

# RECORD OF PROCEEDINGS

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Golden, Colorado  
February 14, 1992

Oath of Office. The oath of office was administered to Trustee Miller.

The Board of Trustees met in regular session on February 14, 1992, at 9:00 a.m.

Trustees present: Messrs. Joseph, McNulty, Miller, Rosser and Wood.

Absent: Ms. Allen and Messrs. Coors and Stott.

Also attending the meeting were President Ansell; Vice Presidents Chevront, Geason, Powers and Schowengerd; Dr. Kidnay, Dean of Graduate Studies and Research; Dr. Kennedy, faculty representative to the Board; Mr. Zehr, Executive Director of the CSM Alumni Association; other staff members and visitors.

Mr. Wood presided.

Previous Minutes. The minutes of the meeting held on December 13, 1991, were approved.

Board Policies. The following policies were unanimously approved:

- Contract Approval and Execution Policy
- Personal Relationships Policy
- Real Estate Conveyance Policy
- International Travel approval Policy

Sabbatical Requests. Dr. Schowengerdt requested Board approval of the following sabbatical request:

Dr. Norman Bleistein, Professor  
Mathematical and Computer Sciences  
Member of the faculty since 1983 with a sabbatical leave during spring 1990.  
Dr. Bleistein's request is based primarily on his need for time to recover from his wife's death; however, there are a number of professional activities in which he will engage during that time.

The Board unanimously approved the request.

New Faculty Appointments. Dr. Schowengerdt reviewed the list of new faculty appointments for spring 1992.

Graduate School Admissions. Dr. Kidnay provided the standard report for spring 1992. He also furnished graduate student enrollment figures for fall 1992.

Enrollment - Admissions. Mr. Young provided the February 1, 1992 Admissions Report, spring 1991-92 enrollment statistics and the spring 1991-92 Registrar's Report.

New Research Awards. Dr. Geason provided reports on new research award volume for January 1992.

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Monthly Financial Reports. Dr. Geason provided the following reports: Current Funds Revenues, Expenditures, and Other Changes for the Seven Months Ending January 31, 1992; State Appropriated Funding Revenues, Expenditures, and Other Changes Projected June 30, 1992; Auxiliary and Self-Funded Activities for the Seven Months Ending January 31, 1992; and Time Deposits and Investment Report, January 31, 1992.

Environmental Health and Safety Report. Mr. MacPherson submitted a report for the months of December 1991 and January 1992.

Institutional Advancement Reports. Mr. Powers provided the following reports: Fiscal Year 1992 Development Report Summary through January 29, 1992; Summary of Commitments for Fiscal Year 1992 through January 29, 1992; Summary of Cash for Fiscal Year 1992 through January 29, 1992; Summary of Commitments for Campaign to Date through January 29, 1992; and The Campaign for Colorado School of Mines, A Pattern for Success to Raise \$60,000,000

University Club. President Ansell discussed the report of the committee appointed to study the feasibility of a university club at CSM and recommended that the CSM Foundation enter into negotiations with the Coolbaugh family to obtain title to the club under terms and conditions recommended by the committee; that the club be operated by the CSM Foundation utilizing the auxiliary services staff of the school as recommended by the committee; and that the renovation and operating expenses (Foundation loan, annual budget and dues structure) be dealt with in accordance with the recommendations of the committee.

The Board unanimously approved the recommendations.

BOT Conference Agenda. Dr. Schowengerdt briefed the Board on plans for the 1992 summer conference

Ph.D. in Environmental Sciences. Drs. Schowengerdt and Cordes discussed the proposal and recommended that the Board approve the request to forward the proposal to the CCHE for consideration.

The Board unanimously approved the request.

Environmental Health and Safety Compliance Issues. Messrs. Joseph and McNulty raised a number of issues related to CSM's compliance with environmental legislation. It was recommended that: a Board policy on compliance be developed; consideration be given to banning the purchase of materials which are costly or difficult to dispose of within legislative constraints; consideration should be given to adopting a purchasing policy which requires suppliers of certain materials to accept the return of such materials; and CSM should conduct a compliance audit to assess the current level of compliance and to suggest changes in compliance-related activities.

The Board unanimously approved these recommendations.

GTA Policy. The following policy statement has been approved by the Undergraduate Council and the Faculty Senate:

It is the policy of CSM that teaching be done primarily by faculty members. Accordingly, GTAs are not to have the primary responsibility for organizing, teaching or assigning grades in a course, but should serve only in assistantship

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roles, as their titles imply. GTAs can be assigned to such roles in individual laboratory or recitation sections that meet once a week, but must be under the direct supervision of a faculty member at all times.

The Board unanimously approved the policy.

Domingo Moreno Professorship in Mineral Economics. President Ansell requested Board approval to place the Moreno gift in a permanent endowment to support a Domingo Moreno Professorship in Mineral Economics.

The Board unanimously approved the request.

Board Policies. The following policies were presented to the Board for review. Board approval will be requested at the March meeting.

Sexual Harassment Policy.  
Affirmative Action Policy.

Sabbatical Report. Dr. John Abel of the Mining Engineering Department reported on his sabbatical leave taken during the 1990-91 academic year.

Faculty Senate Report. Dr. Kennedy provided a written report which he reviewed. He invited the Board members to join the Senate for lunch following the March 13 Board meeting.

Legislative Report. Ms. Carr presented the report.

The meeting was adjourned at 11:20 a.m., and the Board went into executive session.

  
Secretary

February 14, 1992

# RECORD OF PROCEEDINGS

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Golden, Colorado  
March 13, 1992

The Board of Trustees met in regular session on March 13, 1992, at 9:00 a.m.

Trustees present: Ms. Allen and Messrs. Coors, McNulty, Miller, Rosser, Stott and Wood.

Absent: Messrs. Joseph and Miller.

Also attending the meeting were President Ansell; Vice Presidents Chevront, Geason, Powers and Schowengerdt; Dr. Kidnay, Dean of Graduate Studies and Research; Dr. Romberger, faculty representative to the Board; Mr. Zehr, Executive Director of the CSM Alumni Association; other staff members and visitors.

Mr. Wood presided.

Sabbatical Report. Dr. Robert S. Thompson of the Petroleum Engineering Department presented his report.

Previous Minutes. The minutes of the meeting held on February 14, 1992, were approved.

Physics Visiting Committee. Dr. Schowengerdt provided a recommended list of members for the Physics Visiting Committee, and requested Board approval.

The Board unanimously approved the recommended list.

Board Policies. The Sexual Harassment and Affirmative Action Policies were submitted to the Board for review at the February meeting. The policies are now being presented for approval.

The Board unanimously approved the policies.

Sabbatical Requests. Dr. Schowengerdt requested Board approval of the following sabbatical requests:

Dr. Michael B. McGrath, Professor  
Engineering

Member of the faculty since 1973 with no previous leave.

Dr. McGrath is requesting leave without pay for a period of one to two years to work for the National Science Foundation as a program director in the Division of Advanced Scientific Computing.

Dr. Joan R. Hundhausen, Associate Professor  
Mathematical and Computer Sciences

Member of the faculty since 1974 with no previous leave.

Dr. Hundhausen is requesting sabbatical leave for the fall semester 1992 at three-fourths pay. She plans to spend the leave period in a visiting position at the National Center for Atmospheric Research and in updating her knowledge in the areas of applied mathematics and the computer in advanced applications.

The Board unanimously approved the requests.

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Emeritus Designation. Dr. Schowengerdt provided a list of faculty members who are retiring from the faculty at CSM and who qualify for emeritus status:

Dr. John F. Abel, Jr.  
Dr. Kenneth W. Edwards  
Professor John W. Hancock  
Dr. Thomas D. Kaufmann  
Dr. R. Edward Knight  
Dr. Oded Rudawsky  
Dr. Guy H. Towle

The Board unanimously approved the request for emeritus designation for these faculty members..

Approval to Hire Faculty Member. Dr. Schowengerdt stated that in accordance with section 5.22 of the CSM Faculty Handbook, he is requesting permission to hire Professor James Darden as an adjunct faculty member to coach the basketball team during the 1992-93 season. Professor Darden has retired as a faculty member and will observe his 70th birthday this year. This is intended to be a one-time appointment.

The Board deferred this matter to the Executive Session.

New Faculty Appointments. There are no new faculty appointments to report.

Graduate School Admissions. Dr. Kidnay provided the standard report for fall 1992. He also furnished graduate student enrollment figures for fall 1992.

Enrollment - Admissions. Mr. Young provided the March 1, 1992 Admissions Report.

New Research Awards. Dr. Geason provided reports on new research award volume for February 1992.

Monthly Financial Reports. Dr. Geason provided the following reports: Current Funds Revenues, Expenditures, and Other Changes for the Eight Months Ending February 29, 1992; State Appropriated Funding Revenues, Expenditures, and Other Changes Projected June 30, 1992; Auxiliary and Self-Funded Activities for the Eight Months Ending February 29, 1992; and Time Deposits and Investment Report, 1992.

Environmental Health and Safety Report. Mr. MacPherson submitted a report for the month of February 1992.

Institutional Advancement Reports. Mr. Powers provided the following reports: Fiscal Year 1992 Development Report Summary through February 29, 1992; Summary of Commitments for Fiscal Year 1992 through February 29, 1992; Summary of Cash for Fiscal Year 1992 through February 29, 1992; Summary of Commitments for Campaign to Date through February 29, 1992; and The Campaign for Colorado School of Mines, A Pattern for Success to Raise \$60,000,000.

Sexual Harassment Complaint Procedure. President Ansell reviewed the procedure.

Visiting Committee Report Responses. The Board discussed the responses to the reports of the visiting committees for the Departments of Mining Engineering and Metallurgical and Materials Engineering.

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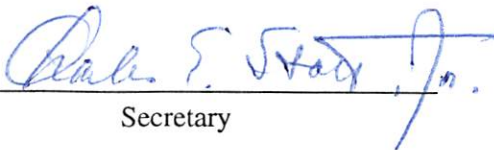
Discussion ensued during which the Board requested that some changes be made in both responses. The amended responses will be submitted to the Board at the May meeting.

Proposal to CCHE for a Ph.D. in Geo-Engineering. Dr. Schowengerdt and Dr. Gentry reviewed the proposal, and Dr. Showengerdt recommended that the proposal be submitted to CCHE for consideration.

Faculty Senate Report. Dr. Romberger presented the report.

Legislative Report. Ms. Carr presented the report.

The meeting was adjourned at 10:25 a.m., and the Board went into executive session.

  
Secretary

March 13, 1992

# RECORD OF PROCEEDINGS

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Golden, Colorado  
May 14, 1992

The Board of Trustees met in regular session on May 14, 1992, at 1:30 p.m.

Trustees present: Ms. Allen and Messrs. McNulty, Miller, Rosser, Stott and Wood.

Absent: Messrs. Coors and Joseph.

Also attending the meeting were President Ansell; Vice Presidents Chevront, Geason, Powers and Schowengerdt; Dr. Kidnay, Dean of Graduate Studies and Research; Dr. Kennedy, faculty representative to the Board; Mr. Zehr, Executive Director of the CSM Alumni Association; other staff members and visitors.

Mr. Wood presided.

Introduction: Dr. Geason introduced Mr. David F. McAllister, the newly appointed Director of Public Safety.

Recognition of Mr. Rosser. Mr. Wood presented a silver Certificate of Appreciation to student Trustee Rosser. The Certificate reads:

In recognition of his  
loyal and meritorious service as  
a member of the Board of Trustees,  
this certificate is presented to  
FREDRICK V. ROSSER, JR.  
by the Board of Trustees upon the  
occasion of expiration of the term  
June thirtieth, one thousand nine hundred and ninety-two.

Previous Minutes. The minutes of the meeting held on March 13, 1992, were approved.

Candidates for Degrees. Distribution was made of lists of candidates for degrees as recommended by the regular and graduate faculties.

Upon motion made, seconded and unanimously passed, the candidates whose names appear on the lists were approved for degrees on the dates specified, subject to the completion of all academic requirements and continued compliance with school requirements and regulations.

The Board unanimously approved the request for emeritus designation for these faculty members.

New Faculty Appointments. There are no new faculty appointments to report.

Graduate School Admissions. Dr. Kidnay provided the standard report for fall 1992. He pointed out that all numbers are for the period April 1, 1992 through April 30, 1992.

Enrollment - Admissions. Mr. Young provided the May 1, 1992 Admissions Report.

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New Research Awards. Dr. Geason provided reports on new research award volume for March 1992.

Monthly Financial Reports. Dr. Geason provided the following reports: Current Funds Revenues, Expenditures, and Other Changes for the Eight Months Ending March 31, 1992; State Appropriated Funding Revenues, Expenditures, and Other Changes Projected June 30, 1992; and Auxiliary and Self-Funded Activities for the Eight Months Ending March 31, 1992.

Environmental Health and Safety Report. Mr. MacPherson submitted a report for the months of March and April 1992.

Institutional Advancement Reports. Mr. Powers provided the following reports: Fiscal Year 1992 Development Report Summary through April 30, 1992; Summary of Commitments for Fiscal Year 1992 through April 30, 1992; Summary of Cash for Fiscal Year 1992 through April 30, 1992; Summary of Commitments for Campaign to Date through April 30, 1992; and The Campaign for Colorado School of Mines, A Pattern for Success to Raise \$60,000,000.

Elimination of M.S. Program. Dr. Kidney informed the Board that the Faculty Senate voted to table this item.

Proposed Affirmative Action and Unlawful Discrimination Procedure for the Twelfth Edition of the CSM Faculty Handbook. This document was presented to the Board in a preliminary format by Mr. Liberatore for its information and not for formal consideration.

Visiting Committee Report Responses. Dr. Schowengerdt presented revised versions of the response letters for the Mining Engineering Visiting Committee and the Metallurgical and Materials Engineering Visiting committee. These are revisions of the letters which were prepared for Board approval at the March meeting.

The Board unanimously approved the revised responses.

CSM Foundation Board appointment. Ms. Allen informed the Board that Mr. Miller's term on the CSM Foundation Board is expiring, and she moved to have him reappointed to a two-year term on the Foundation Board.

The motion was seconded and unanimously passed by the Board.

Moore and Weaver Chairs. President Ansell informed the Board that Miss Florence M. Moore, who died January 24, 1992, has bequeathed to the CSM Foundation an estate that is estimated to net \$3.2 million. He recommended that the Board request that the CSM Foundation Board of Directors assign the proceeds from the estate as follows:

1. Establish with \$1 million in the name of John Henry Moore, a permanently endowed scholarship that will provide "at least one memorial scholarship (to residents of Colorado) in each undergraduate grade" at the School, plus in addition, "such additional scholarships or fellowships . . . in postgraduate work at the School . . . to attain mineral engineering educations, preferably, but not necessarily exclusively in mining and metal departments."
2. Establish with \$2 million a quasi-endowed John Henry Moore chair in metallurgy. That particular provision in the will cites either a chair in mining or metallurgy or programs of faculty research. The chair in metallurgy has been high on the list of critical campaign objectives. Dr. George Krauss will be appointed as the holder of this chair.



3. Establish with the balance of \$200,000 a John Henry Moore Fund, the purpose of which will be determined at a later time.

The Board unanimously approved the distributions for the Florence M. Moore estate.

President Ansell recommended that \$1 million of quasi-endowment be designated to fund the Charles and Phyllis Weaver Distinguished Professorship in Chemical Engineering. Dr. E. Dendy Sloan will be appointed as the holder of this chair.

The Board unanimously approved the recommendation.

Boettcher Challenge. President Ansell requested Board approval to commit or credit \$500,000 from unrestricted general endowment or quasi-endowment funds, either cash on hand or preferably from firm pledges, to the Boettcher Endowment Account.

The Board unanimously approved the request.

1992-93 Budget Request. Prior to the budget discussion, Dr. Geason requested Board approval to increase cash fund expenditure authority in 1991-92 by \$1.7 million.

The Board unanimously approved the request.

Dr. Geason reviewed the budget request and recommended approval. Following extensive discussion, the Board unanimously approved the 1992-93 Budget Request.

Faculty Senate Report. Dr. Kennedy presented the report, and Dr. Geason gave the Board an update on the University Club.

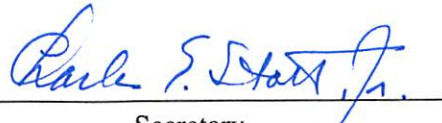
Legislative Report. Ms. Carr presented the report.

Trustees Conference Agenda. Dr. Kidnay reviewed the agenda.

Dr. Kidnay distributed copies of *Colorado School of Mines Quarterly Review of Engineering, Science, Education and Research*.

Mr. Robert F. Hartman, Class of 1942, discussed the letter he wrote to the Board in which he expressed concern about CSM's ranking in U.S. News rankings of America's Best Colleges and America's Best Graduate Schools.

The meeting was adjourned at 3:20 p.m., and the Board went into executive session. During the executive session, Dr. James L. Grant and Mr. William Robb presented the results of an environmental study which they conducted of the School's operations.

  
Secretary

May 14, 1992

# RECORD OF PROCEEDINGS

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Golden, Colorado  
June 18, 1992

The Board of Trustees met in regular session on June 18, 1992, at 7:00 p.m. at The Aspen Lodge in Estes Park, Colorado.

Trustees present: Ms. Allen and Messrs. Joseph, McNulty, Miller, Stott and Wood. Student Trustee elect-Odenbaugh, who will take his oath of office at the September Board of Trustees meeting, also attended.

Trustee absent: Mr. Coors.

Also attending the meeting were President Ansell; Vice Presidents Chevront, Geason, and Schowengerdt; Dr. Kidnay, Dean of Graduate Studies and Research; Dr. Kennedy, faculty representative to the Board; Mr. Zehr, Executive Director of the CSM Alumni Association; Dr. Fistler, Assistant Vice President/Campaign Director; other staff members and visitors.

Mr. Wood presided.

Previous Minutes. The minutes of the meeting held on May 14, 1992, were approved.

Visiting Committee Members. Dr. Schowengerdt recommended that the following individuals be appointed to the visiting committee for the Mining Engineering Department:

Tim Haddon, Amax Gold  
John T. McDonough, Jr., Barrick Goldstrike  
Ivan Rahn, Consolidation Coal  
Jean Michel Rendu, Newmont

The Board unanimously approved the recommendation that these individuals be asked to serve on the Mining Engineering Department Visiting Committee.

New Faculty Appointments. There are no new faculty appointments to report.

Graduate School Admissions. Dr. Kidnay provided the standard report for fall 1992. He pointed out that all numbers are for the period May 1, 1992 through May 31, 1992.

Enrollment - Admissions. Mr. Young provided the June 15, 1992 Admissions Report.

New Research Awards. Dr. Geason provided reports on new research award volume for May 1992.

Monthly Financial Reports. Dr. Geason provided the following reports: Current Funds Revenues, Expenditures, and Other Changes for the Ten Months Ending May 31, 1992; State Appropriated Funding Revenues, Expenditures, and Other Changes Projected June 30, 1992; Auxiliary and Self-Funded Activities for the Ten Months Ending May 31, 1992; and Time Deposits and Investment Report, May 31, 1992.

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Environmental Health and Safety Report. Mr. MacPherson submitted a report for the month of May 1992.

Institutional Advancement Reports. Dr. Fistler provided the following reports: Fiscal Year 1992 Development Report Summary through May 31, 1992; Summary of Commitments for Fiscal Year 1992 through May 31, 1992; Summary of Cash for Fiscal Year 1992 through May 31, 1992; Summary of Commitments for Campaign to Date through May 31, 1992; and The Campaign for Colorado School of Mines, A Pattern for Success to Raise \$60,000,000. Dr. Fistler also furnished a Campaign Objectives Report of Gifts and Pledges through May 31, 1992.

Personal Relationships Policy. This Policy, which was approved by the Board on February 14, 1992, has been amended, and President Ansell moved approval of the amended version of the Policy.

The Board unanimously approved the amended Personal Relationships Policy.

Administrative Faculty Salaries. President Ansell submitted the 1992-93 administrative faculty salary list.

Lumina Resources Corporation v. Colorado School of Mines, Colorado School of Mines Foundation, Inc., and J. Louis York.

The Board reviewed the draft License Agreement and the draft Release, Covenant Not to Sue and Settlement Agreement in the above-captioned matter. Pursuant to the authority conferred by Section 23-41-104(1), C.R.S. (1988), the Board possesses the authority to legally bind Colorado School of Mines to the terms contained in the documents. The Board unanimously approved the documents for use by CSM as a vehicle for final settlement of the litigation, and stated that President Ansell is authorized to execute the documents, or other such documents in substantially similar form, on behalf of the Board with the intention of legally binding CSM to the terms contained therein.

The Board of Trustees Resolution was executed by Trustee Wood. Trustee Stott executed the Certificate of the Secretary of the Board of Trustees. These documents are appended hereto.

Conversion of Engineering and Environmental Science and Engineering Departments to Divisions. Dr. Schowengerdt discussed the planned conversion and requested Board approval.

The Board unanimously approved the motion to convert the Engineering and Environmental Science and Engineering Departments to divisions.

Board of Trustees Meeting Schedule. The Board reviewed the proposed meeting schedule for the remainder of 1992 and for 1993.

The Board unanimously approved the meeting schedule.

Cumulative Giving Recognition Plan. Dr. Fistler made a presentation on specific campus naming opportunities to recognize significant gifts and contributions. The Board discussed this issue extensively with particular concern that such recognition should be permanent and appropriately linked.

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The Board informally approved the process, but reserved the right to approve each naming proposal on an individual basis.

1993-94 Capital Construction budget Request. Dr. Geason stated that on July 1, 1992, Colorado School of Mines is required to submit a preliminary capital construction budget request to CCHE. This will be followed by a formal request to be submitted on or before August 7, 1992. Since the Board will not meet again until September, and inasmuch as the changes to the budget request are minor, he proposed adoption of the preliminary capital construction budget request at this time. Dr. Geason informed the Board that if any changes to this request in terms of priority or timing are sought, the request will be resubmitted prior to September 1, 1992.

The Board unanimously approved the request.

Environmental Health and Safety Five-Year Plan. Mr. MacPherson made a presentation, stating that the Plan reviews the existing status of the School's Environmental Health and Safety (EHS) program and assesses institutional compliance with regulations. He identified areas of desired program upgrade, which are policy development, improved control of chemical acquisitions, improved maintenance of safety systems and increasing the level of proactive compliance enforcement.

Mr. MacPherson stated that the Plan recommends reorganization and expansion of the EHS staff to meet new program goals. Acquisition of three new FTE's over a five-year period is suggested. The Plan also calls for construction of a "Central Chemical Storage and Procurement Facility" and establishment of a "Safety Systems Maintenance Shop." Five-year cost for complete implementation of the Plan is approximately \$3.7 million. He provided a prioritized schedule of program development and funding alternatives.

Extensive discussion ensued following which the Board unanimously approved the five-year plan subject to periodic review.

Faculty Senate Report. Dr. Kennedy presented the report. He informed the Board that Mines has been removed from the AAUP list of censured institutions.

Legislative Report. Ms. Carr presented the report.

The meeting was adjourned at 9:10 p.m., and the Board went into executive session.

*Ronald L. Wood*

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President

June 18, 1992

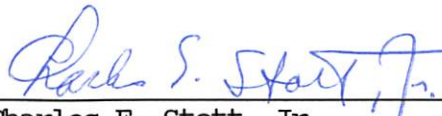
COLORADO SCHOOL OF MINES

CERTIFICATE OF THE SECRETARY OF THE BOARD OF TRUSTEES

I, Charles E. Stott, Jr., hereby certify:

1. That I am the Secretary of the Board of Trustees of Colorado School of Mines, hereinafter the "Board"; and
2. That on June 18, 1992, a meeting of the Board was held in Estes Park, Colorado, hereinafter the "Meeting"; and
3. That at the Meeting the Board approved the draft License Agreement and the draft Release, Covenant Not to Sue and Settlement Agreement, hereinafter the "Documents," which were prepared for use in the civil action captioned Lumina Resources Corporation v. Colorado School of Mines, Colorado School of Mines Foundation, Inc., and J. Louis York, No. 91 CV 2144, Courtroom 6, currently pending in the District Court for the City and County of Denver; and
4. That at the Meeting the Board authorized President George S. Ansell to execute the Documents, or other such documents in substantially similar form, on behalf of the Board with the intention of legally binding Colorado School of Mines to the terms contained therein; and
5. That pursuant to paragraph 4.c. of the Bylaws of the Board, I possess the authority to execute this Certificate of the Secretary of the Board.

DONE AND SIGNED on this 18th day of June, 1992, in Estes Park, Colorado.



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Charles E. Stott, Jr.  
Secretary of the Board of Trustees  
Colorado School of Mines

COLORADO SCHOOL OF MINES

BOARD OF TRUSTEES RESOLUTION

BE IT HEREBY RESOLVED by the Board of Trustees of Colorado School of Mines, hereinafter the "Board," on the date written below:

1. That the Board has reviewed the draft License Agreement and the draft Release, Covenant Not to Sue and Settlement Agreement, hereinafter the "Documents," which have been prepared for use in the civil action captioned Lumina Resources Corporation v. Colorado School of Mines, Colorado School of Mines Foundation, Inc., and J. Louis York, No. 91 CV 2144, Courtroom 6, currently pending in the District Court for the City and County of Denver, hereinafter the "Litigation"; and
2. That pursuant to the authority conferred by §23-41-104(1), C.R.S. (1988), the Board possesses the authority to legally bind Colorado School of Mines, hereinafter "CSM," to the terms contained in the Documents; and
3. That the Board approves the Documents for use by CSM as a vehicle for final settlement of the Litigation; and
4. That President George S. Ansell is authorized to execute the Documents, or other such documents in substantially similar form, on behalf of the Board with the intention of legally binding CSM to the terms contained therein.

DONE AND SIGNED in an open meeting of the Board of Trustees of Colorado School of Mines on this 18th day of June, 1992, in Estes Park, Colorado.

*Russell L. Wood*

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Russell L. Wood  
President of the Board of Trustees  
Colorado School of Mines

# RECORD OF PROCEEDINGS

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Golden, Colorado  
September 11, 1992

The Board of Trustees met in regular session on September 11, 1992, at 9:00 a.m., in Golden, Colorado.

Trustees present: Ms. Allen and Messrs. Coors, Joseph, McNulty, Odenbaugh and Wood.

Trustees absent: Messrs. Miller and Stott.

Also attending the meeting were President Ansell; Vice Presidents Chevront, Powers and Schowengerdt; Dr. Kidnay, Dean of Graduate Studies and Research; Dr. Kennedy, faculty representative to the Board; Mr. Zehr, Executive Director of the CSM Alumni Association; other staff members and visitors.

Mr. Wood presided.

Oath of office. Ms. Mary Dale administered the oath of office to new student Trustee Odenbaugh.

Recognition. Mr. Wood welcomed Ms. Lisa MacDonald, president of the Graduate Student Association, and Mr. Robert Klug, who is a member of the Graduate Student Association, to the meeting.

Previous Minutes. The minutes of the meeting held on June 18, 1992, were approved.

Master's Degree Program in Mine Health and Safety. Dr. Donald Gentry, Head of the Mining Department, has recommended that the Master's Degree in Mine Health and Safety be discontinued due to the fact that we no longer have the faculty expertise or interest in offering the degree and student demand for the program is minimal. In recent years there has been only one part-time student in the program. This action has been approved by the Graduate Council and the Faculty Senate for recommendation to the Board.

The Board unanimously approved the recommendation.

New Faculty Appointments. Dr. Schowengerdt provided a list of new full-time and temporary faculty members who have signed contracts as of September 2, 1992.

Graduate School Admissions. Dr. Kidnay provided the standard report for fall 1992. He pointed out that all numbers are for the period August 1, 1992 through August 31, 1992..

Enrollment - Admissions. Mr. Young provided the September 1, 1992 Admissions Report.

New Research Awards. Dr. Geason provided reports on new research award volume for June, July, and August 1992.

Monthly Financial Reports. Dr. Geason provided the following reports: Current Funds Revenues, Expenditures, and Other Changes for the Two Months Ending August 31, 1992; State Appropriated Funding Revenues, Expenditures, and Other Changes Projected June 30, 1993; Auxiliary and Self-Funded Activities for the Two Months Ending August 31, 1992; and Time Deposits and Investment Report, June 30, 1992.

Ms. Linda Deits, Budget Officer, briefed the Board on the closing of FY 1992, provided a status report on FY 1993, and discussed preliminary issues to be considered regarding the FY 1994 budget. She provided preliminary FY 1992 financial statements and reviewed the material which had been provided to the Board.

Environmental Health and Safety Report. Mr. MacPherson submitted reports for the months of June, July, and August 1992.

Institutional Advancement Reports. Mr. Powers provided the following reports: Fiscal Year 1993 Development Report Summary through August 31, 1992; Summary of Commitments for Fiscal Year 1993 through August 31, 1992; Summary of Cash for Fiscal Year 1993 through August 31, 1992; Summary of Commitments for Campaign to Date through August 31, 1992; and The Campaign for Colorado School of Mines, A Pattern for Success to Raise \$60,000,000. Dr. Fistler also furnished a Campaign Objectives Report of Gifts and Pledges through August 31, 1992.

Administration Visiting Committee. President Ansell furnished a list of prospective candidates to be invited to serve on the Administration Visiting Committee.

The Board unanimously approved the list of candidates.

Responses to Visiting Committee Reports. Dr. Schowengerdt provided the responses to and the reports from the visiting committees for the Geophysics, Mineral Economics, and Petroleum Engineering Departments and the Materials Science Program.

The Board unanimously approved the responses to the visiting committee reports.

Supreme Court Ruling Regarding Prayer at Commencement. The U.S. Supreme Court recently held that a nondenominational prayer incorporated into a public middle school graduation violated the Establishment Clause of the First Amendment to the U.S. Constitution. The Court appeared to leave open the question of whether a similar prayer in the setting of a public college commencement would be likewise prohibited by the First Amendment.

Colorado School of Mines conducts a brief nondenominational prayer at the beginning of its December and May commencement ceremonies. Some members of the CSM community have complained that the prayer is offensive to them and violative of the First Amendment, while others believe that it is an important tradition which should be preserved.

President Ansell believes that this is an issue of concern to all public colleges in Colorado and informed the Board that he intends to request legal guidance from the Office of the Attorney General in deciding whether to preserve or abolish its commencement prayer ceremony

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President Ansell will report back to the Board on this issue.

Ratification of Hiring Actions. According to the CSM Faculty Handbook, Section 5.22, "no faculty member will be offered a contract for teaching or administrative duties to be performed on or after September 1 following the employee's 70th birthday" unless the hiring action is specifically approved by the Trustees.

In a memorandum to the individual Board members, dated August 4, 1992, President Ansell requested approval for the hiring of Dr. David M. Updegraff and Dr. Robert M. Hutchinson. The Board members approved the request.



## RECORD OF PROCEEDINGS

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During the meeting, Dr. Schowengerdt requested that the Board ratify such action in order for it to appear as part of the official minutes.

The Board unanimously ratified the hiring actions.

Existing Program Review Annual Summary Report. Dr. Schowengerdt provided a copy of the report and stated that we are required to submit it to CCHE following Board approval.

The Board unanimously approved the report.

License Agreement. President Ansell provided a copy of the Licensing Agreement which was negotiated by and between the representatives and attorneys of CSM and United Engineers and Constructors for the purpose of commercially exploiting U.S. Patent No. 4,960,509, entitled "Ore Flotation Device and Process," which was donated to CSM by its inventor, Harry McNeill, Jr.

The Board unanimously approved the Licensing Agreement.

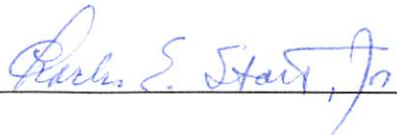
Faculty Senate Report. Dr. Kennedy presented the report.

Legislative Report. Ms. Carr presented the report.

Signature Approval President Ansell requested that he be appointed as designated signator on behalf of the Board for Proposals for Excellence which are submitted to CCHE.

The Board unanimously granted the request.

The meeting was adjourned at 10:10 a.m., and the Board commenced its executive session.

  
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September 11, 1992

# RECORD OF PROCEEDINGS

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Golden, Colorado  
September 11, 1992

Following its regular meeting an executive session was held, at which time the Board elected to support the Governor's Tax Initiative for Colorado Children (the one cent sales tax increase for K-12 education). The Board elected to oppose the Tax Limitation Amendment (TABOR) and the Great Outdoors Colorado (GO Colorado) amendment.

  
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# RECORD OF PROCEEDINGS

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Golden, Colorado  
October 9, 1992

The Board of Trustees met in regular session on October 9, 1992, at 9:00 a.m., in Golden, Colorado.

Trustees present: Ms. Allen and Messrs. Coors, Joseph, McNulty, Miller, Odenbaugh, Stott and Wood.

Also attending the meeting were President Ansell; Vice Presidents Chevront, Geason, Powers and Schowengerdt; Dr. Kidnay, Dean of Graduate Studies and Research; Dr. Kennedy, faculty representative to the Board; Mr. Zehr, Executive Director of the CSM Alumni Association; other staff members and visitors.

Mr. Wood presided.

Introduction. President Ansell introduced Dr. Jim Sulton, Chief Academic Officer for the Colorado Commission on Higher Education.

Previous Minutes. The minutes of the meeting held on September 11, 1992, were approved.

New Faculty Appointments. Dr. Schowengerdt provided a list of new full-time and temporary faculty members who have signed contracts as of September 1, 1992.

Graduate School Admissions. Dr. Kidnay provided the standard Graduate School Admissions Report for Fall 1992.

Enrollment - Admissions. Mr. Spaulding provided the Registrar's Report for Fall 1992.

New Research Awards. Dr. Geason provided reports on new research award volume for September 1992.

Monthly Financial Reports. Dr. Geason provided the following reports: Current Funds Revenues, Expenditures, and Other Changes for the Three Months Ending September 30, 1992; State Appropriated Funding Revenues, Expenditures, and Other Changes Projected June 30, 1993; Auxiliary and Self-Funded Activities for the Three Months Ending September 30, 1992; and Time Deposits and Investment Report, September 30, 1992.

Environmental Health and Safety Report. Mr. MacPherson submitted the Environmental Health and Safety Report for the month of September 1992.

Institutional Advancement Reports. Mr. Powers provided the following reports: Fiscal Year 1993 Development Report Summary through September 30, 1992; Summary of Commitments for Fiscal Year 1993 through September 30, 1992; Summary of Cash for Fiscal Year 1993 through September 30, 1992; Summary of Commitments for Campaign to Date through September 30, 1992; and The Campaign for Colorado School of Mines, A Pattern for Success to Raise \$60,000,000. Dr. Fistler furnished a Campaign Objectives Report of Gifts and Pledges through September 30, 1992.

North Central Association Accreditation. Dr. Schowengerdt and Ms. Dale discussed the upcoming visit of the accreditation team, which is scheduled for October 26, 27 and 28.

# RECORD OF PROCEEDINGS

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Environmental Indemnification Policy. A draft Environmental Indemnification Policy was presented to the Board for consideration. Modifications were proposed by Mr. Joseph and will be incorporated into a revised version. Approval will be requested at the December meeting.

Academic Initiatives Report. Dr. Schowengerdt reviewed the report and requested a motion for approval to submit the report to CCHE.

The Board unanimously approved the report.

CCHE Assessment Report. Dr. Schowengerdt and Ms. Dale reviewed the text for the 1992 CCHE Assessment Report, which is due to CCHE on November 1. Members of the Board will receive copies of the entire report, which will include tables and appendices, as soon as it is completed.

The Board moved, seconded and unanimously approved the text of the report.

Faculty Senate Report. Dr. Kennedy presented the Faculty Senate Report.

Legislative Report. Ms. Carr presented the Legislative Report.

CCHE Master Plan. Dr. David A. Longanecker, Executive Director of the Colorado Commission on Higher Education, provided a Master Plan Background Paper: **Education by Chance or by Choice: Challenges and Options Facing Colorado Postsecondary Education as it Prepares to Enter the Twenty-First Century**, and gave a presentation.

Faculty Salary Survey. Dr. Schowengerdt presented a report on progress made to date in bringing faculty salaries up to national standards.

Sabbatical Reports. Drs. Ronald Wiedenhoft and Ronald Klusman presented their reports on sabbatical leaves taken during the 1991-92 academic year.

The meeting was adjourned at 11:40 a.m., and the Board commenced its executive session.



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President

October 9, 1992

# RECORD OF PROCEEDINGS

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Golden, Colorado  
December 18, 1992

The Board of Trustees met in regular session on December 18, 1992, at 9:00 a.m., in Golden, Colorado.

Trustees present: Messrs. Joseph, McNulty, Miller, Odenbaugh, and Wood.

Trustees absent: Ms. Allen and Messrs. Coors and Stott.

Also attending the meeting were President Ansell; Vice Presidents Chevront, Geason, Powers and Schowengerdt; Dr. Kidnay, Dean of Graduate Studies and Research; Dr. Kennedy, faculty representative to the Board; Mr. Zehr, Executive Director of the CSM Alumni Association; other staff members and visitors.

Mr. Wood presided.

Previous Minutes. The minutes of the meeting held on October 9, 1992, were approved.

Introduction. President Ansell introduced Mr. William Weiskopf, the newly-appointed Executive Director of the CSM Foundation.

Environmental Indemnification Policy. A draft Environmental Indemnification Policy was presented to the Board for consideration at its October meeting. Modifications were proposed and were incorporated into a revised version, which was presented to the Board.

The revised Environmental Indemnification Policy was unanimously approved by the Board.

Candidates for Degrees. Distribution was made of lists of candidates for degrees as recommended by the regular and graduate faculties.

Upon motion made, seconded and unanimously passed, the candidates whose names appear on the lists were approved for degrees on the dates specified, subject to the completion of all academic requirements and continued compliance with school requirements and regulations.

Sabbatical Requests. The following faculty members have requested sabbatical leaves for the 1993-94 academic year:

Dr. L. Graham Closs, Associate Professor of Geology and Geological Engineering  
Dr. Roderick G. Eggert, Associate Professor of Mineral Economics  
Dr. John A. DeSanto, Professor of Mathematical and Computer Sciences  
Dr. Willy A. M. Hereman, Associate Professor of Mathematical and Computer Sciences  
Dr. Don L. Williamson, Professor of Physics  
Dr. F. Edward Cecil, Professor of Physics  
Dr. James A. McNeil, Associate Professor of Physics

The Board unanimously approved the requests for sabbatical leaves.

Syvret Endowment Fund. On April 10, 1987, a Memorandum of Agreement was entered into between Charles F. Syvret and the Board of Trustees of the Colorado School of Mines establishing, subject to the applicable laws and regulations of the State of Colorado, a permanent, non-expendable endowment fund to be known as the Syvret Endowment Fund.

# RECORD OF PROCEEDINGS

2

Mr. Syvret has submitted an Amendment to the April 10, 1987 Agreement which modifies paragraphs 3 and 9(b) of the Memorandum of Agreement.

The Board unanimously approved the proposed Amendment to the Memorandum of Agreement.

Copies of the Memorandum of Agreement and the Amendment to the Memorandum of Agreement are appended hereto.

New Faculty Appointments. Dr. Schowengerdt stated that there are no faculty hiring actions to be reported at this meeting.

Graduate School Admissions. Dr. Kidnay provided the November 30, 1992, Graduate School Admissions Report for Spring 1993.

Enrollment - Admissions. Mr. Young provided the December 1, 1992, Admissions Report and the Admissions Report for Spring Semester 1993.

New Research Awards. Dr. Geason provided reports on new research award volume for October and November 1992.

Monthly Financial Reports. Dr. Geason provided the following reports: Current Funds Revenues, Expenditures, and Other Changes for the Five Months Ending November 30, 1992; State Appropriated Funding Revenues, Expenditures, and Other Changes Projected June 30, 1993; Auxiliary and Self-Funded Activities for the Five Months Ending November 30, 1992; and Time Deposits and Investment Report, November 30, 1992.

Environmental Health and Safety Report. Mr. MacPherson submitted the Environmental Health and Safety Report for the months of October and November 1992.

Institutional Advancement Reports. Mr. Powers provided the following reports: Fiscal Year 1993 Development Report Summary through November 30, 1992; Summary of Commitments for Fiscal Year 1993 through November 30, 1992; Summary of Cash for Fiscal Year 1993 through November 30, 1992; Summary of Commitments for Campaign to Date through November 30, 1992; and The Campaign for Colorado School of Mines, A Pattern for Success to Raise \$60,000,000. Dr. Fistler furnished a Campaign Objectives Report of Gifts and Pledges through November 30, 1992.

Responses to Visiting Committee Reports. Responses to the visiting committee reports for the Library and the Department of Mathematical and Computer Sciences were submitted to the Board.

The Board unanimously approved the responses as submitted.

CSM Faculty Handbook Revisions. Dr. Schowengerdt provided information concerning revisions which have been discussed and approved by the Faculty Handbook Committee and the Faculty Senate. Both the original wording and the revised wording were included for the Board's information.

The Board unanimously approved the proposed revisions to the CSM Faculty Handbook.

Sabbatical Reports. Dr. Jean Bell reported on her sabbatical leave taken during the 1991-92 academic year.

December 18, 1992

# RECORD OF PROCEEDINGS

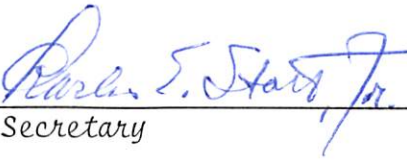
3

Faculty Senate Report. Dr. Kennedy presented the Faculty Senate Report.

Legislative Report. President Ansell presented the Legislative Report.

Placement Report. Ms. Diana Doyle presented the Placement Report.

The meeting was adjourned at 11:00 a.m., and the Board commenced its executive session.

  
Secretary

December 18, 1992

1987-88 Strategic Financial Plan (Income) -- Summary Version

INC  
07701/87

	CSNF					Total CSNF Support	CSNF Loans	Restricted CSN	Agency Funds	Auxiliaries	1987/88 Combined	1986-87 Combined	Change
	State Appropriated	Sponsored Research (Direct)	CSNF Endowed/ Restricted	CSNF Unendowed/ Restricted	Unendowed/ Unrestricted Needed								
<b>INCOME:</b>													
<b>Education &amp; General</b>													
General Fund	\$10,310,885										\$10,310,885	\$10,019,452	+3%
Tuition	10,825,659										10,825,659	10,382,548	+4%
Ind Cost Recoveries	2,000,000										2,000,000	1,425,000	+40%
Other Cash	150,000										150,000	150,000	
Sponsored Programs		\$9,000,000	\$1,100,000	\$1,570,000	\$1,100,000	\$3,770,000	\$707,530	\$1,105,376	\$615,000		15,197,906	12,112,689	+25%
Auxiliaries										\$4,205,600	4,205,600	4,063,404	+3%
<b>TOTAL INCOME</b>	<b>\$23,286,544</b>	<b>\$9,000,000</b>	<b>\$1,100,000</b>	<b>\$1,570,000</b>	<b>\$1,100,000</b>	<b>\$3,770,000</b>	<b>\$707,530</b>	<b>\$1,105,376</b>	<b>\$615,000</b>	<b>\$4,205,600</b>	<b>\$42,690,050</b>	<b>\$38,153,093</b>	<b>+12%</b>

Enrollment Data:	Undergrad	Graduate	TOTAL	Resident	Nonresident
Fall Headcount	1,619	838	2,457	1,649	808
Fiscal Year FTE	1,766	639	2,405	1,555	850



1987-88 Strategic Financial Plan (Expense) -- Summary Version

Issfp7-8 07/01/87

	Educ & Gen	CSMF				Total CSMF Support	CSMF Loans	Restricted CSM	Agency Funds	Auxiliaries	1987-88 Combined	1986-87 Combined	Change
		Sponsored Research (Direct)	CSMF Endowed/Restricted	CSMF Unendowed/Restricted	Unrestricted Needed								
Faculty													
Acad Yr Teaching Personnel	\$9,079,942 189754		\$36,228	\$425,000		\$461,228 2732					\$9,541,170	\$9,139,352	Sal Inc +5% +2 Faculty
Acad Yr Research Personnel		\$162,720 82									762,720	560,000	+36%
Summer Teaching Personnel	578,000 85										578,000	560,000	+3%
Summer Research Personnel		544,190 45									544,190	399,500	+36%
Research Prof Personnel		1,009,852 34714									1,009,852	741,390	+36%
Admin Faculty Personnel	1,702,493 3771										1,702,493	1,593,918	Sal Inc +5%
Graduate Assistants Personnel	960,072 97	1,667,648 115	39,500	32,924	\$90,000	162,424 16					2,790,144	2,183,520	+45 Grad Assts
Grad Fin Aid			64,321	205,000		269,321		\$82,000			351,321	359,272	-2%
Classified Staff Personnel	5,735,590 251	555,414 18	20,468	82,387		102,855 5					6,393,859	5,884,157	+5 Research Staff
Hourly Personnel	173,954 300	429,014 81	8,920	35,902		44,822 100		85,376 40			733,166	624,312	+17%
Undergrad Fin Aid	400,000		400,000	430,000	389,000	1,219,000		898,000	\$615,000		3,132,000	2,943,941	+6%
Awards (Bal Avail)			16,344	81,836		98,180					98,180	89,000	+10%
Loans (Bal Avail)							\$707,530				707,530	643,000	+10%
Operating Exp/Travel	1,792,303	3,390,657	8,543	5,000		13,543		40,000			5,236,503	4,445,867	+18%
Program Support			78,540	130,000	110,000	318,540					318,540	200,000	+59%
Departmental Support			277,600	41,951		319,551					319,551	503,000	-36%
Library Bks/Journals	405,690		8,613		38,955	47,568					453,258	335,690	+35%
Utilities	1,168,200										1,168,200	1,228,678	-5%
Micro Computer Proj	34,000										34,000	180,000	-81%
Marketing	150,000										150,000	175,000	-14%
Research Development	750,000		26,510			26,510					776,510	550,000	+41%
Capital Outlay	200,000	640,505	114,413	100,000		214,413					1,054,918	660,000	+60%
Safety	50,000										50,000	0	
Pyats to St Agencies	106,300										106,300	90,092	+18%
Reserve/unallocated					472,045	472,045					472,045	0	
Auxiliaries Personnel									\$4,205,600		4,205,600	4,063,404	+3%
TOTAL EXPENSE	\$23,286,544	\$9,000,000	\$1,100,000	\$1,570,000	\$1,100,000	\$3,770,000	\$707,530	\$1,105,376	\$615,000	\$4,205,600	\$42,690,050	\$38,153,093	+12%

1988-89 Strategic Financial Plan (Income) -- Summary Version

INC.2  
07/01/87

	State Appropriated	Sponsored Research (Direct)	CSMF			TOTAL CSMF Support	CSMF Loans	Restricted CSM	Agency, Funds	Auxiliaries	1988-89 Combined	1987-88 Combined	Change
			CSMF Endowed/ Restricted	CSMF Unendowed/ Restricted	Unendowed/ Unrestricted Needed								
<b>INCOME:</b>													
<b>Education &amp; General</b>													
General Fund	\$10,133,757										\$10,133,757	\$10,310,885	-22
Tuition	11,120,544										11,120,544	10,825,659	+32
Ind Cost Recoveries	2,300,000										2,300,000	2,000,000	+22
Other Cash	160,000										160,000	150,000	+72
Sponsored Programs		\$10,200,000	\$1,215,000	\$2,190,000	\$600,000	\$4,005,000	\$730,000	\$1,126,400	\$650,000		15,981,400	15,197,906	+52
Auxiliaries										\$4,352,800	4,352,800	4,205,600	+32
<b>TOTAL INCOME</b>	<b>\$23,714,301</b>	<b>\$10,200,000</b>	<b>\$1,215,000</b>	<b>\$2,190,000</b>	<b>\$600,000</b>	<b>\$4,005,000</b>	<b>\$730,000</b>	<b>\$1,126,400</b>	<b>\$650,000</b>	<b>\$4,352,800</b>	<b>\$44,778,501</b>	<b>\$42,690,050</b>	<b>+52</b>

Enrollment Data:	Undergrad	Graduate	TOTAL	Resident	Nonresident
Fall Headcount	1,538	900	2,438	1,630	808
Fiscal Year FTE	1,682	686	2,368	1,520	848

1988-89 EDUCATION AND GENERAL BUDGET  
for the  
RECOMMENDED PARAMETERS  
COLORADO SCHOOL OF MINES

1. ENROLLMENT

Proposed: Fall Headcount - 2,438 (1538 UG/900 Grad).  
FTE - 2,368 (1,682 UG/686 Grad) (1,520 Res/848 NR).  
Res/NR FTE Mix - 67/33.

1987-88 Budget: Fall Headcount - 2,457 (1,619 UG/838 Grad).  
FTE - 2,405 (1,766 UG/639 Grad) (1,555 Res/850 NR).  
Res/NR FTE Mix - 67/33.

2. GENERAL FUND

Proposed: 7 percent increase on a "fixed/fixed" base (2,130 Res FTE).

3. TUITION

Proposed: Resident tuition increase of 3.5 percent, to \$3,134.  
Nonresident tuition increase of 3.5 percent, to \$8,690.

1987-88 Budget: Resident tuition, \$3,028  
Nonresident tuition, \$8,396.

4. EXEMPT FTE

A decrease of 5 full-time faculty in Resident Instruction, due to lower enrollment.

5. FACULTY SALARY INCREASE

5 percent

6. SUPPORT STAFF SALARY INCREASES

Classified 5.0 percent  
Graduate Assistants 4.0 percent

7. OTHER INCREASES

Operating Expense/Travel	\$205,000 (14%)
Library Books/Journals	195,000 (48%)
Utilities	41,000 (3 1/2%)
Marketing	20,000 (13%)
Research Development	250,000 (33%)
Capital Outlay	400,000 (200%)
Safety	50,000 (100%)

	Sponsored	CSMF	CSMF	CSMF	TOTAL	CSMF	CSMF	Restricted	Agency	Auxiliaries	1988-89	1987-88	Change
	State	Research	Endowed/	Unendowed/	Unrestricted	CSMF	Loans	CSM	Funds		Combined	Combined	
	Appropriated	(Direct)	Restricted	Restricted	Needed	Support							
<b>INCOME:</b>													
<b>Education &amp; General</b>													
General Fund	\$11,690,979										\$11,690,979	\$10,310,885	+13%
Tuition	11,120,544										11,120,544	10,825,659	+3%
Ind Cost Recoveries	2,300,000										2,300,000	2,000,000	+15%
Other Cash	160,000										160,000	150,000	+7%
Sponsored Programs		\$10,200,000	\$1,215,000	\$2,190,000	\$600,000	\$4,005,000	\$730,000	\$1,126,400	\$650,000		15,981,400	15,197,906	+5%
Auxiliaries										\$4,352,800	4,352,800	4,205,600	+4%
<b>TOTAL INCOME</b>	<b>\$25,271,523</b>	<b>\$10,200,000</b>	<b>\$1,215,000</b>	<b>\$2,190,000</b>	<b>\$600,000</b>	<b>\$4,005,000</b>	<b>\$730,000</b>	<b>\$1,126,400</b>	<b>\$650,000</b>	<b>\$4,352,800</b>	<b>\$46,335,723</b>	<b>\$42,690,050</b>	<b>+9%</b>

Enrollment Data:	Undergrad	Graduate	TOTAL	Resident	Nonresident
Fall Headcount	1,538	900	2,438	1,630	808
Fiscal Year FTE	1,682	686	2,368	1,520	848

	Educ & Gen	CSMF					Total CSMF Support	CSMF Loans	Restricted CSM	Agency Funds	Auxiliaries	1988-89 Combined	1987-88 Combined	Change
		Sponsored Research (Direct)	CSMF Endowed/Restricted	CSMF Unendowed/Restricted	Unendowed/Unrestricted Needed									
Faculty														
Acad Yr Teaching Personnel	\$9,074,078 180/54		\$36,900	\$550,000		\$586,900 3/43					\$9,660,978	\$9,541,170	Sal Inc +5% -6 Faculty	
Acad Yr Research Personnel		\$901,100 103									901,100	762,720	+18%	
Summer Teaching Personnel	606,000 85										606,000	578,000	+5%	
Summer Research Personnel		642,900 71									642,900	544,190	+18%	
Research Prof Personnel		1,193,100 51/21									1,193,100	1,009,852	+18%	
Admin Faculty Personnel	1,818,240 37/1										1,818,240	1,751,698	Sal Inc +4%	
Graduate Assistants Personnel	998,331 97	1,883,701 120	41,000		100,000	141,000 14					3,023,032	2,790,144	+11 Grad Assts	
Grad Fin Aid			65,400	235,000		300,400		\$84,900			385,300	351,321	+10%	
Classified Staff Personnel	6,126,769 251	618,462 19	21,000	88,879		109,879 5					6,855,110	6,344,654	+1 Research Staff	
Hourly Personnel	180,930 300	519,480 98	9,000	37,620		46,620 100		88,800 40			835,830	733,166	+14%	
Undergrad Fin Aid	590,000		407,000	555,000	248,000	1,210,000		911,300	\$650,000		3,361,300	3,132,000	+7%	
Awards(Bal Avail)			16,600			16,600					16,600	98,180	-83%	
Loans (Bal Avail)							\$730,000				730,000	707,530	+3%	
Operating Exp/Travel	1,997,343	3,661,257	8,700	10,000		18,700		41,400			5,718,700	5,236,503	+9%	
Program Support	50,000		115,000	380,000	110,000	605,000					655,000	318,540	+105%	
Departmental Support			288,650	193,501		482,151					482,151	319,551	+51%	
Library Bks/Journals	600,000		8,750	5,000		13,750					613,750	453,258	+35%	
Utilities	1,209,100										1,209,100	1,168,200	+4%	
Micro Computer Proj	36,000										36,000	34,000	+6%	
Marketing	170,000										170,000	150,000	+13%	
Research Development	1,000,000		27,000			27,000					1,027,000	776,510	+32%	
Capital Outlay	600,000	780,000	170,000	135,000		305,000					1,685,000	1,054,918	+60%	
Safety	100,000										100,000	50,000	+100%	
Pyats to St Agencies	111,600										111,600	106,300	+5%	
Reserve/unallocated	3,132				142,000	142,000					145,132	472,045		
Auxiliaries Personnel										\$4,352,800 148	4,352,800	4,205,600	+3%	
<b>TOTAL EXPENSE</b>	<b>\$25,271,523</b>	<b>\$10,200,000</b>	<b>\$1,215,000</b>	<b>\$2,190,000</b>	<b>\$600,000</b>	<b>\$4,005,000</b>	<b>\$730,000</b>	<b>\$1,126,400</b>	<b>\$650,000</b>	<b>\$4,352,800</b>	<b>\$46,335,723</b>	<b>\$42,690,050</b>	<b>+9%</b>	

COLORADO SCHOOL OF MINES

INTER-DEPARTMENT COMMUNICATION

TO President Ansell

FROM Alcohol Use Policy Committee/Football Games *HC*

SUBJECT Alcohol Use Policy Proposal-  
Football Games

DATE July 9, 1987

Attached is the Colorado School of Mines Campus-Wide Alcohol Use Policy that was formulated and accepted in 1984. The section on Alcohol Use at Football Games was reviewed by the Committee, which is composed of Mr. Allison, Athletic Director, Dr. Nyikos, Vice President of Student Affairs and External Relations, Mr. Zehr, Executive Director of the CSM Alumni Association, Mr. Ken Lykens, Interfraternity Council, Mr. Bob Todd, Student Body Treasurer and Dr. Harold Chevront, Dean of Student Affairs. The committee recommends to you that the section of Alcohol Use at Football Games be revised to read as follows:

- I. All pertinent guidelines stated in the Campus-Wide Alcohol Use Policy must be observed.
- II. The Colorado School of Mines will obtain a special events license to sell only 3.2 beer at the scheduled home football games. All other alcoholic beverages are prohibited in the stadium and surrounding areas. This prohibition includes kegs, bottles and cans. The Marriott (Saga) Corporation will serve as vendor on behalf of the School.
  - A. This policy and its procedures are applicable to all segments of the campus community as well as the general public.
  - B. Interfraternity Council will provide seven (7) house officers to assist the athletic department and the Marriott Corporation in monitoring the sale of beer as well as gate access to the stadium.
  - C. The Marriott Corporation will provide a full-time employee to manage and supervise all aspects of beer sales. Marriott will also have the vending contract for all other beverages and snacks. This contract will include a 15% rebate to the athletic department on all sales.
  - D. Beer sales will end at the start of the fourth quarter.
  - E. Identifications will be strictly checked for the legal purchase of beer.

COLORADO SCHOOL OF MINES

INTER-DEPARTMENT COMMUNICATION

TO President Ansell

FROM \_\_\_\_\_

SUBJECT \_\_\_\_\_

DATE July 9, 1987

Page 2

III. The Athletic Department will provide, with the assistance of Interfraternity Council, security with specific responsibilities as follows:

- A. To insure that unapproved alcoholic beverages are not brought into the stadium.
- B. To provide for management of crowd control and specifically in the area of alcohol abuse.
- C. Campus Security will be available on site periodically during the event, and will be on-call at all times.

IV. Alternative beverages and food snacks will be available.

V. Abuses of this policy will result in the immediate suspension of beer sales.

VI. This policy will be evaluated at the end of the 1987 football season.

The Committee reviewed three options in coming to its decision -- business as usual which would allow kegs in the stadium, the elimination of all alcohol, and the selling of only 3.2 beer, by the cup. It is the Committee's feeling that business as usual is unacceptable due to the implementation of the new drinking age law. Although certain age groups are "grandfathered", allowing kegs in the stadium would heighten institutional liability and would only delay other inevitable changes. The total elimination of alcoholic beverages is certainly a viable possibility as it would minimize or eliminate institutional liability. Concerns for this option are that more cans and bottles would be brought in illegally, since most students can still legally drink, and that attendance would be dramatically affected by a total ban. It is also felt that a total ban is contradictory to the institution's position of responsible alcohol use. It must also be stated that no other RMAC school permits the sale of beer at games.

The third alternative, and the one that the Committee is proposing for your approval, is to sell 3.2 beer by the cup. The specifics of this proposal have been outlined heretofore. The primary reason for recommending this option is that it allows for the responsible use of alcohol, which is in keeping with institutional positions in other areas. Students as well as other participants are provided with an opportunity to responsibly use alcohol. The setting, as proposed, is one in which reasonable control is provided, and students, via the Interfraternity Council, are provided an opportunity to assist in a responsible process. This policy proposal is respectfully submitted for your review.

**COLORADO SCHOOL OF MINES  
CAMPUS-WIDE ALCOHOL USE POLICY**

**Preface**

In essence and notwithstanding the following policy which is specifically intended to recognize legal responsibilities, the Colorado School of Mines has as a matter of first principle the expectation that individuals must assume personal charge for conduct and resulting outcomes regarding the consumption of alcoholic beverages herein defined. To this end, educational programs exist and all aspects of campus life take note of this principle. Concomitantly, the School serves notice of its intent to observe the law and mitigate its liability.

The Colorado School of Mines Board of Trustees feels that alcohol abuse poses a serious threat to the health and welfare of a segment of the college student population through acts of vandalism and property damage, automobile and other types of accidents, lessening of academic performance, estrangement of social relations, creation of mental and physical problems and, in some cases, bodily injury, illness and death. Therefore, institutional policies, practices and regulations should form the basis for a responsible approach to alcohol use. Thus the Board of Trustees calls upon members of the campus community who permit alcoholic beverage consumption at their activities to adopt the following guidelines as policy to govern alcohol use practices on campus, off campus at any School related function or during transportation to and from School related functions. The intent of this policy is to achieve the responsible behavior of those who use alcohol on campus.

- I. The possession, use, sale and/or consumption of alcoholic beverages on the Colorado School of Mines campus and at School sponsored events shall be in compliance with all applicable State and local laws, which include the following:
  - A. No persons under 21 years of age may have in their possession malt beverage, vinous or spiritous liquor.
  - B. No persons under 18 years of age may have in their possession fermented malt beverages including 3.2% beer.
  - C. Persons 18 years of age or over may consume 3.2% beer at School sponsored events if in compliance with established policies and State and local laws.
  - D. It is unlawful to serve minors alcoholic beverages or to serve an intoxicated person.
  - E. When alcoholic beverages are sold at an event, either over the counter, by admission, or by advanced sale, a permit or license is required according to State and local laws.
  - F. The City of Golden's open container law shall be adhered to.
  - G. It is unlawful to possess or to have in one's control in any vehicle fermented malt beverage or any malt, vinous or spiritous liquor in containers of any kind which are not sealed or upon which the seal is broken.



- II. Promotion of any campus sponsored event should not encourage any form of alcohol abuse nor should it place emphasis on quantity and frequency of use. Advertising shall include the fact that alternative beverages will be available.
- III. When publicizing campus activities or events at which alcoholic beverages will be served, the advertising should not portray drinking as a solution to personal or academic problems or as necessary to social, sexual or academic success.
- IV. There shall be no open solicitation or encouragement of alcoholic abuse by contests or promotions.
- V. Beverage alcohol (such as kegs or cases of beer) should not be provided as a free award to individual students or campus organizations.
- VI. At least one non-alcoholic beverage must be provided at any institutionally sponsored event where alcohol is served. Water does not satisfy this requirement.
- VII. Sponsors of events shall have the responsibility to conduct the program properly, exercise control, and clean and restore the facilities to their original state.
- VIII. The consumption of alcoholic beverages should not be the sole purpose of any event.
- IX. Alcoholic beverage marketing programs specifically targeted for students and/or held on campus shall conform to the code of student conduct of the institution and shall avoid demeaning sexual or discriminatory portrayal of individuals.
- X. Institutionally sponsored events and activities, such as field camps or field trips are subject to the provisions of this policy.
- XI. Responsibility for operational procedures to implement the Alcohol Use Policy is vested in the particular institutional branch sponsoring the specific event or activity. The written operational procedures must be on file with the proper Administrative Officer.

**ALCOHOL USE POLICY  
RESIDENCE HALLS**

**Administrative Officer Director of Student Life**

- I. All students residing in Colorado School of Mines Residence Halls must comply with the Campus-Wide Alcohol Use Policy.**
- II. Only 3.2% beer is allowed in the Residence Halls. Storage and consumption of beer will be restricted to the living areas of each hall. The consumption of beer in lounges or other public areas including the grounds is prohibited, except during times approved by the Single Student Housing Association and the Housing Office.**
- III. Beer stored and consumed by residents in their rooms must be in cans, bottles, or pony kegs ( $\frac{1}{4}$  barrel).**
- IV. On special occasions, approved in writing by the Director of Student Life, beer including keg beer may be served in the common areas in the Residence Halls.**
- V. Beer may not be sold in the Residence Halls.**
- VI. An open container permit must be obtained any time beer is consumed outside the Residence Halls.**
- VII. Floor parties may be closed down or individuals may be asked to leave if it is determined by the Residence Hall staff that the party or individual is out of control.**
- VIII. All floor parties must end by midnight.**
- IX. Any alcoholic beverages not conforming to these guidelines may be confiscated by the Residence Hall staff.**

**ALCOHOL USE POLICY  
FACULTY-SPONSORED ACTIVITIES**

**Administrative Officer - Dean of Faculty**

- I. All faculty-sponsored events, such as Faculty Club or educational seminars, must comply with the Campus-Wide Alcohol Use Policy.**
- II. Departments or faculty members who sponsor activities, such as field trips or social functions where alcohol is served, must be aware of and in compliance with the Campus-Wide Alcohol Use Policy. Questions relating to the policy can be directed to the Dean of Student Affairs or the Director of Student Life.**

**ALCOHOL USE POLICY  
STUDENT ACTIVITIES OUTSIDE THE STUDENT CENTER**

**Administrative Officer - Dean of Student Affairs**

- I. The Colorado School of Mines Alcohol Use Policy will be the basis for planning all activities where alcoholic beverages are served or sold.**
- II. Sponsoring groups are required to obtain a special events license if selling beer, or an open container permit if giving away beer.**
- III. Security is the responsibility of the organization sponsoring the event. The decision for the use and/or amount of needed security should be made in conjunction with the Director of Student Life.**
- IV. Sponsoring groups shall be responsible for being aware of the party planning hints, ideas and general alcohol information brochure available in the Student Activities Office.**

**ALCOHOL USE POLICY  
GREEK ORGANIZATIONS**

**Administrative Officer - Dean of Student Affairs**

- I. The Colorado School of Mines Alcohol Use Policy will be the basis for planning all activities where alcoholic beverages are served or sold.**
- II. All rush activities will be indicated as "dry rush".**
- III. During open parties, proof of age is required for all guests.**
- IV. When sponsoring events, it is the house officers' responsibility to conduct the program properly and exercise control, which includes utilizing resources available to them, such as Campus Security and the Golden Police Department.**
- V. All Greek houses are required to provide a ride home or a place to sleep for any individuals who appear intoxicated. This information should be posted at the appropriate areas in each house.**
- VI. Because of the public nature of Greek houses, open container permits should be obtained whenever alcohol is consumed outside the house.**
- VII. All Greek organizations shall be responsible for being aware of the party planning hints, ideas and general alcohol information brochure available in the Student Activities Office.**

**ALCOHOL USE POLICY  
FOOTBALL GAMES**

**Administrative Officer - President**

- I. All pertinent guidelines stated in the Campu-Wide Alcohol Use Policy must be observed.
- II. Alcoholic beverages are not permitted in the stadium or surrounding area. The only exception is for "approved campus organizations who have obtained a keg permit."
  - A. Prior approval will be granted to approved campus organizations for kegs only. No cans or bottles of any kind will be permitted in the stadium. Organizations must obtain written approval from the Director of Student Life no later than the Wednesday prior to the Saturday game. A list of approved campus organizations can be obtained from the Dean of Student Affairs Office.
  - B. This policy and its procedures are applicable to, and must be observed by the general public.
- III. The Athletic Department will be responsible for providing at least two uniformed security officers to monitor the event. Specific responsibilities are:
  - A. To insure that unapproved alcoholic beverages are not brought into the stadium.
  - B. To check organizations for keg permits.
  - C. To provide for management of crowd control, and specifically in the area of alcohol abuse.
- IV. Alternative beverages and food snacks will be available.
- V. Tailgate parties as approved by the Athletic Department are exempt from Item II-A of this policy. It is the Athletic Department's responsibility to formulate and carry out specific regulations governing tailgate parties.
- VI. All other athletic events will be governed by the Campus-Wide Alcohol Use Policy.