. UNDERWOOD, 1978-B.S., University of North Carolina; Ph.D., University of Virginia; Associate Professor of Mathematical and Computer Sciences

ERIK S. VAN VLECK, 1993-B.S. University of Kansas; M.S., University of Colorado Boulder; Ph.D., Georgia Institute of Technology; Associate Professor of Mathematical and Computer Sciences

MICHAEL R. WALLS, 1992-B.S., Western Kentucky University; M.B.A., Ph.D., The University of Texas at Austin; Associate Professor of Economics and Business

J. DOUGLAS WAY, 1994-B.S., M.S., Ph.D., University of Colorado; Associate Professor of Chemical Engineering and Petroleum Refining

KAREN B. WILEY, 1981-B.A., Mills College; M.A., Ph.D., University of Colorado; Associate Professor of Liberal Arts and International Studies

KIM R. WILLIAMS, 1997-B.Sc., McGill University; Ph.D., Michigan State University; Associate Professor of Chemistry and Geochemistry

COLIN WOLDEN, 1997-B.S., University of Minnesota; M.S., Ph.D., Massachusetts Institute of Technology, Associate Professor of Chemical Engineering and Petroleum Refining

DAVID M. WOOD, 1989-B.A., Princeton University; M.S., Ph.D., Cornell University; Associate Professor of Physics

DAVID TAI-WEI WU, 1996-A.B., Harvard University; Ph.D., University of California, Berkeley; Associate Professor of Chemistry and Geochemistry/Chemical Engineering and Petroleum Refining

TURHAN YILDIZ, 2001-B.S., Istanbul Teknik University; M.S., Ph.D., Louisiana State University; Associate Professor of Petroleum Engineering

RAY RUICHONG ZHANG, 1997-B.S., M.S., Tongji University; Ph.D., Florida Atlantic University; Associate Professor of Engineering

# ASSISTANT PROFESSORS

DIANNE AHMANN, 1999-B.A., Harvard College; Ph.D., Massachusetts Institute of Technology; Assistant Professor of Environmental Science and Engineering

JOEL BACH, 2001-B.S., SUNY Buffalo; Ph.D., University of California at Davis; Assistant Professor of Engineering

JANIS M. CAREY, 1998-B.A., Princeton University; M.S., University of California, Davis; Ph.D., University of California, Berkeley; Assistant Professor of Economics and Business

CHRISTIAN DEBRUNNER, 1996-B.S., M.S., and Ph.D., University of Illinois at Urbana Champaign; Assistant Professor of Engineering

JUAN DE CASTRO, 2000-B.A., California State University; M.A., Ph.D., University of Southern California; Assistant Professor of Liberal Arts and International Studies

RICHARD CHRISTENSON, 2002-B.S., Ph.D., University of Notre Dame; Assistant Professor of Engineering

MICHAEL COLAGROSSO, 1999-B.S., Colorado School of Mines; M.S., University of Colorado; Assistant Professor of Mathematical and Computer Sciences

JÖRG DREWES, 2001-Ingenieur cand., Dipl. Ing., Ph.D., Technical University of Berlin; Assistant Professor of Environmental Science and Engineering

CHARLES G. DURFEE, III, 1999-B.S., Yale University; Ph.D., University of Maryland; Assistant Professor of Physics

UWE GREIFE, 1999-M.S., University of Munster; Ph.D., University of Bochum; Assistant Professor of Physics

CHARLES JEFFREY HARLAN, 2000-B.S., Ph.D., University of Texas; Assistant Professor of Chemistry and Geochemistry

JOHN R. HEILBRUNN, 2001-B.A., University of California, Berkeley; M.A., Boston University, University of California, Los Angeles; Ph.D., University of California, Los Angeles; Assistant Professor of Liberal Arts and International Studies

MARIET A. HOFSTEE, 1995-Drs., Ph.D., University of Groningen, the Netherlands; Assistant Professor of Physics

SHEKHAR JAYNANTHI, 1999-B.T., Institute of Technology - Banaras Hindu University; M.S., Southern Illinois University; Ph.D., University of Minnesota; Assistant Professor of Economics and Business

IRINA KHINDANOVA, 2000-B.S., Irkutsk State University; M.A., Williams College; Assistant Professor of Economics and Business

SCOTT KIEFFER, 2002-B.A., University of California at Santa Cruz; M.S., Ph.D., University of California at Berkeley; Assistant Professor of Mining Engineering

MARK E. KUCHTA, 1999-B.S., M.A., Colorado School of Mines; Ph.D., Lulea University of Technology, Sweden; Assistant Professor of Mining Engineering

JAE YOUNG LEE, 2001-B.S., Seoul National University; M.S., Ph.D., University of Texas at Arlington; Assistant Professor of Mathematical and Computer Sciences

JUNKO MUNAKATA MARR, 1996-B.S., California Institute of Technology; M.S., Ph.D., Stanford University; Assistant Professor of Environmental Science and Engineering

CLARE M. McCABE, 2002-B.Sc., Ph.D., University of Sheffield; Assistant Professor of Chemical Engineering and Petroleum Refining

JOHN E. McCRAY, 1998-B.S., West Virginia University; M.S., Clemson University; Ph.D., University of Arizona; Assistant Professor of Geology and Geological Engineering

KELLY T. MILLER, 1996-B.S., Massachusetts Institute of Technology; Ph.D., University of California Santa Barbara; Assistant Professor of Metallurgical and Materials Engineering

SUZANNE MOON, 2002-B.S., Auburn University; M.S., Duke University; Ph.D., Cornell University; Assistant Professor of Liberal Arts and International Studies

DAVID W. MOORE, 2001-B.S., M.S., Ph.D., University of California, Berkeley; Assistant Professor of Economics and Business

BARBARA MOSKAL, 1999-B.S., Duquesne University; M.S., Ph.D., University of Pittsburgh; Assistant Professor of Mathematical and Computer Sciences

ALEXANDRA NEWMAN, 2000-B.S., University of Chicago; M.S., Ph.D., University of California, Berkeley; Assistant Professor of Economics and Business

JOHN P. H. STEELE, 1988-B.S., New Mexico State University; M.S., Ph.D., University of New Mexico; Assistant Professor of Engineering, P.E.

PETER W. SUTTER, 1998-M.S., Ph.D., Swiss Federal Institute of Technology; Assistant Professor of Physics

LUIS TENORIO, 1997-B.A., University of California, Santa Cruz; Ph.D., University of California, Berkeley; Assistant Professor of Mathematical and Computer Sciences

MONEESH UPMANYU, 2002-B.S., M.S., University of Michigan; Ph.D., University of Michigan, Princeton University; Assistant Professor of Engineering

TYRONE VINCENT, 1998-B.S. University of Arizona; M.S., Ph.D. University of Michigan; Assistant Professor of Engineering

# SENIOR LECTURERS

HUGH KING, 1993-B.S., Iowa State University; M.S., New York University; M.D., University of Pennsylvania; Ph.D., University of Colorado; Senior Lecturer of Mathematical and Computer Sciences

# LECTURERS

SANAA ABDEL AZIM, 1989-B.S., Cairo University; M.S., Ph.D., McMaster University; Lecturer of Engineering

CANDACE S. AMMERMAN, 1983-B.S., Colorado School of Mines; Lecturer of Engineering

STEVEN DEC, 1995-B.S., University of Massachusetts; Ph.D., University of Colorado at Boulder; Lecturer of Chemistry and Geochemistry

G. GUSTAVE GREIVEL, 1994-B.S., M.S., Ph.D., Colorado School of Mines; Lecturer of Mathematical and Computer Sciences

ROBERT KLIMEK, 1996-B.A., St. Mary's of the Barrens College; M.Div., DeAndreis Theological Institute; M.A., University of Denver; D.A., University of Northern Colorado; Lecturer of Liberal Arts and International Studies

RONALD KNOSHAUG, 1985-B.A., Eastern Washington State College; M.A., Ph.D., Oregon State University;

Lecturer of Engineering

JIMMY DEE LEES, 1970-B.S., Hiram Scott University; M.S., Ph.D., University of Wyoming; Lecturer of Mathematical and Computer Sciences

TONYA LEFTON, 1998-B.A., Florida State University; M.A., Northern Arizona University; Lecturer of Liberal Arts and International Studies

JON LEYDENS, 1997-B.A., M.A., Colorado State University; Director of Writing Center, and Lecturer of Liberal Arts and International Studies

HEIDI LOSHBAUGH, 1988-B.S., M.S., University of Denver; Lecturer of EPICS

JAMES LOUGH, 2000-B.A., University of Colorado at Boulder; M.A., San Francisco State University; Ph.D., University of Denver; Lecturer of Liberal Arts and International Studies

SUZANNE NORTHCOTE, 1994-B.A., M.A., Hunter College; Lecturer of Liberal Arts and International Studies

NATHAN PALMER, 1994-B.S., Colorado School of Mines; M.S., Northwestern University; Lecturer of Mathematical and Computer Sciences

CYNDI RADER, 1991-B.S., M.S., Wright State University; Ph.D., University of Colorado; Lecturer of Mathematical and Computer Sciences

TODD RUSKELL, 1999-B.A., Lawrence University; M.S., Ph.D., University of Arizona; Lecturer of Physics

SUZANNE SCOTT, 1997-B.A., Drury College, M.A., Washington University; Ph.D., University of Denver; Lecturer and Program Administrator

JOHN STERMOLE, 1988-B.S., University of Denver; M.S., Colorado School of Mines; Lecturer of Economics and Business

TERI WOODINGTON, 1998-B.S., James Madison University; M.S., Texas A&M; Lecturer of Mathematical and Computer Sciences

SANDRA WOODSON, 1999-B.A., North Carolina State University; M.A., Colorado State University; M.F.A., University of Montana; Lecturer of Liberal Arts and International Studies

# INSTRUCTORS

DAVID FLAMMER, 2001-B.S., M.A., Colorado School of Mines; Instructor of Physics

BRUCE MEEVES, 1999-B.S., Montana State University; M.S., Washington State University; Instructor of Physics

DAVID K. MOSCH, 2000-B.S., New Mexico Institute of Mining and Technology; Instructor of Mining and Experimental Mine Manager

# COACHES/ATHLETICS FACULTY

STEVE CAREY, 2002-Instructor

VICTOR L. DOPERALSKI, 1993-B.S., M.S. Kansas State University; Instructor

MICHELE L. HARRIS, 1995-B.S., M.A., Adams State College; Head Volleyball Coach

GREGORY JENSEN, 2000-B.S., M.S., Colorado State University; Instructor and Assistant Trainer

STEVE KIMPEL, 2002-Head Wrestling Coach, Facilities Director

FRANK KOHLENSTEIN, 1998-B.S., Florida State University; M.S., Montana State University; Head Soccer Coach

JASON KOLTZ, 2002-Instructor and Assistant Football and Track Coach

BRANDON LEIMBACH, 2002-Adjunct Instructor and Intramural Club Sports Director

DAN R. LEWIS, 1992-B.S., California State University; Associate Athletic Director

JENNIFER MCINTOSH, 1996-B.S., Russell Sage College, M.S., Chapman University; Athletic Trainer

GREG MURPHY, 2002-Sports Information Director

PRYOR ORSER, 2002-Head Men's Basketball Coach

MATTHEW STEINBERG, 2002-Instructor and Assistant Football Coach

ROBERT A. STITT, 2000-B.A., Doane College; M.A., University of Northern Colorado; Head Football Coach

# LIBRARY FACULTY

PATRICIA E. ANDERSEN, 2002-Associate Diploma of the Library Association of Australia, Sydney, Australia; Assistant Librarian

PAMELA M. BLOME, 2002-B.A., University of Nebraska; M.A.L.S., University of Arizona, Tucson; Assistant Librarian

DEANNA CAPORICCI, 2001-B.S., Colorado School of Mines; M.L.S., Indiana University; Assistant Librarian

LISA DUNN, 1991-B.S., University of Wisconsin-Superior; M.A., Washington University; M.L.S., Indiana University; Associate Librarian

LAURA A. GUY, 2000-B.A., University of Minnesota; M.L.S., University of Wisconsin; Associate Librarian

JOANNE V. LERUD, 1989-B.S.G.E., M.S., University of North Dakota; M.A., University of Denver; Librarian and Director of Library

LISA S. NICKUM, 1994-B.A., University of New Mexico; M.S., University of North Carolina; Assistant Librarian

ROBERT K. SORGENFREI, 1991-B.A., University of California; M.L.S., University of Arizona; Librarian

CHRISTOPHER J. J. THIRY, 1995-B.A., M.I.L.S., University of Michigan; Associate Librarian

HEATHER WHITEHEAD, 2001-B.S., University of Alberta; M.L.I.S., University of Western Ontario; Assistant Librarian

# **Policies and Procedures**

# Affirmative Action

Colorado School of Mines has instituted an affirmative action plan, which is available for perusal in numerous CSM offices including the Library, the Dean of Students' Office, and the Office of Human Resources.

Any person feeling that a violation of the following policies has occurred should promptly refer the matter to the Office of Human Resources, located in Guggenheim Hall (2nd floor), for investigation.

# Colorado School of Mines Unlawful Discrimination Policy and Complaint Procedure

## I. Statement of Authority and Purpose

This policy is promulgated by the Board of Trustees pursuant to the authority conferred upon it by §23-41-104(1), C.R.S. (1998) in order to set forth a policy concerning unlawful discrimination at CSM. This policy shall supersede any previously promulgated CSM policy which is in conflict herewith.

#### II. Unlawful Discrimination Policy

Attendance and employment at CSM are based solely on merit and fairness. Discrimination on the basis of age, gender, race, ethnicity, religion, national origin, disability, and military veteran status is prohibited. No discrimination in admission, application of academic standards, financial aid, scholastic awards, promotion, salary, benefits, transfers, reductions in force, terminations, re-employment, professional development, or conditions of employment shall be permitted. The remainder of this policy shall contain a complaint procedure outlining a method for reporting alleged violations of this policy and a review mechanism for the impartial determination of the merits of complaints alleging unlawful discrimination.

#### III. Persons Who May File an Unlawful Discrimination Complaint

An unlawful discrimination complaint may be filed by any individual described in one of the categories below:

A. Any member of the CSM community, including classified staff, exempt employees, and students as well as any applicant for employment or admission, who believes that he or she has been discriminated against by CSM, a branch of CSM, or another member of the CSM community on account of age, gender, race, ethnicity, religion, national origin, disability, or military veteran status;

B. Any person who believes that he or she has been threatened with or subjected to duress or retaliation by CSM, a branch of CSM, or a member of the CSM community as a result of (1) opposing any unlawful discriminatory practice; (2) filing a complaint hereunder; (3) representing a Complainant hereunder; or (4) testifying, assisting, or participating in any manner in an investigation, proceeding, hearing, or lawsuit involving unlawful discrimination; or

C. The Human Resources Director or an attorney from the Office of Legal Services, if any of these individuals deem it to be in the best interest of CSM to do so.

## IV. Informal Complaint Resolution Process

At the written request of an individual who has come forward with a complaint alleging unlawful discrimination, hereinafter the "Complainant," the Human Resources Director shall assist in an attempt to resolve the complaint in an informal unlawful discrimination complaint resolution process shall consist of an informal discussion between the Complainant and the individual or a representative of the entity accused of unlawful discrimination, hereinafter the "Respondent." The Human Resources Director shall act as a mediator during this process, which shall be calculated to bring the complaint to the attention of the Respondent and elicit the voluntary cooperation of the Respondent in settling the matter. By attempting to resolve the unlawful discrimination complaint in an informal manner pursuant to the terms of this section, the Complainant shall not waive any rights to subsequently pursue the complaint through the formal complaint procedure set forth below.

## V. Formal Complaint Procedure

## A. Purpose

The purpose of the formal unlawful discrimination complaint procedure is to provide a formal mechanism for the prompt and fair internal resolution of complaints alleging unlawful discrimination. The procedure outlined below shall be the exclusive forum for the internal resolution of such complaints at CSM.

### B. Where to file a Complaint

All complaints by non-students alleging unlawful discrimination or retaliation shall be filed in writing at the Office of Human Resources located on the second floor of Guggenheim Hall. Complaints by students alleging unlawful discrimination or retaliation may be submitted to the Human Resources Office, the Student Development Center, the Dean of Students, any faculty member, or any Resident Assistant. Any recipient of such a student complaint shall promptly forward the complaint to the Director of Human Resources for handling in accordance with the provisions set forth below.

## C. Time Limits

All complaints alleging unlawful discrimination or retaliation must be filed within ninety days from the date upon which the incident, occurrence, or other action alleged to constitute unlawful discrimination or retaliation occurred. However, if the alleged discrimination or retaliation is of a continuing nature, a complaint may be filed at any time.

## **D.** Contents of Complaint

A complaint alleging unlawful discrimination or retaliation must be signed by the Complainant and set forth specific factual matters believed to constitute unlawful discrimination or retaliation. The complaint shall name as Respondent the individual or entity whom the Complainant believes to have committed, participated in, or encouraged the discrimination or retaliation. The complaint shall also include a brief statement describing the relief requested by the Complainant.

#### E. Fulfillment of Complaint Prerequisites

As soon as practicable after receipt of a complaint, the Human Resources Director shall submit the complaint to an attorney from the Office of Legal Services, who shall examine it and determine if the prerequisites outlined above have been fulfilled. If the prerequisites have not been fulfilled, the attorney shall inform the Complainant of the specifics of such determination in writing. Unless the time limitations set forth above have lapsed prior to the initial filing of the complaint, the Complainant shall have the opportunity to correct any deficiencies and re-file the complaint. If the prerequisites have been fulfilled, the complaint will be handled as set forth below.

#### F. Choice of Remedies

No Complainant shall be permitted to simultaneously file an unlawful discrimination claim under the CSM Unlawful Discrimination Policy and Complaint Procedure and a sexual harassment claim under the CSM Sexual Harassment Policy and Complaint Procedure against the same individual arising out of an identical set of facts. In such a situation, a Complainant shall be entitled to file his or her claim under either, but not both, of the above-mentioned policies.

#### VI. Pre-Hearing Procedures

## A. Notification to Proceed

As soon as practicable after a determination has been made that the complaint is sufficient pursuant to subsection V.E above, the reviewing attorney shall inform the Director of Human Resources of that fact and the Director of Human Resources shall proceed with the notifications specified in subsection B below.

#### **B.** Acknowledgment of Complaint and Notification of Respondent

As soon as practicable, the Director of Human Resources shall send a letter to the Complainant acknowledging receipt of the complaint. At the same time, the Director shall provide the Respondent with a copy of the complaint and notify the Respondent in writing of the requirements set forth in subsection C below.

#### C. Response to Complaint

Within ten days from the date of receipt of a copy of the complaint, the Respondent shall file with the Director of

Human Resources a response in which the allegations contained in the complaint are admitted or denied. The Director shall provide the Complainant with a copy of the response as soon as practicable. If the response contains a denial of one or more of the allegations contained in the complaint, the process shall proceed with the selection of a hearing panel as set forth in subsection D below. If no timely response is received, or if the response admits the allegations in their entirety, the matter shall be submitted to the President, who shall then issue a decision in accordance with subsection IX.D below.

#### **D.** Selection of Hearing Panel

An initial hearing panel of ten individuals shall be selected at random in the following manner. Five initial panel members shall be selected from the CSM group of which the Complainant is a member, i.e., classified staff, exempt employees, undergraduate students, or graduate students, and the five remaining initial panel members shall be selected from the CSM group of which the Respondent is a member. The Complainant and the Respondent shall each disqualify two of the initial panel members. The disqualifications exercised by the parties shall proceed in an alternate fashion beginning with the Complainant. Of the remaining initial panel members, the one chosen last shall serve as an alternate hearing panel member. The other five initial panel members shall constitute the hearing panel for the appeal. Prospective panel members may be excused on account of conflict of interest, health, or unavoidable absence from campus. An excused initial panel member shall be replaced by another initial panel member chosen in a random drawing prior to the exercise of any disqualifications by either party.

#### E. Selection of Chief Panel Member

After a hearing panel has been chosen, the panel members shall elect a chief panel member from their number who shall preside throughout the remainder of the case.

## 1. Authority of Chief Panel Member

The chief panel member shall have the authority to (a) issue orders to compel discovery; (b) make rulings on evidentiary objections; and (c) issue any other orders necessary to control the conduct of the hearing and prohibit abusive treatment of witnesses, including removal of disruptive individuals from the hearing room.

### 2. Role of Alternate Hearing Panel Member

The alternate hearing panel member shall observe, but not actively participate in, all of the proceedings in the case and be prepared to substitute for a panel member who becomes unavailable during any stage of the case due to death, illness, or emergency.

### F. Setting of Hearing Date

After a chief panel member has been chosen, a hearing date shall be set with reasonable consideration given to the schedules of the participants. The chief panel member shall set a date for the hearing, which shall occur no more than ninety days after the date upon which the formal complaint was filed with the Director of Human Resources. Once set, the hearing date may be rescheduled only with the concurrence of the Complainant, the Respondent, and the hearing panel.

#### G. Participation of Attorneys

Either party may engage the services of an attorney to assist in document preparation or case preparation. However, an attorney may not enter an appearance or formally participate in the case on behalf of either party.

## H. Legal Advice for Hearing Panel

If the hearing panel desires legal advice at any time during the case, the chief panel member shall request such advice from the Office of Legal Services. An attorney from the Office of Legal Services shall provide the requested advice unless all such attorneys are actively involved in the case on behalf of one of the parties. In such event, the chief panel member shall request the desired legal advice from the Assistant Attorney General assigned to CSM, whose name and telephone number shall be provided to the chief panel member by the legal office.

#### I. Pre-Hearing Discovery

Informal discovery, or the exchange between the parties of information relevant to the case, is encouraged. If the parties cannot resolve such issues informally, either party may request the chief panel member up to ten days prior to the hearing date to enter an order compelling discovery upon a showing of the relevance of the requested information and the necessity of such information to case preparation. The other party may oppose such request by showing that the requested information is irrelevant, unnecessary to the requesting party's case preparation, or privileged according to law.

#### VII. Pre-Hearing Statements

## A. Contents of Pre-Hearing Statements

Each party shall file a pre-hearing statement containing the following components:

- 1. Summary of the Argument: A concise statement summarizing the case from the position of the submitting party;
- 2. List of Issues: A list of the issues which the submitting party wishes the hearing panel to resolve;

3. List of Witnesses: A list of witnesses to be presented at the hearing along with a summary of the anticipated testimony of each witness; and

4. Photocopies of Exhibits: Photocopies of each exhibit to be presented at the hearing.

#### **B. Deadlines for Pre-Hearing Statements**

The Complainant shall file a pre-hearing statement with the hearing panel and provide a copy to the opposing party no later than ten days prior to the hearing date. The Respondent shall file a pre-hearing statement with the hearing panel and provide a copy to the opposing party no later than five days prior to the hearing date. If the hearing date is rescheduled, these time limits shall apply to the rescheduled hearing date.

#### C. Limitations Imposed by Pre-Hearing Statements

Neither party shall make an argument during the hearing which is inconsistent with the arguments set forth in the summary of the argument section of his or her pre-hearing statement. Neither party shall introduce any witnesses or exhibits at the hearing which are not listed in his or her pre-hearing statement. All exhibits listed in the pre-hearing statements shall be deemed genuine and admissible unless successfully challenged prior to the hearing.

#### **D.** List of Hearing Issues

After examining the pre-hearing statements of both parties, the hearing panel shall prepare a list of issues to be resolved through the hearing and distribute such list to the parties no later than two days prior to the hearing date. The panel may list issues contained in the pre-hearing statement of either party or relevant issues not contained in the pre-hearing statement of the hearing panel is limited to hearing claims of unlawful discrimination, only issues directly related to the Complainant's claim of unlawful discrimination may be placed on the list of issues. The list of issues generated pursuant to this subparagraph shall be binding upon the subsequent hearing and shall form the standard against which all relevancy arguments shall be weighed.

#### E. Amendments to Pre-Hearing Statements

Up to two days prior to the hearing date, either party may request the chief panel member to permit amendments to his or her pre-hearing statement upon a showing of good cause and lack of prejudice to the opposing party. Any party filing an amended pre-hearing statement shall provide a copy thereof to the opposing party no later than the filing deadline imposed by the order granting leave to amend.

#### VIII. Hearing Procedures

#### A. Burden and Standard of Proof

The Complainant shall bear the burden of proof throughout the case. The standard of proof which the Complainant must meet to sustain the burden of proof shall be the preponderance of the evidence standard. The preponderance of the evidence standard shall be deemed met if the panel believes that it is more likely than not that the facts at issue occurred. The facts at issue shall include all facts which are required to be proven by the party bearing the burden of proof in order for such party to prevail.

#### **B.** Order of Presentation

Since the Complainant bears the burden of proof, that party shall present his or her case first. After the Complainant has finished, the Respondent shall present his or her case.

#### C. Outline of Hearing

- The hearing shall proceed according to the following general outline:
- 1. Complainant's Opening Statement
- 2. Respondent's Opening Statement (unless reserved)
- 3. Complainant's Case
- 4. Respondent's Opening Statement (if reserved)
- 5. Respondent's Case
- 6. Complaint's Rebuttal Case (unless waived)
- 7. Respondent's Rebuttal Case (only if Complainant presents a rebuttal case and unless waived)
- 8. Complainant's Closing Argument
- 9. Respondent's Closing Argument
- 10. Complainant's Rebuttal Argument (unless waived)

#### **D.** Inapplicability of Strict Evidentiary Rules

Strict legal evidentiary rules shall not apply during the hearing. The chief panel member shall rule on the admissibility of disputed evidence with primary consideration given to the relevance, reliability, and probative value of proffered evidence.

### **E.** Witness Examination Procedure

Each witness shall be directly examined by the party on whose behalf the witness has appeared to testify. Upon the conclusion of the direct examination of each witness, the opposing party shall be permitted the right of cross-examination. The chief panel member may permit re-direct and re-cross examination. However, an identical examination procedure shall be utilized for all witnesses testifying in a given hearing. Hearing panel members may interject questions at any time during the direct, cross, re-direct, or re-cross examinations.

#### IX. Post-Hearing Procedure

## A. Recommendation of the Hearing Panel

Within a reasonable time after the conclusion of the hearing, the hearing panel shall confer among themselves and vote upon a recommended course of action. The panel members holding a majority point of view shall designate one of their number to write a recommendation reflecting their opinion. The panel members holding a minority point of view, if any, may issue a dissenting recommendation in a similar fashion.

### **B.** Contents of Recommendation

The recommendation of the hearing panel shall include the following components:

1. Statement Regarding Burden of Proof: A statement regarding whether or not the hearing panel believes that the burden of proof borne by the Complainant has been sustained;

2. Findings of Fact: A list of the relevant facts found by the hearing panel upon which the recommendation is based;

3. Legal Conclusions: A list of the legal conclusions of the hearing panel upon which the determination of the issue of unlawful discrimination is based; and

4. Recommended Action: A statement regarding the relief for the Complainant, if any, that is being recommended by the hearing panel.

#### C. Issuance of Recommendation

The recommendation of the hearing panel shall be issued to the parties and delivered to the President along with the case file within fifteen days after the conclusion of the hearing.

#### **D.** Decision of President

The President shall examine the case file, consider the recommendation of the hearing panel, and issue a final written decision in the matter. The President shall possess the authority to affirm, reverse, or modify the recommendation of the hearing panel or to remand the matter to the panel for further proceedings or consideration. In the decision, the President may provide appropriate relief to the Complainant and may impose appropriate disciplinary action upon the Respondent. The decision of the President shall be delivered to the parties and the hearing panel within fifteen days from the date of the President's receipt of the recommendation and case file from the hearing panel, unless the President is unavailable for a significant amount of time during this period.

#### E. Presidential Unavailability

The term "unavailable," as utilized in this subsection and subsection X.D above, shall be defined to mean out of town, medically incapacitated, or engaged in important CSM business to the extent that sufficient time cannot be devoted to decision making hereunder. If the President is unavailable for a significant period of time during the decision making period, a letter shall be sent to the parties advising them of that fact as well as the anticipated date of presidential availability. In such event, the decision shall be due fifteen days from the date upon which the President becomes available. The President shall be the sole judge of presidential unavailability hereunder.

#### F. Appeal of Presidential Decision

There shall be no internal appeal from the final decision of the President. A party aggrieved by the decision of the President may file a complaint with the appropriate equal opportunity enforcement agency or pursue other available legal remedies.

Promulgated by the CSM Board of Trustees on March 13, 1992. Amended by the CSM Board of Trustees on June 10, 1999. Amended by the CSM Board of Trustees on June 22, 2000.

# Colorado School Of Mines Sexual Harassment Policy and Complaint Procedure

#### I. Statement of Authority and Purpose

This policy is promulgated by the Board of Trustees pursuant to the authority conferred upon it by \$23-41-104(1), C.R.S. (1988 Repl. Vol.) in order to set forth a policy concerning sexual harassment at CSM. This policy shall supersede any previously promulgated CSM policy which is in conflict herewith.

# II. Sexual Harassment Policy

#### A. Definition of Sexual Harassment

Sexual harassment consists of unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature when (1) submission to such conduct is made either explicitly or implicitly a term or condition of an individual's employment or scholastic endeavors; (2) submission to or rejection of such conduct by an

individual is used as the basis for employment or academic decisions affecting the individual; or (3) such conduct has the purpose or effect of unreasonably interfering with an individual's work or school performance, or creating an intimidating, hostile, or offensive working or studying environment.

## **B.** Policy Statement

CSM wishes to foster an environment for its students and employees which is free from all forms of sexual harassment, sexual intimidation, and sexual exploitation. Accordingly, CSM will not tolerate sexual harassment and will take all necessary measures to deter such misconduct and discipline violators of this policy with appropriate sanctions. Furthermore, retaliation in any form against an individual for reporting sexual harassment or cooperating in a sexual harassment investigation is strictly prohibited. Such retaliation shall be dealt with as a separate instance of sexual harassment. The remainder of this policy shall contain a complaint procedure outlining a method for reporting alleged violations of this policy and a review mechanism for the impartial determination of the merits of complaints alleging sexual harassment.

#### C. Sanctions for Sexual Harassment

Appropriate sanctions may be imposed upon an employee or student who has sexually harassed another. The term Perpetrator shall be utilized herein to refer to such a person. The sanctions may include one or more of the following: verbal reprimand and warning, written reprimand and warning, student probation, suspension from registration, monetary fine, suspension without pay, expulsion, or termination. In determining appropriate sanctions for the offense, the decision maker shall consider the severity of the offense, aggravating and mitigating factors, and the Perpetrator's previous history of sexual harassment offenses. If the decision maker concludes that a lack of comprehension of the concept of sexual harassment is a factor in the offense, the Perpetrator can also be required to attend a sexual harassment seminar or workshop.

## III. Persons Who May File a Complaint

A sexual harassment complaint may be filed by an individual described in one of the categories below:

A. Any person who believes that he or she has been sexually harassed by a member of the CSM community, including classified staff, exempt employees, and students;

B. Any person who believes that he or she has been threatened with or subjected to duress or retaliation by a member of the CSM community as a result of (1) opposing any perceived sexual harassment; (2) filing a complaint hereunder; (3) representing a Complainant hereunder; or (4) testifying, assisting, or participating in any manner in an investigation, proceeding, hearing, or lawsuit involving sexual harassment; or

C. The Human Resources Director or an attorney from the Office of Legal Services, if any of these individuals deem it to be in the best interest of CSM to do so.

#### IV. Informal Complaint Resolution Process

At the request of an individual who has come forward with a sexual harassment complaint, hereinafter the "Complainant," the Director of Human Resources shall assist in an attempt to resolve the complaint in an informal manner. Although verbal requests to proceed with the informal complaint resolution process will be honored, complainants are strongly encouraged to put such requests in writing. The informal sexual harassment complaint resolution process shall consist of an informal discussion between the Complainant and the individual accused of sexual harassment, hereinafter the "Respondent." The Director of Human Resources shall act as a mediator during this process, which shall be calculated to bring the complaint to the attention of the Respondent and elicit the voluntary cooperation of the Respondent in settling the matter. By attempting to resolve the sexual harassment complaint in an informal manner pursuant to the terms of this section, the Complainant shall not waive any rights to subsequently pursue the complaint through the formal sexual harassment complaint procedure set forth below.

## V. Formal Complaint Procedure

## A. Purpose

The purpose of the formal sexual harassment complaint procedure is to provide a formal mechanism for the prompt and fair internal resolution of complaints alleging sexual harassment. The procedure outlined below shall be the exclusive forum for the internal resolution of sexual harassment complaints at CSM.

#### B. Where to file a Complaint

All complaints by non-students alleging sexual harassment or retaliation shall be lodged with the Human Resources Office located on the second floor of Guggenheim Hall. Complaints by students alleging sexual harassment or retaliation may be submitted to the Human Resources Office, the Student Development Center, the Dean of Students, any faculty member, or any Resident Assistant. Any recipient of a student sexual harassment or retaliation complaint shall promptly forward such complaint to the Director of Human Resources for handling in accordance with the provisions set forth below.

## C. Time Limits

A complaint may be lodged at any time, but CSM strongly encourages individuals who feel they have been victims of sexual harassment to come forward as soon as possible after the occurrence of the incident, event, or other action

alleged to constitute sexual harassment or retaliation.

#### **D.** Contents of Complaint

Although a verbal sexual harassment complaint will be investigated, complainants are strongly encouraged to submit sexual harassment complaints in writing. Written complaints must be signed and must set forth specific factual matters believed to constitute sexual harassment or retaliation. The Complaint shall name as Respondent each individual whom the Complainant believes to have committed, participated in, or encouraged the sexual harassment or retaliation. The complaint shall also include a brief statement describing the relief requested by the Complainant.

#### E. Fulfillment of Complaint Prerequisites

As soon as practicable after receipt of the complaint, the Director of Human Resources shall submit the complaint to an attorney from the Office of Legal Services, who shall determine if the prerequisites outlined above have been fulfilled. If the prerequisites have not been fulfilled, the reviewing attorney shall inform the Complainant of the specifics of such determination in writing. The Complainant shall have the opportunity to correct any deficiencies and re-file the complaint. If the prerequisites have been fulfilled, the complaint will be handled as set forth below.

#### F. Choice of Remedies

No Complainant shall be permitted to simultaneously file an unlawful discrimination claim under the CSM Unlawful Discrimination Policy and Complaint Procedure and a sexual harassment claim under the CSM Sexual Harassment Policy and Complaint Procedure against the same individual arising out of an identical set of facts. In such a situation, a Complainant shall be entitled to file his or her claim under either, but not both, of the above-mentioned policies.

#### G. Notification of CSM Management Personnel

As soon as practicable after a determination has been made that the complaint is sufficient pursuant to subsection V.E above, the Office of Legal Services shall notify CSM Management Personnel of the complaint and provide them with a copy thereof. For the purpose this policy, the term CSM Management Personnel shall refer to the President, the vice president in whose area the Respondent is employed or enrolled, and, if applicable, the Respondent's immediate supervisor. However, if the President is the Respondent, the term CSM Management Personnel shall refer to the Board of Trustees, and if the Respondent is a vice president, the term "CSM Management Personnel" shall refer to the President.

## H. Acknowledgment of Complaint and Notification of Respondent

As soon as practicable after being informed of the complaint pursuant to subsection V.G above, the vice president shall send a letter to the Complainant acknowledging receipt of the complaint. At the same time, the vice president shall notify the Respondent of the complaint in writing, and if the complaint has been reduced to writing, the vice president shall provide the Respondent with a copy thereof. If the President is the Respondent, the President of the Board of Trustees shall perform the above duties. If the Respondent is a vice president, the President shall perform these duties.

#### I. Investigation Authorization Form

Unless the complaint is initiated by an attorney from the Office of Legal Services or the Director of Human Resources pursuant to subsection III.C above, the Complainant shall be required to execute a Sexual Harassment Complaint Investigation Authorization Form prior to any investigation of the complaint.

### J. Investigation of Complaint

An attorney from the Office of Legal Services and the Director of Human Resources shall jointly investigate the complaint by examining relevant documents, if any, and interviewing witnesses and other individuals designated by either party. The investigators will strive to conduct the investigation in a discrete and expeditious manner with due regard to thoroughness and fairness to both parties.

#### K. Confidentiality of Investigative Materials

All materials and documents prepared or compiled by the investigators during the course of investigating a sexual harassment complaint hereunder shall be kept confidential to the fullest extent of the law in order to protect interviewees and promote candor.

#### L. Alternate Investigators

If either an attorney from the Office of Legal Services or the Director of Human Resources is the Complainant or the Respondent hereunder, or is otherwise unavailable, the President shall appoint an alternate investigator.

#### M. Report of Findings and Confidential Recommendation

As soon as practicable after the conclusion of the investigation, the investigating attorney shall prepare and submit a report of findings and a confidential recommendation to CSM Management Personnel and the Director of Human Resources. The report of findings shall be provided to the Complainant and Respondent within a reasonable time following the issuance of a decision pursuant to subsection V.N below. The confidential recommendation shall not be released to the Complainant or the Respondent without written authorization from the President. The Director of

Human Resources shall submit a separate recommendation to CSM Management Personnel which contains a statement of agreement or disagreement with the findings and recommendation of the investigating attorney.

#### N. Resolution of the Complaint

Following consultations with the President, the investigating attorney, and the Director of Human Resources, the vice president shall issue a final written decision regarding the complaint. The decision shall be addressed to the Complainant and shall contain a statement of whether or not sexual harassment was found to have occurred, the remedies to be provided to the Complainant, if any, and the sanctions to be imposed upon the Respondent, if any. At approximately the same time, the decision shall be communicated to the Respondent in writing. If sanctions are to be imposed upon the Respondent, the vice president shall also notify the Respondent of that aspect of the decision in writing. If the President is the Respondent, the President of the Board of Trustees shall perform the above duties. If the Respondent is a vice president, the President shall perform these duties.

#### **O. Appeal of Final Decision**

There shall be no internal appeal from the final decision rendered pursuant to subsection V.N above. A party aggrieved by the decision may file a complaint with the appropriate administrative agency or pursue other available legal remedies.

Promulgated by the CSM Board of Trustees on March 13, 1992. Amended by the CSM Board of Trustees on March 26, 1998. Amended by the CSM Board of Trustees on June 10, 1999. Amended by the CSM Board of Trustees on June 22, 2000.

# Colorado School of Mines Personal Relationships Policy

#### I. Statement of Authority and Purpose

This policy is promulgated by the Board of Trustees pursuant to the authority conferred upon it by \$23-41-104(1), C.R.S. (1988 Repl. Vol.) in order to set forth a policy concerning certain personal relationships at CSM as addressed herein. This policy shall supersede any previously promulgated CSM policy which is in conflict herewith.

#### II. Preface

Certain amorous, romantic, or sexual relationships in which the parties appear to have consented, but where a definite power differential exists between them, are of serious concern to CSM. Personal relationships which might be appropriate in other circumstances always pose inherent dangers when they occur between an Instructor and a Student, between a Person in a Position of Trust and a Student, and between a Supervisor and a Subordinate Employee. Although both parties to the relationship may have consented at the outset, such relationships are fundamentally asymmetric in nature. It is incumbent upon those with authority not to abuse, nor appear to abuse, the power with which they are entrusted. Accordingly, codes of ethics promulgated by most professional regulatory associations forbid professional-client amorous, romantic, or sexual relationships. The relationships prohibited by this policy shall be viewed in this context, and Instructors, Persons in Positions of Trust, and Supervisors should be aware that any violation of this policy shall result in formal disciplinary action against them.

#### III. Definitions

For the purposes of this policy, the following definitions shall apply:

**A.** *Person in a Position of Trust:* Any person occupying a position of trust with respect to one or more students at CSM such that engaging in an amorous, romantic, or sexual relationship with any student would compromise the ability of the employee to perform his or her duties. Examples of Persons in Positions of Trust at CSM are those employed in the Office of the Registrar, those employed in the Student Life Office, those employed in the Student Development Office, those employed in Public Safety, resident assistants, and paper graders. The above examples are provided for illustrative purposes only and are not intended to be exhaustive listings or to limit the illustrated category in any manner.

**B.** *Instructor:* Any person who teaches at CSM, including academic faculty members, instructional staff, and graduate students with teaching or tutorial responsibilities.

C. Student: Any person who is pursuing a course of study at CSM.

D. Subordinate Employee: Any person employed by CSM who is supervised by another employee.

**E.** *Supervisor:* Any person employed by CSM who occupies a position of authority over another employee with regard to hiring, administering discipline, conducting evaluations, granting salary adjustments, or overseeing task performance.

#### IV. Policy

A. Personal Relations Between Instructors and Students in the Instructional Context

No Instructor shall engage in an amorous, romantic, or sexual relationship, consensual or otherwise, with a Student who is enrolled in a course being taught by the Instructor, or whose academic work is being supervised by the

#### Instructor.

B. Personal Relationships Between Instructors and Students Outside the Instructional Context

In a personal relationship between an Instructor and a Student for whom the Instructor has no current professional responsibility, the Instructor should be sensitive to the constant possibility that he or she may unexpectedly be placed in a position of responsibility for the instruction or evaluation of the Student. This could entail a request to write a letter of recommendation for the Student or to serve on an admissions or selection committee involving the Student. In addition, an awareness should be maintained that others may speculate that a specific power relationship exists even when none is present, giving rise to assumptions of inequitable academic or professional advantage of the Student. Even if potential conflict of interest issues can be resolved, charges of sexual harassment may arise. In such situations, it is the Instructor who, by virtue of his or her special responsibility, shall be held accountable for unprofessional behavior.

C. Personal Relationships Between Supervisors and Subordinate Employees

No Supervisor shall engage in an amorous, romantic, or sexual relationship, consensual or otherwise, with a Subordinate Employee who reports, either directly or indirectly, to the Supervisor or is under the Supervisor's direct or indirect authority.

D. Personal Relationships Between Persons in Positions of Trust and Students

No Person in a Position of Trust shall engage in an amorous, romantic, or sexual relationship, consensual or otherwise, with a Student.

(Promulgated by the CSM Board of Trustees on February 14, 1992)

# Index

## Α

Academic Calendar 4 Academic Dishonesty 22 Academic Dishonesty Policy 22 Access to Student Records 26 Accreditation 7 Admission Procedure 8 Admission Requirements 8 Admission to Candidacy 30 Affirmative Action 168 Alcohol Use 21 Alumni Association 14 Apartments 10 Arthur Lakes Library 14 Auditing Courses 20

# С

Campus Security 21 Career Center 11 Categories of Admission 8 Centers and Institutes 149 Chemical Engineering and Petroleum Refining 5, 37 Chemistry and Geochemistry 5, 42 Code of Conduct 21 Combined Undergraduate/Graduate Programs 31 Copy Center 14 Course Grades 24 Curriculum Changes 21

## D

Degree Students 8 Description of Courses 37 Directory of the School 155 Doctor of Philosophy 34 Doctoral Thesis Committee 34 Dropping and Adding Courses 20 Drug Free Schools & Communities 21 Drug Use 21

# Ε

Economics and Business 5, 48 Employment Restrictions and Agreements 29 Encumbrances 28 Engineer Days 12 Engineering 5, 56 Environmental Science and Engineering 5, 66

## F

Fees 27 Financial Aid 5, 29 Financial Assistance 29 Financial Responsibility. 28 Full-time Status 18

# G

General Registration Requirements 18 Geochemistry 73 Geology and Geological Engineering 5, 78 Geophysics 5, 92 Grade-Point Averages 26 Grading System 25 Graduate Degree Programs 37 Graduate Degrees and Requirements 30 Graduate Degrees Offered 7 Graduate School Bulletin 21 Graduate Student Association 5, 11 Graduate Thesis 23 Graduation 24 Graduation Requirements 18 Green Center 15

# Η

Health Center 11 Health Insurance 11 Health Record 9 History of CSM 6 Homecoming 12 Honor Societies 12 Housing 5, 10

# I

Identification Cards 11 In-State Tuition Classification Status 19 Incomplete Grade. 25 Independent Study 24 Interest Organizations 12 INTERLINK Language Center (ESL) 15 International & Minority Organizations 12 International Day 12 International Programs 15 International Student Services 5, 10 International Students 9

# L

LAIS Writing Center 15 Late Payment 28 Late Registration Fee 18 Leave of Absence 18 Liberal Arts and International Studies 5, 101

# Μ

Materials Science 5, 107 Mathematical and Computer Sciences 5, 115 Metallurgical and Materials Engineering 5, 121 Mines Park 10 Mining Engineering 5, 131 Minor Programs 32, 34 Minority Organizations 12 Mission and Goals 6 Motor Vehicles 11

# Ν

NC Grade 25 Nondegree Students 8, 25

# 0

Office of Graduate Studies and Research 5 Office of Women in Science, Engineering and Mathem 16 Oredigger Student Newspaper 11

# Ρ

Parking 11 Payments and Refunds 28 Personal Relationships Policy 174 Petroleum Engineering 5, 138 Physics 5, 144 Professional Degree 30 Professional Programs 30 Professional Societies 13 Prospector Village 10 Public Affairs 16

# Q

**Quality Hours and Quality Points 26** 

# R

Reciprocal Registration 19 Recreational Organizations 13 Refunds 29 Registrar 5 Registration 18 Research Development and Services 16 Research Fair 12 Research Registration 18 Residence Halls 10 Residency 19

# S

Semester Hours 26 Sexual Harassment Policy 172 Special Programs and Continuing Education (SPACE) 16 Spring Blowout 12 Student Center 10 Student Conduct 21 Student Development and Academic Services 10 Student Fees 27 Student Housing 5

# Т

Telecommunications Center 17 Thesis Committee 33 Thesis Defense 33, 35 Thesis Grades 24 Thesis Registration 18 Thesis-Based Master's Degree Programs 32 Tuition 27

# U

Undergraduate Courses 24 Undergraduate Deficiencies 24 Unique Programs 7 Unlawful Discrimination Policy 168 Unsatisfactory Academic Performance 21

# V

Veterans' Benefits 11, 25

# W

Winter Carnival 12 Withdrawal from School 29 Withdrawing from School 25